

## **INFORMATION TO USERS**

**While the most advanced technology has been used to photograph and reproduce this manuscript, the quality of the reproduction is heavily dependent upon the quality of the material submitted. For example:**

- **Manuscript pages may have indistinct print. In such cases, the best available copy has been filmed.**
- **Manuscripts may not always be complete. In such cases, a note will indicate that it is not possible to obtain missing pages.**
- **Copyrighted material may have been removed from the manuscript. In such cases, a note will indicate the deletion.**

**Oversize materials (e.g., maps, drawings, and charts) are photographed by sectioning the original, beginning at the upper left-hand corner and continuing from left to right in equal sections with small overlaps. Each oversize page is also filmed as one exposure and is available, for an additional charge, as a standard 35mm slide or as a 17"x 23" black and white photographic print.**

**Most photographs reproduce acceptably on positive microfilm or microfiche but lack the clarity on xerographic copies made from the microfilm. For an additional charge, 35mm slides of 6"x 9" black and white photographic prints are available for any photographs or illustrations that cannot be reproduced satisfactorily by xerography.**



8713795

**Searl, James Edwin**

RATIONAL HOMOTOPY THEORY: THE GENERAL NILPOTENT CASE

*City University of New York*

PH.D. 1987

**University  
Microfilms  
International** 300 N. Zeeb Road, Ann Arbor, MI 48106

**Copyright 1987**

**by**

**Searl, James Edwin**

**All Rights Reserved**



**Rational Homotopy Theory: The General Nilpotent Case**

by

**James E. Searl**

A dissertation submitted to the Graduate Faculty in  
Mathematics in partial fulfillment of the require-  
ments of the degree of Doctor of Philosophy, City  
University of New York.

1987

© 1987

James E. Searl

All Rights Reserved

This manuscript has been read and accepted for the Graduate Faculty in Mathematics in satisfaction of the dissertation requirement for the degree of Doctor of Philosophy.

28 April 1987  
Date

  
Chair of Examining Committee

4/28/87  
Date

  
Executive Officer

Joseph Rindberg  
Alfonso Thom Caspary  
Ridan D. Yu  
Supervisory Committee

## Abstract

Let  $S_0$  be the category of reduced simplicial sets and  $C$  the category of commutative DG coalgebras over  $k$  ( $k$  a field of characteristic 0). A pair of adjoint functors  $S_0 \left\langle \frac{| |}{S} \right\rangle C$  is constructed ( $| |$  is the realization and  $S$  the singular functor) which induce an adjunction between the homotopy categories. With  $k = \mathbb{Q}$ , the unit for the adjunction when restricted to nilpotent spaces gives a  $\mathbb{Q}$ -localization and when restricted further to rational, nilpotent spaces gives an isomorphism. On the homotopy categories, the realization functor when restricted to the replete subcategory of rational, nilpotent spaces (and corestricted to its image) gives an equivalence of categories. This generalizes the equivalence result of Quillen for simply connected spaces. It also removes the homology finiteness conditions which were imposed by Neisendorfer to relate the homotopy category of spaces to that of coalgebras (via the Bousfield-Gugenheim equivalence for spaces and algebras). The realization functor  $| |: S_0 \rightarrow C$  is characterized by three basic properties in the sense that any functor  $F: S_0 \rightarrow C$  which has these basic properties will also yield a  $\mathbb{Q}$ -localization and give an equivalence of categories as was obtained using  $| |$ .

### Acknowledgements and Preface

It is a genuine pleasure to thank Professor Heller for his help and encouragement concerning this dissertation. As my thesis advisor, his patience, accessibility, and informed enthusiasm have been heartfelt. His concern that basic properties and essentials be given a central role in ones considerations is manifest throughout this thesis-- as seen, for example, in the natural characterization of the realization functor, which is constructed in this dissertation.

I also want to express my appreciation to Professor Alphonse Vasquez for his carefully presented courses on topology at the Graduate School and who introduced me to Sullivan's ideas concerning rational homotopy theory in his lectures, and for his continued interest in the subject. I also appreciated Professor Roitberg's seminars on localization and homotopy groups of spheres here. Finally I wish to thank the other members of the Department, particularly Professors Eldon Dyer, Burton Randol, Edgar Feldman, and Gilbert Baumslag, for their contributions to making my period at The Graduate School a satisfying one.

## Table of contents

Introduction.

1. General conventions.
2. Simplicial sets and reduced simplicial sets.
3. Coalgebras.
4. The realization and singular functors.
5. Closed model categories and some lemmas.
6. Closed model structures for  $S_0$  and  $C$ .
7. The realization and singular functors on the homotopy categories.
8. Principal bundles in  $S_0$  and in  $C$ ; fiber bundles in  $C$ .
9. The realization of induced fibrations.
10. The main theorem.

## Appendix

11. The classification of cohomology by  $K(\pi, n)$  in  $C$ .

Bibliography.



serve either limit or colimit constructions.

Sullivan introduced the notion of a minimal algebra in his work on rational homotopy, and his ideas are presented in many papers and larger works, including, e.g., [19] and [7]<sup>1</sup>. Part of his work concerns simplicial complexes: given a simply connected simplicial complex  $X$ , a simply connected commutative DG (cochain) algebra (over  $\mathbb{Q}$ )  $A^*X$  is constructed (the algebra of P. L. forms of  $X$ ). A minimal model for  $A^*X$  is then constructed; i.e., a DG algebra map  $M(A^*X) \rightarrow A^*X$  which gives a cohomology isomorphism and where  $M(A^*X)$  is a minimal algebra (i.e., free as a graded commutative algebra and with a differential which has decomposable values). Finally, a rational space  $X(M)$  is associated with each minimal algebra  $M$ , with the space  $X(MA^*X)$  representing the  $\mathbb{Q}$ -localization of  $X$ . The first construction  $X \rightarrow A^*X$  is functorial; however, the second  $M \rightarrow X(M)$  is not. These ideas are then also applied to the case of the  $C^\infty$  forms of a simply connected smooth manifold. Sullivan's papers are rich with ideas, and we have mentioned a portion which relates directly to the treatment in our paper. His notion of a minimal model has been studied extensively, and we refer the reader to the work and bibliography of Tanré [21].

For  $X$  a simplicial set and  $k$  a field of characteristic 0, Swan [20] constructed a commutative cochain algebra  $AX$  over  $k$  such that  $H^*AX \simeq H^*(X;k)$  which was based on an unpub-

<sup>1</sup>This work is based on lecture notes from a course taught by the authors and Eric Friedlander in Florence during 1972.

lished construction of Thom (1957) for the case  $k = \mathbb{R}$ .

Bousfield and Gugenheim [ 2 ] express the Sullivan-de Rham approach to rational homotopy theory in a completely functorial setting. For  $S$  the category of simplicial sets and  $\mathcal{A}$  the category of commutative DG (cochain) algebras over  $k$  ( $k$  a field of characteristic 0), they construct a pair of adjoint contravariant functors  $S \xleftarrow[\mathcal{F}]{\mathcal{A}} \mathcal{A}$  where  $AX$  has the property that  $H^*AX \cong H^*(X;k)$  with  $X$  in  $S$ . Both categories have a closed model structure, and the functors induce an adjoint pair of contravariant functors  $hoS \xleftarrow[\mathcal{F}]{\mathcal{M}} ho\mathcal{A}$  on the homotopy categories where  $MX$  satisfies  $j:MX \rightarrow AX$  in  $\mathcal{A}$  with  $MX$  a cofibrant algebra and  $j$  gives a cohomology isomorphism. With  $k = \mathbb{Q}$ , these functors give an equivalence of homotopy categories when restricted (and corestricted) to the full subcategories of rational, nilpotent, connected simplicial sets of finite  $\mathbb{Q}$ -type (i.e.,  $H_n(X;\mathbb{Z})$  are uniquely divisible and finite dimensional ( $n \geq 1$ )) and homologically connected algebras of finite  $\mathbb{Q}$ -type (i.e., algebras whose minimal models have finite type cohomology).

Neisendorfer [15] generalized part of Quillen's treatment by showing that the adjoint functors  $C \xleftarrow[\mathcal{C}]{\mathcal{F}} \mathcal{A}$  gave an equivalence between the homotopy category  $hoC$  and a subcategory of  $ho\mathcal{A}$ . He then imposed "finiteness conditions" to combine this result with that of Bousfield and Gugenheim to obtain an equivalence between the two subcategories of the homotopy categories of simplicial sets and DG Lie algebras.

We also mention that Unsöld [22] obtained an equivalence

between the homotopy categories of 1-reduced rational simplicial sets and 1-connected minimal topological algebras over  $Q$ .

With  $S_*$  the category of pointed, connected simplicial sets, let  $Nh_0 S_*$  (respectively,  $QNh_0 S_*$ ) be the full subcategory of  $h_0 S_*$  determined by the nilpotent (respectively, rational and nilpotent) objects. A Q-localization functor is a left adjoint to the inclusion  $QNh_0 S_* \hookrightarrow Nh_0 S_*$  (equivalently, such a functor is given by universal maps  $u: X \rightarrow X_Q$  from  $X$  to  $QNh_0 S_*$  ( $X$  in  $Nh_0 S_*$ )). Bousfield and Kan [3] use a very general setting to show the existence of a  $Q$ -localization functor. Bousfield, in [1], shows that such a  $Q$ -localization also arises from the localization of pointed simplicial sets with respect to  $H_*(; Q)$ -isomorphisms and then restricting to nilpotent, connected objects. In the Bousfield and Gugenheim work discussed above, the adjoint map  $X \rightarrow FMX$  in  $Nh_0 S_*$  gives a  $Q$ -localization where  $X$  is nilpotent of finite  $Q$ -type (this uses the "pointed" version of their equivalence).

Let  $S_0$  be the category of reduced simplicial sets and  $C$  the category of commutative, connected DG coalgebras over  $k$  ( $k$  a field of characteristic 0). In this paper we construct a pair of adjoint functors  $S_0 \xrightleftharpoons[S]{|} C$  ( $|$  is the realization and  $S$  the singular functor) which induce an adjunction between the homotopy categories. With  $k = Q$ , the unit for the adjunction when restricted to nilpotent spaces gives a  $Q$ -

localization and when restricted further to rational, nilpotent spaces gives an isomorphism. On the homotopy categories, the realization functor when restricted to the replete subcategory of rational, nilpotent spaces (and corestricted to its image) gives an equivalence of categories. This generalizes the equivalence result of Quillen for simply connected spaces. It also removes the homology finiteness conditions which were imposed by Neisendorfer to relate the homotopy category of spaces to that of coalgebras (via the Bousfield-Gugenheim equivalence for spaces and algebras). The realization functor  $| |: S_0 \longrightarrow C$  is characterized by three basic properties in the sense that any functor  $F: S_0 \longrightarrow C$  which has these basic properties will also yield a Q-localization and give an equivalence of categories as was obtained using  $| |$ .

After summarizing the general conventions of this paper in Section 1, we consider the category  $S$  of simplicial sets and its subcategory of reduced simplicial sets in Section 2. It is shown that the latter subcategory can be viewed as the pointed functor category:  $S_0 = \text{Sets}_* \cdot \bar{\Delta}^{\text{op}}$  where  $\bar{\Delta}$  is a quotient of the indexing category  $\Delta$  for simplicial sets (two maps in  $\Delta$  are identified if they factor through  $[0]$  in  $\Delta$ ) and  $\text{Sets}_*$  is the category of pointed sets.

In Section 3, the categories  $C$  of commutative, connected DG (chain) coalgebras over  $k$  and  $M$  of DG  $k$ -modules (where  $k$  is a field of characteristic 0) are considered (and also the related categories  $C^\#$  and  $M^\#$  of graded coalgebras and graded  $k$ -modules, respectively), and the basic properties of the

forgetful functors between these categories are examined.

In Section 4, we use the functor  $\mathcal{L} \xrightarrow{\mathcal{G}} \mathcal{C}$  which was considered by Quillen and Neisendorfer to define the realization functor  $| | : S_0 \rightarrow \mathcal{C}$ ; for  $X$  in  $S_0$ ,  $|X|$  is given by the coend

$$|X| = \int^{[n]} X_n \cdot \gamma_n \quad \text{in } \mathcal{C}$$

where  $\gamma$  is the composition  $\bar{\Delta} \rightarrow \mathcal{L} \xrightarrow{\mathcal{G}} \mathcal{C}$  (the first functor in this composition is itself the composition  $F_{L,S}^{-1} C_*^N \bar{\Delta}$  of the free Lie algebra, desuspension, normalized chain complex, and reduced standard  $n$ -simplex functors). It is shown that  $| | : S_0 \rightarrow \mathcal{C}$  has a right adjoint given by the singular functor  $\mathcal{S} : \mathcal{C} \rightarrow S_0$  (for  $Y$  in  $\mathcal{C}$ ,  $\mathcal{S}Y : \bar{\Delta}^{OP} \rightarrow \text{Sets}_*$  is given by the composition  $\bar{\Delta} \xrightarrow{Y} \mathcal{C} \xrightarrow{C(-, Y)} \text{Sets}_*$ ). After proving that  $| \bar{\Delta}_n | = \gamma_n$ , the realization functor is then shown to have the following basic properties (in addition to having a right adjoint): 1)  $| |$  preserves monomorphisms and 2) there is a natural transformation  $\lambda : | | \rightarrow C_*^N$  such that  $(\lambda_X)_* : H_* |X| \rightarrow H_*(X; k)$  is an isomorphism of coalgebras ( $X$  in  $S_0$ ). The existence of a natural map  $\mathcal{G} F_{L,S}^{-1} V \rightarrow V$  in  $\mathcal{M}$  (where  $V$  has  $V_0 = k$ ,  $d_1 = 0$ ) which gives a homology isomorphism motivates the definition of the realization functor and is the basis for the construction of the natural transformation  $\lambda : | | \rightarrow C_*^N$ .

Quillen's axioms for a closed model category  $\tilde{\mathcal{C}}$  are recalled in Section 5, and some homotopy lemmas which are used in this paper are proved. The two homotopy categories  $\text{Ho} \tilde{\mathcal{C}}$  (the category of fractions with respect to the class of weak equivalences of  $\tilde{\mathcal{C}}$ ) and  $\text{ho} \tilde{\mathcal{C}}$  (the category with the same ob-

jects as  $\tilde{C}_{cf}$ -- the full subcategory of  $\tilde{C}$  determined by the cofibrant-fibrant objects-- and whose morphisms are the homotopy classes of maps) are discussed briefly, and it is pointed out that  $ho\tilde{C}$  is also a category of fractions (with respect to the weak equivalences in  $\tilde{C}_{cf}$ ). It is presumably well-known that the pullback of a weak equivalence along a fibration, which has a fibrant codomain, is also a weak equivalence (theorem 5.9). Perhaps less well-known or appreciated is the fact that the pullback of a weak equivalence along a fibration, which is induced from a fibration having a fibrant codomain, is a weak equivalence (proposition 5.10).

In Section 6, we recall Quillen's closed model structure for  $S_0$ : the cofibrations are the injective maps, weak equivalences are the maps whose topological realizations give isomorphisms on all homotopy groups, and fibrations are those maps which have the right lifting property (RLP) with respect to the class of trivial cofibrations. We also recall the Quillen-Neisendorfer closed model structure for  $C$ : the cofibrations are the injective maps, weak equivalences are the maps which give homology isomorphisms, and fibrations are those maps which have the RLP with respect to the class of trivial cofibrations.

In Section 7, we show that  $I : S_0 \rightarrow C$  preserves both cofibrations and weak equivalences and that  $J : C \rightarrow S_0$  preserves fibrations and takes a weak equivalence between fibrant objects in  $C$  to a weak equivalence in  $S_0$ . The pair of

adjoint functors, thus, gives a pair of adjoint functors  $\text{HoS}_0 \langle \frac{1}{S'} \rangle \text{HoC}$  on the homotopy categories, and we show that one can take  $\mathcal{G}'(X) = X'$  where  $i: X \rightarrow X'$  is a weak equivalence in  $C$  with  $X'$  fibrant. On the more concrete homotopy categories, it is the realization functor which must be modified (since it does not necessarily preserve fibrant objects); here the pair of adjoint functors  $\text{hoS}_0 \langle \frac{1}{S'} \rangle \text{hoC}$  has  $|X|' = X'$  where  $i: |X| \rightarrow X'$  is a weak equivalence in  $C$  with  $X'$  fibrant. Finally the relations between the realization functors and between the singular functors on the two homotopy categories are given for reference.

In Section 8, we recall the familiar principal fibration  $\tilde{h}: \tilde{E}(\pi, n) \rightarrow \tilde{K}(\pi, n)$  in  $S$ , where  $\pi$  is an abelian group and  $\tilde{K}(\pi, n)$  is a  $K(\pi, n)$  space in  $S$ , and observe that  $\tilde{h}$  can be viewed as a fibration in  $S_0$ . We also recall Neisendorfer's extension sequence  $K(\pi, n-1) \xrightarrow{i} E(\pi, n) \xrightarrow{h} K(\pi, n)$  in  $C$  where  $\pi$  is a  $k$ -module and  $K(\pi, n)$  is the cofree coalgebra generated by  $\pi$  which is concentrated in degree  $n$ . We then show that there is a commutative diagram:

$$\begin{array}{ccc} |\tilde{E}(\pi, n)| & \xrightarrow{\phi_E} & E(\pi, n) \\ |\tilde{h}| \downarrow & & \downarrow h \\ |\tilde{K}(\pi, n)| & \xrightarrow{\phi_K} & K(\pi, n) \end{array} \quad \text{in } C, \quad (0.1)$$

where  $\phi_E$  and  $\phi_K$  are weak equivalences; i.e., the realization of  $\tilde{h}$  is weakly equivalent to  $h$ . We also introduce the notion that a fibration  $f: X \rightarrow B$  in  $C$  is a fiber bundle if  $f$ , when viewed in  $C^\#$ , is "essentially" a projection  $B \otimes F \rightarrow B$  where  $F$  is the fiber of  $f$  (this is in agreement with Neisen-

derfer's notion of an extension sequence in  $C$ ), and show that fiber bundles are closed under pullbacks.

The main result of Section 9 is to show that the realization of a fibration in  $S_0$ , which is induced from  $\tilde{h}: \tilde{E}(\pi, n) \rightarrow \tilde{K}(\pi, n)$ , is weakly equivalent to a fiber bundle in  $C$  which is induced from  $h: E(\pi, n) \rightarrow K(\pi, n)$  ( $n > 1$ ). This result (theorem 9.2) is expected to be valid in the more general setting of a fibration  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  in  $S_0$  which is related (as in diagram (0.1)) to a fiber bundle  $h: E \rightarrow K$  in  $C$  provided some additional hypothesis is imposed on the fibration  $\tilde{h}$ . This section concludes by showing that the realization of a tower of fibrations under  $X$  in  $S_0$  gives a tower of maps under  $|X|$  in  $C$  which is weakly equivalent to a tower of fiber bundles in  $C$  (where in  $S_0$   $X_n \rightarrow X_{n-1}$  is induced from the principal fibration  $\tilde{h}: \tilde{E}_{n+1} \rightarrow \tilde{K}_{n+1}$  with  $\tilde{h}$  related to the fiber bundle  $h: E_{n+1} \rightarrow K_{n+1}$  in  $C$ ).

The Main Theorem of this paper is proved in Section 10. We now assume that the ground field is the rationals  $k = Q$ . This result is that when  $X$  is nilpotent in  $S_0$  and  $i: |X| \rightarrow X'$  is a weak equivalence in  $C$  with  $X'$  fibrant, then the adjoint map  $\tilde{i}: X \rightarrow \mathcal{S}X'$  in  $S_0$  gives a  $Q$ -homology isomorphism. A corollary of this result is that when  $X$  is nilpotent and fibrant in  $S_0$ , then the  $X$ -component of the unit  $\eta_X = \tilde{i}: X \rightarrow \mathcal{S}X'$  in  $hoS_0$  is a  $Q$ -localization. A second corollary is that when restricted to rational and nilpotent spaces in  $hoS_0$ , then  $\eta_X$  is an isomorphism in  $hoS_0$ . We also show that on the homotopy

categories the realization functor when restricted to the complete subcategory of rational, nilpotent spaces (and corestricted to its image) gives an equivalence of categories. Finally, since the treatment in this paper uses only the basic properties (mentioned above) of the realization functor, we obtain the result that any functor  $F: S_0 \rightarrow C$ , which has the same basic properties as  $|\cdot|: S_0 \rightarrow C$ , will necessarily provide a Q-localization and give an equivalence of categories as was obtained using  $|\cdot|$ .

In Section 11 of the Appendix, we show that the cofree coalgebra  $K(\pi, n)$  classifies cohomology; i.e., for  $X$  in  $C$ , there is a natural isomorphism  $[X, K(\pi, n)] \rightarrow H^n(X; \pi)$ . This result is used in Section 8 to show that  $S^{\vee}K(\pi, n)$  in  $S_0$  is an Eilenberg-MacLane space of type  $(\pi, n)$ .

The basic definitions, background material, and background references are incorporated into the sections as the subject is developed.

### 1. General conventions.

The notation  $f: X \hookrightarrow Y$  is used to imply that the map  $f$  is a monomorphism.

The notation  $H \dashrightarrow K$  is used to denote a natural transformation from the functor  $H$  to the functor  $K$  (as in [11]).

A null object in a category is an object which is both an initial and terminal object; a pointed category is a category with a null object. In a pointed category  $\tilde{C}$ , the coproduct of  $A$  with  $B$  is denoted by  $A \vee B$ .

Let  $X$  be a set with an equivalence relation  $\sim$ , then  $\bar{x}$  is often used to denote the equivalence class in  $X/\sim$  which contains  $x$ .

Let  $f: X \rightarrow Y$  be a map in Sets, then  $f(x) = y$  is sometimes denoted by  $x \mapsto y$ . Similarly for a functor  $F: \tilde{C} \rightarrow D$ , then  $Fc = d$  is sometimes denoted by  $c \mapsto d$  ( $c$  an object in  $\tilde{C}$ ,  $d$  an object in  $D$ ).

Let  $f: A \rightarrow C$ ,  $g: B \rightarrow C$  be maps in a category  $\tilde{C}$ , then the unique map  $A \amalg B \rightarrow C$  out of a coproduct which is determined by  $f$  and  $g$  is denoted by  $(f, g)$ .

Let  $f: C \rightarrow A$ ,  $g: C \rightarrow B$  be maps in  $\tilde{C}$ , then the unique map  $C \rightarrow A \prod B$  into a product which is determined by  $f$  and  $g$  is denoted by  $\langle f, g \rangle$ .

2. **Simplicial sets and reduced simplicial sets.** Background references include [ 3], [ 4], [ 6], [ 8], [12], and [16].

Let  $S = \text{Sets}^{\Delta^{op}}$  denote the category of simplicial sets ( $\Delta$  is the familiar indexing category: the objects of  $\Delta$  are the ordered sets  $[n] = \{0,1,2,\dots,n\}$  and  $\Delta([m],[n])$  equals all the nondecreasing functions:  $[m] \rightarrow [n]$ ).

Now consider the hom sets  $\Delta([m],[n])$  of  $\Delta$ , and identify two maps  $f,g:[m] \rightarrow [n]$  if they factor through the object  $[0]$  in  $\Delta$ . This identification is clearly preserved by pre- and post-composition with maps from  $\Delta$  and, thus, gives a congruence. Let  $\bar{\Delta}$  be the quotient category of  $\Delta$ ; and let  $\pi: \Delta \rightarrow \bar{\Delta}$  be the quotient functor. Note that  $\bar{\Delta}$  is a pointed category ( $[0]$  is both an initial and terminal object).

Let  $\text{Sets}_*$  denote the category of pointed sets;  $\text{Sets}_*$  is also a pointed category (the one-point set  $\{*\}$  gives  $(\{*\},*)$  in  $\text{Sets}_*$  which is both an initial and terminal object). Let  $S_0 = \text{Sets}_*^{\bar{\Delta}^{op}}$  denote the pointed functor category (where a pointed functor is a functor between two pointed categories which preserves the null object). Note for a pointed functor  $X: \bar{\Delta}^{op} \rightarrow \text{Sets}_*$ ,  $X([0])$  is a pointed one-point set.

For  $X$  in  $S_0$  or  $S$ ,  $X([n])$  is usually denoted by  $X_n$  ( $[n]$  in  $\bar{\Delta}$  or  $\Delta$ ).

Now consider the functor  $S_0 \rightarrow S$  determined by  $X \mapsto$  the composition:  $\Delta^{op} \xrightarrow{\pi^{op}} \bar{\Delta}^{op} \xrightarrow{X} \text{Sets}_* \rightarrow \text{Sets}$  where  $X$  is in  $S_0$  and where the last functor is the obvious forgetful functor. Let  $S_R$  denote the full subcategory of  $S$  of reduced simplicial sets (i.e., those  $X$  in  $S$  which have only one vertex

$(X_0 = \{x_0\})$ . The functor  $S_0 \rightarrow S$  clearly corestricts to  $S_R$ .

**Proposition 2.1:** The functor  $S_0 \rightarrow S_R$  is an isomorphism of categories.

**Proof:** We determine a functor  $S_R \rightarrow S_0$ . For this, let  $X$  be in  $S_R$  with  $X_0 = \{x_0\}$ . Using the  $0^{\text{th}}$  degeneracy maps  $s_0^k: X_k \rightarrow X_{k+1}$  of  $X$ , the points  $x_n = (\prod_{k=0}^{n-1} s_0^k)(x_0) \in X_n$  for  $n > 0$  give a subsimplicial set  $x_* \subset X$ . And so the pair  $\tilde{X} = (X, x_*)$  can be viewed as a simplicial pointed set  $\tilde{X}: \Delta^{\text{op}} \rightarrow \text{Sets}_*$ . But since  $(\tilde{X})_0 = (\{x_0\}, x_0)$  is a point object in  $\text{Sets}_*$ ,  $\tilde{X}$  factors uniquely through  $\bar{\Delta}^{\text{op}}$  (via  $\pi^{\text{op}}$ ) to obtain  $\bar{X}: \bar{\Delta}^{\text{op}} \rightarrow \text{Sets}_*$  which is necessarily a pointed functor. The desired functor  $S_R \rightarrow S_0$  is then determined by  $X \mapsto \bar{X}$ . That the functors compose correctly (i.e., that  $S_R \rightarrow S_0 \rightarrow S_R = \text{id}_{S_R}$  and  $S_0 \rightarrow S_R \rightarrow S_0 = \text{id}_{S_0}$ ) is readily verified which completes the proof.

And so the corestriction of the functor  $S_0 \rightarrow S$  gives an isomorphism between the category  $S_0$  and the full subcategory of reduced simplicial sets of  $S$ . We will also refer to  $S_0$  as the category of reduced simplicial sets.

For  $X$  in  $S$  (or  $S_R$ ), many notions (such as the dimension of  $X$ , the  $n$ -skeleton of  $X$ , a degenerate  $n$ -simplex  $x \in X_n$ , etc.) have analogous definitions for objects in  $S_0$ . We will be explicit about some basic notions but will assume the reader is familiar with others.

We will find the next property to be a useful one.

**Proposition 2.2:** The forgetful functor  $S_0 \rightarrow \text{graded Sets}$

preserves, reflects, and creates both pullbacks and pushouts. Proof: We consider first the forgetful functor  $S \rightarrow \text{graded Sets}$ . Pullbacks in  $S$  are constructed degree-wise by using the pullback in  $\text{Sets}$  for each degree. Further the required face and degeneracy maps of the pullback are uniquely determined by this construction. This implies that  $S \rightarrow \text{graded Sets}$  preserves, reflects, and (using the uniqueness of the simplicial structure) also creates pullbacks. Similarly,  $S \rightarrow \text{graded Sets}$  preserves, reflects, and creates pushouts since pushouts in  $S$  are also constructed degree-wise. The construction of pullbacks and pushouts in  $S$  remains valid for the subcategory  $S_R \hookrightarrow S$  (objects differ at most only in degree 0); and so the forgetful functor  $S_R \rightarrow \text{graded Sets}$  has the same properties which we stated for  $S \rightarrow \text{graded Sets}$ . Finally since the functor  $S_0 \rightarrow \text{graded Sets}$  can be given by the composition  $S_0 \xrightarrow{\cong} S_R \rightarrow \text{graded Sets}$ , we can conclude that  $S_0 \rightarrow \text{graded Sets}$  has the desired properties.

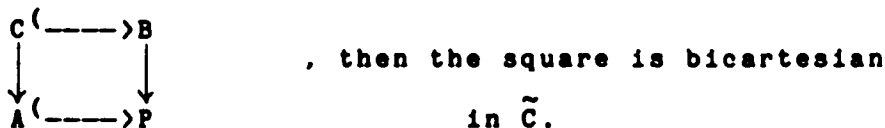
We next observe that  $J: S_R \hookrightarrow S$  has a left adjoint  $L: S \rightarrow S_R$ ; i.e.,  $S_R$  is a reflective subcategory of  $S$ . For this, let  $LX = X/X^0$  where  $X^0$  is the 0-skeleton of  $X$  ( $X$  in  $S$ ). The adjunction for  $L \dashv J$  is then given by: for  $g \in S(X, JY)$ , let  $\psi^{-1}(g) \in S_R(LX, Y)$  be defined by  $\psi^{-1}(g) = \bar{g}: X/X^0 \rightarrow Y/Y^0 = Y$ .

Note that the composition  $S_0 \xrightarrow{\cong} S_R \hookrightarrow S$ , thus, has a left adjoint, and so  $S_0 \hookrightarrow S$  preserves limits.

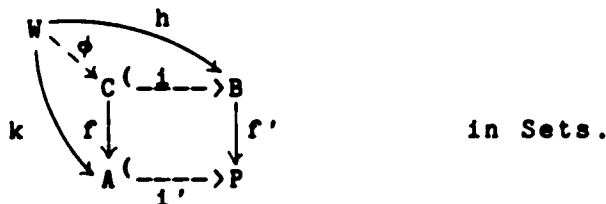
We remark that in  $S_0$  the pullback of a surjection is a surjection (since  $S_0 \rightarrow \text{graded Sets}$  preserves pullbacks, and  $\text{Sets}$  has the property we are asserting for  $S_0$ ), and dually

the pushout of an injection is an injection.

**Proposition 2.3:** Let  $\tilde{C} = S_0$  or Sets. Let the following diagram be a pushout in  $\tilde{C}$ :



**Proof:** To prove this proposition for  $\tilde{C} = S_0$ , note that it is sufficient to show that this diagram is bicartesian in each degree since the forgetful functor  $S_0 \rightarrow \text{graded Sets}$  reflects pullbacks. And so we need only show this lemma for  $\tilde{C} = \text{Sets}$ . To this end we show that the diagram has the universal mapping property of a pullback. For this, consider the diagram:



Since  $P$  is a pushout, the only relations in  $P$  are between  $\text{im}(f)$  and  $\text{im}(i)$ . And so, since  $f'h = i'k$ , we can conclude that  $h(W) \subset \text{im}(i)$  (and  $k(W) \subset \text{im}(f)$ ). Now use  $h(W) \subset \text{im}(i)$  to define  $W \xrightarrow{\phi} C$  by  $\phi(w) = c$  where  $i(c) = h(w)$ . Note that  $\phi$  is well-defined since  $i$  is monic. This gives  $i\phi = h$ . To show we also have  $k = f\phi$ , note that  $i'k = f'h = f'i\phi = i'f\phi$ . And so the result follows since  $i'$  is monic. The required uniqueness property is immediate since any map  $\phi'$  which satisfies  $i'\phi' = h$  must agree with our definition of  $\phi$ .

Let  $\bar{\Delta}_n: \bar{\Delta}^{\text{OP}} \rightarrow \text{Sets}$ , denote the standard reduced n-sim-

plex in  $S_0$  which is determined by  $\bar{\Delta}(\_, [n]): \bar{\Delta}^{op} \rightarrow \text{Sets}$ . This gives a functor  $\bar{\Delta}: \bar{\Delta} \rightarrow S_0$  ( $[n] \mapsto \bar{\Delta}_n$ ).

For  $X$  in  $S_0$  and  $n > 0$ , the  $n$ -skeleton of  $X$ , denoted  $X^n$ , is the subobject of  $X$  generated by the graded pointed set:  $X_p$  with  $p < n$ . Let  $\bar{\Delta}_n$  denote the  $(n-1)$ -skeleton of  $\bar{\Delta}_n$  ( $n > 0$ ).

For  $X$  in  $S$ , one has the familiar Yoneda lemma bijection  $\Psi: S(\bar{\Delta}_n, X) \rightarrow X_n$  in Sets where  $\Psi(\lambda) = \lambda_{[n]}(\text{id}_{[n]})$  with  $\Psi^{-1}(x)$  usually denoted by  $\tilde{x}$  ( $x \in X_n$ ); one also has the basic pushout diagram (see, e.g., [6]):

$$\begin{array}{ccc} \bar{\Delta}_n & \xrightarrow{\quad} & \bar{\Delta}_n \\ \downarrow & & \downarrow (\tilde{x}) \\ X^{n-1} & \xrightarrow{\quad} & X^n \end{array} \quad \text{in } S \quad (2.1)$$

where for the right vertical map one includes all maps  $\tilde{x}$  for which  $x$  is non-degenerate.

**Proposition 2.4:**  $\Psi: S_0(\bar{\Delta}_n, X) \rightarrow X_n$  where  $\Psi(\lambda) = \lambda_{[n]}(\text{id}_{[n]})$  is a bijection in Sets, (where the hom-set of  $S_0$  has the point  $\bar{\Delta}_n \rightarrow * \rightarrow X$ ).

**Proof:** We have seen that the composition  $J: S_0 \xrightarrow{\cong} S_R \xrightarrow{\quad} S$  has a left adjoint  $L$ ; the sequence of bijections  $S_0(L\bar{\Delta}_n, X) \xrightarrow{\cong} S(\bar{\Delta}_n, JX) \xrightarrow{\cong} X_n$  then gives the desired pointed map  $\Psi$  (using  $L\bar{\Delta}_n = \bar{\Delta}_n$ ).

Next let  $X$  be in  $S_0$  and using the bijection in the above proposition form the diagram which is analogous to the one above:

$$\begin{array}{ccc}
 v \downarrow \dot{\Delta}_n & \xrightarrow{\quad} & v \downarrow \bar{\Delta}_n \\
 \downarrow & & \downarrow (f) \\
 X^{n-1} & \xrightarrow{\quad} & X^n
 \end{array}
 \quad \text{in } S_0. \quad (2.2)$$

**Proposition 2.5:** Diagram (2.2) is a pushout in  $S_0$ .

**Proof:** Note that  $\pi: \Delta \rightarrow \bar{\Delta}$  gives a map  $\pi: \dot{\Delta}_n \rightarrow \bar{\Delta}_n$  in  $S$  (where  $\bar{\Delta}_n$  is viewed as an object in  $S$ ). When we consider diagram (2.1) for  $X = \bar{\Delta}_n$ , we obtain the pushout:

$$\begin{array}{ccc}
 \dot{\Delta}_n & \xrightarrow{\quad} & \Delta_n \\
 \downarrow & & \downarrow \pi \\
 \dot{\Delta}_n & \xrightarrow{\quad} & \bar{\Delta}_n
 \end{array}
 \quad \text{in } S$$

(note  $\pi$  corresponds to the one non-degenerate  $n$ -simplex of  $\bar{\Delta}_n$ ). Next view diagram (2.2) as a diagram in  $S$  (using  $S_0 \xrightarrow{\cong} S_R \xrightarrow{(-) \rightarrow S}$ ) and form the following diagram:

$$\begin{array}{ccc}
 \perp \downarrow \dot{\Delta}_n & \xrightarrow{\quad} & \perp \downarrow \Delta_n \\
 \downarrow & & \downarrow \\
 v \downarrow \dot{\Delta}_n & \xrightarrow{\quad} & v \downarrow \bar{\Delta}_n \\
 \downarrow & & \downarrow \\
 X^{n-1} & \xrightarrow{\quad} & X^n
 \end{array}
 \quad \text{in } S.$$

The outer rectangle of this diagram is a pushout since the composition of the vertical maps agree with those of diagram (2.1). The top square is clearly a pushout; and so we can conclude that the bottom square is a pushout in  $S$  and, hence, in  $S_0$ .

Gabriel and Zisman ([6], p. 31) give the following categorical presentation of  $\dot{\Delta}_n$ :

$$\begin{array}{ccccc}
 \perp \downarrow \dot{\Delta}_{n-2} & \xrightarrow{u} & \perp \downarrow \dot{\Delta}_{n-1} & \xrightarrow{j} & Z & \xrightarrow{p'} & \dot{\Delta}_n \\
 \downarrow v & & \downarrow & & & & \\
 \perp \downarrow \dot{\Delta}_{n-2} & \xrightarrow{u} & \perp \downarrow \dot{\Delta}_{n-1} & \xrightarrow{j} & Z & \xrightarrow{p'} & \dot{\Delta}_n
 \end{array}
 \quad \text{in } S \quad (2.3)$$

where  $p$  is determined by the  $i^{\text{th}}$  face operators  $\dot{\Delta}_{n-1} \rightarrow \dot{\Delta}_n$



nally we also have  $H_1 \bar{\Delta}_n = H_1(\Delta_n, (\Delta_n)^0)$  where  $(\Delta_n)^0$  is the 0-skeleton of  $\Delta_n$ . Considering the long exact sequence in homology for the pair  $(\Delta_n, (\Delta_n)^0)$ , we then obtain the desired result for  $k = 1$  (using  $H_0(\Delta_n)^0 = \mathbb{Z}^{n+1}$ ,  $H_0 \Delta_n = \mathbb{Z}$ ). Further, the  $n$  edges of a reduced  $n$ -horn freely generate  $H_1 \bar{\Delta}_n$  (using the definition of the connecting homomorphism in the long exact sequence).

The  $n$ -sphere in  $S_0$  is defined by  $\bar{S}^n = \bar{\Delta}_n / \dot{\Delta}_n$  ( $n > 0$ ).  $\bar{S}^n$  has only one non-degenerate  $p$ -simplex when  $p = n, 0$  (all other values of  $p$  give degenerate  $p$ -simplices); and so  $H_p \bar{S}^n \cong \mathbb{Z}$  if  $p = n, 0$ , and  $\cong 0$  otherwise.

3. Coalgebras. Background references include [13], [15], and [17].

For  $k$  a field of characteristic 0, let  $M^\#$  denote the category of graded  $k$ -modules where the grading is given by the nonnegative integers. And let  $M$  denote the category of differential graded  $k$ -modules where the differential has degree  $= -1$ .

We note several useful properties of the forgetful functor  $\tilde{U}: M \rightarrow M^\#$ . Firstly it is readily verified that  $\tilde{U}$  preserves, reflects, and creates both kernels and cokernels. Also  $\tilde{U}$  preserves and reflects both pullbacks and pushouts. For this result, with  $M$  and  $M^\#$  abelian categories, we can combine the above preservation and reflection properties of  $\tilde{U}$  with the following characterization of pullbacks and pushouts in terms of exact sequences.

**Proposition 3.1** (see, e.g., [5]): For an abelian category, given a square

$$\begin{array}{ccc} C & \xrightarrow{g} & B \\ f \downarrow & & \downarrow k \\ A & \xrightarrow{h} & D \end{array} ,$$

consider the sequence  $C \xrightarrow{\langle f, g \rangle} A \oplus B \xrightarrow{(h, -k)} D$ .

Then the square is

$$\begin{array}{l} \text{a pullback } \langle = \rangle \quad 0 \rightarrow C \rightarrow A \oplus B \rightarrow D \quad \text{is exact,} \\ \text{a pushout } \langle = \rangle \quad C \rightarrow A \oplus B \rightarrow D \rightarrow 0 \quad \text{is exact, and} \\ \text{bicartesian } \langle = \rangle \quad 0 \rightarrow C \rightarrow A \oplus B \rightarrow D \rightarrow 0 \quad \text{is exact.} \end{array}$$

We also give a useful consequence of this characterization:

**Corollary 3.2:** If the following square is a pushout in an abelian category:

$$\begin{array}{ccc} C & \xrightarrow{g} & B \\ f \downarrow & & \downarrow \\ A & \xrightarrow{\quad} & P \end{array} \quad , \text{ then the square is bicartesian.}$$

**Proof:** This result is immediate since in any category the map,  $C \xrightarrow{\langle f, g \rangle} A \amalg B$ , is monic if  $f$  or  $g$  is monic.

We remark that a similar result for  $S_0$  and Sets was proved in the previous section.

Let  $C$  denote the category of differential, commutative, connected, and associative graded coalgebras over  $k$ . A coalgebra refers to an object in  $C$ . And let  $C^\#$  denote the category of commutative, connected, and associative graded coalgebras over  $k$ .  $C$  and  $C^\#$  are complete and cocomplete; for  $A$  and  $B$  in  $C$  (or  $C^\#$ ), the product is given by the tensor product  $A \otimes B$ .

The forgetful functor  $U: C \rightarrow C^\#$  preserves pullbacks. For the proof of this property, it suffices to show that  $U$  preserves products and equalizers. The preservation of products is immediate (since the product is given by the tensor product in both  $C$  and  $C^\#$ ). To show the preservation of equalizers, let  $Z \rightarrow X \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Y$  be an equalizer diagram in  $C$ ; note that we can take  $Z$  to be the largest subcoalgebra of  $X$  in  $C$  which equalizes  $f$  and  $g$  (i.e.,  $Z$  is the internal sum of all subcoalgebras of  $X$  which equalize  $f$  and  $g$ ). Clearly  $UZ$  equalizes  $U(f)$  and  $U(g)$ . To show that  $UZ$  is the largest subcoalgebra of  $UX$  in  $C^\#$  which has this property, consider the

following: let  $X^a$  be a subcoalgebra of  $UX$  which equalizes  $U(f)$  and  $U(g)$ , and note that  $d(X^a)$  equalizes  $U(f)$  and  $U(g)$ . The internal sum  $X^a + d(X^a)$  in graded  $k$ -modules gives a DG subcoalgebra of  $X$  which equalizes  $f$  and  $g$ . We then have that  $X^a \subset U(X^a + d(X^a)) \subset UZ$  in  $C^\#$ . Hence,  $UZ$  is an equalizer of  $U(f)$  and  $U(g)$ .

The forgetful functor  $\hat{U}: C \rightarrow M$  has a right adjoint  $S': M \rightarrow C$ . For  $V$  in  $M$ ,  $S'(V)$  is the symmetric coalgebra on  $V$ . Letting  $T'(V)$  be the tensor coalgebra on  $V$ , then  $S'(V)$  can be constructed as the subspace of  $T'(V)$  comprised of those elements which are invariant under the action of the permutation groups (which permute the tensors). Let  $j: \hat{U}S' \rightarrow id_M$  denote the counit for  $\hat{U} \dashv S'$ ; and for  $g: \hat{U}X \rightarrow V$  in  $M$ , let the adjoint map be denoted by  $\hat{g}: X \rightarrow S'(V)$ . We will make use of the fact that  $\hat{U}$ , being a left adjoint, preserves colimits.

Similarly, the forgetful functor  $\hat{U}^\#: C^\# \rightarrow M^\#$  has a right adjoint which we also denote by  $S': M^\# \rightarrow C^\#$ . It will be clear from the context whether the intended domain of  $S'$  is  $M$  or  $M^\#$ .

The commutativity property  $\hat{U}^\# U = \tilde{U} \hat{U}$  is immediate.

The next lemma concerns the replacement of an isomorphism on homology by a coalgebra map (this technical lemma is used in the proof of lemma 8.3).

**Lemma 3.3:** Let  $V$  be a  $k$ -module, and let  $X$  be a coalgebra such that the map  $\hat{\theta}: H_* X \rightarrow S'(V_n)$  is an isomorphism in  $C^\#$  where  $V_n$  in  $M^\#$  has  $V$  concentrated in degree  $n$ . Then there is a map  $\hat{\beta}: X \rightarrow S'(V_n)$  in  $C$  which gives a homology isomorphism

and is such that  $\hat{j}_*(\hat{\beta})_* = \hat{\Theta}$  where  $\hat{j}_*: H_*S'(V_n) \xrightarrow{\cong} S'H_*V_n = S'(V_n)$ . Moreover, the construction of  $\hat{\beta}$  has the following commutativity property: let  $f: X \rightarrow X'$  be a map in  $C$  with both  $f$  and  $f_*: H_*X \rightarrow H_*X'$  injective, and let  $i: V_n \rightarrow V'_n$  be a map in  $M^\#$ :

$$\text{if } \begin{array}{ccc} H_*X & \xrightarrow{f_*} & H_*X' \\ \hat{\Theta} \downarrow \cong & & \cong \downarrow \hat{\Theta}' \\ S'(V_n) & \xrightarrow{S'(i)} & S'(V'_n) \end{array} \text{ commutes in } C^\#,$$

$$\text{then } \begin{array}{ccc} X & \xrightarrow{f} & X' \\ \hat{\beta} \downarrow & & \downarrow \hat{\beta}' \\ S'(V_n) & \xrightarrow{S'(i)} & S'(V'_n) \end{array} \text{ commutes in } C.$$

Proof: Let  $\tilde{V} \subset X_n$  be a sub  $k$ -module of cycles such that  $\alpha_n =$  the composition  $\tilde{V} \subset \ker d_n \rightarrow H_n X$  is an isomorphism; i.e.,  $\tilde{V}$  is given by free generators in  $X_n$  of  $H_n X$ . Define  $g_n: X_n \rightarrow \tilde{V}$  by  $g_n(x) = x$  for  $x \in \tilde{V}$  ( $=0$  otherwise); this gives a chain map  $g: X \rightarrow \tilde{V}_n$  (since for  $x \in \tilde{V}$ ,  $x$  is not a boundary ( $x \neq 0$ )) which in turn gives a map  $\hat{g}: X \rightarrow S'(\tilde{V}_n)$  in  $C$ . Next consider the composition  $\tilde{\Theta} = \tilde{V}_n \xrightarrow{g} H_*X \xrightarrow{\Theta} V_n$  where  $\tilde{\Theta}_n = \tilde{V} \xrightarrow{\alpha_n} H_n X \xrightarrow{\Theta_n} V_n$ . Noting that  $(g_n)_* = (\alpha_n)^{-1}: H_n X \rightarrow H_n \tilde{V} = \tilde{V}$ , we obtain  $\tilde{\Theta} g_* = \tilde{\Theta}$ .

Finally, the composition  $\hat{\beta} = \hat{g} \tilde{\Theta}: X \xrightarrow{\hat{g}} S'(\tilde{V}_n) \xrightarrow{S'(\tilde{\Theta})} S'(V_n)$  in  $C$  has the desired property that  $(\hat{\beta})_* = (\hat{g})_*(S'(\tilde{\Theta}))_*: H_*X \rightarrow H_*S'(\tilde{V}_n) \xrightarrow{\cong} H_*S'(V_n)$  is an isomorphism (this is an exercise in the universal mapping property of cofree coalgebras and also uses the fact that  $H_*$  and  $S'$  commute (see [15], lemma 1.11)). That  $\hat{\beta}$  satisfies  $\hat{j}_*(\hat{\beta})_* = \hat{\Theta}$  is a similar exercise.

We next prove the commutivity property in the statement of this lemma. We show that there is a  $k$ -module map  $\tilde{f}_n: \tilde{V}$

$\longrightarrow \tilde{V}'$  (and, thus, a map  $\tilde{f}: \tilde{V}_n \longrightarrow \tilde{V}'_n$  in  $M$ ) such that the following diagram:

$$\hat{\beta} = \begin{array}{ccc} & X \xrightarrow{f} X' & \\ \hat{g} \downarrow & & \downarrow \hat{g}' \\ S'(\tilde{V}_n) \xrightarrow{S'(\tilde{f})} S'(\tilde{V}'_n) & & \\ S'(\tilde{\Theta}) \downarrow & & \downarrow S'(\tilde{\Theta}') \\ S'(V_n) \xrightarrow{S'(1)} S'(V'_n) & & \end{array} = \hat{\beta}' \quad \text{in } C$$

commutes (note that the left and right vertical maps compose to give  $\hat{\beta}$  and  $\hat{\beta}'$ , respectively). For this map, note that if for  $\tilde{V}'$  we take an extension of  $f_n(\tilde{V})$ , then the restriction to  $\tilde{V}$  of  $f_n: \ker d_n \longrightarrow \ker d'_n$  corestricts to  $\tilde{V}'$ ; i.e., we simply let  $\tilde{f}_n: \tilde{V} \longrightarrow \tilde{V}'$  be the restriction and corestriction of  $f_n$ . For the commutivity of the upper square in the above diagram, note that it suffices to show that  $g'f = \tilde{f}g$ ; i.e., to show that  $g'_n f_n = \tilde{f}_n g_n$ . Using the definition of  $g_n$  (and  $g'_n$ ), this in turn reduces to showing that  $x \in \tilde{V} \iff f_n(x) \in \tilde{V}'$ . We have left to show  $x \in \tilde{V} \iff f_n(x) \in \tilde{V}'$  (i.e.,  $x \notin \tilde{V} \implies f_n(x) \notin \tilde{V}'$ ): a) note that  $x \in X_n - \ker d_n \implies f_n(x) \in X'_n - \ker d'_n$  (using  $f_{n-1}$  is injective) and b)  $x \in \ker d_n - \tilde{V} \implies f_n(x) \in f_n(\ker d_n) - \tilde{V}'$  (since  $\overline{f_n(x)} \in H_n X'$  is in  $\overline{f_n(\tilde{V})} \subset H_n X'$ , and so  $f_n(x) \notin \tilde{V}'$ ). The commutativity of the lower square in the above diagram is immediate since  $\tilde{\Theta}'\tilde{f} = 1\tilde{\Theta}$  (using the definition of  $\tilde{f}$  and  $\Theta'f_n = 1\Theta$ ).

#### 4. The realization and singular functors.

For  $k$  a field of characteristic 0, let  $\mathcal{L}$  denote the category of differential graded Lie algebras over  $k$  where the grading is given by the nonnegative integers and where the differential has degree  $-1$ . We adopt the convention that ungraded Lie algebras are objects of  $\mathcal{L}$  when concentrated in degree 0.

Quillen (in [17]) defined a pair of adjoint functors  $C \xleftarrow{\mathcal{L}} \mathcal{L}$  with  $\mathcal{L} \dashv C$ , and showed that the components of the unit and counit for the adjunction gave homology isomorphisms when the coalgebras  $X$  were simply connected ( $X_1 = 0$ ) and when the Lie algebras  $L$  were connected ( $L_0 = 0$ ), respectively. Neisendorfer (in [15]) showed that the components gave homology isomorphisms without these restrictions.

We give Neisendorfer's very efficient construction of  $C: \mathcal{L} \dashv \rightarrow C$ . The underlying non-differential coalgebra of  $C L$  is given by  $U(C L) = S'(sL)$  where  $L$  is in  $\mathcal{L}$  and where  $s: M^{\#} \dashv \rightarrow M^{\#}$  is the suspension ( $V \dashv \rightarrow \tilde{V}$  with  $\tilde{V}_{n+1} = V_n$ ).  $S'(sL)$  has a bigraded structure, and the differential on  $S'(sL)$  is given as a sum  $d = d_I + d_{[ ]}$ . Also the differential is uniquely determined by the composition  $\bar{d} = S'(sL) \xrightarrow{d} S'(sL) \xrightarrow{j} sL$ . With  $\bar{d} = \bar{d}_I + \bar{d}_{[ ]}$ ,  $\bar{d}$  is then defined on the generators  $sl$ ,  $(sl')(sl'')$  ( $= sl' \otimes sl'' + (-1)^{\text{degl}' \text{degl}''} sl'' \otimes sl'$ ), ... of  $S'(sL)$  ( $\subset T'(sL)$ ) by  $\bar{d}_I(sl) = -sdl$ ,  $\bar{d}_{[ ]}(sl')(sl'') = s[l', l'']$ , and  $\bar{d}_I$  and  $\bar{d}_{[ ]}$  are zero on the other generators.

For what follows, we consider two notions: with  $c$  in a pointed category  $\tilde{C}$  and  $(X, x_0)$  in  $\text{Sets}_*$ , the pointed copower

is defined by  $X \cdot c = \prod_{x \in X - \{x_0\}} c$  and the pointed power by  $c^X = \prod_{x \in X - \{x_0\}} c$  in  $\tilde{C}$ . Note that for  $\tilde{C} = \text{Sets}_*$ ,  $X^Y = \text{Sets}_*(X, Y)$ . Also  $\tilde{C}(X \cdot c, c') \rightarrow \tilde{C}(c, c')^X$  is a bijection in  $\text{Sets}_*$  -- a result which is similar to that for the unpointed case.

Now consider the composite functor  $\bar{\Delta} \rightarrow \mathcal{L}([n] \mid \rightarrow F_L(s^{-1}C_n^N / \bar{\Delta}_n))$  where  $F_L: M \rightarrow \mathcal{L}$  is the free Lie algebra functor and where  $s^{-1}: M \rightarrow M$  is the desuspension ( $V \mid \rightarrow \tilde{V}$  with  $\tilde{V}_{n-1} = V_n$ ). And let  $\gamma$  be the composition  $\bar{\Delta} \rightarrow \mathcal{L} \xrightarrow{\mathcal{E}} C$ . Now note for  $X$  in  $S_0$ , we have  $\bar{\Delta}^{op} \times \bar{\Delta} \xrightarrow{X \times \gamma} \text{Sets}_* \times C \rightarrow C$  where the last functor is given by the pointed copower. And so we can consider the realization functor  $| | : S_0 \rightarrow C$  defined (on objects) by the coend

$$|X| = \int_{[n]} X_n \cdot \gamma_n \quad (X \text{ in } S_0).$$

Coends (and ends) are discussed in [11]; the universal mapping property of coends defines  $| |$  on the morphisms of  $S_0$ .

Let the singular functor  $\mathcal{S}: C \rightarrow S_0$  be defined (on objects) as follows: for  $Y$  in  $C$ , let  $\mathcal{S}Y$  be given by the composition  $\bar{\Delta} \xrightarrow{\gamma} C \xrightarrow{C(-, Y)} \text{Sets}_*$  (since  $\gamma_0 = k$ , the composition determines an object in  $S_0$ ).

**Lemma 4.1:** Let  $X, Y$  be in  $S_0$ ,

then  $S_0(X, Y) = \int_{[n]} \text{Sets}_*(X_n, Y_n) = \int_{[n]} Y_n^{X_n}$  in  $\text{Sets}_*$  (where the right end involves the pointed power in  $\text{Sets}_*$ ).

**Proof:** This is the analogue for  $S_0$  of the end formula for  $S$ . The hom-sets of a functor category have the universal mapping property of an end: let  $H, K: \tilde{C} \rightarrow D$  be functors, then  $D^{\tilde{C}}(H, K)$

$= \int_c D(Hc, Kc)$ . Further, for  $D$  a pointed category, this formula gives an isomorphism in  $\text{Sets}_*$ . And so we have our desired result for  $S_0(X, Y)$ .

**Theorem 4.2:** The functors  $S_0 \langle \frac{1}{S} \rangle_C$  are an adjoint pair:  
 $| | \dashv | S$ .

**Proof:** Consider the following sequence of isomorphisms in  $\text{Sets}_*$ :

$$\begin{aligned} C(|X|, Y) &= C(\prod_{[n]} X_n \cdot \gamma_n, Y) && \text{(definition of } |X| \text{)} \\ &\simeq \int_{[n]} C(X_n \cdot \gamma_n, Y) && \text{(since } C(\_, Y) \text{ is continuous)} \\ &\simeq \int_{[n]} C(\gamma_n, Y)^{X_n} && \text{(relation between pointed} \\ &&& \text{copower and pointed power)} \\ &= \int_{[n]} (SY)_n^{X_n} && \text{(definition of } SY \text{)} \\ &\simeq S_0(X, SY) && \text{(by above lemma).} \end{aligned}$$

Finally since each isomorphism is natural in  $X$  and  $Y$ , so is the resulting composition.

We remark that  $| |$ , being a left adjoint, preserves colimits and  $S$ , being a right adjoint, preserves limits.

**Lemma 4.3:** Let  $D$  and  $\tilde{C}$  be pointed categories, and let  $F: D \rightarrow \tilde{C}$  be a pointed functor. Assume that the pointed copowers  $D(d', d_0) \cdot Fd$  exist in  $\tilde{C}$  for all  $d', d_0, d$  in  $D$  and that the coend in the following formula exists for all  $d_0$  in  $D$ .

Then  $\int^d D(d, d_0) \cdot Fd = Fd_0$  (where the copower is pointed).

**Proof:** The above formula is a special case of the following coend formula for a left Kan extension (see [11], p. 236):  
 $(\text{Lan}_K F)(a) = \int^d A(Kd, a) \cdot Fd$  where  $K: D \rightarrow A$  (provided the copowers  $A(Kd', a) \cdot Fd$  exist for all  $d', d$  in  $D$  and all  $a$  in  $A$  and that the coend exists for all  $a$  in  $A$ ). Note that for  $K =$

$\text{id}_D: D \rightarrow D$ , this formula gives  $(\text{Lan}_{\text{id}_D} F)(d_0) = \int^d D(d, d_0) \cdot Fd$ .  
 But  $(\text{Lan}_{\text{id}_D} F)(d_0) = Fd_0$ ; and so the desired result follows  
 (when  $F$  is a pointed functor, this formula is valid provided  
 the pointed copower is used).

We remark that, in a similar fashion, if one applies the  
 end formula for a right Kan extension to the case  $K = \text{id}_D$ ,  
 then one obtains an end formula (which is analogous to the  
 coend formula of this lemma) which generalizes the Yoneda  
 lemma. This observation is the note upon which Mac Lane ends  
 [11].

**Proposition 4.4:**  $|\bar{\Delta}_n| = \gamma_n$  in  $C$ .

**Proof:** By definition we have  $|\bar{\Delta}_n| = \int^{[k]} \bar{\Delta}([k], [n]) \cdot \gamma_k$ ; and  
 then applying the above lemma with  $F = \gamma: \bar{\Delta} \rightarrow C$  we can con-  
 clude that this coend equals  $\gamma_n (= \gamma[n])$ .

Thus,  $|\bar{\Delta}|$  and  $\gamma: \bar{\Delta} \rightarrow C$  are isomorphic functors.

The basic properties of the realization functor are the  
 following (in addition to having a right adjoint): 1)  $|\cdot|$   
 preserves monomorphisms and 2) there is a natural transforma-  
 tion  $\lambda: \hat{U}|\cdot| \rightarrow C_*^N$  such that  $(\lambda_X)_*: H_*|X| \rightarrow H_*(X; k)$  is an iso-  
 morphism of coalgebras ( $X$  in  $S_0$ ). We now proceed to prove  
 these properties.

**Theorem 4.5:**  $|\cdot|$  preserves monomorphisms.

**Proof:** The approach taken is similar to that given in [6]  
 for  $|\cdot|: S \rightarrow \text{Top}$ , with the geometric standard  $n$ -simplex  $\Delta_n =$   
 $|\bar{\Delta}_n|$  in  $\text{Top}$  and  $\gamma_n = |\bar{\Delta}_n|$  in  $C$  being treated analogously.  
 We first show that  $i: \bar{\Delta}_n \rightarrow \bar{\Delta}_n$  in  $S_0$  realizes to a monomor-



square commutes (using the naturality and the fact that  $|j|$  is an epimorphism). This implies that  $|p'|:|Z| \rightarrow |\bar{\Delta}_n|$  is a monomorphism (since  $\tilde{p}'$  is); hence,  $|i|:|\dot{\Delta}_n| = \text{im}(p') \rightarrow |\bar{\Delta}_n|$  is a monomorphism.

We next show the general case. Let  $f:X \rightarrow Y$  be a monomorphism in  $S_0$ ; we can assume that  $X$  is a subsimplicial set with  $f$  the inclusion. Let  $\{a'\}$  be the set of non-degenerate  $n$ -simplices of  $Y$  which are not in  $X$ , and consider the commutative diagram:

$$\begin{array}{ccc} V \dot{\Delta}_n & \xrightarrow{(\quad)} & V \bar{\Delta}_n \\ \alpha' \downarrow & & \alpha' \downarrow \\ Y^{n-1} \cup X & \xrightarrow{(\quad)} & Y^n \cup X \end{array} \quad \text{in } S_0$$

where, e.g.,  $Y^n$  is the  $n$ -skeleton of  $Y$ . This diagram in  $S$ , with  $\dot{\Delta}_n$  and  $\bar{\Delta}_n$  (instead of  $\dot{\Delta}_n$  and  $\bar{\Delta}_n$ ), is shown in [6] to be a pushout. The same approach which was used to show that diagram (2.2) is a pushout can also be used to show that the above diagram is a pushout in  $S_0$ . By applying  $| \cdot |$  to this diagram, we then obtain the pushout diagram:

$$\begin{array}{ccc} V |\dot{\Delta}_n| & \xrightarrow{(\quad)} & V |\bar{\Delta}_n| \\ \alpha' \downarrow & & \alpha' \downarrow \\ |Y^{n-1} \cup X| & \xrightarrow{(\quad)} & |Y^n \cup X| \end{array} \quad \text{in } C$$

where the bottom map is necessarily monic (since one can view this diagram as a pushout in the abelian category  $M$ ). Finally by considering the directed colimits, we obtain that  $|f|:|X| = |Y^0 \cup X| \rightarrow \varinjlim_n |Y^n \cup X| = Y$  is a monomorphism.

Let  $M_c \subset M$  be the full subcategory determined by the objects  $V$  such that  $V_0 = k$  and  $d_1 = 0$ .

**Lemma 4.6:** There is a natural transformation  $\hat{\lambda}: \hat{U}CF_L S^{-1} \rightarrow$

$\text{id}_{M_C}$  such that  $(\tilde{\lambda}_V)_\bullet: H_\bullet(\mathcal{C}F_L s^{-1}V) \longrightarrow H_\bullet V$  is an isomorphism of coalgebras ( $V$  in  $M_C$ ).

**Proof:** This is an immediate consequence of Neisendorfer's result ([15], prop. 4.2 b): for a Lie algebra  $L$  in  $\mathcal{L}$ , if  $L = F_L(V)$  in  $\mathcal{L}^\# =$  graded Lie algebras, then  $\bar{H}_\bullet \mathcal{C}L$  is isomorphic to  $sH_\bullet QL$  as a coalgebra where  $QL = L/[L, L]$  is the abelianization of  $L$ . The isomorphism is given by the composition  $\mathcal{C}(L) = S'(sL) \xrightarrow{j} sL \xrightarrow{s(\pi)} sQL$  (where  $L \xrightarrow{\pi} QL$ ) which is a chain map. With  $L = F_L(\tilde{V})$ , then  $QL = \tilde{V}$ , and this map becomes  $\mathcal{C}F_L(\tilde{V}) \longrightarrow s\tilde{V}$  which is clearly natural in  $\tilde{V}$ . Further, for  $V$  in  $M_C$ , the isomorphism is also true for the unreduced homology on  $\mathcal{C}L$ , and the application of this proposition to  $\tilde{V} = s^{-1}V$  gives our desired result.

And so applying  $\tilde{\lambda}$  to the functor  $C_\bullet^N \bar{\Delta}: \bar{\Delta} \longrightarrow M$  we obtain a natural transformation  $\tilde{\lambda} = \tilde{\lambda}_{C_\bullet^N \bar{\Delta}}: \hat{U}_\gamma \longrightarrow C_\bullet^N \bar{\Delta}$  such that  $(\tilde{\lambda}_n)_\bullet: H_\bullet \gamma_n \longrightarrow H_\bullet(\bar{\Delta}_n; k)$  is an isomorphism in  $C^\#$ .

Now apply the coend bifunctor  $\int_{-n}^{[n]} \cdot_{-n}$  to  $\tilde{\lambda}: \hat{U}_\gamma \longrightarrow C_\bullet^N \bar{\Delta}$  to obtain a natural transformation  $\lambda: \hat{U} | \cdot | \longrightarrow C_\bullet^N$  which gives

$$\hat{U} | X | = \int_{X_n}^{[n]} \hat{U}_\gamma \xrightarrow{\lambda_X = \int_{X_n} \tilde{\lambda}_n} \int_{X_n}^{[n]} C_\bullet^N \bar{\Delta}_n = C_\bullet^N X \quad .$$

**Theorem 4.7:** For the natural transformation  $\lambda: \hat{U} | \cdot | \longrightarrow C_\bullet^N$ , the map  $(\lambda_X)_\bullet: H_\bullet | X | \longrightarrow H_\bullet(X; k)$  is an isomorphism in  $C^\#$  ( $X$  in  $S_0$ ).

**Proof:** We first give an inductive proof that the map  $\lambda_{X^n}: |X^n| \longrightarrow C_\bullet^N X^n$  in  $M$  ( $X^n$  is the  $n$ -skeleton of  $X$ ) gives a homology isomorphism.

For  $n = 0$ , the 0-skeleton  $X^0 = *$  in  $S_0$ , both  $|X^0|$  and

$C_*^N X^0$  equal  $k$  in  $M$ , and we have  $\lambda_X^0 = \text{id}_k: |X^0| \rightarrow C_*^N X^0$  in  $M$ .

We now assume that  $\lambda_X^{n-1}: |X^{n-1}| \rightarrow C_*^N X^{n-1}$  gives a homology isomorphism and show it follows that  $\lambda_X^n$  gives one as well. The application of  $||$  to the basic pushout diagram (2.2) of  $S_0$  yields the following diagram (recall that  $||$  preserves coproducts and monomorphisms):

$$\begin{array}{ccc} v| \overset{\dagger}{\Delta}_n | & \xrightarrow{(\quad)} & v| \bar{\Delta}_n | \\ \downarrow & & \downarrow \\ |X^{n-1}| & \xrightarrow{(\quad)} & |X^n| \end{array} \quad \text{in } C.$$

This diagram is a pushout in  $C$  (since  $||$  preserves pushouts) and is, thus, a pushout in  $M$ ; further, it is also a pullback in  $M$  (since two opposite maps are monomorphisms) and is, thus, bicartesian. Note if we apply  $C_*^N: S_0 \rightarrow M$  to diagram (2.2), we obtain an analogous pushout diagram in  $M$  which is also bicartesian. Next consider the following diagram:

$$\begin{array}{ccccccc} 0 \rightarrow v| \overset{\dagger}{\Delta}_n | & \xrightarrow{(\quad)} & |X^{n-1}| \oplus v| \bar{\Delta}_n | & \xrightarrow{(\quad)} & |X^n| \rightarrow 0 \\ \downarrow \mu \lambda \overset{\dagger}{\Delta}_n & & \downarrow \lambda_X^{n-1} \oplus \mu \lambda \bar{\Delta}_n & & \downarrow \lambda_X^n \\ 0 \rightarrow \mu C_*^N \overset{\dagger}{\Delta}_n & \xrightarrow{(\quad)} & C_*^N X^{n-1} \oplus \mu C_*^N \bar{\Delta}_n & \xrightarrow{(\quad)} & C_*^N X^n \rightarrow 0 \end{array} \quad \text{in } M.$$

Each row is obtained from a bicartesian diagram and is, thus, exact. To show that the left square commutes consider the following: let  $\tilde{x}: \bar{\Delta}_n \rightarrow X^n$  be a map in  $S_0$  and note that this gives a map  $\overset{\dagger}{\Delta}_n = (\bar{\Delta}_n)^{n-1} \rightarrow (X^n)^{n-1} = X^{n-1}$  on the  $(n-1)$ -skeletons; then applying the naturality of  $\lambda$  to this map we obtain  $| \overset{\dagger}{\Delta}_n | \rightarrow |X^{n-1}| \rightarrow C_*^N X^{n-1} = | \overset{\dagger}{\Delta}_n | \rightarrow C_*^N \overset{\dagger}{\Delta}_n \rightarrow C_*^N X^{n-1}$ . Also applying the naturality of  $\lambda$  to  $\overset{\dagger}{\Delta}_n \rightarrow \bar{\Delta}_n$  we obtain  $| \overset{\dagger}{\Delta}_n | \rightarrow | \bar{\Delta}_n | \rightarrow C_*^N \bar{\Delta}_n = | \overset{\dagger}{\Delta}_n | \rightarrow C_*^N \overset{\dagger}{\Delta}_n \rightarrow C_*^N \bar{\Delta}_n$ . These two results imply the commutativity of the left square. The commu-

tativity of the right hand square follows from applying the naturality of  $\lambda$  to the maps  $X^{n-1} \rightarrow X^n$  and  $\tilde{x}: \bar{\Delta}_n \rightarrow X^n$ .

We claim that  $\lambda_{X^n}$  gives a homology isomorphism. For this, note that  $\mu_{\Delta_n}^{\lambda_{\Delta_n}^+}$  and  $\phi_1 = \mu_{\Delta_n}^{\lambda_{\Delta_n}^-}$  give homology isomorphisms (since  $\lambda_{\Delta_n}^+$  and  $\lambda_{\Delta_n}^-$  do, and homology commutes with arbitrary coproducts), and so  $\lambda_{X^{n-1}} \oplus \phi_1$  does as well. Next considering the two Mayer-Vietoris long exact sequences in homology, and applying the 5-lemma, we can conclude that  $\lambda_{X^n}$  gives a homology isomorphism.

Now  $X$  is the union of its  $n$ -skeletons:  $X = \bigcup_n X^n$ ; i.e.,  $X$  is given by the colimit:  $X = \varinjlim_n X^n$ . Also  $C_*^N$  and  $\hat{U}|$  preserve colimits; and so, after applying the naturality of  $\lambda$  to the maps  $X^n \rightarrow \varinjlim_n X^n = X$  ( $n \geq 0$ ), we obtain the following commutative diagram:

$$\begin{array}{ccc}
 & \varinjlim_n \lambda_{X^n} & \\
 \varinjlim_n |X^n| & \xrightarrow{\quad} & \varinjlim_n C_*^N X^n \\
 \downarrow \cong & & \downarrow \cong \\
 |X| = \varinjlim_n |X^n| & \xrightarrow{\lambda_X} & C_*^N(\varinjlim_n X^n) = C_*^N X
 \end{array}
 \quad \text{in } M.$$

We claim that  $\lambda_X$  gives a homology isomorphism. For this, apply homology  $H_*: M \rightarrow M^\#$  to the above diagram and note that  $(\varinjlim_n \lambda_{X^n})_*$  is an isomorphism (since  $(\lambda_{X^n})_*$  is an isomorphism ( $n \geq 0$ ) and homology commutes with directed colimits (see, e.g., [18])); and so we can conclude that  $(\lambda_X)_*$  is an isomorphism.

**Theorem 4.8:** The canonical map  $|X \pi Y| \rightarrow |X| \otimes |Y|$  in  $C$  induces a homology isomorphism.

Proof: Note that  $\langle |pr_X|_*, |pr_Y|_* \rangle: H_*|X \amalg Y| \longrightarrow H_*|X| \otimes H_*|Y|$  in  $C^\#$  is an isomorphism (using the naturality of  $\lambda: \hat{U}| \longrightarrow C^\#$  and the fact that the analogous map  $H_*(X \amalg Y; k) \longrightarrow H_*(X; k) \otimes H_*(Y; k)$  in  $C^\#$  is an isomorphism). It follows that the map  $H_*|X \amalg Y| \longrightarrow H_*(|X| \otimes |Y|)$ , which is induced by the canonical map, is an isomorphism (using the Künneth theorem for coalgebras; i.e.,  $H_*: C \longrightarrow C^\#$  preserves products).

### 5. Closed model categories and some lemmas.

**Definition** (Quillen, [17]): A **closed model category** is a category  $\tilde{C}$  together with three distinguished classes of maps called **fibrations**, **cofibrations**, and **weak equivalences** which satisfy the following:

CM0.  $\tilde{C}$  is closed under finite limits and finite colimits.

CM1. Given a commutative diagram in  $\tilde{C}$ :

$$\begin{array}{ccc}
 A & \xrightarrow{\quad} & X \\
 i \downarrow & \nearrow & \downarrow p \\
 B & \xrightarrow{\quad} & Y
 \end{array} , \tag{5.1}$$

then a dotted map exists which gives commutative triangles if either a)  $i$  is a cofibration and  $p$  is a fibration and weak equivalence or b)  $i$  is a cofibration and weak equivalence and  $p$  is a fibration.

CM2. Any map  $f$  has two factorizations: a)  $f = pi$  where  $i$  is a cofibration and weak equivalence and  $p$  is a fibration, and b)  $f = p'i'$  where  $i'$  is a cofibration and  $p'$  is a fibration and weak equivalence.

CM3. Let  $f: X \rightarrow Y$ , and  $g: Y \rightarrow Z$ . If any two of  $f$ ,  $g$ , and  $gf$  are weak equivalences, then so is the third.

CM4. Each of the classes: fibrations, cofibrations, and weak equivalences is closed under retracts.

A **trivial** fibration (**trivial** cofibration) is a fibration (cofibration) which is also a weak equivalence.

If a dotted map exists in diagram (5.1),  $p$  has the **right lifting property** (RLP) with respect to  $i$ , and  $i$  has the **left lifting property** (LLP) with respect to  $p$ .

Note that with these definitions axiom CM1 says that fibrations have the RLP with respect to the class of trivial cofibrations, and cofibrations have the LLP with respect to the class of trivial fibrations.

Quillen (in [17], p. 234) gives a concise discussion of closed model categories, and we refer the reader to this work and, of course, also to [16] for the treatment of homotopy theory in the setting of closed model categories.

In [16] Quillen defines the notion of a model category and then defines the notion of a closed model category. The axioms for the latter are then reformulated (in [17]). It is this reformulation which we have given above, and, in the following, statements which are made for model categories can be interpreted by the reader as statements regarding closed model categories.

We do want to mention the following: for  $\tilde{C}$  a model category, the relation of left (respectively, right) homotopy  $\sim_L$  (respectively,  $\sim_R$ ) is an equivalence relation on  $\tilde{C}(X, Y)$  if  $X$  is cofibrant (respectively,  $Y$  is fibrant). Let  $v: W \rightarrow X$ ,  $f$  and  $g: X \rightarrow Y$ , and  $u: Y \rightarrow Z$  be maps in  $\tilde{C}$ . If  $f \sim_L g$  (respectively,  $f \sim_R g$ ), then  $uf \sim_L ug$  (respectively,  $fv \sim_R gv$ ),  $fv \sim_L gv$  when  $Y$  is fibrant (respectively,  $uf \sim_R ug$  when  $X$  is cofibrant), and  $f \sim_R g$  when  $X$  is cofibrant (respectively,  $f \sim_L g$  when  $Y$  is fibrant). Thus, for  $X$  cofibrant and  $Y$  fibrant, the relations  $\sim_L$  and  $\sim_R$  agree; and then this relation on  $\tilde{C}(X, Y)$  is denoted simply  $\sim$ , and the set of equivalence classes is denoted  $[X, Y]$ .

Let  $\tilde{C}_{cf}$  denote the full subcategory of  $\tilde{C}$  determined by the objects of  $\tilde{C}$  which are both cofibrant and fibrant; then  $\sim$  is a congruence on  $\tilde{C}_{cf}$ , and the quotient category is denoted  $ho\tilde{C}$ . Thus,  $ho\tilde{C}$  has the same objects as  $\tilde{C}_{cf}$ , and  $ho\tilde{C}(X,Y) = [X,Y]$ . Finally let  $\pi: \tilde{C}_{cf} \rightarrow ho\tilde{C}$  denote the quotient functor ( $ho\tilde{C}$  is denoted  $\pi\tilde{C}_{cf}$  in [16] and [17]).

Let  $f,g: X \rightarrow Y$  be maps in  $\tilde{C}$ . Quillen (see [16], §1 lemma 1), in effect, defines the relation  $\sim_L$  on  $\tilde{C}(X,Y)$ :  $f \sim_L g$  if there exists a left homotopy  $H: \tilde{X} \rightarrow Y$  from  $f$  to  $g$  where  $\tilde{X}$  is a cylinder object for  $X$ . The question naturally arises as to the extent to which the relation  $\sim_L$  depends on the choice of  $\tilde{X}$ . The next lemma addresses this question and is intended as a remark.

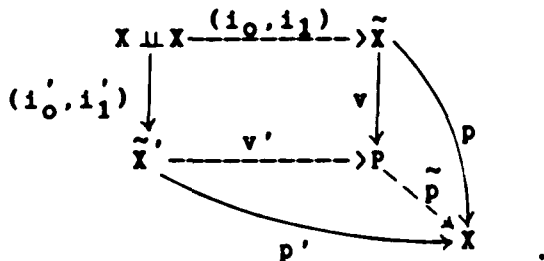
**Lemma 5.1:** Let  $X$  be cofibrant and  $Y$  be fibrant in the model category  $\tilde{C}$ . Then the relation  $\sim (= \sim_L)$  on  $\tilde{C}(X,Y)$  is independent of the choice of cylinder object.

I.e., let  $\tilde{X}$  and  $\tilde{X}'$  be two cylinder objects for  $X$ , and let  $f,g: X \rightarrow Y$ . Then in the following commutative diagram:

$$\begin{array}{ccc}
 X \amalg X & \xrightarrow{(i_0, i_1)} & \tilde{X} \\
 (i'_0, i'_1) \downarrow & \searrow (f, g) & \downarrow H \\
 \tilde{X}' & \xrightarrow{H'} & Y
 \end{array}$$

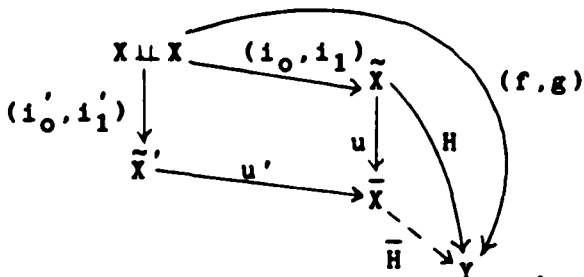
one has that the map  $H$  exists  $\Leftrightarrow H'$  exists.

**Proof:** Let  $P$  be a pushout in  $\tilde{C}$  of  $X \amalg X \rightarrow \tilde{X}$  along  $X \amalg X \rightarrow \tilde{X}'$ ; the projection maps  $p$  and  $p'$  of the cylinder objects give a map  $\tilde{p}: P \rightarrow X$  as indicated in the commutative diagram:



Note that  $v$  and  $v'$  are cofibrations. Now consider the factorization  $\tilde{p} = \text{the composition } P \xrightarrow{i} \bar{X} \xrightarrow{\bar{p}} X$  where  $i$  is a cofibration and  $\bar{p}$  is a trivial fibration. It is readily shown that the maps  $u = iv$  and  $u' = iv'$  are trivial cofibrations (e.g., since  $ui_0$  is a trivial cofibration).

Now assume  $f, g: X \rightarrow Y$  are left homotopic via  $H: \tilde{X} \rightarrow Y$ , and consider the commutative diagram:



Note that there is a map  $\bar{H}$  such that  $\bar{H}u = H$  (since  $Y$  is fibrant and  $u$  is a trivial cofibration). Then  $H' = \bar{H}u'$  is a left homotopy for  $f \sim g$  using the cylinder object  $\tilde{X}'$ . Note that the symmetry of  $\bar{X}$  gives that  $H'$  exists  $\Rightarrow H$  exists.

Similarly, using the construction which is dual to that given for  $\bar{X}$ , one can show that  $\sim (= \sim_R)$  is independent of the choice of path object (with  $X$  cofibrant and  $Y$  fibrant).

Let  $\tilde{C}$  be a category and let  $S$  be a subclass of morphisms of  $\tilde{C}$ . A category of fractions of  $\tilde{C}$  with respect to  $S$  is a category, denoted  $\tilde{C}[S^{-1}]$ , together with a functor  $\gamma: \tilde{C} \rightarrow$

$\tilde{C}[S^{-1}]$  such that a)  $\gamma(s)$  is an isomorphism for all  $s$  in  $S$ , and b) if a functor  $F: \tilde{C} \rightarrow D$  has the property that  $F(s)$  is an isomorphism in  $D$  for all  $s$  in  $S$ , then there is a unique functor  $F': \tilde{C}[S^{-1}] \rightarrow D$  such that  $F'\gamma = F$ .

For  $\tilde{C}$  a model category, the homotopy category of  $C$ , denoted  $\gamma: \tilde{C} \rightarrow \text{Ho}\tilde{C}$ , is a category of fractions of  $\tilde{C}$  with respect to the class of weak equivalences. Quillen (in [16]) proves that  $\text{Ho}\tilde{C}$  always exists. We remark that  $\text{Ho}\tilde{C}$  and  $\tilde{C}$  have the same objects (i.e.,  $\gamma X = X$  for  $X$  in  $\tilde{C}$ ).

The following lemma is useful and also one which is easily proved.

**Proposition 5.2:** Let  $\tilde{C}$  be a model category. Let  $f: X \rightarrow X'$  be a weak equivalence in  $\tilde{C}$  with  $X$  and  $X'$  cofibrant, and let  $Y$  be fibrant. Then  $f^*: [X', Y] \rightarrow [X, Y]$  is a bijection in Sets. **Proof:** For  $A$  cofibrant and  $B$  fibrant in  $\tilde{C}$ , there is a natural bijection  $[A, B] \rightarrow \text{Ho}\tilde{C}(A, B)$  in Sets (see [16]). Let  $\gamma: \tilde{C} \rightarrow \text{Ho}\tilde{C}$  be the canonical functor, and consider the commutative diagram:

$$\begin{array}{ccc} [X', Y] & \xrightarrow{\quad} & \text{Ho}\tilde{C}(X', Y) \\ f^* \downarrow & & \downarrow (\gamma(f))^* \\ [X, Y] & \xrightarrow{\quad} & \text{Ho}\tilde{C}(X, Y) \end{array} \quad \text{in Sets.} \quad (5.2)$$

Note that  $\gamma(f)$  is an isomorphism in  $\text{Ho}\tilde{C}$ , and so  $(\gamma(f))^*$  is a bijection in Sets; and since the horizontal arrows in this diagram are bijections, we can conclude that  $f^*$  is a bijection in Sets.

The next corollary and subsequent proposition show that

for  $f: X \rightarrow Y$  in the closed model category  $\tilde{C}$  with  $X$  and  $Y$  both cofibrant and fibrant, then  $f$  is a homotopy equivalence  $\Leftrightarrow$   $f$  is a weak equivalence.

**Corollary 5.3:** Let  $\tilde{C}$  be a model category. Let  $f: X \rightarrow X'$  be a weak equivalence in  $\tilde{C}$  with  $X$  and  $X'$  cofibrant as well as fibrant. Then  $f$  is a homotopy equivalence.

**Proof:** By the above lemma,  $f^*: [X', X] \rightarrow [X, X]$  is a bijection in Sets. In particular,  $f^*$  is surjective; so there is a map  $g: X' \rightarrow X$  in  $\tilde{C}$  with  $gf \sim id_X$ . But  $f^*: [X', X'] \rightarrow [X, X']$  is also a bijection. Noting that this map gives  $f^*(\overline{fg}) = \overline{(fg)f} = \overline{f(gf)} = \overline{f} = f^*(\overline{id_X})$ , we have  $\overline{fg} = \overline{id_X}$ , (since  $f^*$  is injective); i.e., we also have  $fg \sim id_X$ . And so  $f$  is a homotopy equivalence (with  $g$  a homotopy inverse).

**Proposition 5.4:** Let  $\tilde{C}$  be a closed model category. Let  $f: X \rightarrow Y$  be a homotopy equivalence in  $\tilde{C}$  with  $X$  and  $Y$  cofibrant as well as fibrant. Then  $f$  is a weak equivalence in  $\tilde{C}$ .

**Proof:** As in the proof of the previous proposition, we consider the bijection  $[A, B] \rightarrow Ho\tilde{C}(A, B)$  ( $\bar{h} \mapsto \gamma(h)$ ) where  $A$  is cofibrant and  $B$  is fibrant and where  $\gamma: \tilde{C} \rightarrow Ho\tilde{C}$  is the canonical functor. Let  $g: Y \rightarrow X$  be a homotopy inverse for  $f$ ; and so  $gf \sim id_X$ , and  $fg \sim id_Y$ . But note that since the above bijections are well-defined and  $\gamma$  is a functor, we obtain  $\gamma(g)\gamma(f) = id_{\gamma X}$  and  $\gamma(f)\gamma(g) = id_{\gamma Y}$ . Thus,  $\gamma(f)$  is an isomorphism in  $Ho\tilde{C}$ ; and so  $f$  is a weak equivalence (since  $\tilde{C}$  is a closed model category).

A map in  $\tilde{C}_{cf}$  is called a weak equivalence if it is such

in  $\tilde{C}$ .

**Proposition 5.5:** Let  $\tilde{C}$  be a closed model category. Then the quotient functor  $\pi: \tilde{C}_{of} \rightarrow \text{ho}\tilde{C}$  makes  $\text{ho}\tilde{C}$  a category of fractions with respect to the weak equivalences in  $\tilde{C}_{of}$ .

**Proof:** The previous two results show that for  $f$  in  $\tilde{C}_{of}$ , then  $f$  is a homotopy equivalence  $\Leftrightarrow f$  is a weak equivalence; and so  $\pi(f)$  is an isomorphism if  $f$  is a weak equivalence. The following is easily shown (see [16], §1 lemma 8): assume that a functor  $F: \tilde{C}_{of} \rightarrow D$  takes weak equivalences in  $\tilde{C}_{of}$  into isomorphisms in  $D$ , then  $f \sim g \Rightarrow F(f) = F(g)$  ( $f, g$  in  $\tilde{C}_{of}$ ).  $F$ , thus, factors uniquely through  $\text{ho}\tilde{C}$  via  $\pi$ .

Since the composition  $\tilde{C}_{of} \xrightarrow{\pi} \text{ho}\tilde{C} \xrightarrow{\gamma} \text{Ho}\tilde{C}$  takes weak equivalences to isomorphisms,  $\gamma$  induces a unique functor  $\bar{\gamma}: \text{ho}\tilde{C} \rightarrow \text{Ho}\tilde{C}$  such that  $\bar{\gamma}\pi = \gamma$ .

**Theorem 5.6** (Quillen, [16]):  $\bar{\gamma}: \text{ho}\tilde{C} \rightarrow \text{Ho}\tilde{C}$  is an equivalence of categories.

We remark, for later reference, that Quillen defines a functor  $\bar{RQ}: \tilde{C} \rightarrow \text{ho}\tilde{C}$  which takes weak equivalences in  $\tilde{C}$  to isomorphisms in  $\text{ho}\tilde{C}$ . The unique functor  $\text{Ho}\tilde{C} \rightarrow \text{ho}\tilde{C}$ , induced by  $\bar{RQ}$ , is an equivalence which is inverse to  $\bar{\gamma}$ .

**Cylinder mapping lemma 5.7:** Let  $\tilde{C}$  be a model category. Let  $f: X \rightarrow Y$  be a map in  $\tilde{C}$ ; and let  $\tilde{X}$  be a cylinder object for  $X$ . Then there is a cylinder object  $\tilde{Y}$  for  $Y$  and a map  $\tilde{f}: \tilde{X} \rightarrow \tilde{Y}$  such that the following diagram:

$$\begin{array}{ccccc}
 X \sqcup X & \xrightarrow{(i_0, i_1)} & \tilde{X} \xrightarrow{p} & X \\
 \downarrow f \sqcup f & & \downarrow \tilde{f} & \downarrow f \\
 Y \sqcup Y & \xrightarrow{(i'_0, i'_1)} & \tilde{Y} \xrightarrow{p'} & Y
 \end{array}$$

commutes. Moreover, our construction of  $\tilde{Y}$  has the property that  $\tilde{f}$  is a cofibration if  $f$  is such.

**Proof:** Let  $P$  be a pushout in  $\tilde{\mathcal{C}}$  of  $f \sqcup f$  along  $(i_0, i_1)$ . And let  $\beta: P \rightarrow Y$  be the unique map out of  $P$  such that the composition  $Y \sqcup Y \rightarrow P \xrightarrow{\beta} Y = (id_Y, id_Y)$  and  $\tilde{X} \xrightarrow{u} P \xrightarrow{\beta} Y = \tilde{X} \xrightarrow{p} X \xrightarrow{f} Y$ . Next consider the factorization  $\beta = P \xrightarrow{i} \tilde{Y} \xrightarrow{p'} Y$  where  $i$  is a cofibration and  $p'$  is a trivial fibration. Then  $\tilde{Y}$  is a cylinder object for  $Y$ , and the map  $\tilde{f} = iu$  has the required properties.

The dual assertion is the path object mapping lemma (which is obtained by the dualization of this proof).

**Theorem 5.8:** Let  $\tilde{\mathcal{C}}$  be a model category, and let  $f: X \rightarrow Y$  be a cofibration with  $X$  cofibrant. Let the following diagram:

$$\begin{array}{ccc}
 X & \xrightarrow{g} & A \\
 f \downarrow & & \downarrow \\
 Y & \xrightarrow{g'} & P
 \end{array}$$

be a pushout in  $\tilde{\mathcal{C}}$ . If  $g$  is a weak equivalence, then so is  $g'$ .

**Proof:** Heller ([9], Lemma 1.2) proved that for an h-c category, the pushout of a homotopy equivalence along a cofibration is a homotopy equivalence. The proof given, although based on a different axiomatic system for a homotopy theory, remains valid for a model category  $\tilde{\mathcal{C}}$ . A crucial part of the proof concerns the treatment of a cylinder object for  $X$  (cal-

led a cylinder over  $X$  in [ 9]) which, in essence, is our cylinder mapping lemma 5.7. Recall that the map  $\tilde{f}: \tilde{X} \rightarrow \tilde{Y}$  between cylinder objects is given by  $\tilde{f} = i \circ u$  where  $i$  is a cofibration (the analogues of  $\tilde{f}$  and  $i$  in [ 9] are denoted  $z$  and  $(i_0 z i_1)$ , respectively). Next consider the pullback  $P'$  in  $\tilde{C}$  of  $(i_0, i_1): X \sqcup X \rightarrow \tilde{X}$  along  $f \sqcup id_X$ ; let  $\alpha: P' \rightarrow \tilde{Y}$  be the map determined by  $\tilde{f}$  and the composition  $Y \sqcup X \xrightarrow{id_Y \sqcup f} Y \sqcup Y \xrightarrow{(i'_0, i'_1)} \tilde{Y}$ . It is easily shown that  $\alpha$  is a cofibration (using  $i$  is a cofibration). The proof in [ 9] involves the construction of a diagram which consists of three concentric pushout squares--a construction which can also be done in  $\tilde{C}$ -- with the analogue of the cofibration  $\alpha$  (denoted  $i_0 z$ ) occurring as part of the diagram. Properties of an h-c category-- with analogous ones valid for  $\tilde{C}$ -- are then used to obtain the desired conclusion. We remark that in order for the proof to work for  $\tilde{C}$ ,  $X$  is assumed cofibrant in order that the map  $i_j: X \rightarrow \tilde{X}$  ( $j = 0, 1$ ) be a trivial cofibration (in an h-c category, all objects are cofibrant).

**Theorem 5.9:** In a model category, the pullback of weak equivalence along a fibration which has a fibrant codomain is a weak equivalence.

**Proof:** The proof for theorem 5.8 dualizes.

We remark that there are closed model categories where the pullback of a weak equivalence along a fibration (whose codomain is not fibrant) need not be a weak equivalence; Quillen ([17], II Remark 2.9) gives an example of such a

pullback in  $S_R$ .<sup>1</sup> For these situations the following lemma may be a useful one for it shows that a fibration, which is induced from a fibration having a fibrant codomain, has the desired weak equivalence pullback property.<sup>2</sup>

**Proposition 5.10:** Let  $\tilde{C}$  be a model category, and let  $f: X \rightarrow Y$  be a fibration in  $\tilde{C}$  with  $Y$  fibrant. Let the following be a commutative diagram:

$$\begin{array}{ccccc} P & \xrightarrow{g'} & X' & \dashrightarrow & X \\ \downarrow & & \downarrow & & \downarrow f \\ A & \xrightarrow{g} & Y' & \dashrightarrow & Y \end{array}$$

where both squares are pullback diagrams. Then  $g'$  is a weak equivalence if  $g$  is such.

**Proof:** Consider the construction of the following commutative diagram:

$$\begin{array}{ccc} \tilde{P} & \dashrightarrow & X \\ \downarrow & \swarrow & \downarrow \\ \tilde{Y}' & \dashrightarrow & Y \end{array} \quad \begin{array}{ccc} & X' & \\ & \downarrow & \\ & Y' & \\ & \swarrow & \searrow \\ & \tilde{Y}' & Y \end{array}$$

Here  $Y' \rightarrow \tilde{Y}'$  is a trivial cofibration with  $\tilde{Y}'$  fibrant (from a factorization of  $Y' \rightarrow e$ , where  $e$  is a terminal object);

<sup>1</sup>A. K. Bousfield and E. M. Friedlander call a closed model category proper if weak equivalences are closed under pullbacks of fibrations and are closed under pushouts of cofibrations--  $S$  and  $S_*$  are examples; they then derive some basic properties for such categories (see their paper: Homotopy theory of  $\Gamma$ -spaces, spectra, and bisimplicial sets; Geometric Applications of Homotopy Theory, L.N.M. Vol. 658 (Springer-Verlag, New York/Berlin, 1978) 80-130).

<sup>2</sup>We remark that D. Husemoller, J. C. Moore, and J. Stasheff call a map  $f: X \rightarrow Y$  in  $S$  a weak fibration if it is surjective and if homotopy equivalences in  $S$  are closed under pullbacks of the type described in prop. 5.10 (with  $Y$  not assumed fibrant); see their paper (p. 164): Differential homological algebra and homogeneous spaces, Jour. Pure and Appl. Alg. 5 (1974) 113-185.

and, hence, one has the bottom triangle (since  $Y$  is fibrant).  $\tilde{P}$  is a pullback of  $f$  along  $\tilde{Y}' \rightarrow Y$  giving an obvious map  $X' \rightarrow \tilde{P}$ . Note that the left trapezoid is a pullback (using the basic properties of pullbacks in a category); and so  $X' \rightarrow \tilde{P}$  is a weak equivalence (since it is the pullback of a weak equivalence along a fibration which has a fibrant codomain). Next consider the commutative diagram:

$$\begin{array}{ccccccc}
 P & \xrightarrow{g'} & X' & \xrightarrow{\quad} & \tilde{P} & \xrightarrow{\quad} & X \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow f \\
 A & \xrightarrow{g} & Y' & \xrightarrow{\quad} & \tilde{Y}' & \xrightarrow{\quad} & Y
 \end{array}$$

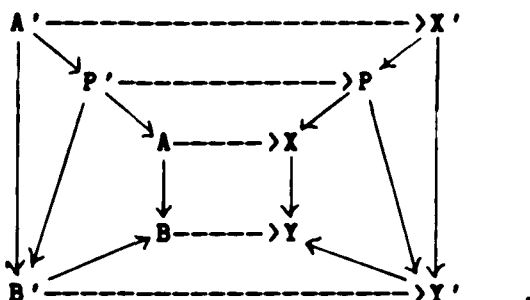
where all vertical maps are fibrations.  $P \rightarrow A$  is a pullback along the weak equivalence  $A \xrightarrow{g} Y' \rightarrow \tilde{Y}'$ , and so the composition  $P \xrightarrow{g'} X' \rightarrow \tilde{P}$  is a weak equivalence (since  $\tilde{Y}'$  is fibrant). And so we can conclude that  $g'$  is a weak equivalence (since  $X' \rightarrow \tilde{P}$  is such).

**Lemma 5.11** (picture frame):. Let  $\tilde{C}$  be a model category. Let the following be a commutative diagram:

$$\begin{array}{ccccc}
 A' & \xrightarrow{\quad} & X' & & \\
 \downarrow & \searrow & \downarrow & \swarrow & \downarrow \\
 & A & \xrightarrow{\quad} & X & \\
 \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\
 & B & \xrightarrow{\quad} & Y & \\
 \downarrow & \swarrow & \downarrow & \swarrow & \downarrow \\
 B' & \xrightarrow{\quad} & Y' & & 
 \end{array}$$

where the inner and outer squares are both pullbacks with  $Y$  and  $Y'$  fibrant. Assume that a)  $B' \rightarrow Y'$  and  $X \rightarrow Y$  are fibrations or b)  $X' \rightarrow Y'$  and  $X \rightarrow Y$  are fibrations. Then  $A' \rightarrow A$  is a weak equivalence if the other three diagonal maps in the diagram are such.

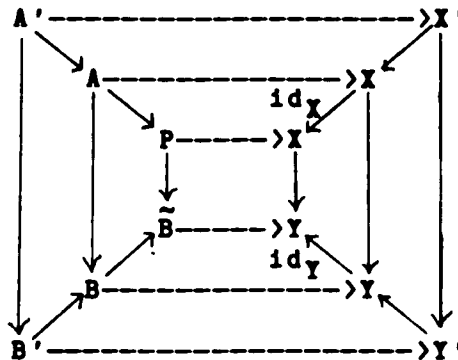
Proof: For part a), we will apply prop. 5.10 to two pullback diagrams which are adjacent horizontally and then apply the same lemma to two pullbacks which are adjacent vertically. Consider the construction of the following commutative diagram:



$P$  is a pullback of the fibration  $X \dashrightarrow Y$  along the weak equivalence  $Y' \dashrightarrow Y$ ; and so  $P \dashrightarrow X$  is a weak equivalence (since  $Y$  is fibrant). There is an obvious map  $X' \dashrightarrow P$  into the pullback  $P$ ; further this map is a weak equivalence (since  $X' \dashrightarrow X$  and  $P \dashrightarrow X$  are such).  $P'$  is a pullback of  $P \dashrightarrow Y'$  along  $B' \dashrightarrow Y'$  giving an obvious map  $P' \dashrightarrow A$  into the pullback  $A$ . Note that  $P'$  is also a pullback of  $A \dashrightarrow B$  along the weak equivalence  $B' \dashrightarrow B$  (using the basic properties of pullbacks in a category); and so  $P' \dashrightarrow A$  is a weak equivalence (using prop. 5.10). There is an obvious map  $A' \dashrightarrow P'$  into the pullback  $P'$ . And note that  $A'$  is also a pullback of  $P' \dashrightarrow P$  along the weak equivalence  $X' \dashrightarrow P$  (again using the basic properties of pullbacks in a category); and so  $A' \dashrightarrow P'$  is a weak equivalence (since  $B' \dashrightarrow Y'$  is a fibration with  $Y'$  fibrant and using prop. 5.10). And so we can conclude that  $A' \dashrightarrow A$  is a weak equivalence (since  $A' \dashrightarrow P'$  and  $P' \dashrightarrow A$  are

such).

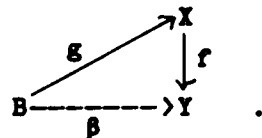
For part b), consider the following commutative diagram:



where  $B \rightarrow Y$  gives a factorization  $B \rightarrow \tilde{B} \rightarrow Y$  of a weak equivalence followed by a fibration, and where  $P$  is a pullback of  $X \rightarrow Y$  along  $\tilde{B} \rightarrow Y$ ;  $A \rightarrow P$  is the obvious map into the pullback  $P$ . Note that we can conclude that  $A \rightarrow P$  is a weak equivalence (by applying part a) to the fibration pair  $X \rightarrow Y, \tilde{B} \rightarrow Y$ ). A similar application of part a) to the fibration pair  $X' \rightarrow Y', \tilde{B} \rightarrow Y$  allows us to conclude that the composition  $A' \rightarrow A \rightarrow P$  is a weak equivalence; and, hence,  $A' \rightarrow A$  is a weak equivalence.

The following lemma is a useful form of the homotopy lifting property for fibrations.

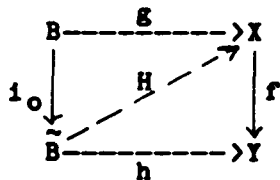
**Lemma 5.12 (homotopy lifting):** Let  $\tilde{C}$  be a model category. Let  $f: X \rightarrow Y$  be a fibration and let  $B$  be cofibrant. Let  $\beta: B \rightarrow Y$  and  $g: B \rightarrow X$  with  $fg \sim_L \beta$ . Diagrammatically this gives:



Then there is a map  $g': B \rightarrow X$  such that  $g \sim_L g'$  and  $fg' = \beta$ .

**Proof:** Let  $h: \tilde{B} \rightarrow Y$  be a left homotopy for  $fg \sim_L \beta$ . And con-

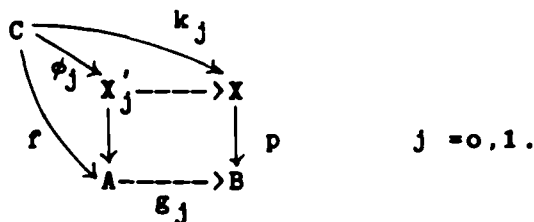
sider the commutative diagram:



The map  $i_0$  is a trivial cofibration (since  $B$  is cofibrant), and so there is a map  $H$  which completes the diagram. Then the map  $g' = Hi_1$  has the required properties.

The dual to this lemma is the homotopy extension lemma.

**Theorem 5.13:** Let  $\tilde{C}$  be a model category. Let  $p: X \rightarrow B$  be a fibration in  $\tilde{C}$  with  $B$  fibrant, and let  $g_0, g_1: A \rightarrow B$  with  $g_0 \sim_L g_1$ . Let  $X'_j \rightarrow A$  be a pullback in  $\tilde{C}$  of  $p$  along  $g_j$  ( $j = 0, 1$ ). Now let  $f: C \rightarrow A$  with  $C$  cofibrant and let  $k_0: C \rightarrow X$  be a lift of  $g_0 f$  over  $p$  (i.e.,  $g_0 f = pk_0$ ). Finally let  $\phi_0: C \rightarrow X'_0$  be the map into the pullback  $X'_0$  determined by  $f$  and  $k_0$ . Then a) there is a lift  $k_1: C \rightarrow X$  of  $g_1 f$  over  $p$  with  $k_0 \sim_L k_1$ , and let  $\phi_1: C \rightarrow X'_1$  be the map into the pullback  $X'_1$  determined by  $f$  and  $k_1$ ; thus, we have the following commutative diagrams:



And b) if  $\phi_0$  is a weak equivalence, then so is  $\phi_1$ .

**Proof:** Let  $g_0 \sim_L g_1$  via a homotopy  $H': \tilde{A}' \rightarrow B$  (where  $\tilde{A}'$  is a cylinder object for  $A$ ). Recall (Quillen in [16]) one can obtain a homotopy  $\tilde{H}: g_0 f \sim_L g_1 f$ , by first replacing the origi-

nal cylinder object  $\tilde{A}'$  by a cylinder object  $\tilde{A}$  (which has a trivial fibration for the projection map  $\tilde{A} \rightarrow A$ ) and replacing  $H'$  by a new homotopy  $H: \tilde{A} \rightarrow B$  (using  $B$  is fibrant). One next finds a map between cylinder objects  $\tilde{f}: \tilde{C} \rightarrow \tilde{A}$  which satisfies  $C \amalg C \rightarrow \tilde{C} \xrightarrow{\tilde{f}} \tilde{A} = C \amalg C \xrightarrow{f \amalg f} A \amalg A \rightarrow \tilde{A}$  (using  $\tilde{A} \rightarrow A$  is a trivial fibration) and which, thus, gives  $\tilde{H} = H\tilde{f}: g_0 f \sim_L g_1 f$  for the desired homotopy.

Now use the homotopy lifting property as indicated in the following diagram (here  $i_0$  is a trivial cofibration since  $C$  is cofibrant)

$$\begin{array}{ccc}
 C & \xrightarrow{k_0} & X \\
 i_0 \downarrow & \nearrow \tilde{H}' & \downarrow p \\
 \tilde{C} & \xrightarrow{\tilde{H}} & B
 \end{array}$$

to lift  $\tilde{H}: \tilde{C} \rightarrow B$  to a homotopy  $\tilde{H}': \tilde{C} \rightarrow X$ ; and let  $k_1 = \tilde{H}' i_1: C \rightarrow X$ . Now let  $P \rightarrow \tilde{A}$  be a pullback of  $p: X \rightarrow B$  along  $H: \tilde{A} \rightarrow B$ . And note that  $p\tilde{H}' = \tilde{H} = H\tilde{f}$  determines a unique map  $\tilde{C} \rightarrow P$ . And finally let  $X'_j \rightarrow A$  be a pullback of the fibration  $P \rightarrow \tilde{A}$  along the weak equivalence  $A \xrightarrow{i'_j} \tilde{A}$  ( $j = 0, 1$ ). Note that  $X'_j \rightarrow P$  is a weak equivalence ( $j = 0, 1$ ) using prop. 5.10. Note that  $X'_j \rightarrow A$  is also a pullback of  $p: X \rightarrow B$  along  $H i'_j = g_j$  ( $j = 0, 1$ ). And consider the map  $\phi_j: C \rightarrow X'_j$  determined by  $f$  and  $\tilde{H}' i'_j$  ( $\tilde{H}' i'_0 = k_0$ ,  $\tilde{H}' i'_1 = k_1$ ). Note that  $\phi_j$  ( $j = 0, 1$ ) also satisfies  $C \xrightarrow{\phi_j} X'_j \rightarrow P = C \xrightarrow{i'_j} \tilde{C} \rightarrow P$  (using  $\tilde{f}$  satisfies  $\tilde{f} i'_j = i'_j f$ ). Now consider this equality for  $j = 0$ . Note that if  $\phi_0$  is a weak equivalence, we can conclude that  $\tilde{C} \rightarrow P$  is a weak

equivalence (since three out of the four maps are weak equivalences). But then considering the equality for  $j = 1$ , with  $\tilde{C} \rightarrow P$  known to be a weak equivalence, the same reasoning allows us to conclude that  $\phi_1$  is a weak equivalence.

## 6. Closed model structures for $S_0$ and $C$ .

Quillen [16] has shown that  $S$  is a closed model category where cofibrations are the injective maps, weak equivalences are the maps whose topological realizations give isomorphisms on all homotopy groups, and fibrations are those maps which have the RLP with respect to the class of trivial cofibrations (i.e., fibrations are the Kan fibrations).

**Theorem 6.1** (Quillen, [17], II theorem 2.2 (with  $r = 1$ )): This definition also gives a closed model category structure for  $S_R \subset S$  (i.e., cofibrations and weak equivalences in  $S_R$  are the maps which are such when viewed as maps in  $S$ , and fibrations in  $S_R$  are those maps which have the RLP with respect to the class of trivial cofibrations of  $S_R$ ).

We remark that the weak equivalence axiom CM3 is immediate. It is also immediate that cofibrations of  $S_R$  and weak equivalences of  $S_R$  are closed under retracts. It is easily verified that, in general, if a map  $f$  in an arbitrary category  $\tilde{C}$  has the RLP with respect to a given class of maps, then so does a retract of  $f$ . It follows from this that the class of fibrations of  $S_R$  is also closed under retracts. Axiom CM4 is, thus, satisfied. Part b) of axiom CM1 is immediate by the definition of a fibration. It is the verification of CM1 a) and the factorizations of axiom CM2 which are non-trivial in the proof of this theorem.

Quillen ([17], II prop. 2.4 (with  $r = 1$ )) also shows that for a map  $f$  in  $S_R$ , then  $f$  is a fibration in  $S_R$  and  $\pi_1 f$  is surjective  $\Leftrightarrow f$  is a fibration in  $S$ . In particular, this

implies that a surjective fibration in  $S_R$  is a fibration in  $S$ .

Using the isomorphism  $S_0 \xrightarrow{\cong} S_R$  we obtain a closed model category structure for  $S_0$  from that for  $S_R$ .

Neisendorfer [15] has shown that  $C$  is a closed model category where cofibrations are the injective maps, weak equivalences are the maps which give isomorphisms on homology, and fibrations are those maps which have the RLP with respect to the class of trivial cofibrations.

Quillen [17] had previously shown that this definition makes the subcategory of simply connected coalgebras a closed model category.

**7. The realization and singular functors on the homotopy categories.**

**Proposition 7.1:**  $| | : S_0 \dashrightarrow C$  preserves cofibrations and preserves weak equivalences.

**Proof:** The first property is immediate since cofibrations in  $S_0$  and  $C$  are the injections and the former are preserved by  $| |$ . For the second property, letting  $f: X \dashrightarrow Y$  be a weak equivalence in  $S_0$ , then  $f$  induces a homology isomorphism; in particular,  $f_* = H_*(f; k)$  is an isomorphism. Recall that the natural transformation  $\lambda: \widehat{U} | \dashrightarrow C_*^N$  gives an isomorphism  $(\lambda_Z)_*: H_* | Z | \dashrightarrow H_*(Z; k)$  ( $Z$  in  $S_0$ ); and using the naturality of  $\lambda$ , we obtain  $f_*(\lambda_X)_* = (\lambda_Y)_* | f |_*$ . And so  $| f |$  is a weak equivalence in  $C$ .

We remark that the above proof shows that any map  $f$  in  $S_0$  which induces an isomorphism  $H_*(f; k)$  is taken by  $| |$  to a weak equivalence  $| f |$  in  $C$ .

The next propositions show that  $\mathcal{S}: C \dashrightarrow S_0$  preserves fibrations and takes a weak equivalence between fibrant objects in  $C$  to a weak equivalence in  $S_0$ .

**Proposition 7.2:** Let  $f: X \dashrightarrow Y$  be a fibration in  $C$ . Then  $\mathcal{S}(f): \mathcal{S}X \dashrightarrow \mathcal{S}Y$  is a fibration in  $S_0$ .

**Proof:** We show that  $\mathcal{S}(f)$  has the right lifting property with respect to trivial cofibrations. For this, let the following be a commutative diagram with  $g$  a trivial cofibration:

$$\begin{array}{ccc}
 A & \xrightarrow{k} & \mathcal{S}X \\
 g \downarrow & & \downarrow \mathcal{S}(f) \\
 B & \xrightarrow{h} & \mathcal{S}Y
 \end{array}
 \quad \text{in } S_0.$$

With  $| \_ | \dashrightarrow \mathcal{S}$ , consider the following conventions for the adjunction: let  $\tilde{p}: W \dashrightarrow \mathcal{S}D$  denote the adjoint of the map  $p: |W| \dashrightarrow D$ ; also let  $\tilde{q}: |Z| \dashrightarrow E$  denote the adjoint of the map  $q: Z \dashrightarrow \mathcal{S}E$ . Note that  $f\tilde{k} = \widetilde{\mathcal{S}(f)k} = \tilde{h}|g|$  (using the naturality of the adjunction), and so we obtain the following commutative diagram:

$$\begin{array}{ccc}
 |A| & \xrightarrow{\tilde{k}} & X \\
 |g| \downarrow & \nearrow \phi & \downarrow f \\
 |B| & \xrightarrow{\tilde{h}} & Y
 \end{array}
 \quad \text{in } C.$$

Note that  $|g|$  is a trivial cofibration (since  $| \_ |$  preserves trivial cofibrations), and so there is a map  $\phi: |B| \dashrightarrow X$  as indicated since  $f$  has the right lifting property with respect to trivial cofibrations. Now use the naturality of the adjunction again to obtain  $\tilde{\phi}g = \widetilde{\phi|g|} = \tilde{k} = k$  (i.e.,  $\tilde{\phi}g = k$ ); also  $\mathcal{S}(f)\tilde{\phi} = \widetilde{f\phi} = \tilde{h} = h$  (i.e.,  $\mathcal{S}(f)\tilde{\phi} = h$ ). Note that  $\tilde{\phi}$ , thus, gives a desired lifting in our original diagram. And so  $\mathcal{S}(f)$  is a fibration in  $S_0$ .

**Corollary 7.3:** Let  $X$  be fibrant in  $C$ . Then  $\mathcal{S}X$  is fibrant in  $S_0$ .

**Lemma 7.4:** Let  $f: X \dashrightarrow Y$  be a trivial fibration in  $C$ . Then  $\mathcal{S}(f): \mathcal{S}X \dashrightarrow \mathcal{S}Y$  is a trivial fibration in  $S_0$ .

**Proof:** Recall that in a closed model category a map is a trivial fibration if and only if it has the right lifting property with respect to cofibrations. But note that since  $| \_ |$  preserves cofibrations, the same idea which was used to show that  $\mathcal{S}$  preserves fibrations can be used to show that  $\mathcal{S}$  preserves trivial fibrations.

**Lemma 7.5:** Let  $f, g: X \rightarrow Y$  in  $C$  with  $Y$  fibrant. If  $f \sim_R g$ , then  $\mathcal{S}(f) \sim_R \mathcal{S}(g)$  in  $S_0$ .

**Proof:** The basic idea for this proof is that  $\mathcal{S}$  preserves a path object for a fibrant coalgebra. Let  $h$  be a right homotopy for  $f \sim_R g$  via a path object  $Y \rightrightarrows Y \langle \xrightarrow{d_0, d_1} \tilde{Y} \xrightarrow{s_Y} Y \rangle$  (here  $\langle d_0, d_1 \rangle$  is a fibration and  $s_Y$  is a weak equivalence). Note that since  $Y$  is fibrant,  $d_j$  is a trivial fibration ( $j = 0, 1$ ) and the path object  $\tilde{Y}$  is fibrant. Now recall that  $\mathcal{S}$  preserves products and apply  $\mathcal{S}$  to this path object to obtain  $\mathcal{S}Y \rightrightarrows \mathcal{S}Y \langle \xrightarrow{\mathcal{S}(d_0), \mathcal{S}(d_1)} \tilde{\mathcal{S}Y} \xrightarrow{\mathcal{S}(s_Y)} \mathcal{S}Y \rangle$ . Note that  $\langle \mathcal{S}(d_0), \mathcal{S}(d_1) \rangle$  is a fibration (using  $\mathcal{S}$  preserves fibrations). But also  $\mathcal{S}(d_j)$  is a trivial fibration (since  $\mathcal{S}$  preserves trivial fibrations), and so considering  $\mathcal{S}(d_j)\mathcal{S}(s_Y) = \text{id}_{\mathcal{S}Y}$ , we can conclude that  $\mathcal{S}(s_Y)$  is a weak equivalence. And so  $\tilde{\mathcal{S}Y}$  is a path object for  $\mathcal{S}Y$ . And, thus,  $\mathcal{S}(f) \sim_R \mathcal{S}(g)$  via the right homotopy  $\mathcal{S}(h): \mathcal{S}X \rightarrow \tilde{\mathcal{S}Y}$ .

**Proposition 7.6:** Let  $f: X \rightarrow Y$  be a weak equivalence in  $C$  with  $X$  and  $Y$  fibrant. Then  $\mathcal{S}(f): \mathcal{S}X \rightarrow \mathcal{S}Y$  is a weak equivalence in  $S_0$ .

**Proof:** Note that  $f$  is a homotopy equivalence (using the model category cor. 5.3), and let  $g: Y \rightarrow X$  be a homotopy inverse for  $f$ ; so that  $gf \sim \text{id}_X$  and  $fg \sim \text{id}_Y$ . But  $\mathcal{S}$  preserves the relation of right homotopy between maps in  $C$  which have a fibrant codomain. Thus,  $\mathcal{S}(g)$  is a homotopy inverse for  $\mathcal{S}(f)$ ; and so  $\mathcal{S}(f)$  is a homotopy equivalence. And so we can conclude that  $\mathcal{S}(f)$  is a weak equivalence in  $S_0$  (using the closed model category prop. 5.4).

The next theorem allows us to conclude that the adjoint functors  $| |$  and  $\mathcal{S}$  induce a pair of adjoint functors between the homotopy categories  $\text{Ho}S_0$  and  $\text{Ho}C$ .

**Theorem 7.7** (Quillen, [16]): Let  $\tilde{C}$  and  $\tilde{C}'$  be model categories, and let  $\tilde{C} \xrightleftharpoons[G]{F} \tilde{C}'$  be a pair of adjoint functors, with  $F \dashv | G$ . Assume that  $F$  preserves cofibrations and takes weak equivalences between cofibrant objects in  $\tilde{C}$  to weak equivalences in  $\tilde{C}'$ . Assume that  $G$  preserves fibrations and takes weak equivalences between fibrant objects in  $\tilde{C}'$  to weak equivalences in  $\tilde{C}$ . Then the total derived functors  $\text{Ho}\tilde{C} \xrightleftharpoons[R(G)]{L(F)} \text{Ho}\tilde{C}'$  are canonically adjoint, with  $L(F) \dashv | R(G)$ .

Note that since  $| |$  preserves all the weak equivalences from  $S_0$ , it is immediate that  $| |$  induces a canonical functor  $| | : \text{Ho}S_0 \rightarrow \text{Ho}C$  (where we use the same symbol for the latter functor); in this situation, one has that the left derived functor is then given simply by  $L(| |) = | |$ .

Since all objects in  $C$  are cofibrant, Quillen's construction of a right derived functor  $R(G)$  for the case  $G = \mathcal{S}$  can be modified slightly and which we now describe. For each  $X$  in  $C$ , choose a weak equivalence  $i_X : X \rightarrow R(X)$  in  $C$  where  $R(X)$  is fibrant (when  $X$  is fibrant, let  $i_X = \text{id}_X$ ). For each map  $f : X \rightarrow Y$  in  $C$ , using  $(i_X)^* : [R(X), R(Y)] \rightarrow [X, R(Y)]$  is a bijection (since  $i_X$  is a weak equivalence and  $R(Y)$  is fibrant), choose a map  $R(f) : R(X) \rightarrow R(Y)$  in  $C$  such that  $R(f)i_X \sim i_Y f$ ; and note that  $R(f)$  is unique up to homotopy. One observes that  $R(gf) \sim R(g)R(f)$  and  $R(\text{id}_X) \sim \text{id}_{R(X)}$ . We thus

obtain a functor  $\bar{R}: C \rightarrow \text{hoC}$  ( $X \mapsto R(X)$ ,  $f \mapsto \overline{R(f)}$ ). The functor  $\pi: C_{\text{of}} \rightarrow \text{hoC}$  makes  $\text{hoC}$  a category of fractions with respect to the class of weak equivalences; and so, since the restriction  $\mathcal{S}: C_{\text{of}} \rightarrow S_0$  preserves weak equivalences, there is a unique functor  $\text{hoC} \rightarrow \text{HoS}_0$  such that

$$\begin{array}{ccc} C_{\text{of}} & \xrightarrow{\mathcal{S}} & S_0 \\ \pi \downarrow & & \downarrow \gamma \\ \text{hoC} & \xrightarrow{\quad} & \text{HoS}_0 \end{array}$$

commutes. Finally  $R(f)$  is a weak equivalence if  $f$  is (homotopic maps in  $C$  give the same map on homology), and so  $\bar{R}$  takes weak equivalences to isomorphisms; and  $R(\mathcal{S})$  is obtained using the universal mapping property of  $\gamma: C \rightarrow \text{HoC}$  as the following factorization:

$$\begin{array}{ccc} C & \xrightarrow{\bar{R}} & \text{hoC} \\ \gamma \downarrow & & \searrow \\ \text{HoC} & \xrightarrow{R(\mathcal{S})} & \text{HoS}_0 \end{array} .$$

Note that on objects we have simply  $R(\mathcal{S})(X) = \mathcal{S}R(X)$  for  $X$  in  $\text{HoC}$  (since  $\gamma(Z) = Z$  where  $\gamma: \tilde{C} \rightarrow \text{Ho}\tilde{C}$ ). And so letting  $\mathcal{S}' = R(\mathcal{S})$ , we have  $\mathcal{S}': \text{HoC} \rightarrow \text{HoS}_0$  with  $\mathcal{S}'X = \mathcal{S}X'$  where  $i: X \rightarrow X'$  is a weak equivalence in  $C$  with  $X'$  fibrant. We remark that when  $X$  is fibrant,  $\mathcal{S}'X = \mathcal{S}X$ . We also remark that if the objects of  $C$  were not necessarily cofibrant, then Quillen's construction of  $\mathcal{S}'$  would require that  $i$  also be a cofibration.

In a moment we will show that  $\mathcal{S}$  induces a functor  $\mathcal{S}: \text{hoC} \rightarrow \text{hoS}_0$ ; it is then easily shown that the functor  $\text{hoC} \rightarrow \text{HoS}_0$  which occurs in the above two diagrams, in fact, equals

the composition  $\text{hoC} \xrightarrow{\mathcal{S}} \text{hoS}_0 \xrightarrow{\bar{\gamma}} \text{HoS}_0$  (using the universal mapping property of  $\text{hoC}$ ).

We next consider the functors induced by  $| |$  and  $\mathcal{S}$  on the categories  $\text{hoS}_0$  and  $\text{hoC}$ . Our treatment is independent of the previous development involving the categories  $\text{HoS}_0$  and  $\text{HoC}$  primarily because we are interested in the explicit adjunction which it affords and also because the results which we currently have at our disposal make this treatment fairly immediate. We will then relate the two pairs of functors.

The restriction of  $\mathcal{S}$  to  $C_{cf}$  corestricts to  $(S_0)_{cf}$  (since  $\mathcal{S}$  preserves fibrant objects) and also preserves weak equivalences; and so the composition  $C_{cf} \xrightarrow{\mathcal{S}} (S_0)_{cf} \xrightarrow{\pi} \text{hoS}_0$  takes weak equivalences to isomorphisms. We thus obtain a unique functor  $\mathcal{S}': \text{hoC} \rightarrow \text{hoS}_0$  for which

$$\begin{array}{ccc} C_{cf} & \xrightarrow{\mathcal{S}} & (S_0)_{cf} \\ \pi \downarrow & & \downarrow \pi \\ \text{hoC} & \xrightarrow{\mathcal{S}'} & \text{hoS}_0 \end{array}$$

commutes.

The functor  $| |$  does not preserve fibrant objects; however, the composition  $(S_0)_{cf} \xrightarrow{| |} C \xrightarrow{\bar{R}} \text{hoC}$  takes weak equivalences to isomorphisms (recall that  $\bar{R}$  occurs in our treatment of the derived functor  $R(\mathcal{S})$ ). And so we obtain a unique functor  $| |': \text{hoS}_0 \rightarrow \text{hoC}$  for which

$$\begin{array}{ccc} (S_0)_{cf} & \xrightarrow{| |} & C \\ \pi \downarrow & & \downarrow \bar{R} \\ \text{hoS}_0 & \xrightarrow{| |'} & \text{hoC} \end{array}$$

commutes. Note that on objects, we have  $|X|' = X'$  where

$i: |X| \dashrightarrow X'$  is a weak equivalence in  $C$  with  $X'$  fibrant.

**Lemma 7.8:** Let  $\mathcal{Y}$  be the adjunction for  $| \dashrightarrow | \mathcal{S}$ , and let  $Y$  be fibrant in  $C$ . Then the obvious map  $\bar{\mathcal{Y}}: [|X|, Y] \dashrightarrow [X, \mathcal{S}Y]$  is a bijection which is natural in  $X$  and  $Y$ .

**Proof:** We first show that  $\bar{\mathcal{Y}}$  is well-defined. Let  $f, g: |X| \dashrightarrow Y$  be in  $C$  with  $f \sim_R g$ , then  $\mathcal{S}(f) \sim_R \mathcal{S}(g)$  (by lemma 7.5); and so  $\tilde{f} = \mathcal{S}(f)\eta_X \sim_R \mathcal{S}(g)\eta_X = \tilde{g}$  where  $\eta$  is the unit for  $| \dashrightarrow | \mathcal{S}$  and the adjoint of, e.g.,  $f$  is denoted  $\tilde{f}$  ( $= \mathcal{Y}(f)$ ). In a similar fashion one shows that  $\bar{\mathcal{Y}}^{-1}$  is well-defined (by first showing that  $| \dashrightarrow |$  preserves a cylinder object for a (cofibrant) object in  $S_0$  and then considering left homotopic maps in  $S_0(X, \mathcal{S}Y)$ ).  $\bar{\mathcal{Y}}$  is, thus, a bijection with inverse  $\bar{\mathcal{Y}}^{-1}$ . The naturality of  $\bar{\mathcal{Y}}$  is immediate.

**Theorem 7.9:** The functors  $\text{ho}S_0 \xleftarrow[| \mathcal{S}]{| \dashrightarrow |}$   $\text{ho}C$  are an adjoint pair with  $| \dashrightarrow | \mathcal{S}$ .

**Proof:** For  $X$  in  $(S_0)_{cf}$ , let  $|X|' = X'$  where  $i: |X| \dashrightarrow X'$  is a weak equivalence in  $C$  with  $X'$  fibrant. And so for  $Y$  fibrant in  $C$ ,  $i^*: [|X|', Y] \dashrightarrow [|X|, Y]$  is a bijection which is natural in  $X$  (using the definition of  $\bar{R}$  on maps) and  $Y$ . And so the composition of natural bijections  $[|X|', Y] \xrightarrow{i^*} [|X|, Y] \xrightarrow{\bar{\mathcal{Y}}} [X, \mathcal{S}Y]$  gives an adjunction for  $| \dashrightarrow | \mathcal{S}$ .

It is easily shown that the unit for the adjunction is given by  $\tilde{i}: [X, \mathcal{S}|X|']$  where  $\tilde{i}: X \dashrightarrow \mathcal{S}X'$  in  $S_0$  is the adjoint map of the weak equivalence  $i: |X| \dashrightarrow X'$  in  $C$ .

Recall that the functor  $\bar{\gamma}: \text{ho}\tilde{C} \dashrightarrow \text{Ho}\tilde{C}$  is an equivalence of categories. When the objects of  $\tilde{C}$  are all cofibrant, one

can use the unique functor  $\text{Ho}\tilde{C} \rightarrow \text{ho}\tilde{C}$ , induced by  $\bar{R}: \tilde{C} \rightarrow \text{ho}\tilde{C}$  defined as above when  $\tilde{C} = C$  (versus Quillen's functor  $\bar{R}Q$ ), for the equivalence which is inverse to  $\bar{\gamma}$ . Our two pairs of adjoint functors are then related by the following commutative diagrams:

$$\begin{array}{ccc}
 \text{ho}S_0 & \xrightarrow{\quad | \quad |'} & \text{ho}C \\
 \bar{\gamma} \downarrow & & \uparrow \\
 \text{Ho}S_0 & \xrightarrow{\quad | \quad |} & \text{Ho}C
 \end{array}
 \qquad
 \begin{array}{ccc}
 \text{ho}C & \xrightarrow{\quad S' \quad} & \text{ho}S_0 \\
 \bar{\gamma} \downarrow & & \downarrow \bar{\gamma} \\
 \text{Ho}C & \xrightarrow{\quad S' \quad} & \text{Ho}S_0
 \end{array}$$

by a straight forward application of the universal mapping property of  $\text{ho}S_0$  and  $\text{ho}C$ , respectively.

### 8. Principal bundles in $S_0$ and in $C$ ; fiber bundles in $C$ .

We first recall several notions and constructions for  $S$ . Let  $\pi$  be a group and  $n$  a positive integer, then an Eilenberg-MacLane (E-M) space of type  $(\pi, n)$  is a fibrant  $K$  in  $S$  such that its homotopy groups satisfy:  $\pi_k(K, \phi) \simeq \pi$  if  $k = n$ , and  $= 0$  if  $k \neq n$ . Such an object  $K$  is called a  $K(\pi, n)$  if it is minimal (where a fibrant  $X$  in  $S$  is minimal if the face maps  $d_j: X_p \rightarrow X_{p-1}$  satisfy:  $d_1 x = d_1 x'$   $1 \neq k$  implies  $d_k x = d_k x'$ ).

Let  $Ab$  denote the category of abelian groups. When  $\pi$  is in  $Ab$ , we also recall the familiar construction of  $\tilde{K}(\pi, n)$  in  $S$  which is a  $K(\pi, n)$  (see, e.g., [12]): Consider the normalized cochain complex  $C_N^{\bullet}(\Delta_p; \pi) = Ab(C_N^{\bullet}/\Delta_p, \pi)$ . Let  $\tilde{E}(\pi, n+1): \Delta^{op} \rightarrow Ab$  be determined by  $(\tilde{E}(\pi, n+1))_p = C_N^n(\Delta_p; \pi)$  where  $p \geq 0$  with the simplicial structure obtained using the functor  $\Delta: \Delta \rightarrow S$ . Let  $\tilde{K}(\pi, n): \Delta^{op} \rightarrow Ab$  be determined by the normalized cocycles:  $(\tilde{K}(\pi, n))_p = Z_N^n(\Delta_p; \pi) \subset C_N^n(\Delta_p; \pi)$  with the simplicial structure such that  $\tilde{K}(\pi, n) \subset \tilde{E}(\pi, n+1)$  in  $Ab/\Delta^{op}$ . The coboundary operators  $\delta$  of  $C_N^{\bullet}(\Delta_p; \pi)$  with  $p \geq 0$  give a map  $\tilde{h}: \tilde{E}(\pi, n) \rightarrow \tilde{K}(\pi, n)$  in  $Ab/\Delta^{op}$ ;  $\tilde{h}$  is surjective (since  $H^n(\Delta_p; \pi) = 0$  for  $n > 0$ ), and  $\ker(\tilde{h}) = \tilde{K}(\pi, n-1)$ . Further,  $\tilde{h}$  is a principal fibration.

Let  $(\pi \otimes k)_n$  in  $M^{\#}$  have  $\pi \otimes k$  concentrated in degree  $n$ . We will make use of the fact that there is an isomorphism  $H_*(\tilde{K}(\pi, n); k) \rightarrow S'((\pi \otimes k)_n)$  in  $C^{\#}$  (see, e.g., [14], Theorem p. 199; and using  $S'(V) = \Gamma(V)$  where  $V$  with  $V_0 = 0$  is in  $M^{\#}$  over a field of char. 0). In particular, for  $\pi$  a  $k$ -module the codomain of this isomorphism becomes  $S'(\pi_n)$  ( $= K(\pi, n)$ ) in

later notation).

Of course  $\tilde{E}(\pi, n)$  and  $\tilde{K}(\pi, n)$  can be viewed as objects in  $S$  (using  $Ab \rightarrow Sets$ ). We note that  $\tilde{E}(\pi, n)$  is in  $S_R$  for  $n > 0$  (since  $C_n^N \Delta_0 = 0$  for  $n > 0$ ; and so  $(\tilde{E}(\pi, n))_0 = 0$  in  $Ab$ ), and so  $\tilde{K}(\pi, n)$  is also in  $S_R$ . And so we can view  $\tilde{h}: \tilde{E}(\pi, n) \rightarrow \tilde{K}(\pi, n)$  as a map in  $S_R$ ; further,  $\tilde{h}$  is a fibration in  $S_R$  and  $\tilde{K}(\pi, n)$  fibrant in  $S_R$ , and, hence, both also in  $S_0$ .

We next consider several constructions in  $C$ . Let  $V$  be a  $k$ -module. Let  $K(V, n) = S'(V_n)$  where  $V_n$  in  $M$  has  $V$  concentrated in degree  $n$ . Now let  $W_n$  in  $M$  be given by  $(W_n)_p = V$  if  $p = n, n-1$  (and  $= 0$  otherwise) and with differential having  $d_n = id_V$ . And let  $E(V, n) = S'(W_n)$ .  $E(V, n)$  and  $K(V, n)$  are both fibrant ( $S'(\tilde{V})$  is fibrant for any  $\tilde{V}$  in  $M$ ). There is an obvious map  $\phi: W_n \rightarrow V_n$  in  $M$ ; and the map  $h = S'(\phi): E(V, n) \rightarrow K(V, n)$  in  $C$  is a fibration (see [15]). There is also an obvious map  $\beta: V_{n-1} \rightarrow W_n$  in  $M$ ; let  $i = S'(\beta)$ , and note that the diagram:

$$\begin{array}{ccc} K(V, n-1) & \xrightarrow{i} & E(V, n) \\ \downarrow k & & \downarrow h \\ k & \xrightarrow{\quad} & K(V, n) \end{array} \quad \text{in } C$$

is a pullback (since it arises by applying  $S'$  to the bicartesian diagram  $V_{n-1} \rightarrow W_n \rightarrow V_n = V_{n-1} \rightarrow 0 \rightarrow V_n$  in  $M$ ). And so  $K(V, n-1)$  is the fiber of  $h$ .

We next show that  $E(\pi, n)$  is contractible (see section 11 for the notion of an object being contractible in a model category).  $E(\pi, n) = S'(W_n)$ ; and using cor. 5.3, it suffices to show that  $S'(W_n) \rightarrow k$  is a weak equivalence. But this is

immediate since  $H_*$  and  $S'$  commute and  $H_*W_n = k$ .

Now consider the obvious map  $\tilde{\beta}: W_n \rightarrow V_{n-1}$  in  $M^\#$ ; and note that  $s = S'(\tilde{\beta}): E(V, n) \rightarrow K(V, n-1)$  in  $C^\#$  satisfies  $si = \text{id}_{K(V, n-1)}$  and the map  $\langle h, s \rangle: E(V, n) \rightarrow K(V, n) \otimes K(V, n-1)$  is an isomorphism in  $C^\#$  (since  $W_n = V_n \oplus V_{n-1}$  in  $M^\#$  and  $S'$  preserves products). And so the following diagram (where we view the coalgebras as objects in  $C^\#$ ):

$$\begin{array}{ccc} E(V, n) & \xrightarrow[\cong]{\langle h, s \rangle} & K(V, n) \otimes K(V, n-1) \\ & \searrow h & \downarrow \text{pr} \\ & & K(V, n) \end{array} \quad \text{in } C^\#$$

commutes. In the terminology of Neisendorfer [15], the sequence of coalgebras  $K(V, n-1) \xrightarrow{i} E(V, n) \xrightarrow{h} K(V, n)$  is an example of an extension sequence in  $C$  (i.e., there is a (splitting) map  $s$  in  $C^\#$  such that  $si = \text{id}_{K(V, n-1)}$  and  $\langle h, s \rangle$  is an isomorphism in  $C^\#$ ).

A fibration  $f: X \rightarrow B$  in  $C$  with fiber  $F$  ( $\xrightarrow{i} X$ ) is a fiber bundle if there is a splitting  $s: X \rightarrow F$  in  $C^\#$  (i.e.,  $si = \text{id}_F$  and  $\langle f, s \rangle$  is an isomorphism in  $C^\#$ ). And so, viewed as a map in  $C^\#$ ,  $f$  is "essentially" a projection; i.e., the following diagram:

$$\begin{array}{ccc} X & \xrightarrow[\cong]{\langle f, s \rangle} & B \otimes F \\ & \searrow f & \downarrow \text{pr} \\ & & B \end{array} \quad \text{in } C^\#$$

commutes.

We remark that this diagram gives  $f$  as a pullback in  $C^\#$  of the projection  $\text{pr}$  along  $\text{id}_B$  (using the fact that  $\langle f, s \rangle$  is an isomorphism).

**Proposition 8.1:** Let  $f': X' \rightarrow B'$  be a pullback in  $C$  of a fiber bundle  $f: X \rightarrow B$  along a map  $g: B' \rightarrow B$ . Then  $f'$  is a fiber bundle (i.e., fiber bundles in  $C$  are closed under pullbacks). Moreover, there is a splitting  $s': X' \rightarrow F$  in  $C^\#$  of  $F(\overset{i'}{\rightarrow} X' \xrightarrow{f'} B')$  such that the following diagram:

$$\begin{array}{ccccc}
 X' & \xrightarrow{\quad g' \quad} & X & & \\
 \downarrow f' & \swarrow \langle f', s' \rangle & \searrow \langle f, s \rangle & & \\
 B' \otimes F & \xrightarrow{\quad g \otimes F \quad} & B \otimes F & & \\
 \downarrow \text{pr} & & \downarrow \text{pr} & & \\
 B' & \xrightarrow{\quad g \quad} & B & & \\
 & & & & \text{in } C^\# \quad (8.1)
 \end{array}$$

commutes. I.e., in  $C^\#$   $f'$  is "essentially" given by the pullback of a projection.

**Proof:** The fiber  $F(\overset{i'}{\rightarrow} X')$  of  $f'$  is the pullback of  $f'$  along  $k \rightarrow B'$ . And so we can take  $i = g' \circ i': F(\rightarrow) X$  for the inclusion of the fiber of  $f$ . Let  $s: X \rightarrow F$  in  $C^\#$  be a splitting for  $F(\overset{i}{\rightarrow} X \xrightarrow{f} B)$ . And let  $s' = sg': X' \rightarrow F$  in  $C^\#$ . Note that  $s' \circ i' = \text{id}_F$ . We next show that  $\langle f', s' \rangle: X' \rightarrow B' \otimes F$  is an isomorphism in  $C^\#$ . First note that the rectangle in the above diagram is a pullback and observe that  $\langle f', s' \rangle$  is the unique map into  $B' \otimes F$  such that the left triangle and top trapezoid commute. But the outer rectangle in the following diagram:

$$\begin{array}{ccccc}
 X' & \xrightarrow{\quad} & X & \xrightarrow{\langle f, s \rangle} & B \otimes F \\
 \downarrow f' & & \downarrow f & & \downarrow \text{pr} \\
 B' & \xrightarrow{\quad g \quad} & B & \xrightarrow{\text{id}_B} & B
 \end{array}
 \quad \text{in } C^\#$$

is a pullback (since each square is a pullback and  $U: C \rightarrow C^\#$  preserves pullbacks for the left square). Thus,  $X'$  and  $B' \otimes F$

are both pullbacks of the same maps into B. Now  $\langle f', s' \rangle: X' \rightarrow B' \otimes F$  is the canonical map between these pullbacks and is, hence, an isomorphism in  $C^\#$ .

We now continue our study of the maps  $\tilde{h}$  in  $S_0$  and  $h$  in  $C$  and show that  $|\tilde{h}|$  is weakly equivalent to  $h$ .

**Proposition 8.2:** Let  $\pi$  be a  $k$ -module. Then  $\mathcal{S}K(\pi, n)$  in  $S_0$  is an E-M space of type  $(\pi, n)$ .

**Proof:** We first note that  $\mathcal{S}K(\pi, n)$  is fibrant (since  $K(\pi, n)$  is fibrant and  $\mathcal{S}$  preserves fibrations) and recall that  $\mathcal{S}'X = \mathcal{S}X$  when  $X$  is fibrant in  $C$  (where  $\mathcal{S}': \text{Ho}C \rightarrow \text{Ho}S_0$ ). We next observe that it suffices to show that  $\mathcal{S}'K(\pi, n)$  represents cohomology for reduced simplicial sets and consider the following sequence of natural isomorphisms of functors  $\text{Ho}S_0 \rightarrow \text{Ab}$ :

$$\begin{aligned} \text{Ho}S_0(\_, \mathcal{S}'K(\pi, n)) &\simeq \text{Ho}C(|\_|, K(\pi, n)) && \text{(using } |\_| \text{ -- } | \mathcal{S}' \text{)} \\ &\simeq H^n(|\_|; \pi) && \text{(using theorem 11.4)} \\ &\simeq H^n(\_; \pi) && \text{(using } \lambda: \hat{U}|\_| \rightarrow C_0^N \text{ and} \\ &&& \text{the universal coefficient theorem for } M \text{).} \end{aligned}$$

**Lemma 8.3:** There is a weak equivalence  $\phi_K: |\tilde{K}(\pi, n)| \rightarrow K(\pi, n)$  in  $C$  such that the adjoint map  $\tilde{\phi}_K: \tilde{K}(\pi, n) \rightarrow \mathcal{S}K(\pi, n)$  in  $S_0$  is a weak equivalence.

I.e., the component of the unit  $\eta_{\tilde{K}(\pi, n)} = \tilde{\phi}_K: \tilde{K}(\pi, n) \rightarrow \mathcal{S}K(\pi, n)$  (for  $|\_|' \text{ -- } | \mathcal{S}'$ ) is an isomorphism in  $\text{ho}S_0$ .

**Proof:** Consider the following composition of isomorphisms:

$$H_0(|\tilde{K}(\pi, n)|) \xrightarrow{\lambda_*} H_0(\tilde{K}(\pi, n); k) \xrightarrow{\cong} K(\pi, n) \quad \text{in } C^\#.$$

Using lemma 3.3, there is a weak equivalence  $\phi_K: |\tilde{K}(\pi, n)| \rightarrow K(\pi, n)$  in  $C$  which agrees with the above composition in homo-

logy.

We next show that the adjoint map  $\tilde{\phi}_K: \tilde{K}(\pi, n) \longrightarrow \mathcal{S}K(\pi, n)$  in  $S_0$  is a weak equivalence when  $\pi$  is a finite dimensional  $k$ -module (this assumption is used in only one step in this part of the proof). As a preliminary, note that  $H_*(\mathcal{S}K(\pi, n); k)$  is isomorphic to  $K(\pi, n) = S'(\pi_n)$ :  $\mathcal{S}K(\pi, n)$  is an E-M space of type  $(\pi, n)$ , and so there is a homotopy equivalence  $f: \tilde{K}(\pi, n) \longrightarrow \mathcal{S}K(\pi, n)$  in  $S_0$  (with homotopy inverse denoted by  $g$ ). The following composition then gives our desired isomorphism:

$$H_*(\mathcal{S}K(\pi, n); k) \xrightarrow{\cong} H_*(\tilde{K}(\pi, n); k) \xrightarrow{\cong} K(\pi, n) \text{ in } C^\#.$$

Now consider the component of the unit  $\eta_{\tilde{K}(\pi, n)} = \tilde{\phi}_K: \tilde{K}(\pi, n) \longrightarrow \mathcal{S}K(\pi, n)$  (for  $| \cdot |' = | \mathcal{S} |$ ) and note that  $|\eta_{\tilde{K}(\pi, n)}|': |\tilde{K}(\pi, n)|' \longrightarrow |\mathcal{S}K(\pi, n)|'$  in  $hoC$  has a left inverse  $|\tilde{\phi}_K|'$  (using one of the triangle identities for a unit and counit). This implies that  $H_*|\tilde{\phi}_K|': H_*|\tilde{K}(\pi, n)|' \longrightarrow H_*|\mathcal{S}K(\pi, n)|'$  has a left inverse and is, thus, injective. Then considering the following commutative diagram:

$$\begin{array}{ccccc} H_*(\tilde{K}(\pi, n); k) & \xleftarrow{\lambda_*} & H_*|\tilde{K}(\pi, n)| & \xrightarrow{\cong} & H_*|\tilde{K}(\pi, n)|' \\ \downarrow H_*(\tilde{\phi}_K; k) & & \downarrow H_*(|\tilde{\phi}_K|) & & \downarrow H_*(|\tilde{\phi}_K|') \\ H_*(\mathcal{S}K(\pi, n); k) & \xleftarrow{\lambda_*} & H_*|\mathcal{S}K(\pi, n)| & \xrightarrow{\cong} & H_*|\mathcal{S}K(\pi, n)|' \end{array},$$

we can conclude that  $H_*(|\tilde{\phi}_K|)$  is injective and, thus, so is  $H_*(\tilde{\phi}_K; k)$ . But both  $H_*(\tilde{K}(\pi, n); k)$  and  $H_*(\mathcal{S}K(\pi, n); k)$  are isomorphic to the cofree coalgebra  $S'(\pi_n)$  which has the module of primitives  $PS'(\pi_n) = \pi_n$ . And so (viewing each isomorphism as an equality) we obtain an injective map  $PH_*(\tilde{\phi}_K; k): \pi \longrightarrow \pi$  which is, thus, an isomorphism (since  $\pi$  is finite dimensional); which allows us to conclude that  $H_*(\tilde{\phi}_K; k)$  is an isomor-

phism. Finally since  $\tilde{K}(\pi, n)$  and  $\mathcal{S}K(\pi, n)$  are both rational (this notion is defined in section 10) and fibrant (using prop. 10.2 for the latter), prop. 10.1 implies that  $\tilde{\phi}_K$  is a homotopy equivalence and, thus, a weak equivalence in  $S_0$ .

We now show that the adjoint map  $\tilde{\phi}_K$  in  $S_0$  is a weak equivalence for arbitrary  $\pi$ . With  $\pi$  a directed colimit of its finite dimensional sub  $k$ -modules  $\pi_\alpha$  and the isomorphism  $H_0[\tilde{K}(\pi, n)] \rightarrow K(\pi, n)$  from the beginning of this proof natural in  $\pi$ , we apply the commutativity property of lemma 3.3 to conclude that the family of maps  $(\phi_K(\pi_\alpha): |\tilde{K}(\pi_\alpha, n)| \rightarrow K(\pi_\alpha, n))$  gives a morphism between directed systems. It follows that the family of adjoint maps  $(\tilde{\phi}_K(\pi_\alpha): \tilde{K}(\pi_\alpha, n) \rightarrow \mathcal{S}K(\pi_\alpha, n))$  is also a morphism between directed systems. Now  $\tilde{K}$  and  $\mathcal{S}K$  preserve directed colimits in  $\pi$ , and, since  $\tilde{\phi}_K(\pi_\alpha)$  give  $k$ -homology isomorphisms, we can conclude that  $\tilde{\phi}_K: \tilde{K}(\pi, n) \rightarrow \mathcal{S}K(\pi, n)$  does as well; hence,  $\tilde{\phi}_K$  is a weak equivalence (using the same argument as above for finite dimensional  $\pi$ ).

**Proposition 8.4:** Let  $\pi$  be a  $k$ -module. There is a commutative diagram:

$$\begin{array}{ccc} |\tilde{E}(\pi, n)| & \xrightarrow{\phi_E} & E(\pi, n) \\ \tilde{h} \downarrow & & \downarrow h \\ |\tilde{K}(\pi, n)| & \xrightarrow{\phi_K} & K(\pi, n) \end{array} \quad \text{in } C, \quad (8.2)$$

where the horizontal maps  $\phi_K$ ,  $\phi_E$  are weak equivalences in  $C$  and the related adjoint maps  $\tilde{\phi}_K: \tilde{K}(\pi, n) \rightarrow \mathcal{S}K(\pi, n)$ ,  $\tilde{\phi}_E: \tilde{E}(\pi, n) \rightarrow \mathcal{S}E(\pi, n)$  are weak equivalences in  $S_0$  (and where  $\tilde{K}(\pi, n)$  and  $\tilde{E}(\pi, n)$  use only the abelian group structure of  $\pi$ ).

Proof: We need only show that there is a map  $\phi_E$  with the required properties. To this end, note that the contractibility of  $\tilde{E}(\pi, n)$  implies that  $|\tilde{E}(\pi, n)|$  is left contractible (recall from the proof of lemma 7.8 that  $| \cdot |$  preserves left homotopic maps). Now  $|\tilde{h}|$ , being a map out of  $|\tilde{E}(\pi, n)|$ , is left null homotopic; and so the composition  $\phi_K |\tilde{h}|$  is null homotopic. And, thus, by lemma 11.1 there is a map  $\phi_E$  which makes the above diagram commutative. But  $\phi_E$  is necessarily null homotopic (being a map with contractible codomain) and, hence, is a weak equivalence in  $C$ . That the adjoint map  $\tilde{\phi}_E: \tilde{E}(\pi, n) \rightarrow \mathcal{S}E(\pi, n)$  in  $S_0$  is a weak equivalence is also immediate: here the contractibility of  $\mathcal{S}E(\pi, n)$  follows from that of  $E(\pi, n)$  (using lemma 7.5), and so  $\tilde{\phi}_E$  is null homotopic and, hence, a homotopy equivalence; which in turn implies that it is a weak equivalence.

### 9. The realization of induced fibrations.

The main result of this section is theorem 9.2. With the fibration  $\tilde{h}: \tilde{E}(\pi, n) \longrightarrow \tilde{K}(\pi, n)$  in  $S_0$  related (by diagram (8.2)) to the fiber bundle  $h: E(\pi, n) \longrightarrow K(\pi, n)$  in  $C$ , using this theorem we will be able to conclude that the realization of a fibration in  $S_0$  induced from  $\tilde{h}$  is weakly equivalent to a fiber bundle in  $C$  induced from  $h$ . In theorem 9.7, the basic theorem is then applied to a tower of fibrations under  $X$  in  $S_0$ .

In our proof of theorem 9.2, we will make use of the following lemma.

First we recall the notion of a comma category. Let  $\tilde{C}$  be an arbitrary category, and let  $B$  be an object in  $\tilde{C}$ . The category of objects over  $B$ , denoted  $(\tilde{C} \downarrow B)$ , is the category with each object being a map  $f: D \longrightarrow B$  in  $\tilde{C}$  and a morphism  $h: f \longrightarrow f'$  being a map  $h: D \longrightarrow D'$  in  $\tilde{C}$  for which  $f'h = f$ .

We remark that it is easily shown that the obvious forgetful functor  $(\tilde{C} \downarrow B) \longrightarrow \tilde{C}$  preserves, reflects, and creates pushouts. In particular, we will make use of the fact that a pushout in  $(\tilde{C} \downarrow B)$  is given simply by the pushout in  $\tilde{C}$ .

**LEMMA 9.1:** Let  $f: B' \longrightarrow B$  be a map in  $\tilde{C}$  and consider the

functors  $(\tilde{C} \downarrow B') \xleftarrow[\quad]{f_*} (\tilde{C} \downarrow B)$ , where  $f_*$  on objects is given by

$f_*(X \xrightarrow{h} B') = fh$ , and  $f^*$  on objects is given by the pullback along  $f$ . It is easily shown that  $f_* \dashv f^*$ . When  $\tilde{C} = \text{Sets}$ ,  $f^*$  also has a right adjoint  $f_!$ ; i.e.,  $f_* \dashv f^* \dashv f_!$ .

For this last property of Sets, see, e.g., [10] (in this

reference different notations for  $f_*$  and  $f_!$  are used). We will make use of the fact that since  $f^*$  has a right adjoint, it, in particular, preserves pushouts.

This lemma is used in showing that the outer square of diagram (9.4), which occurs in the proof of the next theorem, is bicartesian in  $S_0$ .

Let  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  be a fibration in  $S_0$ , and let  $h: E \rightarrow K$  be a fiber bundle in  $C$ ; then  $\tilde{h}$  is related to  $h$  if there is a commutative square of the form:

$$\begin{array}{ccc} |\tilde{E}| & \xrightarrow{\varphi_{\tilde{E}}} & E \\ |\tilde{h}| \downarrow & & \downarrow h \\ |\tilde{K}| & \xrightarrow{\varphi_{\tilde{K}}} & K \end{array} \quad \text{in } C,$$

where the horizontal maps are weak equivalences.

We remark that if  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  is a fibration in  $S_0$  which is related to the fiber bundle  $h: E \rightarrow K$  in  $C$  with  $K$  fibrant (and it is assumed that the obvious map  $|\tilde{F}| \rightarrow F$  is a weak equivalence, with  $\tilde{F}$  and  $F$  the fibers of  $\tilde{h}$  and  $h$ , respectively), then the proof of the following basic theorem remains valid except for the treatment given in lemma 9.4 for the special case where the base is  $\bar{\Delta}_1$ . This basic theorem is expected to be true in more general situations with some additional hypothesis imposed on the fibration  $\tilde{h}$ .

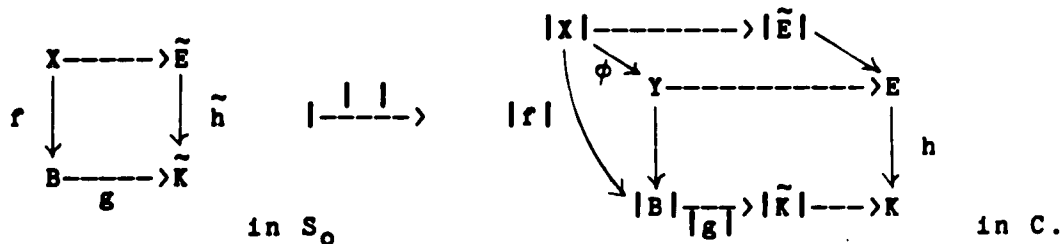
**Basic theorem 9.2:** Let  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  in  $S_0$  denote the fibration  $\tilde{h}: \tilde{E}(\pi, m) \rightarrow \tilde{K}(\pi, m)$ , and let  $h: E \rightarrow K$  in  $C$  denote the fiber bundle  $h: E(\pi, m) \rightarrow K(\pi, m)$  where  $m > 1$  (and let  $\tilde{F}$  and  $F$  denote the fibers of  $\tilde{h}$  and  $h$ , respectively).

Let  $f: X \rightarrow B$  be a pullback in  $S_0$  of  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  along a map

$g: B \rightarrow \tilde{K}$ . Let  $Y \rightarrow |B|$  be a pullback in  $C$  of  $h: E \rightarrow K$  along  $|B| \xrightarrow{|g|} |\tilde{K}| \rightarrow K$ . Let  $\phi: |X| \rightarrow Y$  be the unique map into the pullback  $Y$  such that  $|X| \xrightarrow{\phi} Y \rightarrow E = |X| \rightarrow |\tilde{E}| \rightarrow E$  and  $|X| \xrightarrow{\phi} Y \rightarrow |B| = |f|$ . And so we have  $\begin{array}{ccc} |X| & \xrightarrow{\phi} & Y \\ |f| \searrow & & \swarrow \\ & |B| & \end{array}$  commutes;

then  $\phi$  is a weak equivalence.

We give the following diagrams for reference:



The proof of this theorem will make use of two special cases:

**LEMMA 9.3** (Special Case where the base is a coproduct):

Let  $f: X \rightarrow B_1 \vee B_2$  be a fibration in  $S_0$  which gives



Let  $X_{B_1}$  ( $i = 1, 2$ ) be given by a pullback of  $f: X \rightarrow B_1 \vee B_2$  along  $B_1 \rightarrow B_1 \vee B_2$ . And let  $Y_{B_1}$  ( $i = 1, 2$ ) be given by a pullback of  $Y \rightarrow |B_1 \vee B_2|$  along  $|B_1| \rightarrow |B_1 \vee B_2|$ . Now let  $\phi_i: |X_{B_1}| \rightarrow Y_{B_1}$  be the obvious map into the pullback. If  $\phi_i$  ( $i = 1, 2$ ) are weak equivalences, then so is  $\phi$ .

**Proof:** Note that the induced fibrations  $X_{B_1} \rightarrow B_1$  ( $i = 1, 2$ ) and  $f$  have the same fiber  $\tilde{F}$  as  $\tilde{h}$ ; and consider the commutative diagram:

$$\begin{array}{ccc}
 \tilde{F} & \xrightarrow{\quad} & X_{B_2} \\
 \downarrow & & \downarrow \\
 X_{B_1} & \xrightarrow{\quad} & X
 \end{array}
 \quad \text{in } S_0. \quad (9.1)$$

We claim that this diagram is a pushout in  $S_0$ . We remark that this diagram is then also a pullback (since it is a pushout in  $S_0$  with two injections as opposite maps) and is, thus, bicartesian.

The proof of our claim is, in essence, an exercise in coproducts in  $Sets_0$  and pullbacks in  $Sets$ . For  $A$  and  $A'$  in  $S_0$ , the standard construction of the coproduct  $A \vee A'$  in degree  $n$  is given by an identification of the disjoint union:  $(A \vee A')_n = ((A_n \sqcup A'_n) / a_n \sim a'_n, \overline{a_n})$  where e.g.,  $(A)_n = (A_n, a_n)$  in  $Sets_0$ . And so for  $B_1 \vee B_2$ , let  $b_n^i$  be the point of the pointed set  $(B_i)_n$  ( $i = 1, 2$ ); and let  $b_n (= \overline{b_n^1} = \overline{b_n^2})$  be the identified point of  $(B_1 \vee B_2)_n$ . Now for simplicity view the pullbacks  $X_{B_i}$  ( $i = 1, 2$ ) and diagram (9.1) as being in  $S_R$  using the isomorphism  $S_0 \xrightarrow{\quad} S_R$  (and for convenience retain the same symbols for them). It suffices to show that this diagram has in each degree the universal mapping property of a pushout in  $Sets$ . The pullbacks  $\tilde{F}$  and  $X_{B_i}$  ( $i = 1, 2$ ) give pullbacks in each degree, and, using the standard construction of pullbacks in  $Sets$ , our diagram in degree  $n$  is given by

$$\begin{array}{ccc}
 (b_n) \times f_n^{-1}(b_n) & \xrightarrow{\quad} & \cup (b_2) \times f_n^{-1}(\overline{b_2}) \\
 \downarrow & & \downarrow \\
 \cup (b_1) \times f_n^{-1}(\overline{b_1}) & \xrightarrow{\quad} & X_n
 \end{array}
 \quad \text{in } Sets.$$

$b_2 \in (B_2)_n$

$b_1 \in (B_1)_n$

But note that  $X_n = X_1 \sqcup f_n^{-1}(b_n) \sqcup X_2$  where  $X_i = \bigcup_{b_1} f_n^{-1}(\overline{b_1})$  with the union over all  $b_1 \in (B_1)_n$  provided  $\overline{b_1} \neq b_n$  ( $i=1,2$ ). With  $X_n$  expressed as a coproduct, it is easily shown that this last diagram is a pushout in Sets using the universal mapping property for this coproduct. Finally, our use of the standard constructions in this proof has not resulted in any loss of generality.

Now consider the diagram which is analogous to diagram (9.1):

$$\begin{array}{ccc} F & \xrightarrow{\quad} & Y_{B_2} \\ \downarrow & & \downarrow \\ Y_{B_1} & \xrightarrow{\quad} & Y \end{array} \quad \text{in } C. \quad (9.2)$$

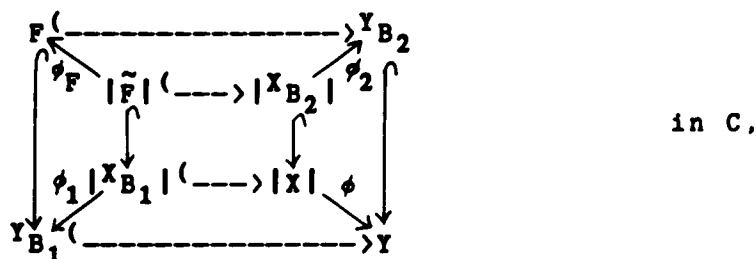
We will show that this diagram is a pushout in  $M$ . First note that all coalgebras in this square are the total spaces of fibrations induced from  $h$ . And so if we apply the forgetful functor  $U: C \rightarrow C^\#$  to this diagram in conjunction with diagrams of the type (8.1), we obtain the commutative diagram:

$$\begin{array}{ccc} k \otimes F & \xrightarrow{\quad} & |B_2| \otimes F \\ \downarrow & & \downarrow \\ |B_1| \otimes F & \xrightarrow{\quad} & (|B_1| \vee |B_2|) \otimes F \end{array} \quad (9.3)$$

Note that, by neglecting the comultiplication structures, we can regard this as a square in  $M^\#$ . But if we view the coproduct  $|B_1| \vee |B_2|$  as a pushout (in  $M^\#$ ) and then apply the functor  $-\otimes F$  we also obtain the above diagram. But  $-\otimes F$  preserves pushouts (since it is an exact functor); and, thus, diagram (9.3) is a pushout in  $M^\#$ . And so diagram (9.2), when regarded in  $M^\#$ , is a pushout (using the isomorphisms of the

type present in (8.1)). Finally, since the forgetful functor  $\tilde{U}: M \rightarrow M^\#$  reflects pushouts, we can conclude that diagram (9.2) is a pushout when regarded in  $M$ .

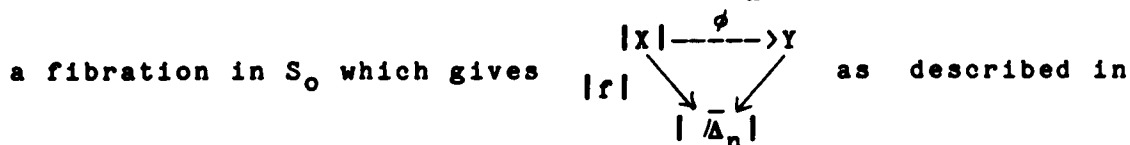
Now consider the commutative diagram:



where the inner square is obtained by applying the realization functor  $| \cdot |$  to diagram (9.1), and  $\phi_F$  is the evident map. Since  $| \cdot |$  preserves pushouts, the inner square is a pushout in  $C$  and is, thus, a pushout in  $M$  as is the outer square. We have assumed that the maps  $\phi_1$  and  $\phi_2$  give homology isomorphisms.  $\phi_F$  does as well. Finally, since all vertical (or horizontal) maps in this diagram are monic, we can conclude that  $\phi$  gives an isomorphism in homology and is, thus, a weak equivalence in  $C$ .

This lemma is valid where the base is an infinite co-product and can be proved using a directed colimit argument.

**Lemma 9.4** (Special case where base is  $\bar{\Delta}_n$ ): Let  $f: X \rightarrow \bar{\Delta}_n$  be



the statement of this theorem. Then  $\phi$  is a weak equivalence.

**Proof:** We first consider the case for  $n = 1$  (recall that  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  in  $S_0$  denoted the fibration  $\tilde{h}: \tilde{E}(\pi, m) \rightarrow \tilde{K}(\pi, m)$ , and  $h: E$

$\longrightarrow K$  in  $C$  denoted the fiber bundle  $h: E(\pi, m) \longrightarrow K(\pi, m)$ . Note that the map  $g: \bar{\Delta}_1 \longrightarrow \tilde{K}(\pi, m)$  is the trivial map (since  $(\tilde{K}(\pi, m))_1 = 0$  for  $m > 1$ ); and so the pullback of  $\tilde{h}: \tilde{E}(\pi, m) \longrightarrow \tilde{K}(\pi, m)$  along the trivial map  $\bar{\Delta}_1 \longrightarrow * \longrightarrow \tilde{K}(\pi, m)$  gives the projection  $\bar{\Delta}_1 \times \tilde{K}(\pi, m-1) \longrightarrow \bar{\Delta}_1$  for the induced fibration  $f$ . But then the pullback of  $h: E(\pi, m) \longrightarrow K(\pi, m)$  along the trivial map  $\phi_K |g|: |\bar{\Delta}_1| \longrightarrow K(\pi, m)$  also gives the projection  $|\bar{\Delta}_1| \otimes K(\pi, m-1) \longrightarrow |\bar{\Delta}_1|$  for the induced fibration  $Y \longrightarrow |\bar{\Delta}_1|$ . The unique map  $\phi: |X| \longrightarrow Y$ , thus, has the form  $\phi: |\bar{\Delta}_1 \times \tilde{K}(\pi, m-1)| \longrightarrow |\bar{\Delta}_1| \otimes K(\pi, m-1)$ . Finally, it is easily shown that  $\phi = |\bar{\Delta}_1 \times \tilde{K}(\pi, m-1)| \longrightarrow |\bar{\Delta}_1| \otimes |\tilde{K}(\pi, m-1)| \xrightarrow{\text{id} \mid \bar{\Delta}_1 \mid \otimes \phi} |\bar{\Delta}_1| \otimes K(\pi, m-1)$ ; and so  $\phi$  is a weak equivalence using theorem 4.8.

Now consider the general case where  $n > 1$ . Let  $W$  equal the  $n$  edges of a reduced  $n$ -horn; i.e.,  $W = \bar{\Delta}_1 V \cdots V \bar{\Delta}_1$  ( $n$  co-products) in  $S_0$ . And let  $X_W$  be a pullback in  $S_0$  of the map  $f: X \longrightarrow \bar{\Delta}_n$  along the weak equivalence  $W \xrightarrow{(\quad)} \bar{\Delta}_n$ . Note that  $f$  is a surjective fibration in  $S_0$  (since it is induced from  $\tilde{h}: \tilde{E}(\pi, m) \longrightarrow \tilde{K}(\pi, m)$ , which is surjective ( $m > 1$ )) and, hence, is a fibration in  $S$ ; and so viewing  $X_W$  as a pullback in  $S$  of  $f$  along the above weak equivalence, we can conclude that  $X_W \longrightarrow X$  is a weak equivalence (recall that in  $S$  weak equivalences are preserved under pullbacks of arbitrary fibrations-- i.e., the bases need not be fibrant). Now let  $Y_W$  be a pullback in  $C$  of  $Y \longrightarrow |\bar{\Delta}_n|$  along  $|W| \xrightarrow{(\quad)} |\bar{\Delta}_n|$ . Note that  $|W| \xrightarrow{(\quad)} |\bar{\Delta}_n|$  is a weak equivalence; and so  $Y_W \xrightarrow{(\quad)} Y$  is a weak

equivalence (using prop. 5.10). Let  $\phi_W: |X_W| \dashrightarrow Y_W$  be the unique map into the pullback  $Y_W$  such that  $|X_W| \xrightarrow{\phi_W} Y_W \dashrightarrow |W| = |X_W| \dashrightarrow |W|$  and  $|X_W| \xrightarrow{\phi_W} Y_W \dashrightarrow Y = |X_W| \dashrightarrow |X| \xrightarrow{\phi} Y$ . Using this last equality, note that once we have shown that  $\phi_W$  is a weak equivalence, then so is  $\phi$  which is our desired conclusion (since then three out of the four maps are weak equivalences). To this end in showing that  $\phi_W$  is a weak equivalence, observe that  $|W| = |\bar{\Delta}_1| \vee \cdots \vee |\bar{\Delta}_1|$ , and so the base of  $Y_W \dashrightarrow |W|$  is a coproduct. The coproduct lemma 9.3, thus, reduces the proof to the case where  $n = 1$ .

**Proof of theorem 9.2:** The proof is by induction on the dimension of the base  $B$ .

A base which has dimension zero is necessarily a null object  $\bullet$  in  $S_0$ . But then a pullback in  $S_0$  of  $\tilde{h}: \tilde{E} \dashrightarrow \tilde{K}$  along  $\bullet \dashrightarrow \tilde{K}$  gives the fiber  $\tilde{F}$  of  $\tilde{h}$ . Recall that  $|\bullet| = k$ , which is the null object in  $C$ . And so a pullback in  $C$  of  $h: E \dashrightarrow K$  along  $k = |\bullet| \dashrightarrow |\tilde{K}| \dashrightarrow K$  gives the fiber  $F$  of  $h$ . And so we obtain  $\phi: |\tilde{F}| \dashrightarrow F$ , which is a weak equivalence.

We now consider the construction of the following commutative diagram:

$$\begin{array}{ccc}
 \hat{X} & \dashrightarrow & \tilde{X} \\
 \downarrow & \searrow & \swarrow \\
 & V/\bar{\Delta}_n & V/\bar{\Delta}_n \\
 & \downarrow & \downarrow \\
 & B^{n-1} & B^n \\
 \downarrow & \dashrightarrow & \downarrow \\
 X^{(n-1)} & \dashrightarrow & X^{(n)}
 \end{array}
 \quad \text{in } S_0. \quad (9.4)$$

For this, let  $B^k$  be the  $k$ -skeleton of  $B$ . And let  $X^{(k)}$  be a pullback in  $S_0$  of  $f: X \dashrightarrow B$  along  $B^k \dashrightarrow B$  ( $k \geq 0$ ). Note that

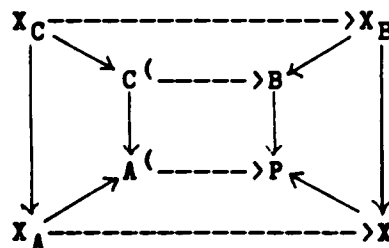
there is an obvious map  $X^{(n-1)} \rightarrow X^{(n)}$  into the pullback  $X^{(n)}$ ; this makes the bottom trapezoid in diagram (9.4) commutative and also a pullback. The inner square is the basic pushout diagram (2.2) in  $S_0$ . Now let  $\tilde{X}$  and  $\hat{X}$  be pullbacks of the fibrations  $X^{(n)} \rightarrow B^n$  and  $X^{(n-1)} \rightarrow B^{n-1}$ , respectively, along the maps indicated in the above diagram. Finally let  $\hat{X} \rightarrow \tilde{X}$  be the unique map into the pullback  $\tilde{X}$  such that the outer square and top trapezoid both commute.

**Claim:** The outer square of diagram (9.4) is bicartesian in  $S_0$ .

**Proof:** The inner square, being a pushout in  $S_0$  of two opposite injections, is also a pullback. It necessarily follows that the outer square is a pullback using the basic properties of pullbacks in a category. To show that the outer square is also a pushout, it is sufficient to show that this square is a pushout in each degree since the forgetful functor from  $S_0$  to graded Sets reflects pushouts.

This claim is, thus, a consequence of the following lemma.

**Lemma 9.5:** Let the following be a commutative diagram:



in Sets.

where the inner square is a pushout, where  $X_B$  and  $X_C$  are pullbacks of  $X \rightarrow P$  and  $X_A \rightarrow A$ , respectively, along the maps

indicated in the diagram, and where the bottom trapezoid is also a pullback. Then the outer square is a pushout in Sets.

We remark that the inner square, being a pushout in Sets of two opposite injections, is also a pullback. And so the inner square is bicartesian and, with this lemma, so is the outer square.

**Proof:** That the outer square is a pushout is an immediate consequence of lemma 9.1. To see this, let  $f$  equal the map  $X \rightarrow P$ . Then applying the functor  $f^*$  to the inner square one obtains the outer square with  $X_A = f^*(A)$ ,  $X_B = f^*(B)$ , and  $f^*(C) =$  the pullback of  $C \rightarrow A \rightarrow P$  along  $f$ . This last pullback is equivalent that  $f^*(C)$  be the pullback of  $C \rightarrow A$  along  $f^*(A) \rightarrow A$ . But since  $f^*$  is a left adjoint, it must preserve pushouts in  $(\text{Sets} \downarrow P)$  which, in turn, are given simply by pushouts in Sets. And so we can conclude that the outer square is a pushout in Sets.

Now that diagram (9.4) is shown to be bicartesian, we can observe that the top map  $\hat{X} \rightarrow \tilde{X}$  is monic since it is a pullback of a monic.

Now consider the application of the realization functor  $| \cdot |$  to diagram (9.4) to obtain the following commutative diagram:

$$\begin{array}{ccc}
 |\hat{X}| & \xrightarrow{\quad\quad\quad} & |\tilde{X}| \\
 \downarrow & \searrow \downarrow & \swarrow \downarrow \\
 |V \Delta_n| & \xrightarrow{\quad\quad\quad} & |V \bar{\Delta}_n| \\
 \downarrow & & \downarrow \\
 |B^{n-1}| & \xrightarrow{\quad\quad\quad} & |B^n| \\
 \downarrow & \swarrow \downarrow & \searrow \downarrow \\
 |X^{(n-1)}| & \xrightarrow{\quad\quad\quad} & |X^{(n)}|
 \end{array}
 \quad \text{in } C. \quad (9.5)$$

Note that all the horizontal maps are monic since  $| \quad |$  preserves monomorphisms. But since  $| \quad |$  also preserves pushouts, the two squares are pushouts in  $C$ ; and, hence, pushouts in  $M$ . But recall that a pushout diagram with opposite monomorphisms in the abelian category  $M$  is also a pullback; and so we can conclude that, when the above diagram is viewed in  $M$ , the two squares are bicartesian.

Now consider the analogue of diagram (9.4):

$$\begin{array}{ccc}
 \hat{Y} & \xrightarrow{\quad\quad\quad} & \tilde{Y} \\
 \downarrow & \begin{array}{c} \searrow \\ |V/\Delta_n^+| \xrightarrow{\quad\quad} |V/\Delta_n^-| \\ \downarrow \qquad \qquad \downarrow \end{array} & \downarrow \\
 Y^{(n-1)} & \begin{array}{c} \nearrow \\ |B^{n-1}| \xrightarrow{\quad\quad} |B^n| \\ \downarrow \qquad \qquad \downarrow \end{array} & Y^{(n)} \\
 \downarrow & \xrightarrow{\quad\quad\quad} & \downarrow \\
 Y^{(n-1)} & \xrightarrow{\quad\quad\quad} & Y^{(n)}
 \end{array}
 \quad \text{in } C. \quad (9.6)$$

Here,  $Y^{(k)}$  is a pullback in  $C$  of the fibration  $Y \rightarrow |B|$  along  $|B^k| \rightarrow |B|$  ( $k \geq 0$ ). Again note that there is an obvious map  $Y^{(n-1)} \rightarrow Y^{(n)}$  into the pullback  $Y^{(n)}$ ; this makes the bottom trapezoid commutative and also a pullback. Now let  $\tilde{Y}$  and  $\hat{Y}$  be pullbacks of the fibrations  $Y^{(n)} \rightarrow |B^n|$  and  $Y^{(n-1)} \rightarrow |B^{n-1}|$ , respectively, along the maps as indicated in the above diagram. Finally, let  $\hat{Y} \rightarrow \tilde{Y}$  be the unique map into the pullback  $\tilde{Y}$  such that the outer square and top trapezoid both commute.

Note that the top trapezoid in diagram (9.6) is a pullback in  $C$  using the basic properties of pullbacks in a category. And so the map  $\hat{Y} \rightarrow \tilde{Y}$  is monic since it is the pullback of a monic.

**Claim:** The outer square of (9.6) is bicartesian when re-

garded as a square in  $M$ .

Proof: The basic approach taken here is similar to that taken in proving part of the coproduct lemma 9.3 (with the outer square of (9.6) and diagram (9.2) serving analogous functions). First note that all coalgebras in the outer square of (9.6) are the total spaces of fibrations induced from  $h$ . And so if we apply the forgetful functor  $U:C \rightarrow C^\#$  to this square in conjunction with diagrams of the type (8.1), we obtain the commutative diagram:

$$\begin{array}{ccc} |V/\overset{\dagger}{\Delta}_n| \otimes F & \xrightarrow{\quad} & |V/\bar{\Delta}_n| \otimes F \\ \downarrow & & \downarrow \\ |B^{n-1}| \otimes F & \xrightarrow{\quad} & |B^n| \otimes F \end{array} .$$

By neglecting the comultiplication structures, we can regard this as a diagram in  $M^\#$ . Note that if we apply the functor  $-\otimes F$  to the inner square of (9.6) (viewed as a square in  $M^\#$ ), we also obtain this diagram. But recall we have shown that the inner square of (9.6) is bicartesian in  $M$  and, hence, bicartesian in  $M^\#$ ; and so, since  $-\otimes F$  (being an exact functor) preserves bicartesian squares, we can conclude that the above diagram is bicartesian in  $M^\#$ . And so the outer square of (9.6), when regarded in  $M^\#$ , is bicartesian (using the isomorphisms of the type present in (8.1)). Finally, since the forgetful functor  $\tilde{U}:M \rightarrow M^\#$  reflects pushouts and pullbacks, we can conclude that the outer square of (9.6) is bicartesian when regarded in  $M$ .

We now consider the construction of the following commutative diagram:



$|\tilde{K}| \rightarrow K$ . And so since  $B^{n-1}$  is  $(n-1)$ -dimensional,  $\phi^{n-1}$  is a weak equivalence by the induction hypothesis.

Also note  $\hat{X} \rightarrow V/\hat{\Delta}_n$  is a pullback of  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  along  $g' = V/\hat{\Delta}_n \rightarrow B^{n-1} \xrightarrow{g} \tilde{K}$ , and 
$$\begin{array}{ccc} |\hat{X}| & \xrightarrow{\hat{\phi}} & \hat{Y} \\ & \searrow & \swarrow \\ & V/\hat{\Delta}_n & \end{array}$$
 commutes where  $\hat{Y} \rightarrow$

$|V/\hat{\Delta}_n|$  a pullback of  $h: E \rightarrow K$  along  $|V/\hat{\Delta}_n| \xrightarrow{|g'|} |\tilde{K}| \rightarrow K$ . But  $V/\hat{\Delta}_n$  is  $(n-1)$ -dimensional (since  $\hat{\Delta}_n$  is); and so  $\hat{\phi}$  is a weak equivalence by the induction hypothesis.

We now show that  $|\tilde{X}| \xrightarrow{\tilde{\phi}} \tilde{Y}$  is a weak equivalence. Note that  $\tilde{X} \rightarrow V/\bar{\Delta}_n$  is a pullback of  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  along  $g' = V/\bar{\Delta}_n \rightarrow B^n \xrightarrow{g} \tilde{K}$ , and 
$$\begin{array}{ccc} |\tilde{X}| & \xrightarrow{\tilde{\phi}} & \tilde{Y} \\ & \searrow & \swarrow \\ & V/\bar{\Delta}_n & \end{array}$$
 commutes where  $\tilde{Y} \rightarrow |V/\bar{\Delta}_n|$

is a pullback of  $h: E \rightarrow K$  along  $|V/\bar{\Delta}_n| \xrightarrow{|g'|} |\tilde{K}| \rightarrow K$ . Observe that the base is the coproduct  $\bigvee_a |V/\bar{\Delta}_n| = |V/\bar{\Delta}_n|$ ; and apply lemma

9.3 by considering 
$$\begin{array}{ccc} |X_a| & \xrightarrow{\phi_a} & Y_a \\ & \searrow & \swarrow \\ & |/\bar{\Delta}_n| & \end{array}$$
 where, e.g., the fibration

$X_a \rightarrow |/\bar{\Delta}_n|$  is given as a pullback of  $\tilde{X} \rightarrow V/\bar{\Delta}_n$  along  $|/\bar{\Delta}_n| \xrightarrow{i_a} V/\bar{\Delta}_n$  (and is, hence, also induced from  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$ ). But  $\phi_a$  is a weak equivalence (for all  $a$ ) since the base is  $|/\bar{\Delta}_n|$ ; and so we can conclude that  $\tilde{\phi}$  is a weak equivalence.

Now using the fact that the two outer squares of diagram (9.7) are bicartesian in  $M$ , we have the following diagram of short exact sequences:

$$\begin{array}{ccccccc}
0 \longrightarrow & |\hat{X}| & \longrightarrow & |X^{(n-1)}| \oplus |\tilde{X}| & \longrightarrow & |X^{(n)}| & \longrightarrow 0 \\
& \downarrow \hat{\phi} & & \downarrow \phi^{n-1} \oplus \tilde{\phi} & & \downarrow \phi^n & \\
0 \longrightarrow & \hat{Y} & \longrightarrow & Y^{(n-1)} \oplus \tilde{Y} & \longrightarrow & Y^{(n)} & \longrightarrow 0
\end{array}
\quad \text{in } M.$$

Note that the two left vertical maps are weak equivalences. And so considering the two Mayer-Vietoris long exact sequences in homology, and applying the 5-lemma, we can conclude that  $\phi^n$  is a weak equivalence.

And so we have the diagram

$$\begin{array}{ccccccc}
|X^{(0)}| & (\longrightarrow) & |X^{(1)}| & (\longrightarrow) & \dots & (\longrightarrow) & |X^{(n)}| & (\longrightarrow) & \dots & |X| \\
\downarrow \phi^0 & & \downarrow \phi^1 & & & & \downarrow \phi^n & & & \downarrow \phi \\
Y^{(0)} & (\longrightarrow) & Y^{(1)} & (\longrightarrow) & \dots & (\longrightarrow) & Y^{(n)} & (\longrightarrow) & \dots & Y
\end{array}
\quad \text{in } C,$$

where the vertical maps  $\phi^k$  ( $k \geq 0$ ) are weak equivalences. It remains to show that  $\phi$  is a weak equivalence. Now  $B$  is a sequential colimit of its  $n$ -skeletons; i.e.,  $B = \varinjlim_n B^n$ . Recalling that  $X^{(n)}$  is a pullback in  $S_0$  of  $f: X \longrightarrow B$  along  $B^n \longrightarrow B$ , we then obtain  $X = \varinjlim_n X^{(n)}$  (in the colimit, the pullback of  $f$  is along  $\text{id}_B$ ). We, thus, have  $|B| = \varinjlim_n |B^n|$  and  $|X| = \varinjlim_n |X^{(n)}|$  (since  $| \cdot |$  preserves colimits). Next recalling that  $Y^{(n)}$  is a pullback in  $C$  of  $Y \longrightarrow |B|$  along  $|B^n| \longrightarrow |B|$ , we also obtain  $Y = \varinjlim_n Y^{(n)}$ . And so we have that  $\phi: |X| \longrightarrow Y$  is given by  $\varinjlim_n \phi^n: \varinjlim_n |X^{(n)}| \longrightarrow \varinjlim_n Y^{(n)}$ . Now homology commutes with directed colimits. Thus,  $H_*(\phi) = H_*(\varinjlim_n \phi^n) = \varinjlim_n H_*(\phi^n)$ ; which allows us to conclude that  $\phi$  is a weak equivalence (since each  $\phi^n$  is a weak equivalence ( $n \geq 0$ )).

The following theorem extends the result of our basic theorem 9.2 to an induced fibration in  $C$  which is a pullback of  $h$  along any map which is homotopic to  $|B| \xrightarrow{|g|} |\tilde{K}| \longrightarrow K$ .

**Theorem 9.6:** As in the above theorem, let  $f: X \rightarrow B$  be a pullback in  $S_0$  of  $\tilde{h}: \tilde{E} \rightarrow \tilde{K}$  along a map  $g: B \rightarrow \tilde{K}$  and also let  $\tilde{h}$  be related to the fiber bundle  $h: E \rightarrow K$  in  $C$ . Let  $\tilde{g}: |B| \rightarrow K$  be a map in  $C$  which is homotopic to  $|B| \xrightarrow{|g|} |\tilde{K}| \rightarrow K$ . Let  $\tilde{Y} \rightarrow |B|$  be a pullback in  $C$  of  $h: E \rightarrow K$  along  $\tilde{g}$ . Then a) there is a map  $|X| \rightarrow E$  which is a lift of  $\tilde{g}|f|: |X| \rightarrow K$  over  $h$  with  $|X| \rightarrow E \sim |X| \rightarrow |\tilde{E}| \rightarrow E$ , and b) Let  $\tilde{\phi}: |X| \rightarrow \tilde{Y}$  be the unique map into the pullback  $\tilde{Y}$ , such that  $|X| \xrightarrow{\tilde{\phi}} \tilde{Y} \rightarrow E = |X| \rightarrow E$  and  $|X| \xrightarrow{\tilde{\phi}} \tilde{Y} \rightarrow |B| = |f|$ . And so we have

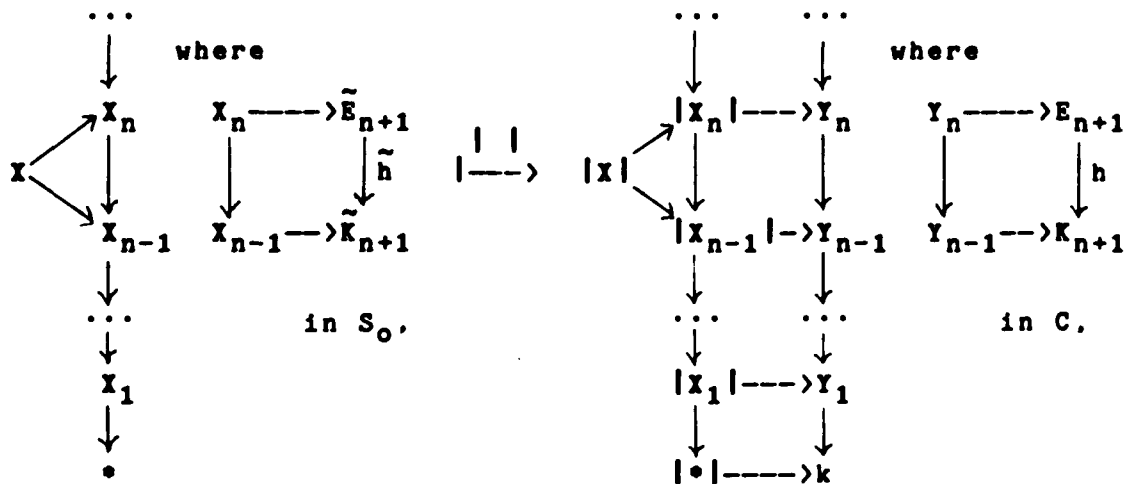
$$\begin{array}{ccc} & |X| \xrightarrow{\tilde{\phi}} \tilde{Y} & \\ & \swarrow \quad \searrow & \\ |f| & & |B| \end{array} \quad \text{commutes; then } \tilde{\phi} \text{ is a weak equivalence.}$$

**Proof:** From the basic theorem 9.2 we can conclude that  $\tilde{\phi}$  is a weak equivalence; the conclusion of this theorem is then a consequence of theorem 5.13 for an arbitrary model category.

We next apply our basic theorem to a tower of fibrations under  $X$  in  $S_0$ .

**Theorem 9.7:** Let  $\cdots \rightarrow X_n \rightarrow X_{n-1} \rightarrow \cdots \rightarrow X_1 \rightarrow *$  be a tower of fibrations under  $X$  in  $S_0$  where  $X_n \rightarrow X_{n-1}$  is a pullback of  $\tilde{h}: \tilde{E}_{n+1} \rightarrow \tilde{K}_{n+1}$  along a map  $X_{n-1} \rightarrow \tilde{K}_{n+1}$  ( $n > 0$ ) with  $\tilde{h}$  related to the fiber bundle  $h: E_{n+1} \rightarrow K_{n+1}$  in  $C$  (with  $K_{n+1}$  fibrant) as in Theorems 9.2 and 9.6. Also assume  $\tilde{K}_{n+1}$  is fibrant. Then applying the realization functor  $| \cdot |$  to this tower in  $S_0$  gives a tower of maps under  $|X|$  in  $C$  which is weakly equivalent to a tower of fiber bundles in  $C$ .

This is made more explicit by the following diagrams:



where  $|X_n| \dashrightarrow Y_n$   
 is a weak equivalence ( $n > 0$ ),

and where the two separate squares are pullbacks in  $S_0$  and  $C$ , respectively.

Proof: At the beginning of the construction when  $n = 1$ , note that a pullback in  $S_0$  of the fibration  $\tilde{h}: \tilde{E}_2 \dashrightarrow \tilde{K}_2$  along  $\ast \dashrightarrow \tilde{K}_2$  gives the fiber  $\tilde{F}_2 (\dashrightarrow \tilde{E}_2)$ . Similarly, a pullback in  $C$  of  $h: E_2 \dashrightarrow K_2$  along  $k \dashrightarrow K_2$  gives the fiber  $F_2 (\dashrightarrow E_2)$ . And so for  $|X_1| \dashrightarrow Y_1$  we can take the weak equivalence  $|\tilde{F}_2| \dashrightarrow F_2$ . Now assume that this tower of fiber bundles in  $C$  has been constructed up to and including stage  $n-1$ . That the construction of this tower can be extended to stage  $n$  follows from the next lemma; and, thus, the construction is complete.

We remark that  $Y_n$  is fibrant for all  $n$  since the composition  $Y_n \dashrightarrow Y_{n-1} \dashrightarrow \dots \dashrightarrow Y_1 \dashrightarrow k$  is the composition of fibrations.

In the following lemma, we retain the same setting and notation of the previous theorem.

**Lemma 9.8:** Let  $f_n: X_n \dashrightarrow X_{n-1}$  be a pullback in  $S_0$  of  $\tilde{h}: \tilde{E}_{n+1} \dashrightarrow \tilde{K}_{n+1}$  along a map  $g_{n-1}: X_{n-1} \dashrightarrow \tilde{K}_{n+1}$  with  $\tilde{h}$  related to the fiber bundle  $h: E_{n+1} \dashrightarrow K_{n+1}$  in  $C$ . And assume we have a weak equivalence  $\psi_{n-1}: |X_{n-1}| \dashrightarrow Y_{n-1}$  in  $C$  with  $Y_{n-1}$  fibrant. Then a) the map  $g_{n-1}$  can be replaced by a cofibration  $g'_{n-1}$  in such a way that  $f_n$  is a pullback in  $S_0$  of a fibration  $\tilde{h}$  along  $g'_{n-1}$  and where  $\tilde{h}$  is related to  $h$  as indicated below:

$$\begin{array}{ccc}
 X_n \xrightarrow{g_{n-1}} \tilde{E}_{n+1} & & |\tilde{E}_{n+1}| \xrightarrow{\phi_E^n} E_{n+1} \\
 f_n \downarrow & \tilde{h} \downarrow & |\tilde{h}| \downarrow h \\
 X_{n-1} \xrightarrow{g'_{n-1}} \tilde{K}_{n+1} & & |\tilde{K}_{n+1}| \xrightarrow{\phi_K^n} K_{n+1}
 \end{array} \quad (9.8)$$

b) there is a map  $g': Y_{n-1} \dashrightarrow K_{n+1}$  for which  $\phi_E^n |g'_{n-1}|$  is a lift of  $g' \psi_{n-1} |f_n|: |X_n| \dashrightarrow K_{n+1}$  over  $h$ , and c) the obvious map  $\psi_n: |X_n| \dashrightarrow Y_n$  into the pullback  $Y_n$  is a weak equivalence.

We give the following diagram for reference:

$$\begin{array}{ccccc}
 & & & & |\tilde{E}_{n+1}| \xrightarrow{\phi_E^n} E_{n+1} \\
 & & & \swarrow & \downarrow h \\
 |X_n| & \xrightarrow{\psi_n} & Y_n & \xrightarrow{\quad} & E_{n+1} \\
 |f_n| \downarrow & & \downarrow & & \downarrow h \\
 |X_{n-1}| & \xrightarrow{\psi_{n-1}} & Y_{n-1} & \xrightarrow{g'} & K_{n+1}
 \end{array} \quad \text{in } C, \quad (9.9)$$

and remark that  $g'$  satisfies  $g' \psi_{n-1} = \phi_K^n |g'_{n-1}|$ .

**Proof:** We first show that we can replace  $g_{n-1}$  by a cofibration. For this consider the construction of the following diagram:

$$\begin{array}{ccccc}
 X_n \xrightarrow{g_{n-1}} \tilde{E}_{n+1} \xrightarrow{p'} \tilde{E}_{n+1} & & & & \\
 f_n \downarrow & \tilde{h} \downarrow & & & \downarrow \tilde{h} \\
 X_{n-1} \xrightarrow{g'_{n-1}} \tilde{K}_{n+1} \xrightarrow{p} \tilde{K}_{n+1} & & & & \\
 & & & & \text{in } S_0.
 \end{array}$$

Here  $g_{n-1} =$  the composition  $X_{n-1} \xrightarrow{g'_{n-1}} \tilde{K}_{n+1} \xrightarrow{p} \tilde{K}_{n+1}$  of a cofibration  $g'_{n-1}$  followed by a trivial fibration  $p$  (note that

$\hat{K}_{n+1}$  is necessarily fibrant since  $\bar{K}_{n+1}$  is).  $\hat{E}_{n+1}$  is a pullback in  $S_0$  of  $\bar{h}$  along  $p$ ; and so  $p'$  is a trivial fibration. There is an obvious map  $X_n \xrightarrow{g_{n-1}^n} \hat{E}_{n+1}$  into the pullback  $\hat{E}_{n+1}$  such that the original map  $X_n \rightarrow \bar{E}_{n+1} =$  the composition  $X_n \xrightarrow{g_{n-1}^n} \hat{E}_{n+1} \xrightarrow{p'} \bar{E}_{n+1}$ . Note that the left square in the above diagram is a pullback (using the basic properties of pullbacks in a category). And so  $f_n$  is a pullback of the fibration  $\hat{h}$  along the cofibration  $g_{n-1}^n$ . We now show that  $\hat{h}$  in  $S_0$  is related to  $h$  in  $C$ . For this consider the commutative diagram:

$$\begin{array}{ccccc}
 |\hat{E}_{n+1}| & \xrightarrow{|p'|} & |\bar{E}_{n+1}| & \xrightarrow{\phi_E} & E_{n+1} \\
 |\hat{h}| \downarrow & & |\bar{h}| \downarrow & & \downarrow h \\
 |\hat{K}_{n+1}| & \xrightarrow{|p|} & |\bar{K}_{n+1}| & \xrightarrow{\phi_K} & K_{n+1}
 \end{array} \quad \text{in } C.$$

Let  $\phi'_K = \phi_K |p|$  and  $\phi'_E = \phi_E |p'|$ ; and since  $\phi'_K$  and  $\phi'_E$  are weak equivalences (each map is the composition of weak equivalences), we have that  $\hat{h}$  is related to  $h$  (via  $\phi'_K$  and  $\phi'_E$ ).

We now continue the proof. Note that  $\phi'_K |g_{n-1}^n| \in [ |X_{n-1}|, K_{n+1} ]$ . But  $(\psi_{n-1})^\# : [Y_{n-1}, K_{n+1}] \rightarrow [ |X_{n-1}|, K_{n+1} ]$  is a bijection in Sets since  $\psi_{n-1}$  is a weak equivalence and  $K_{n+1}$  is fibrant. In particular,  $(\psi_{n-1})^\#$  is surjective; and so there is a map  $g' : Y_{n-1} \rightarrow K_{n+1}$  such that  $g' \psi_{n-1} = \phi'_K |g_{n-1}^n|$ . Using the homotopy extension dual to lemma 5.12, there is a map  $\phi''_K : |\hat{K}_{n+1}| \rightarrow K_{n+1}$  with  $\phi''_K = \phi'_K$  such that  $g' \psi_{n-1} = \phi''_K |g_{n-1}^n|$ . Note that  $\phi''_K$  is a weak equivalence since  $\phi'_K$  is (i.e., since homotopic maps in  $C$  induce the same map on homology and  $H_*(\phi'_K)$  is an isomorphism). Now since  $\phi'_E$  is a lift

of  $\phi_K^i |h|$  over  $h$ , there is a lift  $\phi_E^n: |\hat{E}_{n+1}| \longrightarrow E_{n+1}$  of  $\phi_K^n |h|$  over  $h$  with  $\phi_E^n = \phi_E^i$  (using theorem 5.13, part a). And note that  $\phi_E^n$  is a weak equivalence since  $\phi_E^i$  is; and so  $h$  is also related to  $h$  via  $\phi_K^n$  and  $\phi_E^n$ . Now let  $\bar{g} = g' \psi_{n-1}$ , and let  $\bar{Y}_n \longrightarrow |X_{n-1}|$  be a pullback of  $h: E_{n+1} \longrightarrow K_{n+1}$  along  $\bar{g}: |X_{n-1}| \longrightarrow K_{n+1}$ . Since we also have  $\bar{g} = \phi_K^n |g_{n-1}|$ , the composition  $|X_n| \xrightarrow{|\bar{g}_{n-1}|} |\hat{E}_{n+1}| \xrightarrow{\phi_E^n} E_{n+1}$  is a lift of  $\bar{g} |f_n|$  over  $h$  giving an obvious map  $\bar{\phi}_n: |X_n| \longrightarrow \bar{Y}_n$  into the pullback  $\bar{Y}_n$ , which

makes 
$$\begin{array}{ccc} |X_n| & \xrightarrow{\bar{\phi}_n} & \bar{Y}_n \\ |f_n| \searrow & & \swarrow \\ & |X_{n-1}| & \end{array}$$
 commutative. Note that we can now

apply our basic theorem 9.2 to conclude that  $\bar{\phi}_n$  is a weak equivalence.

Now let  $Y_n \longrightarrow Y_{n-1}$  be a pullback of  $h: E_{n+1} \longrightarrow K_{n+1}$  along  $g': Y_{n-1} \longrightarrow K_{n+1}$ . Using our map  $|X_n| \xrightarrow{|\bar{g}_{n-1}|} |\hat{E}_{n+1}| \xrightarrow{\phi_E^n} E_{n+1}$ , we obtain an obvious map  $\psi_n: |X_n| \longrightarrow Y_n$  into the pullback  $Y_n$ . We now show that  $\psi_n$  is a weak equivalence. For this, using the fact that  $\bar{g} = g' \psi_{n-1}$ , we obtain an obvious map  $\tilde{Y}_n \longrightarrow Y_n$  into the pullback  $Y_n$ . We also have that  $\tilde{Y}_n \longrightarrow |X_{n-1}|$  is a pullback of the fibration  $Y_n \longrightarrow Y_{n-1}$  along  $\psi_{n-1}: |X_{n-1}| \longrightarrow Y_{n-1}$  using the basic properties of pullbacks in a category. And so  $\tilde{Y}_n \longrightarrow Y_n$  is a weak equivalence since it is a pullback of the weak equivalence  $\psi_{n-1}$  along a fibration which has a fibrant codomain. Finally, we note that  $\psi_n = |X_n| \xrightarrow{\tilde{\phi}_n} \tilde{Y}_n \longrightarrow Y_n$  (since this composition satisfies the same conditions which determine  $\psi_n$ ). But these two maps are weak equivalences, and so we can conclude that  $\psi_n$  is a weak equivalence.

We remark that the replacement of the map  $g_{n-1}$  by a cofibration  $g'_{n-1}$  is not necessary in order that lemma 9.8 complete the proof of theorem 9.7. However, in the proof of the Main Theorem 10.3 the adjoint maps  $\tilde{Y}_n: X_n \longrightarrow SY_n$  are shown (in a special case) to give weak equivalences (see diagram (10.1)), and for this we will make use of the fact that the  $Y_n$  complete commutative diagrams which have the form of (9.9).

### 10. The Main Theorem.

Let  $W$  be in  $S_0$ .  $W$  is rational-- also Q-local (see [ 1], f 2)-- if  $f: X \longrightarrow X'$  in  $S_0$  is any map such that  $f_*: H_*(X; Q) \longrightarrow H_*(X'; Q)$  is an isomorphism, then  $f$  induces a bijection  $f^*: \text{Ho}S_0(X', W) \longrightarrow \text{Ho}S_0(X, W)$ .

Note that when  $W$  is also fibrant, we have  $f^*: \text{Ho}S_0(X', W) \longrightarrow \text{Ho}S_0(X, W)$  is a bijection  $\Leftrightarrow f^*: [X', W] \longrightarrow [X, W]$  is a bijection (recall diagram (5.2) in the proof of prop. 5.2).

**Proposition 10.1:** Let  $f: X \longrightarrow Y$  in  $S_0$  with  $X$  and  $Y$  both rational and fibrant. If  $f_*: H_*(X; Q) \longrightarrow H_*(Y; Q)$  is an isomorphism, then  $f$  is a homotopy equivalence.

**Proof:** Since  $X$  is rational,  $f$  induces a bijection  $f^*: [Y, X] \longrightarrow [X, X]$ . And so there is a map  $g: Y \longrightarrow X$  with  $gf \sim \text{id}_X$ . We now show that  $g$  is also a right homotopy inverse for  $f$ . For this, first note that since  $H_*(-): S_0 \longrightarrow$  graded abelian groups takes weak equivalences to isomorphisms,  $H_*(-)$  takes homotopic maps in  $S_0$  to the same map on homology. And so since  $gf \sim \text{id}_X$ , we obtain  $(g)_*(f)_* = (gf)_* = (\text{id}_X)_* = \text{id}_{H_*X}: H_*X \longrightarrow H_*X$ ; i.e.,  $g_*$  is a left inverse for  $(f)_*$ . The same is true when we consider  $H_*(-; Q)$ ; but then  $f_* = H_*(f; Q)$  is an isomorphism, and so  $g_* = H_*(g; Q)$  is an inverse for  $f_*$  and is, thus, an isomorphism. Now using  $Y$  rational, we note that  $g$  induces a bijection  $g^*: [X, Y] \longrightarrow [Y, Y]$ . And so there is a map  $h: X \longrightarrow Y$  with  $hg \sim \text{id}_Y$ . But we then have  $h \sim h(gf) = (hg)f \sim \text{id}_Y f = f$ ; i.e.,  $h \sim f$ . But then  $\text{id}_Y \sim hg \sim fg$ ; i.e.,  $\text{id}_Y \sim fg$ . And so we can conclude that  $g$  is a homotopy inverse for  $f$ .

From now on we will assume that the ground field is the rationals:  $k = \mathbb{Q}$ .

**Proposition 10.2:** If  $Y$  is fibrant in  $C$ , then  $S'Y$  is rational in  $S_0$ .

**Proof:** Let  $f: X \rightarrow X'$  be in  $S_0$  such that  $H_0(f; \mathbb{Q}): H_0(X; \mathbb{Q}) \rightarrow H_0(X'; \mathbb{Q})$  is an isomorphism. Recall that if  $H_0(f; \mathbb{Q})$  is an isomorphism, then  $|f|$  is a weak equivalence in  $C$ . Now consider the commutative diagram:

$$\begin{array}{ccc} \text{Ho}S_0(X', S'Y) & \xrightarrow{f^*} & \text{Ho}S_0(X, S'Y) \\ \uparrow & & \uparrow \\ \text{Ho}C(|X'|, Y) & \xrightarrow{|f|^*} & \text{Ho}C(|X|, Y) \end{array} \quad \text{in Sets.}$$

The adjunction for  $| \quad | \dashv S'$  gives the vertical bijections (recall for  $Y$  fibrant,  $S'Y = S'Y$ ). Since  $|f|$  is a weak equivalence in  $C$ , the bottom map  $|f|^*$  is a bijection. We can, thus, conclude that  $f^*$  is a bijection; and so  $S'Y$  is rational in  $S_0$ .

Let  $\tilde{C}$  be a subcategory of  $D$ , and let  $d$  be an object in  $D$ . A **universal map from  $d$  to  $\tilde{C}$**  is an object  $c$  in  $\tilde{C}$  and a map  $u: d \rightarrow c$  such that given any map  $f: d \rightarrow c'$  (where  $c'$  is in  $\tilde{C}$ ) in  $D$ , then there is a unique map  $f': c \rightarrow c'$  in  $\tilde{C}$  such that  $f'u = f$ . Diagrammatically this gives the commutative diagram:

$$\begin{array}{ccc} d & \xrightarrow{u} & c \\ & \searrow f & \downarrow f' \\ & & c' \end{array} \quad \text{in } D.$$

We remark that in the terminology of [11], this is a universal map from  $d$  to the inclusion functor  $\tilde{C} \rightarrow D$ .

Let  $\tilde{C}$  be a subcategory of  $D$ .  $\tilde{C}$  is a reflective (or reflexive) subcategory if the inclusion functor  $J:\tilde{C}\rightarrow D$  has a left adjoint  $F:D\rightarrow\tilde{C}$ . We remark that when  $\tilde{C}$  is also a full subcategory of  $D$ , then each  $c$ -component of the counit  $\epsilon_c:Fc\rightarrow c$  is an isomorphism.

These two notions are equivalent in the following sense: first note that the adjunction for  $F \dashv J$  (with unit  $\eta: id_D \rightarrow JF$ ) when applied to a map  $f':Fd\rightarrow c'$  in  $\tilde{C}$  gives an adjoint map  $\tilde{f}'$  in  $D$  which can be expressed as  $\tilde{f}' = J(f')\eta_d = f'\eta_d:d\rightarrow Jc'$  ( $=c'$ ). And so for each  $d$  in  $D$ , the  $d$ -component of the unit  $\eta_d:d\rightarrow JFd (=Fd)$  for the adjunction (when corestricted to  $\tilde{C}$ ) is a universal map from  $d$  to  $\tilde{C}$ . Conversely, if there is a universal map  $u:d\rightarrow c$  from  $d$  to  $\tilde{C}$  for each  $d$  in  $D$ , then there is an obvious functor  $F:D\rightarrow\tilde{C}$  (determined by  $Fd = c$ ) which is left adjoint to the inclusion functor  $J:\tilde{C}\rightarrow D$ ; i.e.,  $\tilde{C}$  is a reflective subcategory of  $D$ .

Now let  $NhoS_0$  (respectively,  $QNhoS_0$ ) denote the full subcategory of  $hoS_0$  determined by the nilpotent (respectively, rational and nilpotent) objects.

A Q-localization functor is a left adjoint  $L:NhoS_0\rightarrow QNhoS_0$  to the inclusion functor  $J:QNhoS_0\rightarrow NhoS_0$ .

As mentioned in the introduction, Bousfield and Kan [3] show the existence of a related  $Q$ -localization  $L_*:NhoS_*\rightarrow QNhoS_*$  where  $S_*$  is the category of pointed, connected simplicial sets. We claim that  $S_*$  and  $S_R$  (and, hence,  $S_0$ ) have equivalent homotopy categories. The existence of  $L_*$  then im-

plies the existence of a  $Q$ -localization  $L: NhoS_0 \rightarrow QNhoS_0$ . The proof of the next theorem uses the existence of such a  $Q$ -localization.

**Main Theorem 10.3:** Let  $X$  be nilpotent and fibrant in  $S_0$ , and let  $i: |X| \rightarrow X'$  be a weak equivalence in  $C$  with  $X'$  fibrant.

Then the adjoint map  $\tilde{i}: X \rightarrow SX'$  in  $S_0$  gives an isomorphism  $H_*(X; Q) \rightarrow H_*(SX'; Q)$ .

**Proof:** We consider the natural Postnikov system of  $X$  (see, e.g., [ 8], [12]) and observe that this tower of maps is in  $S_0$  (since each Postnikov section  $X^{(k)}$  has  $(X^{(k)})_Q = X_Q$  if  $q \leq k$ ). First note that it suffices to prove this theorem where  $X$  is also assumed to be rational (by considering a  $Q$ -localization  $u: X \rightarrow LX$  -- which necessarily gives a  $Q$ -homology isomorphism -- and observing that  $|u|$  is a weak equivalence in  $C$ ; one also uses the fact that if  $i_j: |X| \rightarrow X'_j$  is a weak equivalence in  $C$  with  $X'_j$  fibrant ( $j = 1, 2$ ), then there is a homotopy equivalence  $h: X'_1 \rightarrow X'_2$  such that  $hi_1 \sim i_2$ ). For such an  $X$  there is a tower of principal fibrations under  $X$  with a finite number of fibrations, which are induced from principal fibrations of the form  $\tilde{h}: \tilde{E}(\pi, n) \rightarrow \tilde{K}(\pi, n)$  (where  $n > 1$  and  $\pi$  is a  $Q$ -module), occurring between any two consecutive Postnikov sections of  $X$  ([ 3], III, prop. 5.3). We can, thus, apply the construction of theorem 9.7 to obtain a tower of fiber bundles in  $C$  which is weakly equivalent to the realization of this tower from  $S_0$ . In what follows we retain most of the notation of this theorem.

Let  $Y = \varprojlim_{\mathbb{N}} Y_n$  in  $C$  (note  $Y$  is fibrant), and observe that there is a unique map  $\psi: |X| \dashrightarrow Y$  into the limit  $Y$  such that  $|X| \xrightarrow{\psi} Y \dashrightarrow Y_n = |X| \dashrightarrow |X_n| \xrightarrow{\psi_n} Y_n$  for all  $n$ . Recall if  $q_{n_0}: X \dashrightarrow X_{n_0}$  is an  $n_0$ <sup>th</sup> Postnikov section map, then  $(q_{n_0})_*: H_k X \dashrightarrow H_k X_{n_0}$  is an isomorphism for all  $k < n_0$  (since  $(q_{n_0})_*: \pi_k X \dashrightarrow \pi_k X_{n_0}$  is an isomorphism for all  $k < n_0$ ). Further, any  $n_0'$ <sup>th</sup> Postnikov section map  $q_{n_0}'$ , where  $n_0' > n_0$ , gives a homology isomorphism as above which includes all  $k < n_0$ , and the intermediate section maps  $q_n$ , where  $n > n_0$ , of the refined Postnikov system do as well. And so for  $k$  fixed,  $q_n$  and, hence,  $|q_n|$  induce homology isomorphisms as above for  $n$  sufficiently large (namely equal to or beyond the  $k+1$ <sup>st</sup> Postnikov section in the tower). Next using the weak equivalences  $\psi_n: |X_n| \dashrightarrow Y_n$ , we have that  $H_k Y_n$  also stabilize as  $n$  increases; and so we have that  $Y \dashrightarrow Y_n$  gives a homology isomorphism in degree  $k$  (and smaller) again for  $n$  sufficiently large. We can, thus, conclude that  $\psi: |X| \dashrightarrow Y$  is a weak equivalence.

We next show that the adjoint map  $\tilde{\psi}: X \dashrightarrow \mathcal{S}Y$  is a weak equivalence. For the weak equivalences  $\psi_n: |X_n| \dashrightarrow Y_n$  in  $C$ , consider the adjoint maps  $\tilde{\psi}_n: X_n \dashrightarrow \mathcal{S}Y_n$  in  $S_0$ . Using induction on  $n$ , we now show that these maps are also weak equivalences. Note that for  $n = 1$ , in the construction of theorem 9.7,  $\psi_1: |X_1| \dashrightarrow Y_1$  is the weak equivalence  $\phi_K: |\tilde{K}(\pi_1, 1)| \dashrightarrow K(\pi_1, 1)$  between the fibers which has  $\tilde{\phi}_K$  a weak equivalence. We next assume  $\tilde{\psi}_{n-1}$  is a weak equivalence and show that  $\tilde{\psi}_n$  is one as well. To this end, consider the following commutative

diagram:

$$\begin{array}{ccc}
 \mathcal{S}Y_n & \xrightarrow{\mathcal{S}(g^n)} & \mathcal{S}E(\pi_n, j_{n+1}) \\
 \downarrow \mathcal{S}(h') & \begin{array}{c} \swarrow \tilde{y}_n \\ \xrightarrow{\mathcal{S}_{n-1}} \\ \downarrow f_n \\ \swarrow \tilde{y}_{n-1} \end{array} & \downarrow \mathcal{S}(h) \\
 \mathcal{S}Y_{n-1} & \xrightarrow{\mathcal{S}(g')} & \mathcal{S}K(\pi_n, j_{n+1})
 \end{array}
 \quad \text{in } S_0. \quad (10.1)$$

where  $j_n > 0$ ; we remark that  $j_n$  remains constant when the maps  $f_n$  are between two consecutive Postnikov sections of  $X$ . With  $Y_n \rightarrow Y_{n-1}$  a pullback of  $h: E(\pi_n, j_{n+1}) \rightarrow K(\pi_n, j_{n+1})$  in  $C$ , the outer square is obtained as the image under  $\mathcal{S}$  of this pullback and is a pullback in  $S_0$  (since  $\mathcal{S}$  preserves pullbacks). The diagonal maps in this diagram are adjoints of weak equivalences in  $C$  (recall diagrams (9.8) and (9.9) of lemma 9.8); the naturality of the adjunction for  $|-|-| \mathcal{S}$  gives commutativity to the four trapezoids.

We claim that  $\tilde{\phi}_K^n: \tilde{K}_{n+1} \rightarrow \mathcal{S}K(\pi_n, j_{n+1})$  and  $\tilde{\phi}_E^n: \tilde{E}_{n+1} \rightarrow \mathcal{S}E(\pi_n, j_{n+1})$  are weak equivalences in  $S_0$ . For this, recall from the proof of lemma 9.8 that  $\phi_K^n \sim \phi'_K: |\tilde{K}_{n+1}| \rightarrow K_{n+1}$  where  $\phi'_K$  is the composition  $|\tilde{K}_{n+1}| \xrightarrow{p} |\tilde{K}_{n+1}| \xrightarrow{\phi_K} K_{n+1}$  in  $C$  (with  $\tilde{K}_{n+1} = \tilde{K}(\pi_n, j_{n+1})$  in  $S_0$  and  $K_{n+1} = K(\pi_n, j_{n+1})$  in  $C$ ). And so the adjoint map  $\tilde{\phi}'_K$  is the composition  $\tilde{K}_{n+1} \xrightarrow{p} \tilde{K}_{n+1} \xrightarrow{\tilde{\phi}_K} \mathcal{S}K_{n+1}$  in  $S_0$ . With  $\tilde{\phi}_K$  a weak equivalence (by lemma 8.3) and  $p$  also such, we have that  $\tilde{\phi}'_K$  is a weak equivalence; and, thus, so is  $\tilde{\phi}_K^n$  (since  $\tilde{\phi}_K^n \sim \tilde{\phi}'_K$  by lemma 7.8). The treatment of  $\tilde{\phi}_E^n$  is similar. Noting that  $\mathcal{S}(h)$  and  $\tilde{h}$  are fibrations with fibrant

bases, we can apply the picture frame lemma 5.11 to conclude that  $\tilde{\gamma}_n: X_n \rightarrow \mathcal{S}Y_n$  is a weak equivalence.

We next consider the limits  $\langle \frac{1}{n} \lim (\tilde{\gamma}_n) : \langle \frac{1}{n} \lim X_n \rightarrow \langle \frac{1}{n} \lim \mathcal{S}Y_n$  and note that this is the limit of weak equivalences between two towers of surjective fibrations in  $S_0$ ; and so using the fact that  $S_0 \rightarrow S$  preserves limits and takes surjective fibrations in  $S_0$  to fibrations in  $S$ , we can conclude that this limit is a weak equivalence in  $S$  and, hence, in  $S_0$ . Next observe that  $\mathcal{S}Y = \mathcal{S}(\langle \frac{1}{n} \lim Y_n \rangle) = \langle \frac{1}{n} \lim \mathcal{S}Y_n \rangle$ . Then considering the composition  $X \rightarrow \langle \frac{1}{n} \lim X_n \rightarrow \langle \frac{1}{n} \lim \mathcal{S}Y_n = \mathcal{S}Y$  in  $S_0$  (the first map is a homotopy equivalence), we can conclude that  $X \rightarrow \mathcal{S}Y$  is a weak equivalence; further, it is easily shown that this map is the adjoint of the map  $\gamma: |X| \rightarrow Y$  which we considered previously.

Finally, using this result, it follows that the adjoint map of any weak equivalence  $i: |X| \rightarrow X'$ , where  $X'$  is fibrant, is a weak equivalence (as in the reduction step at the beginning of this proof, we use the fact that the two fibrant models for  $|X| \rightarrow X'$  and  $Y \rightarrow Y'$  have the same homotopy type in  $C$  and then consider the application of the adjunction on the resulting triangle).

**Proposition 10.4:** Let  $X$  be nilpotent and fibrant in  $S_0$ , and let  $|X| \rightarrow X'$  be a weak equivalence in  $C$  with  $X'$  fibrant. Then  $\mathcal{S}X'$  is nilpotent.

**Proof:** The proof of this proposition uses the same construction which is used in proving the Main Theorem. As in the proof of that theorem, it suffices to prove this proposition

where  $X$  is also assumed to be rational (again by considering a  $Q$ -localization  $u: X \rightarrow LX$ ). Using that construction we obtain a weak equivalence  $\psi: |X| \rightarrow Y$  in  $C$  which has an adjoint map  $\tilde{\psi}: X \rightarrow \mathcal{S}Y$  in  $S_0$  which is also a weak equivalence. With  $X$  nilpotent, we can, thus, conclude that  $\mathcal{S}Y$  is nilpotent.

Finally, using this result, it follows that if  $|X| \rightarrow X'$  is any weak equivalence in  $C$ , where  $X'$  is fibrant, then  $\mathcal{S}X'$  is nilpotent.

**Corollary 1:** Let  $X$  be in  $NhoS_0$ , and let  $i: |X| \rightarrow X'$  be a weak equivalence in  $C$  with  $X'$  fibrant.

Then the  $X$ -component of the unit  $\eta_X = \tilde{i}: X \rightarrow \mathcal{S}X'$  in  $hoS_0$  is a universal map from  $X$  to  $QNhoS_0$ .

And, hence, the functor  $L: NhoS_0 \rightarrow QNhoS_0$ , determined by  $L(X) = \mathcal{S}X'$ , is a  $Q$ -localization.

**Proof:** Note that  $\mathcal{S}X'$  is rational and nilpotent (and also fibrant) using props. 10.2 and 10.4. Now let  $W$  be in  $QNhoS_0$ , and let  $f: X \rightarrow W$ . By the Main Theorem, the adjoint map  $\tilde{i}: X \rightarrow \mathcal{S}X'$  gives a  $Q$ -homology isomorphism; and since  $W$  is rational,  $\tilde{i}$  induces a bijection  $(\tilde{i})^*: [\mathcal{S}X', W] \rightarrow [X, W]$ . And so there is a map  $g: \mathcal{S}X' \rightarrow W$ , which is unique up to homotopy, with  $g\tilde{i} \sim f$ . And so when viewed as a map in  $NhoS_0$ ,  $f$  factors uniquely through  $\mathcal{S}X'$  via  $\tilde{i}$ ; i.e.,  $\tilde{i}: X \rightarrow \mathcal{S}X'$  gives a universal map in  $NhoS_0$  from  $X$  to  $QNhoS_0$ .

Let  $S$  be a subclass of morphisms of a category  $\tilde{C}$ . A weak category of fractions of  $\tilde{C}$  with respect to  $S$  is a category, denoted  $w\tilde{C}[S^{-1}]$ , together with a functor  $\gamma: \tilde{C} \rightarrow$

$w\tilde{C}[S^{-1}]$  which satisfies a)  $\gamma(s)$  is an isomorphism for all  $s$  in  $S$ , and b) if a functor  $F:\tilde{C}\rightarrow D$  has the property that  $F(s)$  is an isomorphism in  $D$  for all  $s$  in  $S$ , then there is a functor  $F':w\tilde{C}[S^{-1}]\rightarrow D$ , which is unique up to natural isomorphism, such that  $F'\gamma$  is naturally isomorphic to  $F$ .

**Proposition 10.5:** Let  $\tilde{C}$  be a full subcategory of  $D$  with  $J:\tilde{C}\rightarrow D$  the inclusion functor. Assume that  $\tilde{C}$  is a reflective subcategory with  $L:D\rightarrow\tilde{C}$  left adjoint to  $J$ . Then  $L:D\rightarrow\tilde{C}$  makes the subcategory  $\tilde{C}$  a weak category of fractions of  $D$  with respect to  $\ker(L)$ , where  $\ker(L) = \{f \text{ in } D \mid L(f) \text{ is an isomorphism}\}$ .

**Proof:** Let  $F:D\rightarrow E$  be a functor which inverts  $\ker(L)$ . Define  $F':\tilde{C}\rightarrow E$  by  $F' = FJ$  (where  $L \dashv J$ ); and so we have the diagram:

$$\begin{array}{ccc}
 & D & \\
 & \downarrow L & \searrow F \\
 J \curvearrowright & \tilde{C} & \xrightarrow{F'} E
 \end{array}$$

We claim that  $F'L$  is naturally isomorphic to  $F$ . For this, note that since  $\tilde{C}$  is a full subcategory of  $D$ , the inclusion functor  $J$  is full and faithful; and, thus, the adjunction for  $L \dashv J$  has a counit  $\epsilon:LJ\rightarrow id_{\tilde{C}}$  which is a natural isomorphism. Now let  $X$  be in  $D$ , and, using one of the triangle identities, we obtain  $\epsilon_{LX}L(\eta_X) = id_{LX}$  in  $\tilde{C}$ ; but  $\epsilon_{LX}$  is an isomorphism, and so  $L(\eta_X)$  is an isomorphism. Thus,  $\eta_X$  is in  $\ker(L)$ ; and so  $\eta_X$  is inverted by  $F$  (for any  $X$  in  $D$ ). Hence, since  $F\eta:FJL\rightarrow F$  is a natural isomorphism, we have

that  $F'L = FJL \xrightarrow{\lambda} F$  is a natural isomorphism between  $F'L$  and  $F$ .

We now show that  $F'$  is unique up to natural isomorphism. For this, assume that we have another functor  $F'' : \tilde{C} \rightarrow E$  and a natural isomorphism  $\lambda'' : F''L \rightarrow F$ . Note that  $\lambda''_J : F''LJ \rightarrow FJ$  is also a natural isomorphism. Now recall that the counit  $\epsilon : LJ \rightarrow \text{id}_{\tilde{C}}$  is a natural isomorphism; and letting  $\sigma = \epsilon^{-1}$ , we obtain the natural isomorphism  $F''\sigma : F'' \rightarrow F''LJ$ . Thus, the composition  $F'' \rightarrow F''LJ \rightarrow FJ = F'$  is a natural isomorphism between  $F''$  and  $F'$ .

Note, using the above proposition, we have that the Q-localization functor  $L : \text{Nho}S_0 \rightarrow \text{QNho}S_0$  makes  $\text{QNho}S_0$  a weak category of fractions of  $\text{Nho}S_0$  with respect to  $\ker(L)$ ; further, we have that  $\ker(L) = \{\bar{f} \text{ in } \text{Nho}S_0 \mid f \text{ gives a Q-homology isomorphism}\}$  (for this result, apply the unit to  $\bar{f}$  and use prop. 10.1-- recall that  $u : X \rightarrow LX$  gives a Q-homology isomorphism).

**Corollary 2:** Let  $X$  be nilpotent, rational, and fibrant in  $S_0$ . Let  $i : |X| \rightarrow X'$  be a weak equivalence in  $C$  with  $X'$  fibrant.

Then the adjoint map  $\tilde{i} : X \rightarrow \mathcal{S}X'$  in  $S_0$  is a homotopy equivalence.

**Proof:** By the Main Theorem, the map  $\tilde{i} : X \rightarrow \mathcal{S}X'$  gives a Q-homology isomorphism.  $X$  is assumed to be rational; and since  $X'$  is fibrant,  $\mathcal{S}X'$  is fibrant and also (by prop. 10.2) rational. And so by prop. 10.1, we can conclude that  $\tilde{i}$  is a homotopy equivalence.

We remark that this corollary occurred as a special case in the proof of the Main Theorem, and we have treated it as a formal corollary by providing another proof.

We will use cor. 2 to show that the functors  $hoS_0 \langle \frac{1}{S} \frac{1}{S'} \rangle hoC$  when restricted and corestricted to appropriate subcategories give an equivalence of categories. For this, we first recall a familiar result.

**Theorem 10.6** (see, e.g., [11]): Let  $\tilde{C} \langle \frac{F}{G} \rangle D$  be a pair of adjoint functors with  $F \dashv G$ . Then  $G$  is full and faithful  $\Leftrightarrow$  the counit  $\epsilon: FG \dashv \rightarrow id_D$  is a natural isomorphism.

**Corollary 10.7:** For  $F$  and  $G$  as in the above theorem, then  $F$  is full and faithful  $\Leftrightarrow$  the unit  $\eta: id_{\tilde{C}} \dashv \rightarrow GF$  is a natural isomorphism.

**Proof:** This result is immediate: by considering the opposite categories, one observes that for  $\tilde{C}^{op} \langle \frac{F^{op}}{G^{op}} \rangle D^{op}$  one has  $G^{op} \dashv F^{op}$ , and the unit  $\eta: id_{\tilde{C}} \dashv \rightarrow GF$  for  $F \dashv G$  induces the counit  $\eta^{op}: G^{op}F^{op} \dashv \rightarrow id_{\tilde{C}^{op}}$  for  $G^{op} \dashv F^{op}$ . The result then follows from the above theorem (since  $F^{op}$  is full (faithful)  $\Leftrightarrow$   $F$  is full (faithful)).

A subcategory  $\tilde{C}' \subset \tilde{C}$  is replete if  $c' \dashv \rightarrow c$  is an isomorphism in  $C$  (where  $c'$  is in  $\tilde{C}'$ ) implies that  $c$  is in  $\tilde{C}'$ .

**Proposition 10.8:** Let  $\tilde{C}' \subset \tilde{C}$  be a full subcategory, and let  $\tilde{C}'' \subset \tilde{C}$  be the full replete subcategory of  $\tilde{C}$  generated by the objects of  $\tilde{C}'$ . Then the inclusion functor  $J: \tilde{C}' \dashv \rightarrow \tilde{C}''$  is an equivalence of categories.

**Proof:** The functor  $F: \tilde{C}'' \dashv \rightarrow \tilde{C}'$  determined by  $F(c'') = c'$

(where  $c' \xrightarrow{\eta} c''$  in  $\tilde{C}$ ) is left adjoint to  $J$ , and the unit and counit for  $F \dashv J$  are easily shown to be natural isomorphisms.

The next lemma is useful to insure (if necessary) that the condition in prop. 10.10 concerning the unit is satisfied.

**Lemma 10.9:** Let  $\tilde{C} \langle \xrightarrow{F} \xrightarrow{G} \rangle D$  be a pair of adjoint functors with  $F \dashv G$ . Assume  $\tilde{C}' \subset \tilde{C}$  is a full subcategory such that each  $c'$ -component of the unit  $\eta_{c'} : c' \rightarrow GFc'$  is an isomorphism ( $c'$  in  $\tilde{C}'$ ). Then  $\eta_{c''} : c'' \rightarrow GFc''$  is an isomorphism for all  $c''$  in  $\tilde{C}''$  (= the full replete subcategory of  $\tilde{C}$  generated by  $\tilde{C}'$ ).

**Proof:** This follows immediately from the naturality of  $\eta$  applied to the map  $c' \xrightarrow{\eta} c''$  in  $\tilde{C}$ .

**Proposition 10.10:** Let  $\tilde{C} \langle \xrightarrow{F} \xrightarrow{G} \rangle D$  be a pair of adjoint functors with  $F \dashv G$ . Assume that  $\tilde{C}' \subset \tilde{C}$  is a full replete subcategory such that  $\eta_{c'} : c' \rightarrow GFc'$  is an isomorphism for all  $c'$  in  $\tilde{C}'$ . Then the restriction  $F : \tilde{C}' \rightarrow D$  is full and faithful, and the  $d'$ -component of the counit  $\epsilon_{d'} : FGd' \rightarrow d'$  is an isomorphism for all  $d'$  in  $D' = F(\tilde{C}')$ . Hence, the adjoint pair  $\tilde{C}' \langle \xrightarrow{F} \xrightarrow{G} \rangle F(\tilde{C}')$  gives an equivalence of categories.

**Proof:** First let  $F(\tilde{C}')_{fu}$  be the full subcategory of  $D$  generated by  $F(\tilde{C}')$ , and consider the restriction  $G : F(\tilde{C}')_{fu} \rightarrow \tilde{C}$ . Note that  $G$  also corestricts to  $\tilde{C}'$  (since  $\tilde{C}'$  is replete and the unit  $\eta$  gives an isomorphism in  $\tilde{C}'$ ). We, thus, have that  $\tilde{C}' \langle \xrightarrow{F} \xrightarrow{G} \rangle F(\tilde{C}')_{fu}$  is an adjoint pair of functors. And so  $F : \tilde{C}' \rightarrow F(\tilde{C}')_{fu}$  is full and faithful (since the

unit for this adjunction is a natural isomorphism); in particular,  $F(\tilde{C}')_{F_U} = F(\tilde{C}')$ . Next consider the triangle identity  $\varepsilon_{F_C, F(\eta_{C'})} = \text{id}_{F_C}$ , ( $c'$  in  $\tilde{C}'$ ), and, noting that  $F(\eta_{C'})$  is an isomorphism, we obtain our desired conclusion that  $\varepsilon_{F_C}$  is an isomorphism ( $c'$  in  $\tilde{C}'$ ).

In the proof of the following theorem, we will use the observation that  $\text{QNhoS}_0$  is a replete subcategory of  $\text{hoS}_0$ .

**Theorem 10.11:** The adjoint functors  $\text{hoS}_0 \left\langle \frac{1 \quad 1'}{S} \right\rangle \text{hoC}$  restrict and corestrict to give an equivalence of categories:  $\text{QNhoS}_0 \left\langle \frac{1 \quad 1'}{S} \right\rangle | \text{QNhoS}_0 |'$ .

**Proof:** Let  $X$  be in  $\text{QNhoS}_0$ , and let  $i: |X| \dashrightarrow X'$  be a weak equivalence in  $C$  with  $X'$  fibrant. Then by cor. 2 the  $X$ -component of the unit  $\eta_X = \frac{\pi}{1}: X \dashrightarrow SX'$  is an isomorphism in  $\text{hoS}_0$ . With  $\text{QNhoS}_0$  a replete subcategory of  $\text{hoS}_0$ , we can apply prop. 10.10 to obtain the above equivalence of categories.

The treatment in this paper which is given for  $| | : S_0 \dashrightarrow C$  uses only the three basic properties of the realization functor (these properties are discussed in Section 4), and, hence, the results of this development are valid for any functor  $F: S_0 \dashrightarrow C$  which also has these properties. This characterization of  $| |$  is stated in the next theorem.

**Theorem 10.12:** Let  $F: S_0 \dashrightarrow C$  be a functor which has the same basic properties as does  $| | : S_0 \dashrightarrow C$ ; i.e., 0)  $F$  has a right adjoint, 1)  $F$  preserves monomorphisms, and 2) there is a natural transformation  $\tau: F \dashrightarrow C_*^N$  such that  $(\tau_X)_*: H_*(FX) \dashrightarrow H_*(X; k)$  is an isomorphism of coalgebras ( $X$  in  $S_0$ ). Then the

Main Theorem 10.3, cor. 1, cor. 2, and theorem 10.11 are valid when  $\mathbb{I}$  is replaced by  $F$ .

## Appendix

11. The classification of cohomology by  $K(\pi, n)$  in  $C$ .

In this section we show that there is a natural isomorphism  $[X, K(\pi, n)] \longrightarrow H^n(X; \pi)$  in abelian groups where  $\pi$  is a  $k$ -module and  $X$  is in  $C$ . This is the analogue in  $C$  of the familiar result for spaces (see, e.g., [12]).

Let  $\tilde{C}$  be a pointed model category with null object  $*$ . Let the null map  $X \longrightarrow * \longrightarrow Y$  between any two objects be denoted by  $O_*$ . A map which is right (left) homotopic to  $O_*$  is right (left) null homotopic. If  $X$  is cofibrant and  $Y$  fibrant, then  $\sim_R \Leftrightarrow \sim_L$ , and such a map is simply called null homotopic.  $X$  is right (left) contractible if  $\text{id}_X \sim_R O_*$  ( $\text{id}_X \sim_L O_*$ ). When  $X$  is both fibrant and cofibrant, the two notions agree, and when either is satisfied,  $X$  is contractible.

The next two statements are both familiar and immediate:  $X$  is right (left) contractible (with  $X$  fibrant)  $\Leftrightarrow$  any map into  $X$  is right (left) null homotopic. This implies that if  $X$  is right (left) contractible and also fibrant, then any two maps into  $X$  (with cofibrant domain) are right (left) homotopic. One can also characterize a contractible object  $X$  in terms of maps out of  $X$ :  $X$  is left (right) contractible (with  $X$  cofibrant)  $\Leftrightarrow$  any map out of  $X$  is left (right) null homotopic; and so if  $X$  is left (right) contractible and also cofibrant, then any two maps out of  $X$  (with fibrant codomain) are left (right) homotopic.

**Lemma 11.1:** Let  $\tilde{C}$  be a pointed model category, and let  $X$  be cofibrant. Let  $f: X \longrightarrow Y$  be left (right) null homotopic (with

$Y$  fibrant), then for any fibration  $h:W \rightarrow Y$  there is a map  $g:X \rightarrow W$  such that  $hg = f$ ; i.e., the following diagram:

$$\begin{array}{ccc} & g \nearrow W & \\ X & \xrightarrow{\quad} & Y \\ & f \searrow & \\ & & h \downarrow \end{array} \quad \text{commutes.}$$

**Proof:** Let  $f:X \rightarrow Y$  be left null homotopic; i.e.,  $f \sim_L 0_*$ . But note  $0_* = h0_*$  (i.e.,  $0_* = X \rightarrow * \rightarrow W \xrightarrow{h} Y$ ), and so  $f \sim_L h0_*$ . Now using our homotopy lifting lemma 5.12, there is a map  $g:X \rightarrow W$  such that  $0_* \sim_L g$  and  $hg = f$ .

Let  $\tilde{C}$  be a category with finite products and a terminal object  $e$ . Let  $Gp(\tilde{C})$  denote the subcategory of group objects in  $\tilde{C}$  (a group object in  $\tilde{C}$  has a multiplication  $\mu:G \times G \rightarrow G$ , a unit  $\eta:e \rightarrow G$ , and an inverse  $i:G \rightarrow G$  which make the familiar diagrams commutative (see, e.g., [11])). Let  $Ab(\tilde{C}) \subset Gp(\tilde{C})$  denote the subcategory of abelian group objects. For  $G$  in  $Gp(\tilde{C})$ , it is easily shown that  $\tilde{C}(X,G)$  is in  $Gp(\text{Sets})$  (the structure maps are given by  $fg = X \xrightarrow{\langle f, g \rangle} G \times G \xrightarrow{\mu} G$ ,  $1 = X \rightarrow e \xrightarrow{\eta} G$ , and  $f^{-1} = X \xrightarrow{f} G \xrightarrow{i} G$ ) and  $\tilde{C}(X, \_):Gp(\tilde{C}) \rightarrow Gp(\text{Sets})$  is a functor. Also for  $G$  in  $Ab(\tilde{C})$ , then  $\tilde{C}(X,G)$  is in  $Ab(\text{Sets})$ .

**Lemma 11.2:** Let  $\tilde{C}$  be a pointed model category (and so has finite products). Let  $G$  be in  $Gp(\tilde{C})$ . Assume  $G$  is fibrant and  $X$  cofibrant. Then  $[X,G]$  has a group structure (induced by that of  $\tilde{C}(X,G)$ ), and the obvious map  $\pi:\tilde{C}(X,G) \rightarrow [X,G]$  is a group map.

The proof of this lemma is straight forward (to show that the multiplication on  $[X,G]$  is well-defined: note that for  $g' \sim_L g:X \rightarrow G$  via a homotopy  $H:\tilde{X} \rightarrow G$ , then  $f'g' \sim_L f'g$

via the homotopy  $\tilde{H}$  = the composition  $\tilde{X} \xrightarrow{\langle p, \text{id}_{\tilde{X}} \rangle} X \times \tilde{X} \xrightarrow{\tilde{f}' \times H} G \times G \xrightarrow{\mu} G$ ; similarly  $f'g \sim_L fg$  (where  $f' \sim_L f$ ), and so  $f'g' \sim_L fg$ .

**Lemma 11.3:** Let  $\tilde{C}$  be a pointed model category. Let  $f: E \rightarrow G$  be a fibration in  $\tilde{C}$  with  $E$  left or right contractible and  $G$  fibrant. Also assume that  $E$  and  $G$  are in  $\text{Ab}(\tilde{C})$  and that  $f$  is a morphism in  $\text{Ab}(\tilde{C})$ . Finally let  $X$  be cofibrant. Then

$$\tilde{C}(X, E) \xrightarrow{f_*} \tilde{C}(X, G) \xrightarrow{\pi} [X, G] \rightarrow 0$$

is exact in abelian groups.

**Proof:** Since  $\pi$  is surjective, we need only show that  $\text{im}(f_*) = \ker(\pi)$ . To see that  $\text{im}(f_*) \subset \ker(\pi)$ , let  $h \in \text{im}(f_*)$  and so  $h = fg$  for some  $g: X \rightarrow E$ . Note when  $E$  is, e.g., left contractible, we have  $g \sim_L 0_*$ ; and so  $fg \sim_L f0_*$ . But  $f0_* = 0_*$ ; i.e.,  $h (=fg)$  is left null homotopic, and so  $h \in \ker(\pi)$ . To see that  $\text{im}(f_*) \supset \ker(\pi)$ , let  $h \in \ker(\pi)$ ; i.e.,  $h$  is null homotopic. And so by lemma 11.1,  $h = fg$  for some map  $g: X \rightarrow E$ .

Let  $\pi$  be a  $k$ -module, and recall that  $K(\pi, n)$  is the co-free coalgebra  $S'(\pi_n)$  where  $\pi_n$  has  $\pi$  concentrated in degree  $n$ ; and so a typical map in  $C(X, K(\pi, n))$  is given by a coextension  $\hat{g}$  which is uniquely determined by the chain map  $g: X \rightarrow \pi_n$ .

**Theorem 11.4:** Let  $X$  be a coalgebra. Then the map

$$[X, K(\pi, n)] \xrightarrow{\Psi} H^n(X; \pi)$$

given by  $\Psi(\hat{g}) = \overline{g_n}$  is an isomorphism in abelian groups which is natural in  $X$ .

**Proof:** a) We first observe that the map

$$C(X, K(\pi, n)) \xrightarrow{\Psi_1} Z^n(X; \pi)$$

given by  $\psi_1(\hat{g}) = g_n$  is an isomorphism in abelian groups, where  $Z^n$  denotes the group of  $n$ -cocycles. For this note that, since  $\pi$  is concentrated in degree  $n$ , the chain map condition on  $g$  gives  $g_n d_{n+1} = 0$  (where  $d$  is the differential on  $X$ ). Thus,  $g_n$  is an  $n$ -cocycle. That  $\psi_1$  is surjective is immediate, and that it is injective uses  $\hat{g}$  is uniquely determined by the chain map,  $g$ .

b) We next observe that the map

$$C(X, E(\pi, n)) \xrightarrow{\psi_2} C^{n-1}(X; \pi)$$

given by  $\psi_2(\hat{g}) = g_{n-1}$  is an abelian group isomorphism, where  $C^{n-1}$  denotes the group of  $(n-1)$ -cochains. Recall that  $E(\pi, n)$  is the cofree coalgebra  $S'(W_n)$  where  $W_n$  has  $\pi$  concentrated in degrees  $n$  and  $n-1$  with the differential given by the identity. Thus, the chain map condition on  $g$  gives  $g_n d_{n+1} = 0$  and  $g_{n-1} d_n = g_n$ . And so an  $(n-1)$ -cochain defines a unique chain map which gives the required surjective property of  $\psi_2$ . Again that a chain map determines a unique coextension  $\hat{g}$  gives the required injective property of  $\psi_2$ .

c) We now apply the above lemma to the fibration  $h: E(\pi, n) \rightarrow K(\pi, n)$  to obtain an exact top row in the following diagram:

$$\begin{array}{ccccccc} C(X, E(\pi, n)) & \xrightarrow{h_*} & C(X, K(\pi, n)) & \xrightarrow{\pi_1} & [X, K(\pi, n)] & \rightarrow & 0 \\ \psi_2 \downarrow & & \downarrow \psi_1 & & \downarrow & & \\ C^{n-1}(X; \pi) & \xrightarrow{\delta_{n-1} = d_n} & Z^n(X; \pi) & \xrightarrow{\pi_2} & H^n(X; \pi) & \rightarrow & 0 \end{array}$$

This diagram is in abelian groups, and note that the bottom row is also exact. The left two vertical arrows are from parts a) and b), and the left square is easily shown to be

commutative. Since the top row is exact, we have  $[X, K(\pi, n)] = \text{cok}(h_*)$ . And so, since the two maps in the bottom row compose to zero, there is a unique abelian group map  $\psi: [X, K(\pi, n)] \longrightarrow H^n(X; \pi)$  such that the right square commutes. But using exactness of the bottom row, we also have  $H^n(X; \pi) = \text{cok}(\delta_{n-1})$ ; and so, since  $\psi_1$  and  $\psi_2$  are isomorphisms, we can conclude that  $\psi$  is an isomorphism. The naturality of  $\psi$  is easy to show.

## Bibliography

1. A. K. Bousfield, The localization of spaces with respect to homology, Topology 14 (1975), 133-150.
2. A. K. Bousfield and V. K. A. M. Gugenheim, On PL De Rham theory and rational homotopy type, Amer. Math. Soc. Memoirs 8 No. 179 (1976).
3. A. K. Bousfield and D. M. Kan, Homotopy Limits, Completions and Localizations, Lecture Notes in Math. Vol. 304 (Springer-Verlag, New York/Berlin, 1972).
4. E. B. Curtis, Simplicial homotopy theory, Adv. in Math. 6 (1971), 107-209.
5. P. Freyd, Abelian Categories (Harper & Row, New York, 1964).
6. P. Gabriel and M. Zisman, Calculus of Fractions and Homotopy Theory (Springer-Verlag, New York/Berlin, 1967).
7. P. A. Griffiths and J. W. Morgan, Rational Homotopy Theory and Differential Forms, Progress in Math. Vol. 16 (Birkhäuser, Boston, 1981).
8. V. K. A. M. Gugenheim, Semisimplicial homotopy theory, 99-133, Studies in Modern Topology (Studies in Math. Vol. 5), Math. Assoc. Amer., 1968.
9. A. Heller, Completions in abstract homotopy theory, Trans. Amer. Math. Soc. 147 (1970), 573-602.
10. P. T. Johnstone, Topos Theory (Academic Press, London, 1977).
11. S. Mac Lane, Categories for the Working Mathematician (Springer-Verlag, New York/Berlin, 1971).
12. J. P. May, Simplicial Objects in Algebraic Topology (Van Nostrand, New York, 1967).
13. J. W. Milnor and J. C. Moore, On the structure of Hopf algebras, Ann. of Math. 81 (1965), 211-264.
14. J. C. Moore; Cartan's constructions, the homology of  $\mathcal{K}(\pi, n)$ 's, and some later developments; Astérisque 32-33 (1976) 173-212.
15. J. Neisendorfer, Lie algebras, coalgebras and rational homotopy theory for nilpotent spaces, Pacific Jour. Math. 74 (1978), 429-460.

16. D. G. Quillen, Homotopical Algebra, Lecture Notes in Math. Vol. 43 (Springer-Verlag, New York/Berlin, 1967).
17. D. G. Quillen, Rational homotopy theory, Ann. of Math. 90 (1969), 205-295.
18. E. H. Spanier, Algebraic Topology (McGraw-Hill, New York, 1966).
19. D. Sullivan, Infinitesimal computations in topology, Publications de I.H.E.S., no. 47 (1977), 269-331.
20. R. G. Swan, Thom's theory of differential forms on simplicial sets, Topology 14 (1975), 271-273.
21. D. Tanré, Homotopie Rationnelle: Modèles de Chen, Quillen, Sullivan, Lecture Notes in Math. Vol. 1025 (Springer-Verlag, New York/Berlin, 1983).
22. H. M. Unsöld, Topological minimal algebras and Sullivan-De Rham equivalence, (Homotopie Algébrique et Algèbre Locale; Luminy, 1982) Astérisque 113-114 (1984) 337-343.