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VARACTORS.

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1975

**MICROWAVE METAL-INSULATOR-SEMICONDUCTOR  
VARACTORS**

by

**Raymond L. Camisa**

A dissertation submitted to the Graduate Faculty in  
Electrical Engineering in partial fulfillment of the re-  
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City University of New York.

1975

This manuscript has been read and accepted for the Graduate Faculty in Engineering in satisfaction of the dissertation requirement for the degree of Doctor of Philosophy.

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## ABSTRACT

### MICROWAVE METAL-INSULATOR-SEMICONDUCTOR VARACTORS

By

Raymond L. Camisa

Advisor: Professor Morris Ettenberg

In this dissertation the characteristics of Metal-Insulator-Semiconductor (MIS) varactors are studied theoretically and experimentally. A numerical model for the small signal impedance of a one dimensional MIS structure is developed. The solution is valid for non-degenerate semiconductors with arbitrary impurity profiles. The solution proceeds by first solving for the steady state electrostatic potential everywhere in the structure. Laplace's equation is specified in the insulator, Poisson's equation in the semiconductor, and boundary conditions at the ends and interface point. The resulting mathematical formulation is a mixed linear - nonlinear boundary value problem and a quasilinearization algorithm is used to obtain a numerical solution. Once the electrostatic problem is solved the microwave impedance may be calculated by perturbing the d.c. solution with a small sinusoidal signal. The basic equations are linearized about the d.c. operating point resulting in three coupled linear second order differential equations. The sinusoidal steady state problem is set up as a linear boundary value problem which may be solved for without iteration.

The numerical program developed is then used to study MIS varactors made on epitaxial substrates. Silicon MIS varactors are fabricated and compared to theoretical calculations. It is shown that high Q varactors are possible using this technology but also MIS varactors have unique characteristics which may be exploited in certain microwave applications. The use of MIS varactors in microwave digital phase shifters and low noise parametric amplifiers is further investigated. Microwave circuits using silicon MIS varactors are developed and studied.

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## CHAPTER 1

## INTRODUCTION TO METAL INSULATOR SEMICONDUCTOR VARACTORS

1.1 Basic Structure

Figure 1.1 shows a metal-insulator-semiconductor (MIS) varactor one dimensional cross section. Embodied in this sketch are two similar but distinctive varactors described in the literature:

- (a) surface varactor
- (b) space charge varactor

The surface varactor operates in a depletion mode and corresponds to the case of a low resistivity epitaxial layer. When a d.c. reverse bias is applied, the surface of the semiconductor at the insulator-semiconductor (I-S) interface is depleted of majority carriers forming a series connection of a fixed insulator capacitance and variable depletion capacitance. The space charge varactor operates in an accumulation or carrier injection mode, corresponding to the case of a high resistivity epitaxial layer. The capacitance of this device is varied by forward biasing and injecting carriers from the substrate into the epitaxial (semi-insulating region). In both modes of operation, very little direct current flows because of the insulating barrier.

The physical picture for both structures is actually more complicated because for no d.c. current the product of holes and electrons at every point in the semiconductor must equal a constant ( $n_i^2$ ). Therefore, when the surface of a semiconductor is depleted of majority carriers, there will be a build-up of minority carriers. For a strong reverse bias the minority carriers will dominate and the resulting charge layer is called an inversion layer. For the N type semiconductor shown in Figure 1.1 the inversion layer would be a thin layer of holes at the

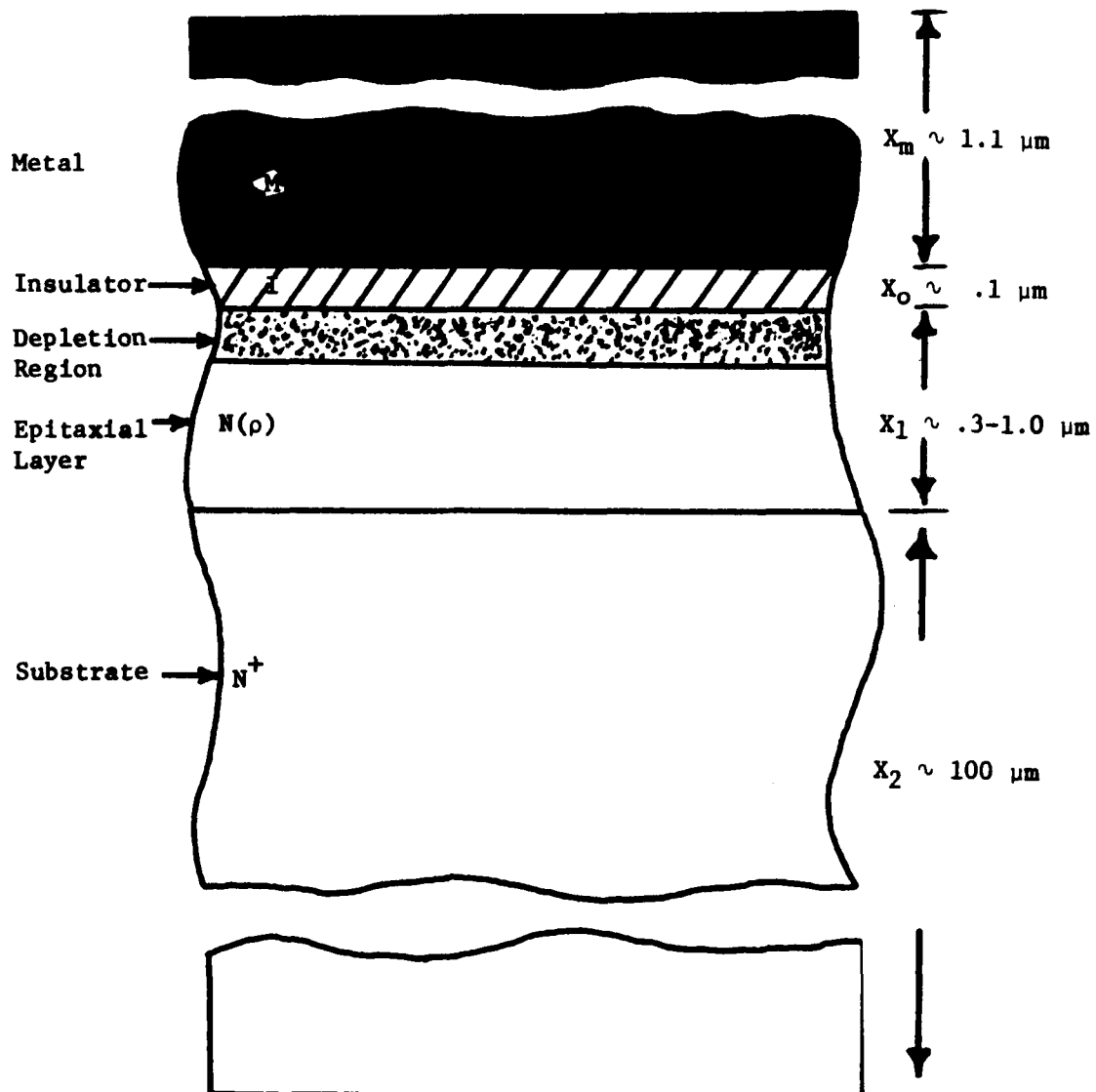


Figure 1.1 Metal insulator semiconductor varactor - one dimensional cross section:

- (a) surface varactor  $\rho$  - low resistivity.
- (b) space charge varactor  $\rho$  - high resistivity.

S-I interface. Once the inversion layer forms, any further reverse bias increases the density of minority carriers in the inversion layer and the depletion thickness no longer increases. The inversion layer response to applied signals is important in that it determines MIS terminal characteristics. Figure 1.2 describes MIS capacitance versus voltage characteristics. At zero bias there is no depletion layer and the total capacitance is the insulator capacitance. When a depletion layer is formed the capacitance decreases until a maximum depletion depth is reached due to inversion. For further reverse bias the path that the capacitance characteristic takes depends on the type of measuring signal. For a very low frequency small signal (<100 Hz) the inversion layer responds to variations in the small signal and, since it appears at the S-I interface, the capacitance curve relaxes to the insulator value ( $C_H$ ). For a high frequency small signal the inversion layer cannot follow since the minority carriers are generated thermally, which is a very slow process. But, the d.c. inversion layer is still there preventing further depletion into the semiconductor and therefore the capacitance stays at  $C_L$ . For a large high frequency signal the inversion layer is never formed because the instantaneous value of potential required to generate the minority carriers is not present long enough. Therefore, as reverse bias is applied, the semiconductor depletes further and further, similar to a conventional varactor. The resistance characteristics for MIS structures are similar to the capacitance curves. The resistance corresponds to ohmic losses from the edge of the depletion layer to the substrate. Losses in the substrate should be negligible because of its low resistivity ( $\approx .005 \Omega\text{-cm}$ ).

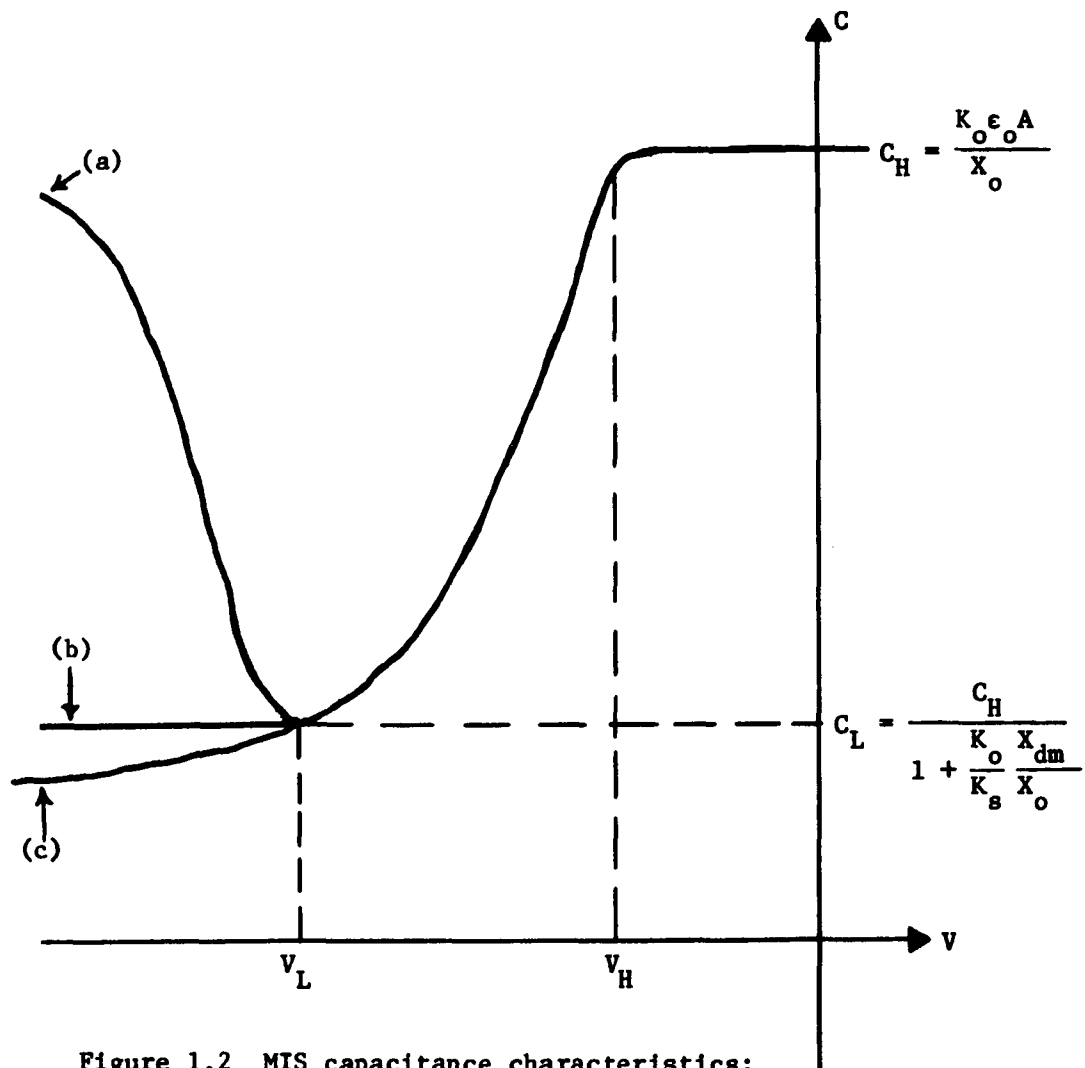


Figure 1.2 MIS capacitance characteristics:  
 (a) low frequency small signal  
 (b) high frequency small signal  
 (c) large high frequency signal

## 1.2 Pertinent Literature

In 1959 J. L. Moll[1] and Pfann and Garrett[2] proposed an MIS varactor structure. The device was called a surface varactor because it used surface space charge layers to vary the capacitance. The differential capacitance versus voltage characteristic was derived, based upon the depletion approximation, and was compared favorably with the abrupt P<sup>+</sup>N junction varactor. Frankl[3] and Lindner[4] investigated material parameter effects on the design of surface varactors. Lindner also compared the MIS varactor and junction varactors on the basis of cutoff frequency and total capacity change. Feursanger and Frankl[5] built surface varactors consisting of epitaxially grown silicon and germanium wafers with titanium dioxide films as a dielectric. Measurements were made up to 9 GHz and were in good agreement with existing theories[3,4]. High quality devices with zero bias cutoff frequencies above 100 GHz were described. Grove[6] did a detailed investigation of thermally oxidized silicon surfaces consolidating many of the ideas of previous researches. He modeled and measured in detail the effects of measurement frequency, illumination and temperature on the space charge capacitance of an inverted surface. This capacitance was shown to follow one of three simple models depending on the measurement frequency and rate of generation of minority carriers.

In 1965 Howson, Owen and Wright[7] described the space charge varactor, which consisted of a high resistivity epitaxial structure. This device was analyzed by characterizing the epitaxial layer as a semi-insulator (no thermally generated carriers). The differential capacitance was derived and shown to be more abrupt than the surface varactor. Silicon space charge varactors were built and measurements

confirmed their low frequency theory. In 1970 Nigrin[9] presented a small signal theory for the space charge varactor. His theory again neglects thermally generated carriers and predicts transit time effects. UHF measurements carried out on structures using high resistivity silicon epitaxial layers for the semi-insulator and a silicon dioxide insulator qualitatively verified the theory. Good high frequency devices could not be made. The thin high resistivity layers required on an  $N^+$  substrate could not be fabricated because it was found that significant out-diffusion or autodoping effects predominated.

Numerical simulation of semiconductor devices has progressed with the development of large high speed digital computers and with the need for more detailed device performance models. A one-dimension computer simulation of a semiconductor device proceeds by first solving the d.c. steady state problem for the electrostatic potential throughout the structure and then using perturbation techniques to solve the sinusoidal steady state problem. Previously, the d.c. electrostatic problem has been treated as an initial value problem[11] by making simplifying assumptions at the boundaries. It has also been treated as a boundary value problem by dynamic programming methods ("shooting" methods)[12,14]. A regional approach[13] may also be used where closed form solutions are joined by numerical solutions. The small signal steady state problem has been solved by developing small signal equivalent circuits [11,13] and using matrix analyses [13,22] to solve for the impedance of the device. These models have never been tested at microwave frequencies.

Both the surface varactor and the space charge varactor theoretically have performance advantages in microwave circuit applications[4,7,8]. None of these advantages were ever realized at microwave frequencies

because high Q varactors were never available. Recently, a high Q surface varactor was reported[2,3] and potential new microwave applications explored. With this success, many researchers are reinvestigating their potential advantages. In 1971 Muller[23] built an MIS Upper-Sideband Upconverter and compared its performance to a charge storage diode. Marquart and Schick[8] showed how one could achieve decreased pump sensitivity by using MIS varactors. Other authors[24,25,26] showed how MIS varactors may be used in unique computer-controlled microwave networks such as digitally tuned oscillators and digital phase shifters. MIS varactors in such applications are voltage-controlled devices which require very low steady state drive power. This makes them attractive for electronically scanned antennas which require a large number of phase shifters and must be controlled by a computer.

### 1.3 Dissertation Research Summary

This research studies the possible use of metal-insulator-semiconductor varactors as a microwave voltage variable circuit element. A unified theory for MIS varactors using thin epitaxially grown semiconductors is developed. Silicon MIS varactors are built using silicon dioxide insulators.\* These devices were mounted in ceramic pill packages and characterized at microwave frequencies. Selected devices were then used to build a four-bit digital phase shifter at 3.5 GHz and a microwave (2 GHz signal circuit) low noise parametric amplifier. Both circuits were built using a microwave integrated circuit format. The phase shifter showed potential for filling low power drain phase shifter

---

\*Later in the research, mixtures of silicon dioxide, silicon nitride, and aluminum oxide were used as insulators successfully.

needs. The paramp was the first of its kind built at microwave frequencies, and showed decrease pump sensitivity over conventional parametric amplifiers. Both applications require further study in order to realize optimized devices.

In Chapter 2 the solution for the d.c. electrostatic potential in a one-dimensional MIS structure is treated as a two-point boundary value problem which is solved iteratively by the " $\eta$ "[15] or quasilinearization[16] procedure. This method is a generalized Newton-Raphson approach for nonlinear boundary value problems and, therefore, converges quadratically when it converges at all. This method has been used in the study of bipolar transistors [17]. Once the electrostatic potential is solved for, it is shown that the differential capacitance may be determined by storing the potential at the I-S interface for a given externally applied potential. The low frequency characteristics of MIS varactors are then studied for a wide range of material parameters and device dimensions. The low frequency computer simulation is performed by fitting a capacitance curve to an experimentally measured device. The two curves were fitted by varying impurity profiles and surface state charge density.

In Chapter 3 a small signal theory valid at microwave frequencies is developed. The solution proceeds by superimposing small signal sinusoidal terms on the d.c. solution. The exact equations are linearized and combined into three coupled second order linear differential equations. The coefficients of the linearized equations are terms involving the previously derived d.c. solution. All derivatives are expanded using finite difference approximations resulting in a seven-band matrix. This system of equations is solved for by a specialized Gauss-elimination

routine for band matrices. In this procedure, the small signal sinusoidal electrostatic potential ( $V_1$ ) and quasipotentials ( $V_{n1}, V_{p1}$ ) are solved for at every point in the semiconductor knowing the externally applied electrostatic potential. The small signal current is solved for and the current and voltage may then be used to determine the small signal impedance. The surface varactor is simulated at microwave frequencies and compared with experiments. It should be noted that the small signal problem is solved without iteration once the d.c. coefficients are known.

Chapter 4 is an applications chapter in which a digital phase shifter and a parametric amplifier are designed and tested. The phase shifter is of the reflection type and uses a Lange[31] hybrid to separate input and output. Phase stretching techniques are developed for realization of large phase shifts using varactors with limited capacitance ratio. These networks are simulated on COSMIC (Computer Simulation of Microwave Integrated Circuit), an RCA analysis program. A four-bit phase shifter is fabricated and tested. It is shown that switching speed and phase shift are power sensitive. The MIS parametric amplifier is shown to be less sensitive to pump power variations than conventional varactor paramps.

Chapter 5 summarizes the work performed. It also outlines further research which could be performed in this area.

#### 1.4 Basic Equations

In general, the following set of equations must be satisfied in a semiconductor[27,28].

Transport Model

$$\bar{J}_p = -q\mu_p P \nabla V_p \quad (1-1)$$

$$\bar{J}_n = -q\mu_n N \nabla V_n \quad (1-2)$$

$$P = n_i \exp(V_p - V) / V_T \quad (1-3)$$

$$N = n_i \exp(V - V_n) / V_T \quad (1-4)$$

where P,N correspond to hole and electron densities,  $\mu$  is particle mobility,  $n_i$  is the intrinsic carrier concentration,  $V_T$  is thermal potential ( $kT/q$ ),  $V_p$  and  $V_n$  are the hole and electron quasi-Fermi potentials, and  $\bar{J}$  is the particle current density.

Continuity

$$\nabla \cdot \bar{J}_p + q \frac{\partial P}{\partial t} + qU_p = 0 \quad (1-5)$$

$$\nabla \cdot \bar{J}_n - q \frac{\partial N}{\partial t} - qU_n = 0 \quad (1-6)$$

where, in general, the recombination model ( $U_n, U_p$ ) is of the Schottky-Hall-Read type[29,30].

$$U_p = U_n = \frac{P \cdot N - n_i^2}{\Gamma_n (P + P_r) + \Gamma_p (N + N_r)} \quad (1-7)$$

$$N_r P_r = n_i^2 \quad (1-8)$$

$$P_r = n_i \exp \frac{V_r - V}{V_T} \quad (1-9)$$

$\tau_r, \tau_p$  are carrier lifetimes for holes and electrons,  $V$  is the electrostatic potential, and  $V_r$  is the trap potential.

Poissons' Equations

$$\nabla \cdot \bar{D} = q[P - N + G(X)] \quad (1-10)$$

where  $G(X)$  is the impurity concentration profile and  $\bar{D}$  is the electric flux density.

$\bar{D}$  may be related to the electrostatic potential by

$$\bar{D} = -\epsilon \nabla V \quad (1-11)$$

And, finally, the total current may be expressed as the sum of displacement current and individual particle currents

$$\bar{J}_T = \frac{\partial \bar{D}}{\partial t} + \bar{J}_n + \bar{J}_p \quad (1-12)$$

## CHAPTER 2

## D. C. SIMULATION OF METAL INSULATOR SEMICONDUCTOR VARACTORS

In order to characterize the performance of MIS varactors at microwave frequencies one must first solve for the d.c. steady state electrostatic potential everywhere in the structure. Once this is done the microwave impedance may be calculated by perturbing the d.c. solution with a small sinusoidal signal. In addition to being necessary for high frequency calculations, the d.c. solution, especially near the insulator-semiconductor interface, may be used to calculate differential capacitance ( $C_d = \partial Q / \partial V$ ). This quantity may be measured experimentally and used to check the d.c. solution. Also, differential capacitance versus voltage curves may be used to study interface properties of semiconductors and impurity profile effects. The differential capacitance is also calculated here so as to compare it later with the imaginary part of the impedance solved for directly. This is of particular interest since they are commonly used interchangeably in the literature.

The problem is approached by specifying Laplace's equation in the insulator, Poisson's equation in the semiconductor and boundary conditions at the ends and interface point. The resulting mathematical formulation is a mixed linear and nonlinear boundary value problem. A closed form solution for this problem is not possible for arbitrary doping profiles and this chapter is confined to a computer solution. The solution proceeds by linearizing the system about a present guess,  $V_0^i(x)$ . The linearized system is then solved for the error  $[\delta(1), \delta(2) \dots \delta(n)]$  at every grid point. The solution of the linear problem involves the inversion of a tridiagonal matrix of the band type. The maximum error

D<sub>MAX</sub> is then found and compared with an allowable bound (.01\*VT). If the error is not small enough, the initial guess is updated by the error and the linearization process is continued. The program stops iterating when the maximum error is within the error bound. The analysis program (MIS) using this procedure is then used to analyze MIS structures with various doping profiles.

## 2.1 Mathematical Formulation (D.C.)

### 2.1.1 D.C. Equations

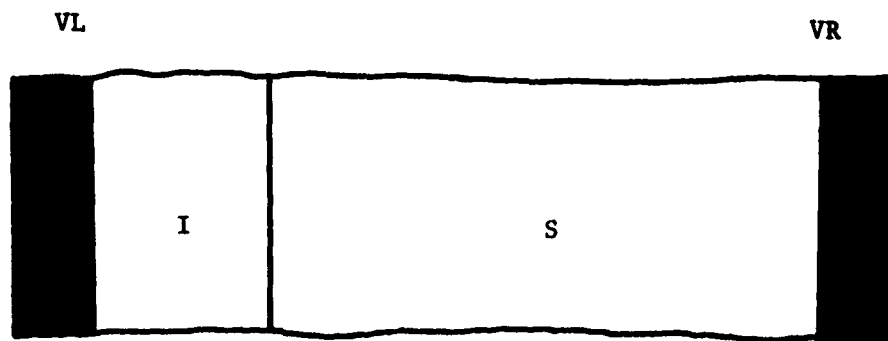
Since only a one dimension solution is considered, all vector quantities will be understood to have a single component in the  $\hat{X}$  direction. Therefore, vector equations may be written as scalar equations and subscript, o, will be used to denote a d.c. quantity.

A sketch of a one-dimensional MIS structure is shown in Figure 2.1. In the insulator, Laplace's equation is assumed to hold for the d.c. potential,  $V_o$ .

$$\frac{d^2 V_o}{dX^2} = 0 \quad (2-1)$$

The above equation implies an ideal insulator with no injected carriers or distributed ionic contamination. In the semiconductor the basic equations (1-1) to (1-12) may be further simplified with the assumption of no d.c. convection current ( $J_{no}$ ,  $J_{po}$ ) flow.

$$J_{no} = J_{po} = 0 \quad (2-2)$$



XL                      X0                      X                      XR

Region I: 
$$\frac{d^2 v_o}{dX^2} = 0$$

Region S: 
$$\frac{d^2 v_o}{dX^2} = -\frac{q}{\epsilon_s} \left\{ G(X) - 2n_1 \sinh \frac{v_o}{V_T} \right\}$$

Boundary Conditions:

$$v_o(XL) = VL$$

$$v_o(XR) = V_T \sinh^{-1} \left( \frac{G(XR)}{2n_1} \right)$$

$$v_o(X0^-) = v_o(X0^+)$$

$$\left[ \epsilon_s \frac{dv_o}{dX} \right]_S - \left[ \epsilon_I \frac{dv_o}{dX} \right]_I = -\frac{Q_{ss}}{\epsilon_o}$$

Figure 2.1 Summary of one dimensional D.C. boundary value problem.

From Eq. (1-1), (1-2), and (2-2) it is seen that the d.c. quasipotentials ( $V_{no}$ ,  $V_{po}$ ) must be constants independent of distance and, in fact, by definition, must be equal to the equilibrium Fermi potential,  $V_F$ .

$$V_{no} = V_{po} = V_F = \text{constant} \quad (2-3)$$

Since  $V_F$  is a constant independent of distance, it is chosen as the reference or ground potential.

$$V_{no} = V_{po} = V_F = 0 \quad (2-4)$$

Substituting Eq. (1-3), (1-4), (1-11), and (2-4) into (1-10) a specialized form of Poisson's equation results:

$$\frac{d^2 V_o}{dx^2} = - \frac{q}{K_s \epsilon_o} \left\{ G(X) - 2n_i \sinh \frac{V_o}{V_T} \right\} \quad (2-5)$$

### 2.1.2 Boundary Conditions

The contact at the metal-insulator boundary is considered an ideal metal maintained with respect to the Fermi potential in the semiconductor at an electrostatic potential,  $V_L$ . The metal semiconductor contact is considered ohmic[17] which implies the following two assumptions:

- (A) - infinite surface recombination velocity
- (B) - space charge neutrality

An infinite surface recombination velocity implies for finite hole and electron current densities at the contacts that hole and electron densities must be equal to the d.c. quantities; i.e.,

$$P = P_o \text{ and } N = N_o \quad (2-6)$$

This may be deduced from the definition of surface recombination velocity.

$$q(P-P_o) \Big|_{\text{ohmic contact}} = \lim_{S_{RP} \rightarrow \infty} (J_P/S_{RP}) = 0 \quad (2-7a)$$

$$q(N-N_o) = \lim_{S_{RN} \rightarrow \infty} (J_N/S_{RN}) = 0 \quad (2-7b)$$

The second assumption (B) may be expressed using Eq. (2-6) as

$$P_o - N_o + G(XR) = 0 \quad (2-8)$$

which may be solved for  $V_o(XR)$  assuming Boltzmann's statistics, Eq. (1-3), (1-4), and (2-4).

$$V_o(XR) = V_T \sinh^{-1} \left( \frac{G(XR)}{2n_i} \right) \quad (2-9a)$$

This equation may be rewritten in the following form, which is easier to handle on the computer.

$$V_o(XR) = V_T \ln \left\{ \frac{G(XR)}{2n_i} + \left( \left( \frac{G(XR)}{2n_i} \right)^2 + 1 \right)^{1/2} \right\} \quad (2-9b)$$

Note that  $n_i$  is a function of temperature and the type of material used. For silicon[18]

$$n_i(T) = (3.73 \times 10^{16}) * T^{3/2} \exp \left( -\frac{7014}{T} \right) (\text{cm}^{-3}) \quad (2-10)$$

At the semiconductor insulator interface the normal component of electric flux density is discontinuous.

$$D_S - D_I = Q_{SS} \quad (2-11)$$

$Q_{SS}$  is any surface state or interface charge which may exist. The electric flux densities may be expressed in terms of electrostatic potential:

$$D = \epsilon E = - \epsilon \frac{dV_o}{dX} \quad (2-12)$$

A summary of the mathematical formulation is presented in Figure 2.1, including structure, d.c. equations and boundary conditions.

## 2.2 Numerical Solution of the D.C. Steady State Problem

### 2.2.1 Quasilinearization

First, the structure is divided into three section in each of which a uniform grid is set up as described in Figure 2.2. The mesh sizes (XH1, XH2, XH3) need not be the same size and, in general, they are not. At each point it is assumed that the electrostatic potential consists of a present value ( $V_o^i$ ) plus an error ( $\delta$ ).

$$V_o = V_o^i + \delta \quad (2-13)$$

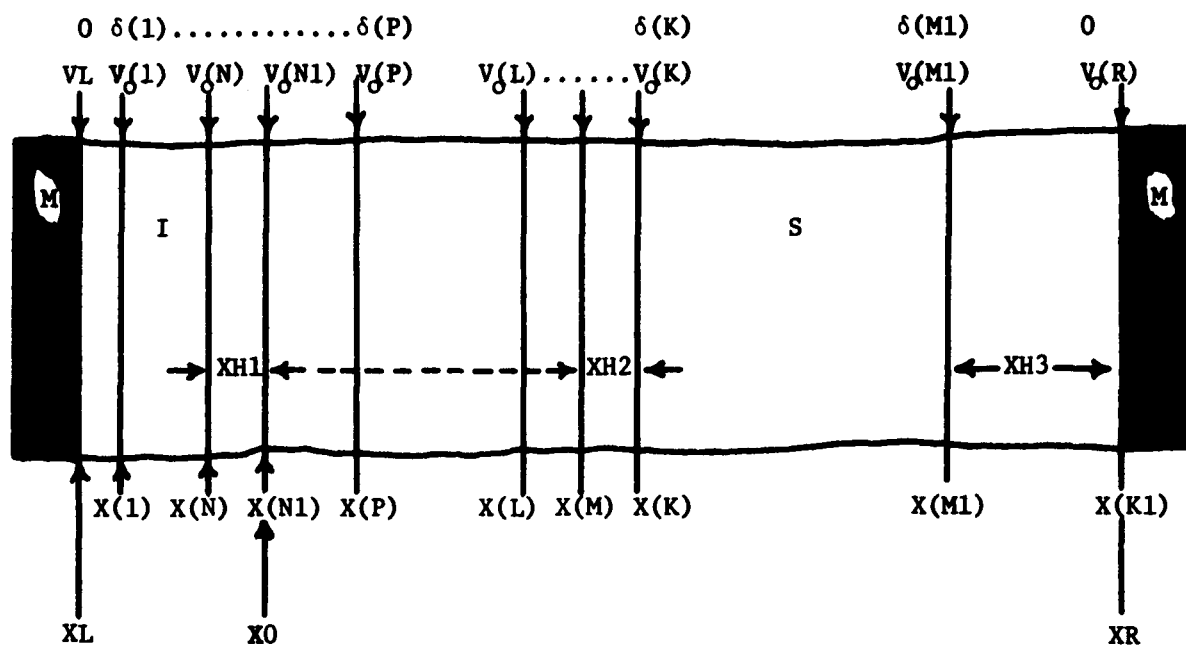
Substituting Eq. (2-13) into Eq. (2-1)

$$\frac{d^2(\delta + V_o^i)}{dX^2} = 0 \quad (2-14)$$

Solving for the error term, a second order linear differential equation results where the right side is known since  $V_o^i$  is known.

$$\frac{d^2\delta}{dX^2} = - \frac{d^2V_o^i}{dX^2} \quad (2-15)$$

Substituting Eq. (2-13) into (2-5), and similarly separating error terms, a second order nonlinear differential equation in  $\delta$  results.



$N1$  = Number of Increments in Insulator

$N2, N3$  = Number of Increments in Grid 1 and Grid 2 in the Semiconductor

$X(I)$  = Mesh Coordinate

$\delta, V$  = Error and Exact Value of Electrostatic Potential

$K = N1 + N2$

$K1 = N1 + N2 + N3$

Figure 2.2 Finite difference grid and associated variables.

$$\frac{d^2(V_o^i + \delta)}{dX^2} = -\frac{q}{\epsilon_s} \left\{ G(X) - 2n_i \sinh \frac{(V_o^i + \delta)}{V_T} \right\} \quad (2-16)$$

$$\sinh\left(\frac{V_o^i}{V_T} + \frac{\delta}{V_T}\right) = \sinh \frac{V_o^i}{V_T} \cosh \frac{\delta}{V_T} + \cosh \frac{V_o^i}{V_T} \sinh \frac{\delta}{V_T} \quad (2-17)$$

It is assumed here that  $V_o^i$  is close to the exact solution such that  $\delta/V_T \ll 1$ . This may be justified on the grounds that this problem is a physical one to which under certain conditions a good approximation is known. Therefore, under these assumptions, the nonlinear boundary value problem in  $V_o$  may be converted to a linear problem in  $\delta$ , which may be solved directly without iteration. Expanding the terms  $\cosh \delta/V_T$  and  $\sinh \delta/V_T$  and keeping only first order terms, Eq. (2-17) may be expressed as

$$\sinh\left(\frac{V_o^i}{V_T} + \frac{\delta}{V_T}\right) \approx \sinh \frac{V_o^i}{V_T} + \frac{\delta}{V_T} \cosh \frac{V_o^i}{V_T} \quad (2-18)$$

Substituting Eq. (2-18) into (2-16) and collecting error terms

$$\frac{d^2\delta}{dX^2} - \alpha\delta + \beta = 0 \quad (2-19)$$

$$\alpha = \frac{q}{\epsilon} \frac{2n_i}{V_T} \cosh \frac{V_o^i}{V_T} \quad (2-20)$$

$$\beta = \frac{d^2V_o^i}{dX^2} + \frac{q}{\epsilon} \left( G(X) + 2n_i \sinh \frac{V_o^i}{V_T} \right) \quad (2-21)$$

At the interface point between insulator and semiconductor, the boundary condition on the error may be determined by substituting Eq. (2-13) into (2-11) and (2-12)

$$K_S \left. \frac{d\delta}{dx} \right|_S - K_I \left. \frac{d\delta}{dx} \right|_I = -Q_{SS} - K_S \left. \frac{dv_o^1}{dx} \right|_S + K_I \left. \frac{dv_o^1}{dx} \right|_I \quad (2-22)$$

The subscripts S and I denote derivatives evaluated from the semiconductor or insulator sides, respectively. At the metal contacts, the electrostatic potential is assumed known, and therefore error at these points is always zero.

$$\delta(XL) = 0 \quad (2-23)$$

$$\delta(XR) = 0 \quad (2-24)$$

A summary of the linearized boundary value problem is shown in Figure 2.3. It should be noted that the coefficients of the linearized second order problem are in terms of the presently known  $V_o^1$  and other known constants.

The solution proceeds now by approximating all derivatives by finite difference approximations and obtaining a system of equations of the form

$$\bar{J} \cdot \bar{\delta} = \bar{D} \quad (2-25)$$

The matrix  $\bar{J}$  is a tridiagonal band matrix as shown in Figure 2.4. The matrices  $\bar{\delta}$  and  $\bar{D}$  are column vectors. The elements (a,b,c) of  $\bar{J}$  may be derived in the insulator by using centered difference approximations to second derivatives of  $\delta$  and  $V_o^1$ . Therefore, if we let

$$\frac{d^2 V_o^1}{dx^2}(I) = D2V(I) = \frac{V_o^1(I-1) - 2V_o^1(I) + V_o^1(I+1)}{(XH1)^2} \quad (2-26)$$

and if the second derivative of  $\delta$  with respect to X is expressed similarly, the following set of equations result in the insulator.

$$v = v_o^i + \delta$$

$$\text{Region I:} \quad \frac{d^2 \delta}{dX^2} = - \frac{d^2 v_o^i}{dX^2}$$

$$\text{Region S:} \quad \frac{d^2 \delta}{dX^2} - \alpha \delta + \beta = 0$$

$$\alpha = \frac{q}{\epsilon_s} \frac{2n_i}{V_T} \text{Cosh} \frac{v_o^i}{V_T}$$

$$\beta = \frac{d^2 v_o^i}{dX^2} + \frac{q}{\epsilon_s} \left\{ G(X) - 2n_i \text{Sinh} \frac{v_o^i}{V_T} \right\}$$

Boundary Conditions:

$$\delta(XL) = 0$$

$$\delta(XR) = 0$$

At  $X=X_0$ ,

$$K_s \left. \frac{d\delta}{dX} \right|_s - K_I \left. \frac{d\delta}{dX} \right|_I = - \frac{Q_{ss}}{\epsilon_o} - K_s \left. \frac{dv_o^i}{dX} \right|_s + K_I \left. \frac{dv_o^i}{dX} \right|_I$$

Figure 2.3 Linearized boundary value problem.

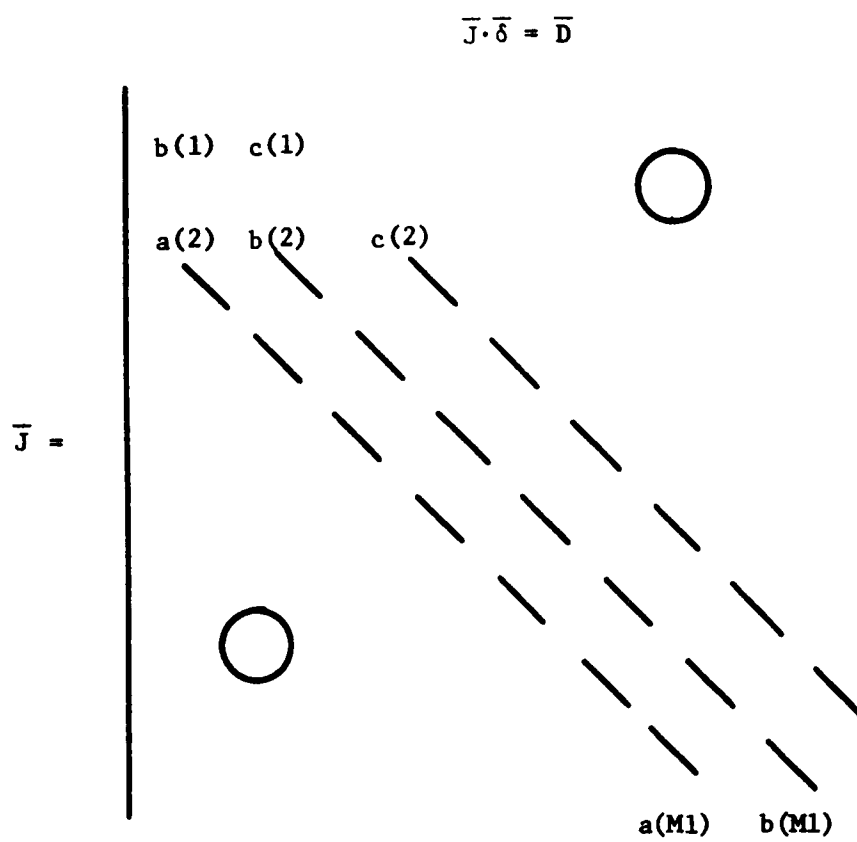


Figure 2.4 Tridiagonal system of equations.



$$\alpha(I) = \frac{q}{\epsilon} \frac{2n_i}{V_T} \cosh \frac{v_o^i(I)}{V_T} \quad (2-33)$$

$$\beta(I) = D2V(I) + \frac{q}{\epsilon} \left( G(I) - 2n_i \sinh \frac{v_o^i(I)}{V_T} \right) \quad (2-34)$$

$$I = P, M \quad (2-35)$$

At the interface point between two grids (point K), the centered difference approximation for second derivative (Appendix A1) is used resulting in Eq. (2-36).

$$\begin{aligned} \delta(M) - \left\{ 1 + \frac{XH2}{XH3} + \alpha(K) \frac{(XH2)(XH2+XH3)}{2} \right\} \delta(K) + \frac{XH2}{XH3} \delta(P1) \\ = - \beta(K) \frac{XH2(XH2+XH3)}{2} \end{aligned} \quad (2-36)$$

Note that Eq. (2-36) reduces to (2-32) for  $XH2=XH3$ . Similarly, in grid  $J$ :  $i = P1, M1$ .

$$\delta(I-1) - (2+(XH3)^2) \delta(I) + \delta(I+1) = -(XH3)^2 \beta(I) \quad (2-37)$$

Comparing Eq. (2-27) to (2-37) with the elements of  $\bar{J}$ , a,b,c at every point may be determined and is summarized in Figure 2.5. Figure 2.6 is a flow diagram of the program (MIS) pertinent to this chapter.

### 2.2.2 Inversion Algorithm for a Tridiagonal Band Matrix

The M1 system of equations may be solved for the M1 unknown  $\delta$ 's by a Gauss elimination routine[19,20]. Expanding Eq. (2-25) into component form, the system may be written as

$$b(1)\delta(1)+c(1)\delta(2) = d(1) \quad (2-38)$$

$$a(2)\delta(1)+b(2)\delta(2)+c(2)\delta(3) = d(2) \quad (2-39)$$

$$a(3)\delta(2)+b(3)\delta(3)+c(3)\delta(4) = d(3) \quad (2-40)$$

$$\begin{array}{ccc} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{array}$$

$$\begin{aligned}
 & \left. \begin{aligned}
 a(I) &= 1, \\
 b(I) &= -2 \\
 c(I) &= 1 \\
 d(I) &= -(XH1)^2 D2V(I)
 \end{aligned} \right\} \begin{aligned}
 & I = 2, M1 \\
 & I = 1, N
 \end{aligned} \\
 \\
 & b(N1) = - \left( 1 + \frac{K_s}{K_I} \frac{XH1}{XH2} \right) \\
 \\
 & c(N1) = \frac{K_s}{K_I} \frac{XH1}{XH2} \\
 \\
 & d(N1) = - \frac{XH1}{K_I} \frac{Q_{ss}}{\epsilon_o} - v_o^i(N) + \left( 1 + \frac{K_s}{K_I} \frac{XH1}{XH2} \right) v_o^i(N1) - \frac{K_s}{K_I} \frac{XH1}{XH2} v_o^i(P) \\
 \\
 & \left. \begin{aligned}
 b(I) &= - (2 + (XH2)^2 \alpha(I)) \\
 c(I) &= 1 \\
 d(I) &= - (XH2)^2 \beta(I)
 \end{aligned} \right\} I = P, M \\
 \\
 & \alpha(I) = \frac{q}{\epsilon_s} \frac{2n_i}{V_T} \cosh \frac{v_o^i(I)}{V_T} \\
 \\
 & \beta(I) = D2V(I) + \frac{q}{\epsilon_s} \left( G(I) - 2n_i \sinh \frac{v_o^i(I)}{V_T} \right) \\
 \\
 & b(K) = - \left\{ 1 + \frac{XH2}{XH3} + \alpha(K) \left( \frac{XH2(XH2+XH3)}{2} \right) \right\} \\
 \\
 & c(K) = \frac{XH2}{XH3} \\
 \\
 & d(K) = \beta(K) \frac{XH2(XH2+XH3)}{2} \\
 \\
 & \left. \begin{aligned}
 b(I) &= - (2+(XH3)^2) \alpha(I) \\
 d(I) &= - (XH3)^2 \beta(I)
 \end{aligned} \right\} I = P1, M1 \\
 \\
 & c(I) = 1, \quad I = P1, L1 \text{ where } L1 = M1-1.
 \end{aligned}$$

Figure 2.5 Summary of matrix elements abcd.

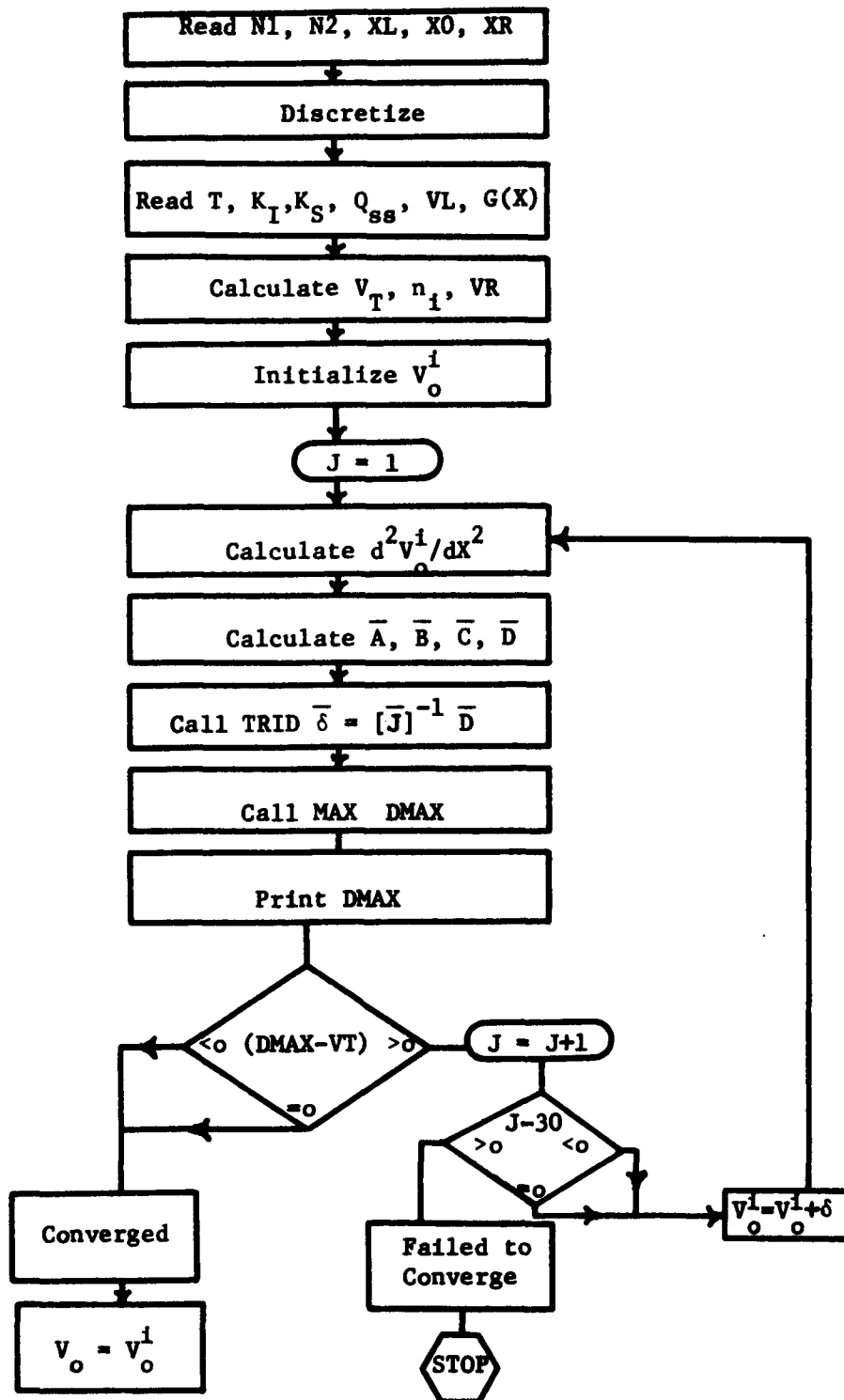


Figure 2.6 MIS flow diagram.

$$\begin{array}{cccc} \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{array} \quad (2-41)$$

$$a(M1-1)\delta(M1-2)+b(M1-1)\delta(M1-1)+c(M1-1)\delta(M1) = d(M1-1)$$

$$a(M1-1)\delta(M1-1)+b(M1)\delta(M1) = d(M1) \quad (2-42)$$

Multiplying Eq. (2-38) by  $a(2)/b(1)$ , and using the resulting equation to eliminate  $\delta(1)$  from Eq. (2-39)

$$b'(2)\delta(2)+c(2)\delta(3) = d'(2) \quad (2-43)$$

$$b'(2) = b(2) - \frac{a(2)c(1)}{b(1)} \quad (2-44)$$

$$d'(2) = d(2) - \frac{a(2)d(1)}{b(1)} \quad (2-45)$$

Multiplying Eq. (2-39) by  $a(3)/b'(2)$  and subtracting the resulting equation from Eq. (2-40)

$$b'(3)\delta(3)+c(3)\delta(4) = d'(3) \quad (2-46)$$

where

$$b'(3) = b(3) - \frac{a(3)c(2)}{b'(2)} \quad (2-47)$$

$$d'(3) = d(3) - \frac{a(3)d'(2)}{b'(2)} \quad (2-48)$$

Also, eliminating  $\delta(M1-2)$  from Eq. (2-41)

$$b'(M1-1)\delta(M1-1) + c(M1-1)\delta(M1) = d'(M1-1) \quad (2-49)$$

$$b'(M1-1) = b(M1-1) - \frac{a(M1-1)c(M1-2)}{b'(M1-2)} \quad (2-50)$$

$$d'(M1-1) = d(M1-1) - \frac{a(M1-1)d(M1-2)}{b'(M1-2)} \quad (2-51)$$

Similarly, eliminating  $\delta(M1-1)$  from Eq. (2-42)

$$b'(M1)\delta(M1) = d'(M1) \quad (2-52)$$

$$b'(M1) = b(M1) - \frac{a(M1)c(M1-1)}{b'(M1-1)} \quad (2-53)$$

$$d'(M1) = d(M1) - \frac{a(M1)d(M1-1)}{b'(M1-1)} \quad (2-54)$$

Solving Eq. (2-52) for  $\delta(M1)$

$$\delta(M1) = \frac{d'(M1)}{b'(M1)} \quad (2-55)$$

Back substituting into Eq. (2-49)

$$\delta(M1-1) = \frac{d'(M1-1) - c(M1-1)\delta(M1)}{b'(M1-1)} \quad (2-56)$$

or

$$\delta(R) = \frac{d'(R) - c(R)\delta(R+1)}{b'(R)} \quad (2-57)$$

$$R = M1-1 \text{ to } R = 1 \quad (2-58)$$

#### Algorithm Summary

1. If  $b(1) \neq 0$ , then calculate Ratio

$$2. \text{ Ratio} = \frac{a(2)}{b(1)}$$

Then for  $I = 2, M1$ , do steps 3,4,5,6.

3.  $b(I) = b(I) - \text{Ratio} * c(I-1)$

4.  $d(I) = d(I) - \text{Ratio} * d(I-1)$

5. If  $b(I) \neq 0$ , calculate Ratio.

$$6. \text{ Ratio} = \frac{a(I+1)}{b(I)}$$

Note that the array  $\bar{B}$ ,  $\bar{D}$  is destroyed in the process of solving the system. This feature reduces the numbers of arrays required by two. The

algorithm now proceeds by back substituting and solving for the solution vector  $\bar{\delta}$ .

$$7. \quad \delta(M1) = \frac{d(M1)}{b(M1)}$$

$$8. \quad \delta(R) = \frac{d(R) - c(R) * \delta(R)}{b(R)} \quad R = M-1 \text{ to } R = 1$$

### 2.2.3 Calculation of Low Frequency Capacitance

By definition the differential capacitance of a semiconductor structure is

$$C(v) = \frac{dQ}{dV} \quad (2-59)$$

where

V = voltage variation across the structure.

VL = applied electrostatic potential relative to the Fermi potential within the semiconductor.

VR = contact potential relative to the Fermi potential.

Q = charge per unit area at the interface between metal and insulator.

The surface charge (Q) may be related to the electric field ( $E_I$ ) in the insulator by knowing that the electric flux density (D) is discontinuous across the boundary and that the electric field in the metal is zero.

$$D_I - D_M = Q \quad (2-60)$$

$$D_M = 0 \quad (2-61)$$

$$Q = \epsilon_o K_I E_I \quad (2-62)$$

where

$\epsilon_o$  = permittivity of free space.

$K_I$  = relative permittivity of the insulator.

$E_I$  = electric field in the insulator.

The electric field may be related to the electrostatic potential by

$$E_I = \frac{VL - V(XO)}{XO} \quad (2-63)$$

Substituting Eq. (2-63) into (2-62) a relationship between Q and electrostatic potentials results.

$$Q = C_o (VL - V(XO)) \quad (2-64)$$

where

$$C_o = \frac{\epsilon_o K_I}{X_o} \quad (2-65)$$

$$dQ = C_o (dVL - dV(XO)) \quad (2-66)$$

$$dV = dVL \quad (2-67)$$

Substituting Eq. (2-66) and (2-67) in the capacitance definition (2-59)

$$C = C_o \left( 1 - \frac{dV(XO)}{d(VL)} \right) \quad (2-68)$$

Approximating the first derivative by a forward difference

$$\frac{dV(X_o)}{dVL} = \frac{V(X_o)_{I+1} - V(X_o)_I}{(VL)_{I+1} - (VL)_I} \quad (2-69)$$

If increments in applied voltage are defined as DV where

$$DV = (VL)_{I+1} - (VL)_I \quad (2-70)$$

then the final expression for differential capacitance may be expressed in terms of DV and the change in voltage at the semiconductor insulator interface.

$$C(I) = C_o \left( 1 - \frac{V(X_o)_{I+1} - V(X_o)_I}{DV} \right) \quad (2-71)$$

$$VL = VR + DV * I \quad (2-72)$$

$$I = 1, NP \quad (2-73)$$

NP - number of points of interest.

#### 2.2.4 Assumed Impurity Profile for Ideal Epitaxial Material

The impurity profile most used in calculations will be that of a hyperbolic tangent function of the following form:

$$G(X) = A \tanh (X-X_1)/BX + C \quad (2-74)$$

where

$$A = (NS-NE)/2 \quad (2-75)$$

BX = slope parameter

$$C = (NS+NE)/2 \quad (2-76)$$

NS and NE are substrate and epitaxial layer densities, respectively.

$X_1$  = break point of the abrupt junction

The function can simulate an abrupt junction if the slope parameter is made small enough. This function is also continuous everywhere and is bounded, which makes numerical computation simple. Figure 2.7 plots Eq. (2-74) for two epi layer densities and two different slope parameters. In general, we will be interested in doping profiles corresponding to the surface varactor and the space charge varactor.

The parameters of the impurity profile [Eq. (2-74)] for the surface varactor are assumed to be

$$NS = 1.0 \times 10^{20} \text{ (1/cm}^3\text{)}$$

$$NE = 0.5 \times 10^{16} \text{ (1/cm}^3\text{)}$$

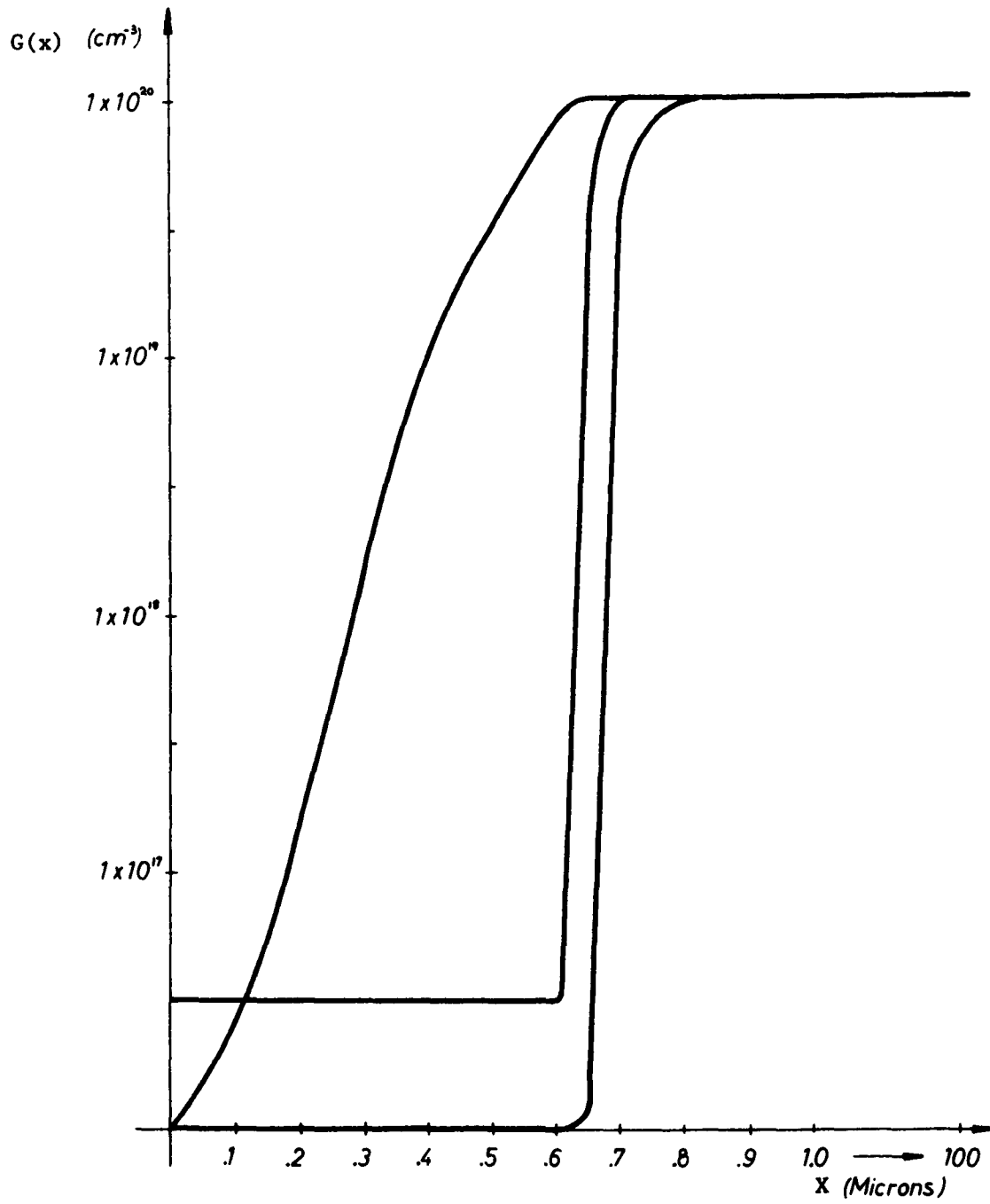


Figure 2.7  $G(x)$  versus distance  $x$ .

$$X1 = 0.7 \text{ (microns)}$$

$$BX = 1.0 \times 10^{-3} \text{ (microns)}$$

In addition to the above parameters referring to Figure 2.1, the rest of the dimensions are:

$$XL = 0$$

$$X0 = 0.1 \text{ (microns)}$$

$$XR = 101.3 \text{ (microns)}$$

For the space charge varactor all dimensions and densities are the same except for NE. For the space charge varactor an intrinsic material is assumed

$$NE = 0$$

### 2.3 Solution for Electrostatic Potential

Figure 2.8 is a plot of electrostatic potential at the insulator semiconductor interface. As is shown in the plot:

$$VL = -2.47 \text{ volts}$$

$$VR = 0.53 \text{ volts}$$

$$Q_{SS} = 0.34 \times 10^{-3} \text{ coul/m}^2$$

The doping profile corresponds to that of the surface varactor. In the insulator, since there is no charge density, the electrostatic potential is a linear function versus distance. At the insulator-semiconductor interface ( $X = X0 = 0.1 \mu\text{m}$ ) there is a change in slope due to the change in relative dielectric constant and also due to the surface charge density. The potential decays into the semiconductor, approximately 0.3 micron until charge neutrality ( $\rho = 0$ ) is valid and the electrostatic potential is independent of distance. It should be noted that there is a region near the interface where  $V_0 = 0$ . At this point ( $X = 0.18 \mu\text{m}$ ) according to Eq. (1-3), (1-4), and (2-4)

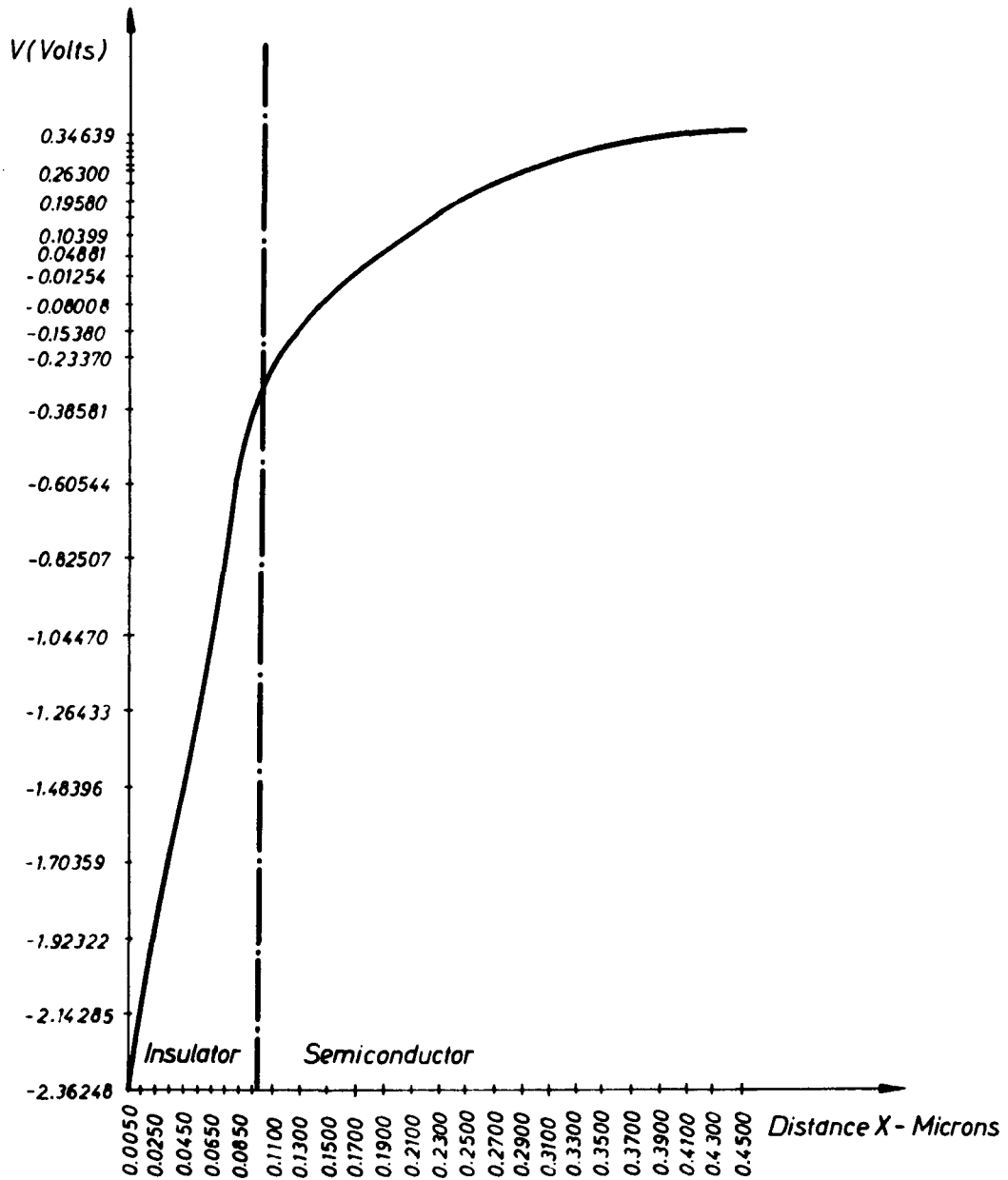


Figure 2.8 Computer simulation of potential at the insulator-semiconductor interface.

$$P_o = n_i \exp - V_o/V_T \quad (2-77)$$

$$N_o = n_i \exp V_o/V_T \quad (2-78)$$

$$P_o = N_o = n_i \quad (2-79)$$

$$\text{and } \rho = q(P_o - N_o + G(X)) = qG(X) \quad (2-80)$$

At approximately  $X = 0.18 \mu\text{m}$  there is essentially a depletion region where there is a positive background charge density. At the I-S interface there is a large negative potential which, according to Eq. (2-77) and (2-78) corresponds to a large hole concentration and a small electron concentration. Since holes are minority carriers, this is called an inversion layer.

Figure 2.9 shows a plot of the N/N+ interface ( $X = 0.7 \mu\text{m}$ ). To the left and right of this interface are charge neutral regions where no electric fields exist. But, right at the interface an electric field exists corresponding to an electron accumulation. This charge is an out-diffusion of electrons from the more heavily doped substrate into the lower impurity epitaxial region. This charge accumulation or injection becomes important in the space charge varactor which works on a charge injection principle.

#### 2.4 MIS Differential Capacitance Simulation

Using equation (2-71) differential capacitance versus voltage, plots may be used to study MIS properties. It should be noted that the capacitance characteristic resulting will be the low frequency curve. This is because the total charge including minority carriers is used to calculate capacitance. Figure 2.10 shows a  $C(v)$  plot for an abrupt surface varactor with surface charge as a parameter. As the surface

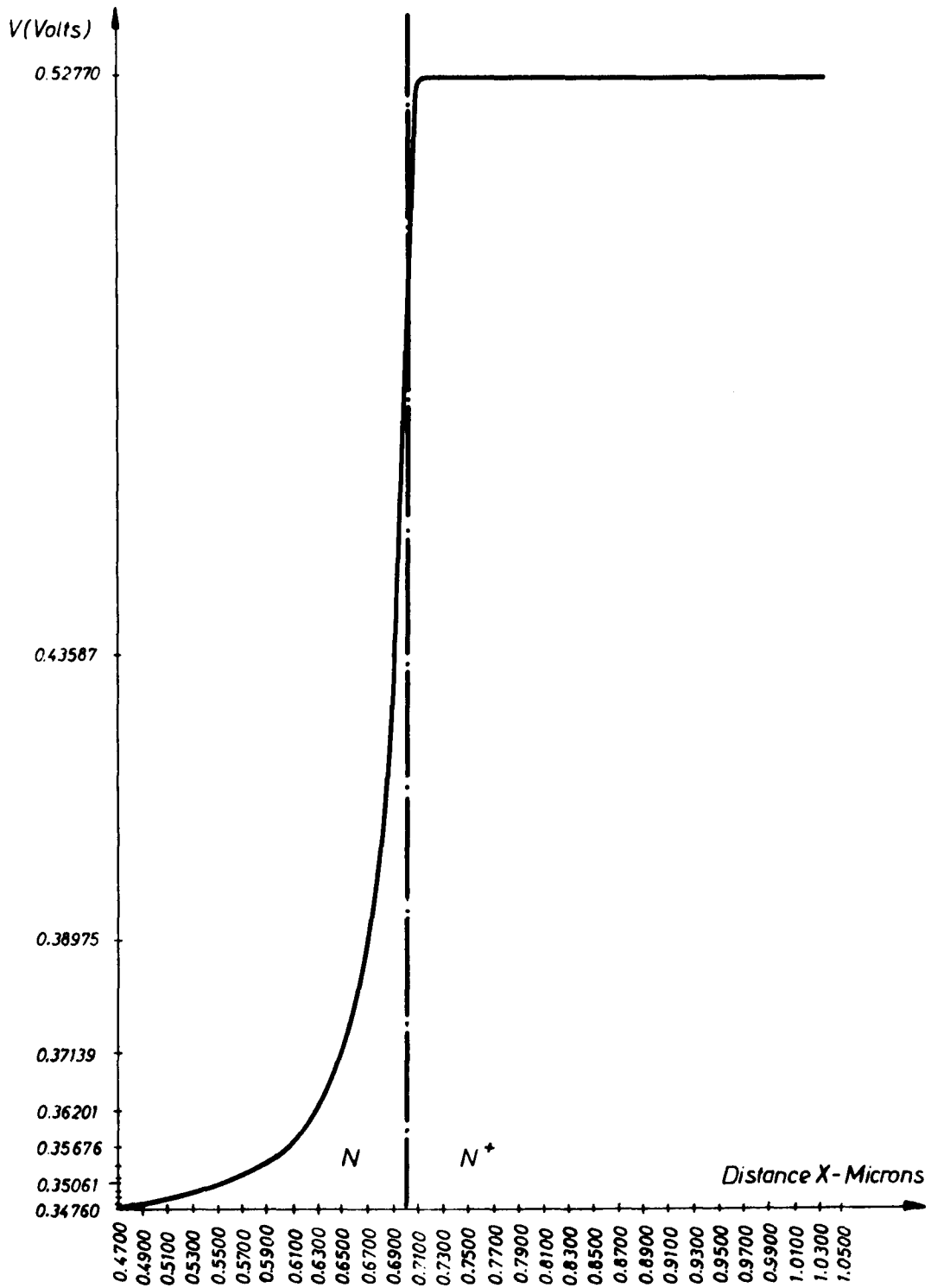


Figure 2.9 Computer simulation of potential at the  $N/N^+$  interface.

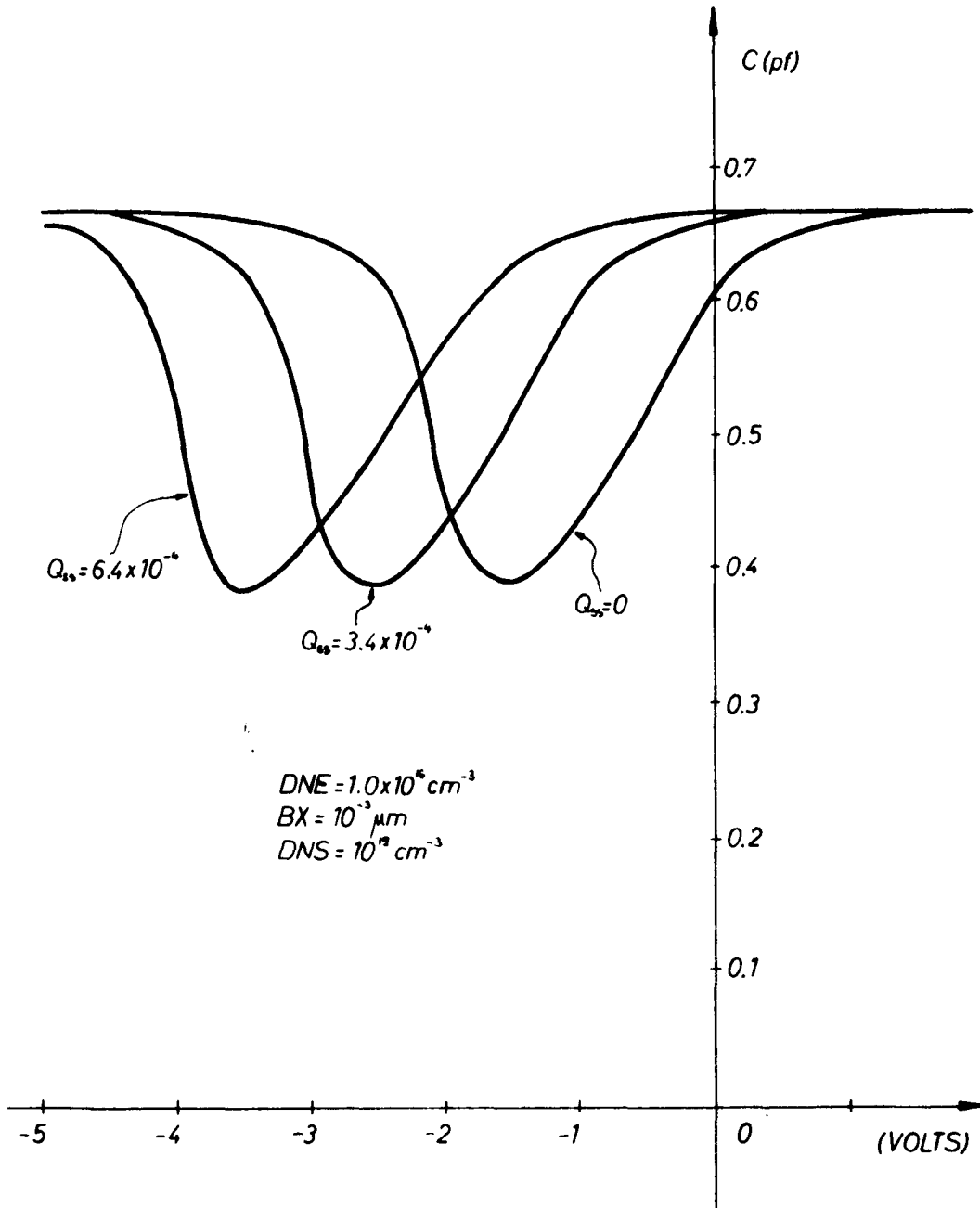


Figure 2.10 Differential capacitance versus voltage with  $Q_{ss}$  as a parameter.

charge is increased the  $C(V)$  curve is displaced along the voltage axis. Grove[6] shows that a reasonable number for surface state charge density is  $Q_{ss} \sim 10^{-4}$  coul/m<sup>2</sup> for an annealed silicon-silicon dioxide interface. Figure 2.11 shows the effect of varying the epitaxial layer density. The lower the density the larger the maximum depletion depth and the greater the maximum to minimum capacitance ratio. The curve  $DNE=0$  corresponds to the space charge varactor case. Also, changing the slope  $BX$  affects the capacitance plot as shown in Figure 2.12. A slope parameter is necessary because in thin epitaxial material there is an autodoping effect in which it takes a finite distance to go from a high density to a low density of impurities. Autodoping is a function of temperature and occurs within a micron of the substrate surface. Material for microwave varactors will have effective epitaxial layer thicknesses less than one micron.

Figure 2.13 shows an experimental curve and a computer simulation plotted on the same set of coordinates. The purchased material used had the following given parameters:

epi thickness	= 0.6 micron	$DNE = 1.0 \times 10^{16}$ cm <sup>-3</sup>
substrate thickness	= 100 microns	$ONS = 1 \times 10^{19}$ cm <sup>-3</sup>

In matching the experimental curve to the computer predicted curve  $DNE$ ,  $Q_{ss}$  and  $BX$  were perturbed slightly about initial guesses based on manufacturers' data and information supplied by other authors[6]. The final values used are listed in Figure 2.13 and are not very different from the initial data. Also, the experimental curve is a high frequency plot because the lowest measurement frequency available out of the Boonton bridge was 100 kHz. In order to see the low frequency plot, a measurement below 100 Hz is necessary.

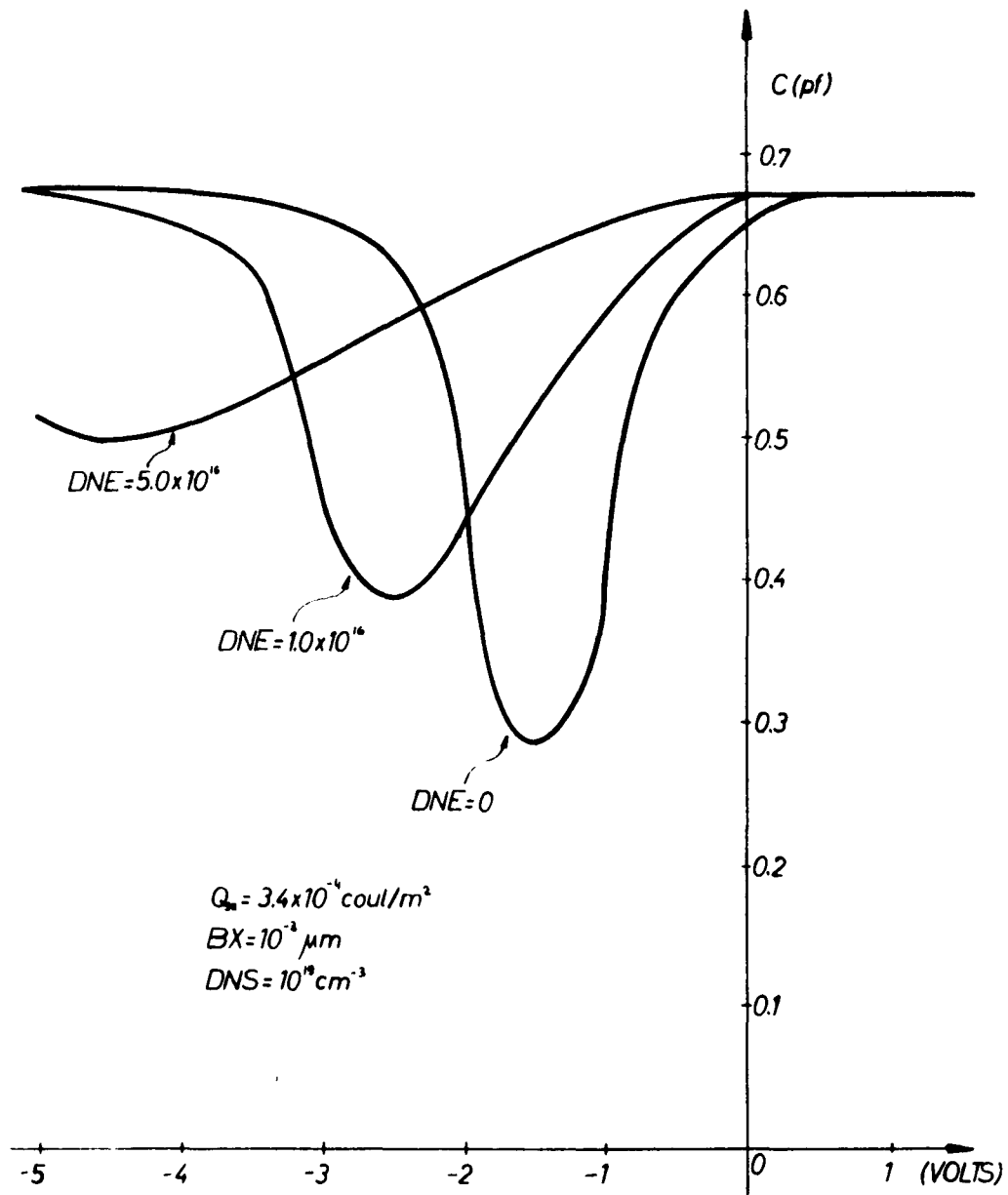


Figure 2.11 Differential capacitance versus voltage with DNE as a parameter.

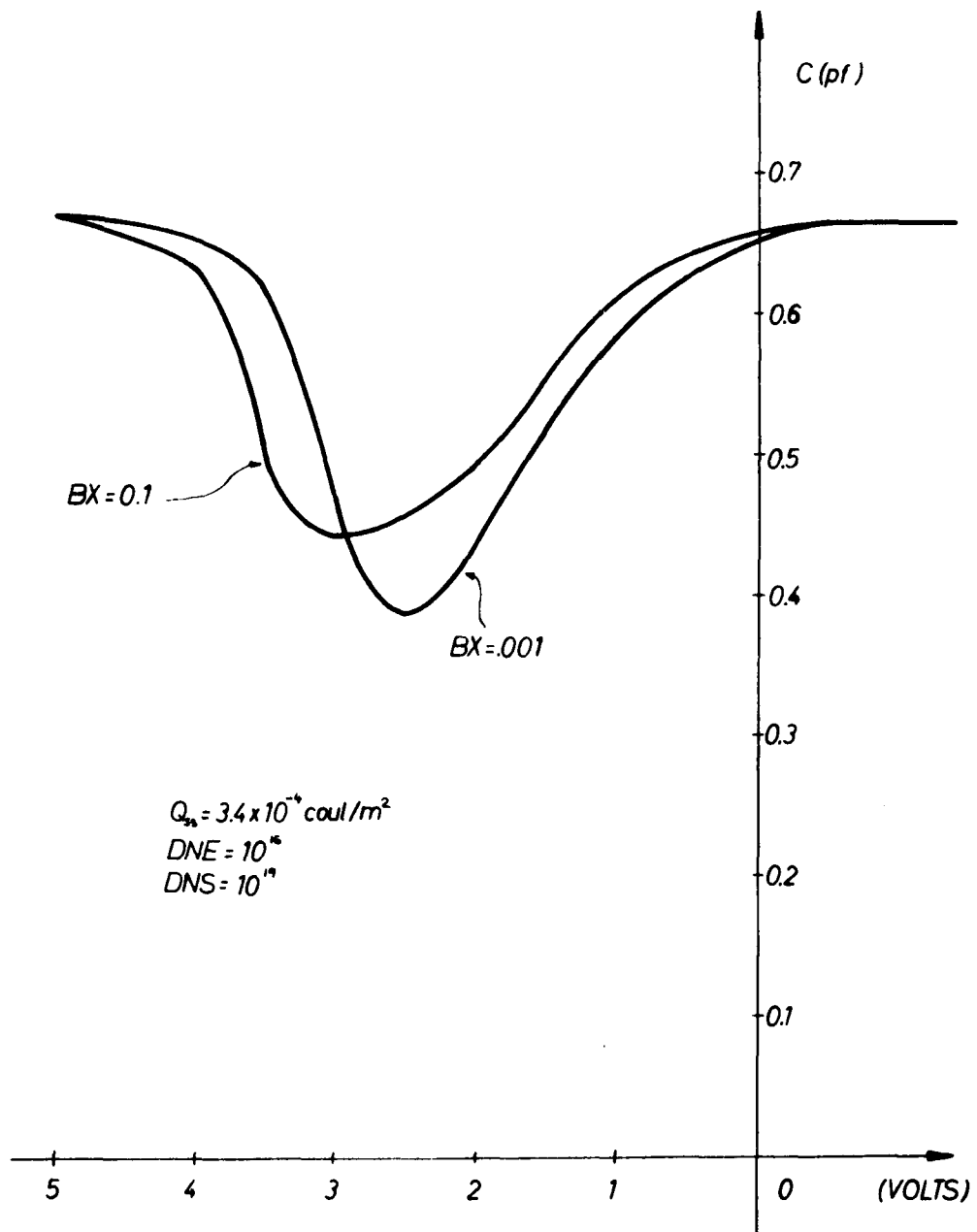


Figure 2.12 Differential capacitance versus voltage with  $BX$  as a parameter.

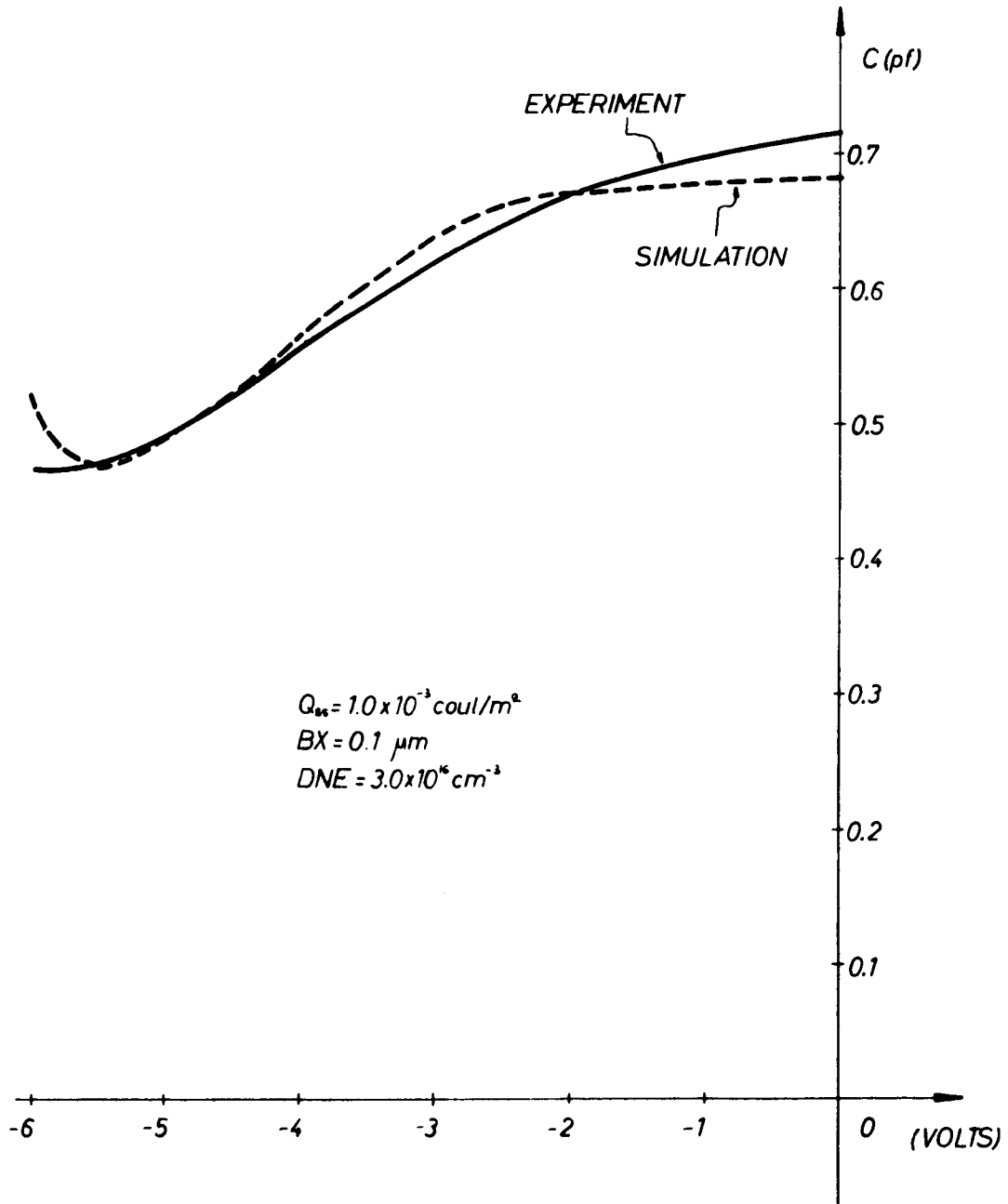


Figure 2.13 Simulation and correlation of SO-100-1000.

## CHAPTER 3

## MICROWAVE SMALL SIGNAL SIMULATION OF MIS VARACTORS

3.1 Derivation of a Small Signal Mathematical Model

In this section a small signal sinusoidal steady state model of an MIS varactor is developed. This involves the linearization and manipulation of the basic equations (1-1 to 1-12) into three coupled second order linear differential equations. The basic equations are linearized about a d.c. operating point ( $V_0$ ) previously determined at every point in the semiconductor by the quasilinearization procedure described in Chapter 2. The coefficients of the microwave differential equations are terms involving only the d.c. solution. Boundary conditions are derived at the I-S interface and at an ohmic contact. The problem is set up as a linear boundary value problem and it is shown in later sections how these equations may be solved directly without iteration.

3.1.1 Small Signal Microwave Equations Valid in the Semiconductor

Combining Eq. (1-3), (1-4), (1-10) and (1-11), Poisson's equation may be written again in the form

$$\nabla^2 V = - \frac{q}{\epsilon_s} (P - N + G(X)) \quad (3-1)$$

Also neglecting bulk recombination the continuity equations (1-5) and (1-6) may be rewritten as

$$\nabla \cdot \bar{J}_p + q \frac{\partial P}{\partial t} = 0 \quad (3-2)$$

$$\nabla \cdot \bar{J}_n - q \frac{\partial N}{\partial t} = 0 \quad (3-3)$$

It has been shown by others[13] that neglecting bulk recombination will predominantly have an effect on the minority carrier low frequency response. At microwaves neglecting recombination will increase calculated device Q. The current expressions (1-1) and (1-2) and the definitions of particle densities in terms of quasi-potentials are repeated here for completeness.

$$\bar{J}_p = -q\mu_p P V_p \quad (3-4)$$

$$\bar{J}_n = -q\mu_n N V_n \quad (3-5)$$

$$P = n_i \exp \frac{V_p - V}{V_T} \quad (3-6)$$

$$N = n_i \exp \frac{V - V_n}{V_T} \quad (3-7)$$

It should be noted that the three equations (3-1) to (3-3) form a set of nonlinear equations in  $V$ ,  $V_p$  and  $V_n$  when the expressions (3-4) to (3-7) are used.

In the analysis that follows it is assumed that all terms are of the form

$$\bar{H} = \bar{H}_0 + \bar{H}_1 \exp j\omega t \quad (3-8)$$

where the subscripts 0 and 1 correspond to the d.c. small signal sinusoidal amplitudes, respectively. It is again assumed, as in the d.c. chapter, that no d.c. hole and electron current can flow.

$$\bar{J}_{no} = \bar{J}_{po} = 0 \quad (3-9)$$

This assumption is made since the insulator acts as a barrier to hole and electron movement. Starting with Eq. (3-4), the linearization process may begin.

$$\bar{J}_p = \bar{J}_{p0} + \bar{J}_{p1} \exp j\omega t = -q\mu_p (P_0 + P_1 \exp j\omega t) \nabla (V_{p0} + V_{p1} \exp j\omega t) \quad (3-10)$$

Expanding Eq. (3-10)

$$\bar{J}_p = -q\mu_p P_0 \nabla (V_{p0} + V_{p1} \exp j\omega t) - q\mu_p P_1 \exp j\omega t \nabla (V_{p0} + V_{p1} \exp j\omega t) \quad (3-11)$$

Equating like terms on either side of the equation, and neglecting higher order terms

$$\bar{J}_{p0} = -q\mu_p P_0 \nabla V_{p0} \quad (3-12)$$

$$\bar{J}_{p1} = -q\mu_p P_0 \nabla V_{p1} - q\mu_p P_1 \nabla V_{p0} \quad (3-13)$$

Using assumption (3-9)

$$\nabla V_{p0} = 0 \quad (3-14)$$

and therefore

$$\bar{J}_{p1} = -q\mu_p P_0 \nabla V_{p1} \quad (3-15)$$

Similarly, for electrons it may be shown that

$$\bar{J}_{n1} = -q\mu_n N_0 \nabla V_{n1} \quad (3-16)$$

As in Chapter 2, if the Fermi potential is chosen as a reference, then

$$V_{no} = V_{po} = V_F = 0 \quad (3-17)$$

Expanding the hole density equation (3-6)

$$P_o + P_1 \exp j\omega t = n_i \exp \left\{ \frac{(V_{po} + V_{p1} \exp j\omega t) - (V_o + V_1 \exp j\omega t)}{V_T} \right\} \quad (3-18)$$

$$P_o + P_1 \exp j\omega t = n_i \exp \frac{V_{po} - V_o}{V_T} \exp \left\{ \frac{(V_{p1} - V_1) \exp j\omega t}{V_T} \right\} \quad (3-19)$$

Expanding and neglecting higher order terms

$$P_o + P_1 \exp j\omega t \approx n_i \exp \frac{V_{po} - V_o}{V_T} \left\{ 1 + \frac{V_{p1} - V_1}{V_T} \exp j\omega t \right\} \quad (3-20)$$

Equating like terms and using Eq. (3-17)

$$P_o = n_i \exp - \frac{V_o}{V_T} \quad (3-21)$$

$$P_1 = P_o \left( \frac{V_{p1} - V_1}{V_T} \right) \quad (3-22)$$

Similarly, it may be shown for electrons

$$N_o = n_i \exp + \frac{V_o}{V_T} \quad (3-23)$$

$$N_1 = N_o \left( \frac{V_1 - V_{n1}}{V_T} \right) \quad (3-24)$$

Poisson's equation and the two continuity equations may also be linearized in a like manner.

$$\nabla^2 V_1 + \frac{q}{\epsilon_s} (P_1 - N_1) = 0 \quad (3-25)$$

$$\nabla \cdot \bar{J}_{p1} + j\omega q P_1 = 0 \quad (3-26)$$

$$\nabla \cdot \bar{J}_{n1} - j\omega q N_1 = 0 \quad (3-27)$$

Note that  $\frac{\partial}{\partial t}$  has been replaced by  $j\omega$  since the assumed form of the solution is sinusoidal.

Substituting Eq. (3-22) and (3-24) into (3-25)

$$\nabla^2 V_1 + \frac{q}{\epsilon_s} \left\{ \frac{P_o}{V_T} (v_{p1} - v_1) - \frac{N_o}{V_T} (v_1 - v_{n1}) \right\} = 0 \quad (3-28)$$

Collecting like terms

$$\nabla^2 V_1 + \frac{q}{\epsilon_s V_T} \left\{ P_o v_{p1} - P_o v_1 - N_o v_1 + N_o v_{n1} \right\} = 0 \quad (3-29)$$

$$\nabla^2 V_1 + \frac{q}{\epsilon_s V_T} \left\{ P_o v_{p1} - (P_o + N_o) v_1 + N_o v_{n1} \right\} = 0 \quad (3-30)$$

or

$$\nabla^2 V_1 - \frac{q(P_o + N_o)}{\epsilon_s V_T} v_1 + \frac{qN_o}{\epsilon_s V_T} v_{n1} + \frac{qP_o}{\epsilon_s V_T} v_{p1} = 0 \quad (3-31)$$

Substituting Eq. (3-15), (3-22) into (3-26)

$$\nabla \cdot \left\{ -q\mu_p P_o \nabla v_{p1} \right\} + j\omega q P_o \left( \frac{v_{p1} - v_1}{V_T} \right) = 0 \quad (3-32)$$

but

$$\nabla(P_o \nabla v_{p1}) = P_o \nabla^2 v_{p1} + \nabla P_o \cdot \nabla v_{p1} \quad (3-33)$$

and

$$\nabla P_o = \nabla \left\{ n_1 \exp - \frac{V_o}{V_T} \right\} \quad (3-34)$$

$$\nabla P_o = n_1 \nabla \left\{ \exp - \frac{V_o}{V_T} \right\} \quad (3-35)$$

$$\nabla P_o = \underbrace{n_1 \exp - \frac{V_o}{V_T}}_{P_o} \left( - \frac{1}{V_T} \right) \nabla V_o \quad (3-36)$$

but also

$$\bar{E}_o = -\nabla V_o \quad (3-37)$$

$$\nabla P_o = \frac{P_o \bar{E}_o}{V_T} \quad (3-38)$$

Substituting Eq. (3-38) and (3-33) into (3-32)

$$P_o \nabla^2 V_{p1} + \frac{P_o \bar{E}_o}{V_T} \cdot \nabla V_{p1} - \frac{j\omega P_o}{V_T \mu_p} (V_{p1} - V_1) = 0 \quad (3-39)$$

and dividing through by  $P_o$

$$\nabla^2 V_{p1} + \frac{\bar{E}_o}{V_T} \cdot \nabla V_{p1} - \frac{j\omega}{V_T \mu_p} (V_{p1} - V_1) = 0 \quad (3-40)$$

or

$$\nabla^2 V_{p1} + \frac{\bar{E}_o}{V_T} \cdot \nabla V_{p1} + \frac{j\omega}{V_T \mu_p} (V_1 - V_{p1}) = 0 \quad (3-41)$$

A similar equation may be derived using Eq. (3-27), (3-24) and (3-16).

$$\nabla^2 V_{n1} - \frac{\bar{E}_o}{V_T} \cdot \nabla V_{n1} + \frac{j\omega}{\mu_n V_T} (V_1 - V_{n1}) = 0 \quad (3-42)$$

Therefore, in order to solve the small signal r.f. problem, three coupled linear equations must be solved self-consistently for  $V_1$ ,  $V_{n1}$ , and  $V_{p1}$  at every point in the semiconductor. The three pertinent equations are repeated here in summary.

$$\nabla^2 V_1 - \frac{q(P_o + N_o)}{\epsilon_s V_T} V_1 + \frac{qN_o}{\epsilon_s V_T} V_{n1} + \frac{qP_o}{\epsilon_s V_T} V_{p1} = 0 \quad (3-31)$$

$$\nabla^2 V_{n1} - \frac{\bar{E}_o}{V_T} \cdot \nabla V_{n1} + \frac{j\omega}{V_T \mu_n} (V_1 - V_{n1}) = 0 \quad (3-41)$$

$$\nabla^2 V_{p1} + \frac{\bar{E}_o}{V_T} \cdot \nabla V_{p1} + \frac{j\omega}{V_T \mu_p} (V_1 - V_{p1}) = 0 \quad (3-42)$$

Note that the coefficients involve d.c. solutions for hole and electron densities and electric field, which have previously been solved for in the d.c. problem:

$$\bar{E}_o = -\nabla V_o \quad (3-37)$$

$$P_o = n_i \exp - \frac{V_o}{V_T} \quad (3-21)$$

$$N_o = n_i \exp + \frac{V_o}{V_T} \quad (3-23)$$

### 3.1.2 Boundary Conditions at the Insulator-Semiconductor

#### Interface (Ideal Surface)

Boundary conditions, at the I-S interface, will be derived for the variables  $V_1$ ,  $V_{n1}$ , and  $V_{p1}$ . An ideal surface will be assumed with no fast surface states. This assumption is justified since this work deals exclusively with the silicon-silicon dioxide interface and annealing techniques have been worked out[6, others] for the minimization of fast states. Also, in a sufficiently high frequency range below the dielectric relaxation frequency of the majority carriers, but above the relaxation or characteristic frequencies of the surface states, the surface state impedance may be neglected[11,13].

At any point in the semiconductor or insulator the total current density is continuous. In the insulator, since no charged particles are allowed to exist the total current consists only of displacement current. In the insulator:

$$\bar{J}_1 = j\omega\bar{D}_1 \quad (3-43)$$

since

$$\bar{J}_{p1} = \bar{J}_{n1} = 0 \quad (3-44)$$

Taking the hole continuity equation (3-14) and integrating over the volume of the pillbox shown in Figure 3.1, a boundary condition on  $\bar{J}_{p1}$  may be obtained.

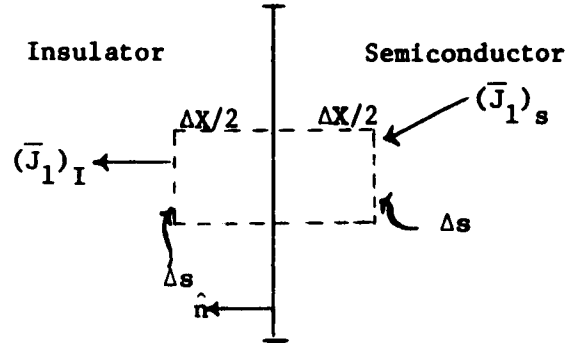


Figure 3.1 Insulator-semiconductor Interface.

$$\int_{\Delta V} (\nabla \cdot \bar{J}_{p1} + j\omega P_1) dV = 0 \quad (3-45)$$

where

$$\nabla V = \nabla s \cdot \nabla X \quad (3-46)$$

$$\int_{\Delta V} \nabla \cdot \bar{J}_{p1} dV + j\omega q \int_{\Delta V} P_1 dV = 0 \quad (3-47)$$

Using the divergence theorem on the first integral of Eq. (3-47)

$$I = \int_{\Delta V} \nabla \cdot \bar{J}_{p1} dv = \int_{\Delta V} \bar{J}_{p1} \cdot \hat{n} ds \quad (3-48)$$

where  $s_{\nabla V}$  = surface of the volume  $\nabla V$ .

$\hat{n}$  = unit vector normal to the I-S interface.

If we assume the pillbox is small enough so that the hole current may be considered constant over the surface of the pillbox, then Eq. (3-47) may be written as

$$J_{p1}(X_0+\Delta X/2)\Delta s - J_{p1}(X_0-\Delta X/2)\Delta s + j\omega q P_1 \Delta s \Delta X = 0 \quad (3-49)$$

where all magnitudes are in the normal ( $\hat{n}$ ) direction. Using Eq. (3-44) and dividing by  $\Delta s$

$$J_{p1}(X_0+\Delta X/2) + j\omega q P_1 \Delta X = 0 \quad (3-50)$$

The r.f. hole current may be defined by Eq. (3-50) in the limit as the pillbox volume goes to zero.

$$J_{p1}(X_0) = \lim_{\Delta X \rightarrow 0} J_{p1}(X_0+\Delta X/2) = \lim_{\Delta X \rightarrow 0} (-j\omega q P_1 \Delta X) \quad (3-51)$$

$$\therefore J_{p1}(X_0) = 0 \quad (3-52)$$

But, if the hole current is zero at the surface, the gradient of the quasi-hole potential must be zero by Eq. (3-15).

$$\therefore \nabla_s V_{p1} = 0 \quad (3-53)$$

where it is understood that the gradient is in the normal direction.

Similarly, it may be shown that

$$J_{n1}(X_0) = \lim_{\Delta X \rightarrow 0} J_{n1}(X_0+\Delta X/2) = \lim_{\Delta X \rightarrow 0} j\omega q n_1 \Delta X \quad (3-54)$$

$$\therefore J_{n1}(\text{surface}) = 0 \quad (3-55)$$

and by Eq. (3-26)

$$\nabla_s V_{n1} = 0 \quad (3-56)$$

Now all that is required is a boundary condition on  $V_1$ . This may be obtained from the r.f. divergence equation

$$\nabla \cdot \bar{D}_1 = q(P_1 - N_1) \quad (3-57)$$

Integrating again over the pillbox of Figure 3.1:

$$\int_{\Delta V} \nabla \cdot \bar{D}_1 \, dV = q \int_{\Delta V} (P_1 - N_1) \, dV \quad (3-58)$$

Again using the divergence theorem

$$\int_{\Delta V} \nabla \cdot \bar{D}_1 \, dv = \int_{S_{\Delta V}} (\bar{D}_1 \cdot \bar{n} ds) \quad (3-59)$$

$$\therefore (D_1(X_0 + \Delta X/2) - D_1(X_0 - \Delta X/2)) \Delta s = q(P_1 - N_1) \Delta s \Delta X \quad (3-60)$$

$$(D_1 - D_{I1})_{\text{surface}} = \lim_{\Delta X \rightarrow 0} q(P_1 - N_1) \Delta X = 0 \quad (3-61)$$

Therefore, at the surface, in the normal direction

$$(D_{S1} - D_{I1}) = 0 \quad (3-62)$$

Note this boundary condition may be written in terms of r.f. electrostatic potentials since

$$D_1 = \epsilon E_1 = -\epsilon \nabla V_1 \quad (3-63)$$

$$\epsilon_s \nabla_s V_1 - \epsilon_I \nabla_I V_1 = 0 \quad (3-64)$$

It should also be noted that the boundary condition (3-64) may be obtained once results (3-52) and (3-55) have been established by realizing that the total current in the insulator is displacement current.

$$\bar{J}_I = j\omega\epsilon_I \bar{E}_I = -j\omega\epsilon_I \nabla_I V_I \quad (3-65)$$

The total current at the semiconductor surface is displacement current by Eq. (3-52) and (3-55).

$$\bar{J}_s = j\omega\epsilon_s \bar{E}_s = j\omega\epsilon_s (-\nabla_s V_s) \quad (3-66)$$

But the total current must be continuous. Therefore,

$$j\omega\epsilon_s (-\nabla_s V_s) = j\omega\epsilon_I (-\nabla_I V_I) \quad (3-67)$$

$$\boxed{\epsilon_s \nabla_s V_s - \epsilon_I \nabla_I V_I = 0} \quad (3-64)$$

In summary, an ideal insulator-semiconductor interface has three boundary conditions which must be satisfied on the three unknowns:  $V_I$ ,  $V_{p1}$ , and  $V_{n1}$ .

### Summary of R.F. Boundary Conditions at I-S Interface

$$\epsilon_s \nabla_s V_s - \epsilon_I \nabla_I V_I = 0 \quad (3-64)$$

$$\nabla_s V_{n1} = 0 \quad (3-56)$$

$$\nabla_s V_{p1} = 0 \quad (3-53)$$

### 3.1.3 Boundary Conditions at an Ohmic Contact

At an ohmic contact the definition of surface recombination velocity is used.

$$\bar{J}_{p1} = S_{RP}(P-P_o) = S_{RP}P_1 \quad (3-68)$$

or

$$P_1 = \frac{\bar{J}_{p1}}{S_{RP}} \quad (3-69)$$

At an ohmic contact an infinite surface recombination velocity is often assumed[17].

$$P_1 = \lim_{S_{RP} \rightarrow \infty} \left( \frac{\bar{J}_{p1}}{S_{RP}} \right) = 0 \quad (3-70)$$

But by equation (3-22), Eq. (3-70) implies that

$$P_o \left( \frac{v_{p1} - v_1}{v_T} \right) = 0 \quad (3-71)$$

which in turn implies

$$v_{p1} = v_1 \quad (3-72)$$

Similarly, for electrons at an ohmic contact,

$$N_1 = \lim \left( \frac{J_{n1}}{S_{RN}} \right) = 0 \quad (3-73)$$

which by Eq. (3-24) implies that

$$v_1 = v_{n1} \quad (3-74)$$

Therefore, by Eq. (3-71) and (3-73), at an ohmic contact,

$$v_{n1} = v_{p1} = v_1 \quad (3-75)$$

and if the ohmic contact is r.f. grounded

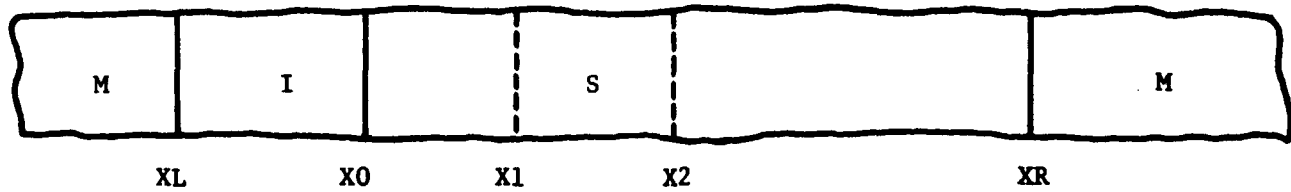
$$v_{n1} = v_{p1} = v_1 = 0 \quad (3-76)$$

### 3.2 Finite Difference Approximation of MIS Small Signal Equations

Figure 3.2 summarizes the small signal, sinusoidal steady state mathematical model. As is shown by this figure, in this formulation a linear boundary value problem results in which three coupled linear equations in three unknowns must be solved. In the insulator Laplace's equation must be solved since no charge distribution is allowed to exist (fixed or mobile). The sinusoidal steady state solution requires first the solution for the d.c. electrostatic potential ( $V_0$ ) throughout the semiconductor. The d.c. electrostatic potential is then used to calculate the coefficients of the linearized sinusoidal steady state differential equations. Once these coefficients are known, the system differential equations may be approximated by a system of algebraic equations which may be solved for uniquely.

The solution proceeds by setting up within the insulator and semiconductor a nonuniform grid of points. Figure 3.3 depicts a one-dimensional MIS structure with a uniform grid for the insulator, epitaxial layer, and substrate. Each grid step size may be varied by varying the number of points ( $N_1, N_2, N_3$ ) in each region. At each grid point the first and second derivatives are approximate by finite differences. The form of the expressions depends upon whether the region of interest is a uniform or nonuniform grid. In general, for a uniform grid the following two approximations may be used for first and second derivatives:

$$\left. \frac{dY}{dX} \right|_I = \frac{Y(I+1) - Y(I-1)}{2\Delta X} \quad (3-77)$$



1. Insulator  $\frac{d^2 V_1}{dX^2} = 0$

2. Semiconductor

$$\nabla^2 V_1 + \frac{q}{\epsilon_s V_T} \left[ -(P_o + n_o) V_1 + n_o V_{n1} + P_o V_{p1} \right] = 0$$

$$\nabla^2 V_{n1} - \frac{\bar{E}_o}{V_T} \cdot \nabla V_{n1} + \frac{j\omega}{V_T \mu_n} (V_1 - V_{n1}) = 0$$

$$\nabla^2 V_{p1} + \frac{\bar{E}_o}{V_T} \cdot \nabla V_{p1} + \frac{j\omega}{V_T \mu_p} (V_1 - V_{p1}) = 0$$

3. Boundary Conditions

(3-a)  $V_1(XL) = V_{1L}$  at  $X = XL$

(3-b) I-S Interface ( $X = X_0$ )

$$\epsilon_s \nabla_s V_1 - \epsilon_i \nabla_i V_1 = 0$$

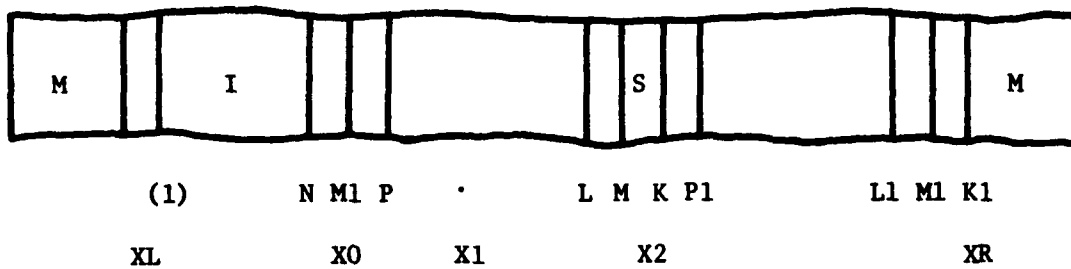
$$\nabla_s V_{n1} = 0$$

$$\nabla_s V_{p1} = 0$$

(3-c) OHMIC CONTACT (Assuming infinite surface recombination)

$$V_1 = V_{n1} = V_{p1} = 0 \quad \text{at } X = XR$$

Figure 3.2 Summary of small signal sinusoidal steady state mathematical model of an MIS varactor.



XL, X0, XR - Are Metal-Insulator, Insulator-Semiconductor, and ohmic contacts, respectively.

X1 - Corresponds to transition point between N/NT

X2 - Corresponds to transition point between two uniform grids.

N1, N2, N3 - Are the number of increments in the insulator, semiconductor and substrate, respectively.

$$K = N1 + N2$$

$$K1 = N1 + N2 + N3$$

$$XH1 = (X0 - XL) / N1$$

$$XH2 = (X2 - X0) / N2$$

$$XH3 = (XR - X2) / N3$$

Figure 3.3 Grid point locations for numerical model.

$$\left. \frac{d^2 Y}{dX^2} \right]_I = \frac{Y(I+1) - 2Y(I) + Y(I-1)}{\Delta X^2} \quad (3-78)$$

At point K in the semiconductor, special expressions for the first and second derivatives must be derived (Appendix I) since that point is the junction between two regions with different grid sizes. Therefore, at point K

$$\left. \frac{dY}{dX} \right]_K = \frac{Y(P1)}{(1+RS)XH3} + \frac{(RS-1)}{XH3} Y(K) - \frac{RS}{(1+RS)XH2} Y(M) \quad (3-79)$$

$$\left. \frac{d^2 Y}{dX^2} \right]_K = \frac{2Y(M)}{H2(1+RS)} - \frac{2Y(K)}{(H2)(RS)} + \frac{2Y(P1)}{(H2)(RS)(1+RS)} \quad (3-80)$$

where XH2 and XH3 are the grid sizes in the epitaxial layer and substrate, respectively, and are defined in Figure 3.3.

$$H2 = (XH2)^2 \quad (3-81)$$

$$RS = (XH3)/(XH2) \quad (3-82)$$

Note that when the grid is uniform

$$XH2 = XH3 = \Delta X \quad (3-83)$$

$$RS = 1 \quad (3-84)$$

Eq. (3-79) and (3-80) reduce to Eq. (3-77) and (3-78) where

$$I = K \quad (3-85)$$

$$I+1 = P1 \quad (3-86)$$

$$I-1 = M \quad (3-87)$$

### 3.2.1 Finite Difference Approximation of Boundary Conditions at the I-S Interface

In one dimension, the boundary conditions at the I-S interface may be expressed as:

$$\epsilon_s \left. \frac{dV_1}{dX} \right|_S - \epsilon_I \left. \frac{dV_1}{dX} \right|_I = 0 \quad (3-88)$$

$$\left. \frac{dV_{n1}}{dX} \right|_S = 0 \quad (3-89)$$

$$\left. \frac{dV_{p1}}{dX} \right|_S = 0 \quad (3-90)$$

where again the subscripts S and I refer to derivatives evaluated from the semiconductor or insulator side of the interface. Using forward and backward approximations to the derivatives in Eq. (3-88) we get

$$\epsilon_s \left( \frac{V_1(P) - V_1(N1)}{X_{H2}} \right) - \epsilon_I \left( \frac{V_1(N1) - V_{1L}}{X_0} \right) = 0 \quad (3-91)$$

Dividing Eq. (3-91) by  $\epsilon_I/X_0$  and noting that

$$\frac{\epsilon_s}{\epsilon_I} = \frac{DKS}{DKI} \quad (3-92)$$

where DKS and DK I are relative permittivity of S and I

$$\frac{DKS}{DKI} \frac{X_0}{X_{H2}} \left( V_1(P) - V_1(N1) \right) - \left( V_1(N1) - V_{1L} \right) = 0 \quad (3-93)$$

Collecting like terms

$$- \left( 1 + \frac{DKS}{DKI} \cdot \frac{X_0}{X_{H2}} \right) V_1(N1) + \frac{DKS}{DKI} \frac{X_0}{X_{H2}} V_1(P) = -V_{1L} \quad (3-94)$$

Multiplying Eq. (3-94) by (-1)

$$\left(1 + \frac{DKS}{DKI} \frac{X_o}{XH2}\right) V1(N1) - \frac{DKS}{DKI} \frac{X_o}{XH2} V1(P) = V1L \quad (3-95)$$

Again using a forward difference approximation to Eq. (3-89), (3-90)

and multiplying by XH2

$$V_{n1}(P) - V_{n1}(N1) = 0 \quad (3-96)$$

$$V_{p1}(P) - V_{p1}(N1) = 0 \quad (3-97)$$

or

$$V_{n1}(N1) - V_{n1}(P) = 0 \quad (3-98)$$

$$V_{p1}(N1) - V_{p1}(P) = 0 \quad (3-99)$$

Therefore, at the interface point, X(N1), three algebraic equations may be derived approximating the three boundary conditions on  $V1$ ,  $V_{p1}$ , and  $V_{n1}$ . The equations are (3-95), (3-98) and (3-99). The equations may also be written in matrix form if the ordering of variables takes the following form.

$$\bar{X} = [V1(N1), V_{n1}(N1), V_{p1}(N1), V1(P), V_{n1}(P), V_{p1}(P) \dots] \quad (3-100)$$

For this ordering Eq. (3-95), (3-98) and (3-99) may be written in the following form:

$$\begin{bmatrix}
 \left(1 + \frac{DKS}{DKI} \frac{X_o}{XH2}\right) & 0 & 0 & -\frac{DKS}{DKI} \frac{X_o}{XH2} & 0 & 0 & V1(N1) & V1L \\
 0 & 1 & 0 & 0 & -1 & 0 & VN1(N1) & 0 \\
 0 & 0 & 1 & 0 & 0 & -1 & VP1(N1) & 0 \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & V1(P) & 0 \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & VN1(P) & 0 \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & VP1(P) & 0 \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot
 \end{bmatrix} \quad (3-101)$$

A summary of the boundary conditions at the I-S interface is given in Figure 3.4.

### 3.2.2 Finite Difference Approximations within the Epitaxial Layer

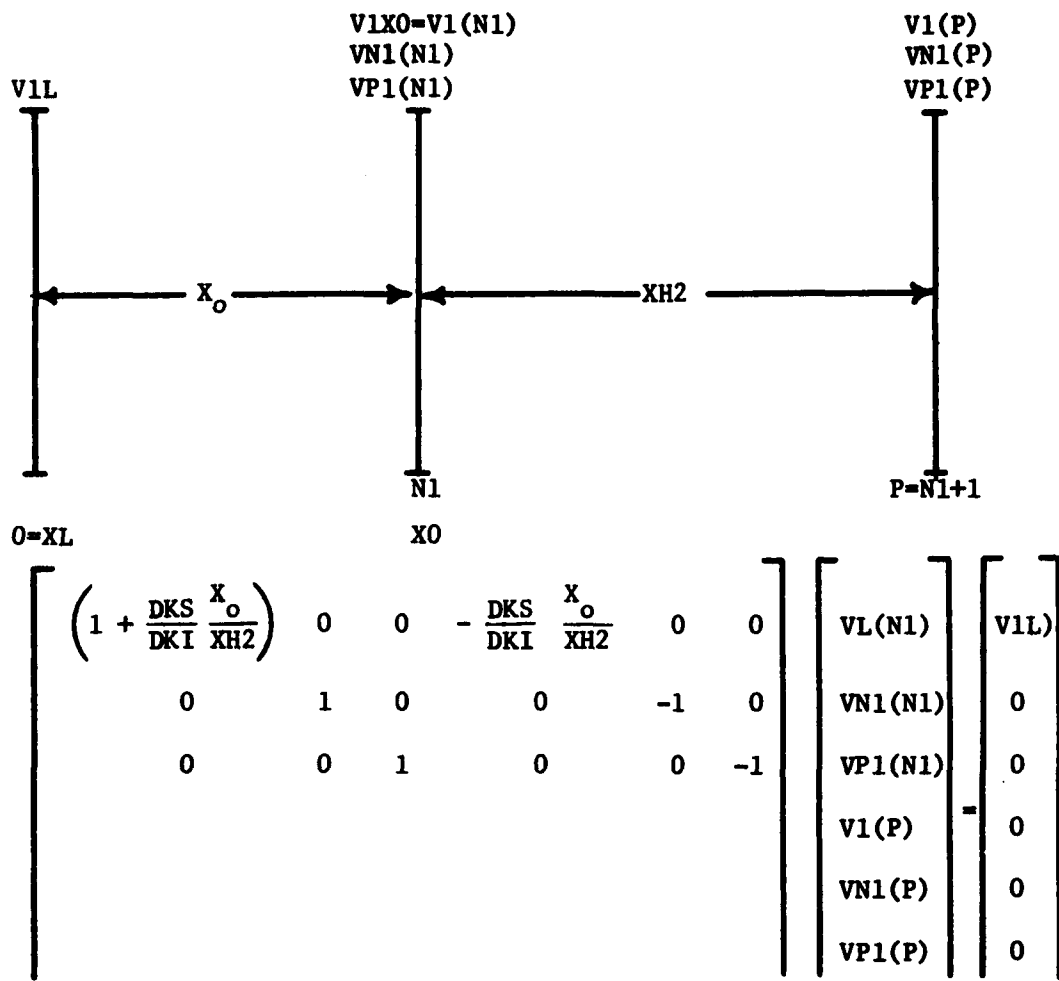
In one dimension, Eq. (3-31), (3-41), and (3-42) may be written as:

$$\frac{d^2 v_1}{dx^2} - \frac{q}{\epsilon_s V_T} (N_o + P_o) v_1 + \frac{q}{\epsilon_s V_T} N_o v_{n1} + \frac{q P_o}{\epsilon_s V_T} v_{p1} = 0 \quad (3-102)$$

$$\frac{d^2 v_{n1}}{dx^2} - \frac{E_o}{V_T} \frac{dv_{n1}}{dx} + \frac{j\omega}{V_T \mu_n} v_1 - \frac{j\omega}{V_T \mu_n} v_{n1} = 0 \quad (3-103)$$

$$\frac{d^2 v_{p1}}{dx^2} + \frac{E_o}{V_T} \frac{dv_{p1}}{dx} + \frac{j\omega}{V_T \mu_p} v_1 - \frac{j\omega}{V_T \mu_p} v_{p1} = 0 \quad (3-104)$$

The first point after the insulator for which Eq. (3-102), (3-103) and (3-104) are valid is a point,  $P = N1+1$ .



$$\epsilon_s \left( \frac{dv_1}{dx} \right)_S - \epsilon_I \left( \frac{dv_1}{dx} \right)_I = 0$$

$$\left( \frac{dv_{n1}}{dx} \right)_S = 0$$

$$\left( \frac{dv_{p1}}{dx} \right)_S = 0$$

Figure 3.4 Summary of approximate and exact boundary conditions at the insulator-semiconductor surface.

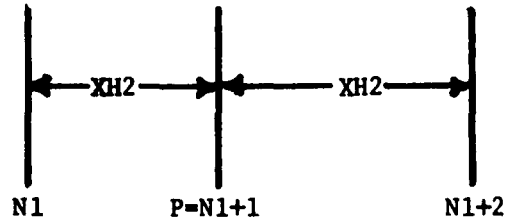


Figure 3.5 Three grid points within the epitaxial layer.

At Point P (Figure 3.5) the uniform finite difference approximations to first and second derivatives are valid. Substituting Eq. (3-76) and (3-77) into (3-102) we get one algebraic equation in three unknowns.

$$\frac{V_1(N1) - 2V_1(P) + V_1(N1+2)}{H2} - \frac{q}{\epsilon_s V_T} (N_o(P) + P_o) V_1(P) + \frac{q}{\epsilon_s V_T} N_o(P) V_{n1}(P) + \frac{qP_o(P)}{\epsilon_s V_T} V_{p1}(P) \quad (3-105)$$

Multiplying through by  $H2 = (XH2)^2$

$$V_1(N1) - 2V_1(P) + V_1(N1+2) - \frac{H2}{\epsilon_s V_T} \left\{ N_o(P) + P_o(P) \right\} V_1(P) + \frac{qH2}{\epsilon_s V_T} N_o(P) V_{n1}(P) + \frac{H2qP_o(P)}{\epsilon_s V_T} V_{p1}(P) = 0 \quad (3-106)$$

Collecting like terms

$$V_1(N1) - \left( 2 + \frac{qH2}{\epsilon_s V_T} (N_o(P) + P_o(P)) \right) V_1(P) + \frac{qH2}{\epsilon_s V_T} N_o(P) V_{n1}(P) + \frac{qP_o(P)H2}{\epsilon_s V_T} V_{p1}(P) + V_1(N1+2) = 0 \quad (3-107)$$

Similarly, for Eq. (3-103)

$$\frac{v_{n1}(N1) - 2v_{n1}(P) + v_{n1}(N1+2)}{H2} - \frac{E_o(P)}{V_T} \left( \frac{v_{n1}(N1+2) - v_{n1}(N1)}{2XH2} \right) + \frac{j\omega}{V_T \mu_n} v_1(P) - \frac{j\omega}{V_T \mu_n} v_{n1}(P) = 0 \quad (3-108)$$

Multiplying by H2

$$v_{n1}(N1) - 2v_{n1}(P) + v_{n1}(N1+2) - \frac{E_o(P)XH2}{2V_T} v_{n1}(N1+2) + \frac{E_o(P)XH2}{2V_T} v_{n1}(N1) + \frac{j\omega H2}{V_T \mu_n} v_1(P) - \frac{j\omega H2}{V_T \mu_n} v_{n1}(P) = 0 \quad (3-109)$$

Collecting terms

$$\left( 1 + \frac{E_o(P)XH2}{2V_T} \right) v_{n1}(N1) + \frac{j\omega}{\mu_n V_T} v_1(P) - \left( 2 + \frac{j\omega}{V_T \mu_n} \right) v_{n1}(P) + \left( 1 - \frac{E_o(P)XH2}{2V_T} \right) v_{n1}(N1+2) = 0 \quad (3-110)$$

Similarly expanding Eq. (3-104)

$$\frac{v_{p1}(N1) - 2v_{p1}(P) + v_{p1}(N1+2)}{H2} + \frac{XH2E_o(P)}{V_T} \left( \frac{v_{p1}(N1+2) - v_{p1}(N1)}{2XH2} \right) + \frac{j\omega H2}{V_T \mu_p} v_1(P) - \frac{j\omega H2}{V_T \mu_p} v_{p1}(P) = 0 \quad (3-111)$$

Collecting like terms

$$\begin{aligned} \left(1 - \frac{XH_2E_o(P)}{2V_T}\right) v_{p1}(N1) + \frac{j\omega H_2}{V_T \mu_p} v_1(P) - \left(2 + \frac{j\omega H_2}{V_T \mu_p}\right) v_{p1}(P) \\ + \left(1 + \frac{XH_2E_o(P)}{2V_T}\right) v_{p1}(N1+2) = 0 \end{aligned} \quad (3-112)$$

Equations (3-112), (3-110) and (3-107) may be represented in matrix form and is summarized in Figure 3.6. Also in this figure the matrix elements corresponding to the next point,  $I=N1+2$ , are listed by inspection. It can be seen from this figure that the matrix can be considered a very sparse matrix of the band type. Specifically, the band width is 7 diagonals and within these bands there are many zeros. The form of the matrix elements continues at every point in the semiconductor in the first uniform grid region ( $I=P,M$ ). At point K a nonuniform grid situation exists and, therefore, special matrix elements must be derived.

### 3.2.3 Finite Difference Approximations at the Nonuniform Grid Point, $I=K$

At the grid point  $I=K$  the finite difference approximations for a nonuniform grid previously derives [Eq. (3-79) and (3-80)] may be used. Substituting Eq. (3-79) and (3-78) into (3-102)

$$\begin{aligned} \frac{2V_1(M)}{H_2(1+RS)} - \frac{2V_1(K)}{H_2 \cdot RS} + \frac{2V_1(P1)}{(H_2)(RS)(1+RS)} - \frac{q}{\epsilon_s V_T} (N_o(K) + P_o(K)) v_1(K) \\ + \frac{q}{\epsilon_s V_T} N_o(K) v_{n1}(K) + \frac{qP_o}{\epsilon_s V_T} v_{p1}(K) = 0 \end{aligned} \quad (3-113)$$

1	2	3	4	5	6	7	8	9	10	11	12	J	I
$1 - \frac{DKS X_0}{DKT XH2}$	0	0	$-\frac{DKS X_0}{DKT XH2}$	0	0							1	
0	1	0	0	-1	0							2	N1
0	0	1	0	0	-1							3	
1	0	0	$-(2 + \frac{qH2}{\epsilon_s V_f} (R+n_0))$	$\frac{qH2}{\epsilon_s V_f} n_0(P)$	$\frac{qH2 R_0(P)}{\epsilon_s V_f}$	1	0	0				4	
0	$1 - \frac{E_0(P)XH2}{2V_f}$	0	$j \frac{\omega H2}{\mu_n V_f}$	$-(2 + \frac{j\omega}{\mu_n V_f})$	0	0	$1 - \frac{E_0(P)XH2}{2V_f}$	0				5	P= N1+1
0	0	$1 - \frac{E_0(P)XH2}{2V_f}$	$j \frac{\omega H2}{V_f \mu_p}$	0	$-(2 + \frac{j\omega H2}{V_f \mu_p})$	0	0	$1 - \frac{E_0(P)XH2}{2V_f}$				6	
			1	0	0	$-(2 + \frac{qH2}{\epsilon_s V_f} (R+n_0))$	$\frac{qH2}{\epsilon_s V_f} n_0$	$\frac{qH2 R_0(N1+2)}{\epsilon_s V_f}$	1			7	
				$1 - \frac{E_0(N1+2)XH2}{2V_f}$	0	$+j \frac{\omega H2}{\mu_n V_f}$	$-(2 + \frac{j\omega}{V_f \mu_n})$	0	0	$1 - \frac{E_0(N1+2)XH2}{2V_f}$		8	N1+2
					$1 - \frac{E_0(N1+2)XH2}{2V_f}$	$+j \frac{\omega H2}{V_f \mu_p}$	0	$-(2 + \frac{j\omega H2}{V_f \mu_p})$	0	0	$1 - \frac{E_0(N1+2)XH2}{2V_f}$	9	

Figure 3.6 Summary of first nine rows of the R.F. matrix.

Collecting like terms

$$\left(\frac{2}{H2(1+RS)}\right) v_1(M) - \left(\frac{2}{H2RS} + \frac{q}{\epsilon_s V_T} (N_o(K)+P_o(K))\right) v_1(K) + \frac{q}{\epsilon_s V_T} N_o(K) v_{n1}(K) \\ + \frac{qP_o}{\epsilon_s V_T} v_{p1}(K) + \frac{2v_1(P1)}{(H2)(RS)(1+RS)} = 0 \quad (3-114)$$

Multiplying by H2

$$\frac{2}{1+RS} v_1(M) - \left\{\frac{2}{RS} + \frac{qH2}{\epsilon_s V_T} (N_o(K)+P_o(K))\right\} v_1(K) + \frac{qH2}{\epsilon_s V_T} N_o(K) v_{n1}(K) \\ + \frac{qH2}{\epsilon_s V_T} P_o(K) v_{p1}(K) + \frac{2}{RS(1+RS)} v_1(P_1) = 0 \quad (3-115)$$

Similarly discretizing Eq. (3-103) with the nonuniform finite difference formulae for the first and second derivative Eq. (3-79) and (3-80).

$$\frac{2v_{n1}(M)}{(1+RS)} - \frac{2v_{n1}(K)}{RS} + \frac{2v_{n1}(P1)}{RS(1+RS)} - \frac{E_o(K)H2}{V_T} \left\{ \frac{v_{n1}(P1)}{(1+RS)XH3} + \frac{RS-1}{XH3} v_{n1}(K) \right. \\ \left. - \frac{RS}{(1+RS)XH2} v_{n1}(M) \right\} + \frac{j\omega H2}{V_T \mu_n} v_1(K) - \frac{j\omega H2}{V_T \mu_n} v_{n1}(K) = 0 \quad (3-116)$$

Collecting terms and multiplying

$$\begin{aligned}
& \left\{ \left( \frac{2}{1+RS} \right) + \frac{E_o(K)XH2}{V_T} \frac{RS}{(1+RS)} \right\} v_{n1}(M) + \frac{j\omega H2}{V_T \mu_n} v_1(K) \\
& - \left\{ \frac{2}{RS} + \frac{E_o(K)XH2}{V_T} \frac{(RS-1)}{RS} + \frac{j\omega H2}{V_T \mu_n} \right\} v_{n1}(K) \\
& + \left\{ \frac{2}{RS(1+RS)} - \frac{E_o(K)H2}{V_T(1+RS)XH3} \right\} v_{n1}(P_1) = 0
\end{aligned} \tag{3-117}$$

Note that  $RS = \frac{XH3}{XH2}$  so that Eq. (3-117) may be rewritten as

$$\begin{aligned}
& \left\{ \frac{2}{1+RS} + \frac{E_o(K)XH2}{V_T} \left( \frac{RS}{1+RS} \right) \right\} v_{n1}(M) + \frac{j\omega H2}{V_T \mu_n} v_1(K) \\
& - \left\{ \frac{2}{RS} + \frac{E_o(K)XH2}{V_T} \left( \frac{RS-1}{RS} \right) + \frac{j\omega H2}{V_T \mu_n} \right\} v_{n1}(K) \\
& + \left\{ \frac{2}{RS(1+RS)} - \frac{E_o(K)XH2}{V_T} \left( \frac{1}{(1+RS)RS} \right) \right\} v_{n1}(P_1) = 0
\end{aligned} \tag{3-118}$$

Similarly, for Eq. (3-104)

$$\begin{aligned}
& \frac{2}{(1+RS)} v_{p1}(M) - \frac{2}{RS} v_{p1}(K) + \frac{2}{RS(1+RS)} v_{p1}(P_1) \\
& + \frac{E_o(K)XH2}{V_T} \left\{ \frac{v_{p1}(P_1)}{(1+RS)RS} + \frac{RS-1}{RS} v_{p1}(K) - \frac{RS}{(1+RS)} v_{p1}(M) \right\} \\
& + \frac{j\omega H2}{V_T \mu_p} v_1(K) - \frac{j\omega H2}{V_T \mu_p} v_{p1}(K) = 0
\end{aligned} \tag{3-119}$$

Collecting terms

$$\begin{aligned}
& \left\{ \frac{2}{1+RS} - \frac{E_o(K)XH2}{V_T} \frac{RS}{(1+RS)} \right\} v_{p1}(M) + \frac{j\omega H2}{V_T \mu_p} v_1(K) \\
& - \left\{ \frac{2}{RS} - \frac{E_o(K)XH2}{V_T} \left( \frac{RS-1}{RS} \right) + \frac{j\omega H2}{V_T \mu_p} \right\} v_{p1}(K) \\
& + \left\{ \frac{2}{RS(1+RS)} + \frac{E_o(K)XH2}{V_T} \frac{1}{(1+RS)RS} \right\} v_{p1}(P_1) = 0 \tag{3-120}
\end{aligned}$$

In summary, at point (K) Equations (3-115), (3-118) and (3-120) are the valid algebraic equations. These equations are somewhat complex because the points M, K and P1 constitute a nonuniform grid.

#### 3.2.4 Finite Difference Approximations in the Substrate

After point K the grid size (XH3) becomes relatively large as compared to the grid size in the epitaxial layer. The first point which uses such a grid size is point I=P1. The three points K, P1 and P1+1 of Figure 3.3 are redrawn below for clarity in Figure 3.7.

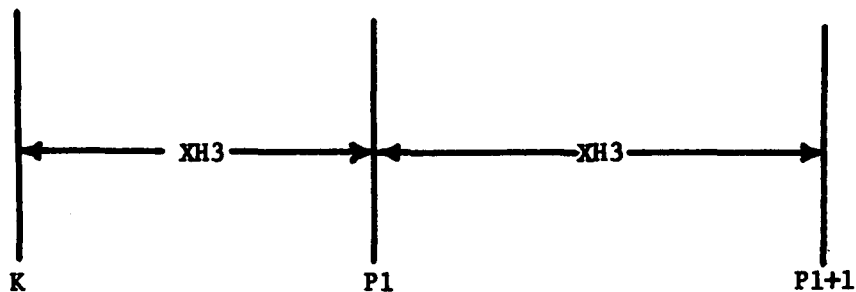


Figure 3.7 Three points within the substrate.

At point P1 the uniform grid formulas previously derived [Eq. (3-107), (3-110) and (3-112)] with the exception that

XH2 → XH3

N1 → K

P → P1

N1+2 → P1+1

Making the above substitutions the three algebraic equations valid at point I=P1 are

$$\begin{aligned}
 v_1(K) - \left( 2 + \frac{qH3}{\epsilon_s V_T} (N_o(P1) + P_o(P1)) \right) v_1(P1) + \frac{qH3}{\epsilon_s V_T} N_o(P1) v_{n1}(P1) \\
 + \frac{qP_o(P1)H3}{\epsilon_s V_T} v_{p1}(P1) + v_1(P1+1) = 0
 \end{aligned} \tag{3-121}$$

and corresponding to Eq. (3-110)

$$\begin{aligned}
 \left( 1 + \frac{E_o(P1)XH3}{2V_T} \right) v_{n1}(K) + \frac{j\omega H3}{\mu_n V_T} v_1(P1) - \left( 2 + \frac{j\omega H3}{V_T \mu_n} \right) v_{n1}(P1) \\
 + \left( 1 - \frac{E_o(P1)XH3}{2V_T} \right) v_{n1}(P1+1) = 0
 \end{aligned} \tag{3-122}$$

and also corresponding to Eq. (3-112)

$$\begin{aligned}
 \left( 1 - \frac{E_o(P1)XH3}{2V_T} \right) v_{p1}(K) + \frac{j\omega H3}{V_T \mu_p} v_1(P1) - \left( 2 + \frac{j\omega H3}{V_T \mu_p} \right) v_{p1}(P1) \\
 + \left( 1 + \frac{XH3E_o(P1)}{2V_T} \right) v_{p1}(P1+1) = 0
 \end{aligned} \tag{3-123}$$

A set of algebraic equations similar to Eq. (3-121), (3-122) and (3-123) must be written up to and including the point I=M1. At the point I=M1 the boundary condition [Eq. (3-75)] is used.

Therefore, at the point I=M1 the following three equations are valid.

$$v_1(L1) - \left( 2 + \frac{qH3}{\epsilon_s V_T} (N_o(M1) + P_o(M1)) \right) v_1(M1) + \frac{qH3}{\epsilon_s V_T} N_o(M1) v_{n1}(M1) + \frac{qP_o(M1)H3}{\epsilon_s V_T} v_{p1}(M1) = 0 \quad (3-124)$$

and

$$\left( 1 + \frac{E_o(M1)XH3}{2V_T} \right) v_{n1}(L1) + \frac{j\omega H3}{\mu_n V_T} v_1(M1) - \left( 2 + \frac{j\omega H3}{V_T \mu_n} \right) v_{n1}(M1) = 0 \quad (3-125)$$

and

$$\left( 1 - \frac{E_o(M1)XH3}{2V_T} \right) v_{p1}(L1) + \frac{j\omega H3}{V_T \mu_p} v_1(M1) - \left( 2 + \frac{j\omega H3}{V_T \mu_p} \right) v_{p1}(M1) = 0 \quad (3-126)$$

### 3.2.5 Summary of Elements of the Band Matrix

The linearized r.f. problem reduces to a set of algebraic equations which is shown (Figure 3.8) to be of the band type (seven bands).

Figure 3.6 depicts a full seven-band matrix in which each band has been given a letter (A1, B1, C1, D1, E1, F1, G1). It should be noted that only one element of the matrix may be located by indicating the band letter and a row index (J). J is an integer which takes on any value from J=1 to J=NE.

NE = number of equations

Associated with each element is an index, I, which designates the grid point within the semiconductor at which that coefficient is being evaluated. The integer I varies from N1 to M1. Recall also that



$$M1 = K1-1 = (N1+N2+N3) - 1 \quad (3-127)$$

The two integers are really related since the matrix represents three algebraic equations in the three unknowns  $V_1(J)$ ,  $V_{n1}(J)$ , and  $V_{p1}(J)$ .

Therefore, it can be shown that

$$NE = 3 \text{ (number of points in the epitaxial layer and substrate combined)}$$

$$\therefore \quad NE = 3(N2+N3) \quad (3-128)$$

Comparing Figures 3.6 and 3.7 the actual values of the band matrix may be explicitly evaluated. Starting with band  $\bar{A}1$ :

$$\underline{J} \quad 4 \quad A1(4) = 1 \quad (3-129)$$

$$I=P=N1+1 \quad 5 \quad A1(5) = 1 + \{E_o(P)XH2/2V_T\} \quad (3-130)$$

$$6 \quad A1(5) = 1 - \{E_o(P)XH2/2V_T\} \quad (3-131)$$

$$7 \quad A1(7) = 1 \quad (3-132)$$

$$I=N1+2 \quad 8 \quad A1(8) = 1 + \{E_o(N1+2)XH2/2V_T\} \quad (3-133)$$

$$9 \quad A1(9) = 1 - \{E_o(N1+2)XH2/2V_T\} \quad (3-134)$$

$$A1(J) = 1 \quad (3-135)$$

$$I \quad A1(J+1) = 1 + \{E_o(I)XH2/2V_T\} \quad (3-136)$$

$$A1(J+2) = 1 - \{E_o(I)XH2/2V_T\} \quad (3-137)$$

$$A1(3N2-2) = 1 \quad (3-138)$$

$$I=M \quad A1(3N2-1) = 1 + \{E_o(M)XH2/2V_T\} \quad (3-139)$$

$$A1(3N2) = 1 - \{E_o(M)XH2/2V_T\} \quad (3-140)$$

Note that  $I=K$ . A nonuniform grid exists and therefore the three values of  $A$  are valid at this point change in form. Referring to Eq. (3-115), (3-118), and (3-120), at point  $I=K$

$$A(3N2+1) = \frac{2}{1+RS} \quad (3-141)$$

$$A(3N2+2) = \frac{2}{1+RS} + \frac{E_o(I)XH2}{V_T} \frac{RS}{1+RS} \quad (3-142)$$

$$A(3N2+3) = \frac{2}{1+RS} - \frac{E_o(I)XH2}{V_T} \frac{RS}{1+RS} \quad (3-143)$$

After point  $K$  there exists a uniform grid of spacing  $XH3$ .

$$A(3N2+4) = 1 \quad (3-144)$$

$$I=P1 \quad A(3N2+5) = 1 + \{E_o(I)XH3/2V_T\} \quad (3-145)$$

$$A(3N2+6) = 1 - \{E_o(I)XH3/2V_T\} \quad (3-146)$$

.  
.  
.  
.

$$A(NE-2) = 1 \quad (3-147)$$

$$I=M1 \quad A(NE-1) = 1 + \{E_o(I)XH3/2V_T\} \quad (3-148)$$

$$A(NE) = 1 + \{E_o(I)XH3/2V_T\} \quad (3-149)$$

Note that  $E_o = -\frac{dV}{dX}$ , which may be expressed as

$$E_o(I) = - \frac{V(I+1) - V(I-1)}{2\Delta X} \quad (3-150)$$

in a uniform grid. In a nonuniform grid such as  $I=K$

$$E_o(K) = - \left\{ \frac{V(P_1)}{(1+RS)XH3} + \frac{(RS-1)}{XH3} V(K) - \frac{RS}{(1+RS)(XH2)} V(M) \right\} \quad (3-151)$$

Using Eq. (3-150) and (3-151) it may be seen that the d.c. electrostatic potential,  $V$ , is all that is necessary to completely specify A1. The list of statements which store the elements of A1 on the computer are summarized in Figure 3.9. Similarly, Figures 3.10 to 3.15 summarize the elements of each band and the method by which they are stored in the computer.

### 3.3 High Frequency Simulation of MIS Varactors and Comparison with Experiments

#### 3.3.1 Simplified Mathematical Model

For impurity profiles of one type dopant ( $n/n^+$ ) minority carrier generation rates are so low that minority carriers may be completely neglected at high frequencies[11]. This assumption implies that minority carriers can be supplied only by the ohmic contact at  $X=XR$ . Therefore, if the contact is far enough away from the interface

$$XR \gg \sqrt{D/\omega} \quad (3-152)$$

where  $D$  is the minority carrier diffusion coefficient. For an n-type semiconductor the minority carriers are holes and the diffusion coefficient is

$$D_p = V_T \mu_p \quad (3-153)$$

At room temperature and at 3.5 GHz

$$XR \gg .25 \mu m \quad (3-154)$$

J = 4

DO 22 I = P,M

A(J) = 1

$$A(J+1) = 1 + \frac{E_o(I)XH2}{2V_T} = 1 - \frac{V(I+1)-V(I-1)}{4V_T}$$

$$A(J+2) = 1 - \frac{E_o(I)XH2}{2V_T} = 1 + \frac{V(I+1)-V(I-1)}{4V_T}$$

J = J+3

22 CONTINUE

$$A(J) = \frac{2}{1+RS}$$

$$A(J+1) = \frac{2}{1+RS} + \frac{RS}{1+RS} \frac{XH2E_o(K)}{V_T}$$

$$A(J+2) = \frac{2}{1+RS} - \frac{RS}{1+RS} \frac{XH2E_o(K)}{V_T}$$

J = J+3

DO 23 I = P1,M1

A(J) = 1

$$A(J+1) = 1 - \frac{V(I+1)-V(I-1)}{4V_T}$$

$$A(J+2) = 1 + \frac{V(I+1)-V(I-1)}{4V_T}$$

J = J+3

23 CONTINUE

Figure 3.9 The A1 diagonal

$$B1(3) = 0$$

$$J = 4$$

DO 24 I = P,M

$$B1(J) = 0$$

$$B1(J+1) = 0$$

$$B1(J+2) = +j \frac{\omega H2}{V_T^{\mu p}} \times 10^{-8} = +jXP2$$

$$J = J+3$$

24 CONTINUE

$$B1(J) = 0$$

$$B1(J+1) = 0$$

$$B1(J+2) = +j \frac{\omega H2}{V_T^{\mu p}} \times 10^{-8} = +jXP2$$

$$J = J+3$$

DO 26 I = P1,M1

$$B1(J) = 0$$

$$B1(J+1) = 0$$

$$B1(J+2) = +j \frac{\overbrace{RS*RS*H2\omega}^{XH3}}{V_T^{\mu p}} \times 10^{-8} = +jXP3$$

$$J = J+3$$

26 CONTINUE

$$\text{Units } \frac{\omega H2}{V_T^{\mu p}} = \frac{(\text{micron})^2}{(\text{cm}^2)} = \left(\frac{\text{micron}}{\text{cm}}\right)^2$$

$$\left. \begin{array}{l} 1 \text{ micron} = 10^{-6} \text{ m} \\ 1 \text{ cm} = 10^{-2} \text{ m} \end{array} \right\} \left(\frac{\text{micron}}{\text{cm}}\right)^2 = (10^{-4})^2 = 10^{-8}$$

Figure 3.10 The B1 diagonal

```

C1(2) = 0
C1(3) = 0
J = 4
DO 27 I = P,M
C1(J) = 0
C1(J+1) = + j  $\frac{(H2)\omega}{V_{T^{\mu_n}}}$  X 10-8 = +jXN2
C(J+2) = 0
J = J+3
27 CONTINUE
C1(J) = 0
C1(J+1) = +j  $\frac{H2\omega}{V_{T^{\mu_n}}}$  X 10-8 = + jXN2
C1(J+2) = 0
J = J+3
DO 28 I = P1,M1
C1(J1) = 0
C1(J+1) = + j  $\frac{H3\omega}{V_{T^{\mu_n}}}$  X 10-8 = + jXN3
C1(J+2) = 0
J = J+3
28 CONTINUE

```

Figure 3.11 The C1 diagonal

$$D1(1) = 1 + \frac{DKS}{DKI} \frac{X_o}{XH2}$$

$$D1(2) = 1$$

$$D1(3) = 1$$

$$J = 4$$

DO 29 I = P,M

$$D1(J) = -2 - \frac{qH2}{\epsilon_s V_T} (P_o(I) + N_o(I)) = -2 - \underbrace{\frac{qH2}{\epsilon_s V_T} (2n_1) \times 10^{-6}}_{CS} \text{Cosh} \frac{V(I)}{V_T}$$

$$D1(J+1) = -2 - j \frac{\omega H2}{V_T \mu_n} \times 10^{-8} = -2 - jXN2$$

$$D1(J+2) = -2 - j \frac{\omega H2}{V_T \mu_p} \times 10^{-8} = -2 - jXP2$$

$$J = J+3$$

29 CONTINUE

$$D1(J) = -\frac{2}{RS} - \frac{qH2}{\epsilon_s V_T} (N_o(K) + P_o(K)) = -\frac{2}{RS} - CS \text{Cosh} \frac{V(K)}{V_T}$$

$$D1(J+1) = -\frac{2}{RS} - \frac{E_o(K)XH2}{V_T} \frac{RS-1}{RS} - j \frac{\omega H2}{V_T \mu_n} \times 10^{-8}$$

XN2

$$D1(J+2) = -\frac{2}{RS} + \frac{E_o(K)XH2}{V_T} \left( \frac{RS-1}{RS} \right) - j \underbrace{\frac{\omega H2}{V_T \mu_p} \times 10^{-8}}_{XP2}$$

$$J = J+3$$

DO 31 I + P1,M1

$$D1(J) = -2 - RS*RS*CS \text{Cosh} \frac{V(I)}{V_T}$$

$$D1(J+1) = -2 - jXN3$$

$$D1(J+1) = -2 - jXP3$$

$$J = J+3$$

31 CONTINUE

Figure 3.12 The D1 diagonal

$$E(1) = 0$$

$$E1(2) = 0$$

$$E1(3) = 0$$

$$J = 4$$

DO 32 I = P,M

$$E1(J) = \frac{qH2}{\epsilon_s V_T} N_O(I) = \frac{qH2}{\epsilon_s V_T} N_1 \exp \frac{V(I)}{V_T} = \frac{1}{2} CS \exp \frac{V(I)}{V_T}$$

$$E1(J+1) = 0$$

$$E1(J+2) = 0$$

$$J = J+3$$

32 CONTINUE

$$E1(J) = \frac{qH2}{\epsilon_s V_T} N_O(K) = \frac{1}{2} CS \exp \frac{V(K)}{V_T}$$

$$E1(J+1) = 0$$

$$E1(J+2) = 0$$

$$J = J+3$$

DO 33 I = P1,L1

$$E1(J) = \frac{1}{2} RS*RS*CS*\exp \frac{V(I)}{V_T}$$

$$E1(J+1) = 0$$

$$E1(J+2) = 0$$

$$J = J+3$$

33 CONTINUE

$$E1(J) = \frac{1}{2} RS*RS*CS*\exp \left( \frac{V(M1)}{V_T} \right)$$

$$E1(J+1) = 0$$

Figure 3.13 The E1 diagonal

$$F1(1) = 0$$

$$F1(2) = 0$$

$$F1(3) = 0$$

$$J = 4$$

DO 34 I = P,M

$$F1(J) = \frac{qH2}{\epsilon_s V_T} P_o(I) = \frac{1}{2} CS \exp - \frac{V(I)}{V_T}$$

$$F1(J+1) = 0$$

$$F1(J+2) = 0$$

$$J = J+3$$

34 CONTINUE

$$F1(J) = \frac{qH2}{\epsilon_s V_T} P_o(K) = \frac{1}{2} CS \exp - \frac{V(K)}{V_T}$$

$$F1(J+1) = 0$$

$$F1(J+2) = 0$$

$$J = J+3$$

DO 36 I = P1,L1

$$F1(J) = \frac{1}{2} RS*RS*CS \exp - \frac{V(I)}{V_T}$$

$$F1(J+1) = 0$$

$$F1(J+2) = 0$$

$$J = J+3$$

36 CONTINUE

$$F1(J) = \frac{1}{2} RS*RS*CS*\exp - \frac{V(M1)}{V_T}$$

Figure 3.14 The F1 diagonal

LOAD THE G1 BAND

$$G1(1) = - \frac{DKS}{DKI} \frac{X_o}{XH2}$$

$$G1(2) = -1$$

$$G1(3) = -1$$

$$J = 4$$

DO 37 I = P,M

$$G1(J) = 1$$

$$G1(J+1) = 1 - \frac{E_o(I)XH2}{2V_T} = 1 + \frac{V(I+1)-V(I-1)}{4V_T}$$

$$G1(J+2) = 1 + \frac{E_o(I)XH2}{2V_T} = 1 - \frac{V(I+1)-V(I-1)}{4V_T}$$

$$J = J+3$$

37 CONTINUE

$$G1(J) = \frac{2}{(1+RS)RS}$$

$$G1(J+1) = \frac{2}{RS(1+RS)} - \frac{1}{(1+RS)} \frac{XH2}{RS} \frac{E_o(K)}{V_T}$$

$$G1(J+2) = \frac{2}{RS(1+RS)} + \frac{E_o(K)XH2}{(1+RS)RSV_T}$$

$$J = J+3$$

DO 38 I = P1,L1

$$G1(J) = 1$$

$$G1(J+1) = 1 + \frac{V(I+1)-V(I-1)}{4V_T}$$

$$G1(J+2) = 1 - \frac{V(I+1)-V(I-1)}{4V_T}$$

38 CONTINUE

Figure 3.15 The G1 diagonal

For all varactors studied here the  $n^+$  substrate is about  $100 \mu\text{m}$  and, therefore, Eq. (3-154) is easily satisfied. With this simplification, in the small signal mathematical model we may assume

$$V_1 = V_{p1} \quad (3-155)$$

$$J_p = 0 \quad (3-156)$$

The simplified model is fully developed in Appendix III and is summarized in Figure 3.16. This simplification is important in that it reduces the computer storage requirements. The full two-carrier case is a seven-band matrix problem. The simplified solution reduces to a five-band matrix. Also, at every point in the semiconductor the number of variables is reduced by one-third because only  $V_1$  and  $V_{n1}$  must be solved for instead of  $V_1$ ,  $V_{n1}$  and  $V_{p1}$  for the two-carrier case.

### 3.3.2 Comparison of Experiments with Computed One-Carrier Results

Figure 3.17 shows a plot of capacitance and resistance versus applied voltage for an MIS varactor. The applied frequency was 3.5 GHz and the material parameters for the epitaxial structure are summarized below.

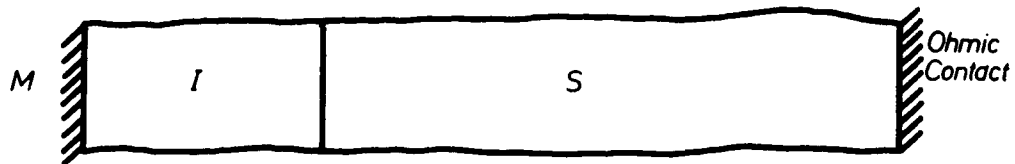
$$DNE = 1 \times 10^{16} \text{ cm}^{-3}$$

$$DNS = 1 \times 10^{19} \text{ cm}^{-3}$$

$$BX = 0.1 \mu\text{m}$$

$$Q_{ss} = 1 \times 10^{-3} \text{ coul/m}^3$$

The insulator is  $\text{SiO}_2$  which is assumed to have a relative dielectric constant of 4.0. It is assumed that the top electrode is a dot two mils in diameter ( $50.8 \mu\text{m}$ ). The computed insulator capacitance from the above



In Semiconductor:

$$\frac{d^2 V_i}{dx^2} - \frac{q N_a}{\epsilon_s V_f} V_i + \frac{q N_a}{\epsilon_s V_f} V_n = 0$$

$$\frac{d^2 V_n}{dx^2} + \frac{1}{V_f} \frac{dV_b}{dx} \frac{dV_n}{dx} + \frac{j\omega}{V_f \mu_n} V_i - \frac{j\omega}{V_f \mu_n} V_n = 0$$

Boundary Conditions:

$$(A) \text{ I-S Interface} \quad \epsilon_s \left[ \frac{dV_i}{dx} \right]_S - \epsilon_i \left[ \frac{dV}{dx} \right]_I = 0$$

$$\frac{dV_n}{dx} = 0$$

$$(B) \text{ Ohmic Contact} \quad V_i = V_n = 0$$

Figure 3.16 Summary of the high frequency mathematical model

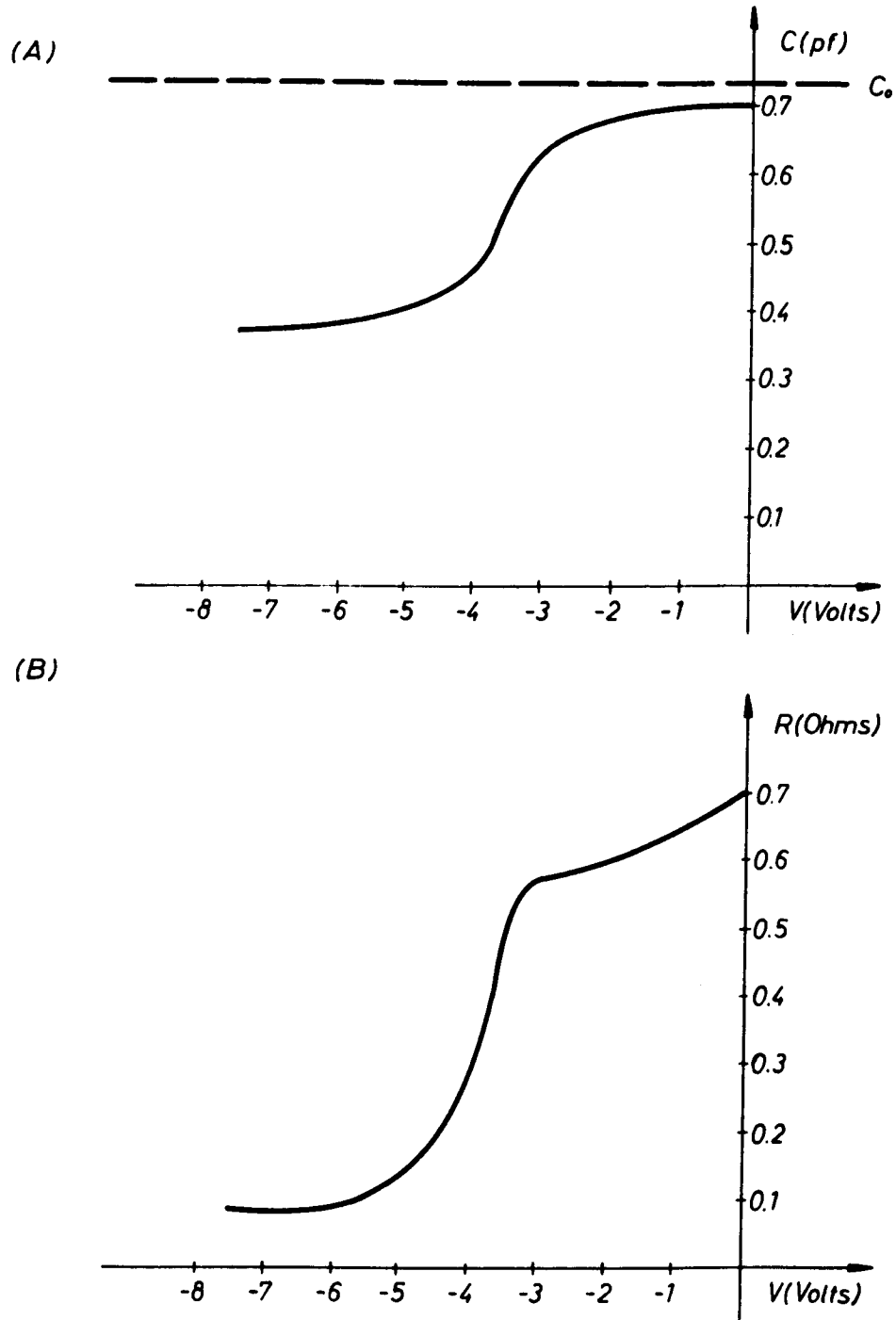


Figure 3.17 One carrier simulation of space charge varactor at 3.5 GHz

data is  $C_0 = 0.717$  pf and  $C/C_0 = .975$  in the accumulation region. It has been found in running these programs that the denser the grid near the interface point the more accurate the solution becomes. For a dense grid the accumulation capacitance ratio  $C/C_0$  approaches unity, and the accumulation resistance anomaly tends to be less pronounced. It is felt that the resistance anomaly is linked to the fact that in accumulation the small signal matrix has very large coefficients which are close to each other. It will be shown later that this anomaly also appears in the inversion region when minority carriers are taken into account. Again, in inversion large exponential terms are present in the small signal matrix.

Figure 3.18 is a reflection coefficient plot (referenced to 50 ohms) of an experimentally fabricated MIS varactor with material parameters very close to those simulated in Figure 3.17. Also appearing in Figure 3.18 are the high and low computed impedance points ( $Z_H$ ,  $Z_L$ ). There does not seem to be very good correlation but it must be remembered that the computed results are for the semiconductor chip alone and the experimental result is for device plus package. At microwave frequencies package parasitics must be considered and in many applications dominate performance. A lumped element model for a microwave pill package is shown in Figure 3.19. Assumed values for the model are also tabulated in the figure. A plot of the experimental device is replotted in Figure 3.20. Also in this figure are plotted  $Z_H$  and  $Z_L$  transformed through the parasitic circuit. A package capacitance of .35 pf was measured at 1 MHz for the microwave package with no chip in it. The additional 0.1 pf is due to stray mounting capacitance.

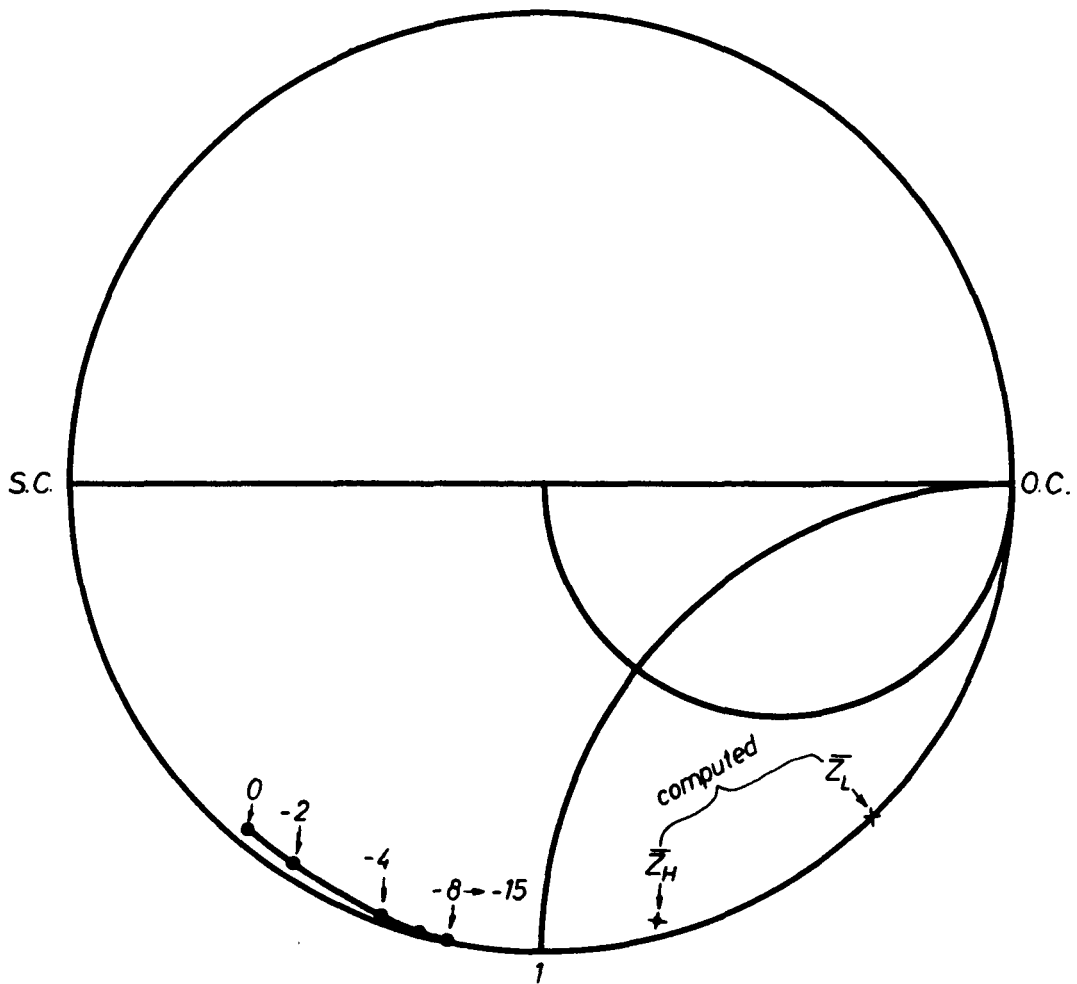
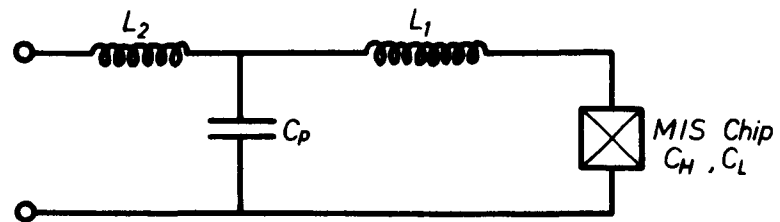


Figure 3.18 Comparison of computed MIS chip and experimental performance



$L_1$  = bond wire from to the top of ceramic pill package

$C_p$  = package capacitance

$L_2$  = any lead inductance introduced in mounting the package

*Assumed values for parasitics*

$L_1 = .35 \text{ nh}$

$L_2 = .5 \text{ nh}$

$C_p = .45 \text{ pf}$

**Figure 3.19** Lumped element model of microwave pill package plus device chip.

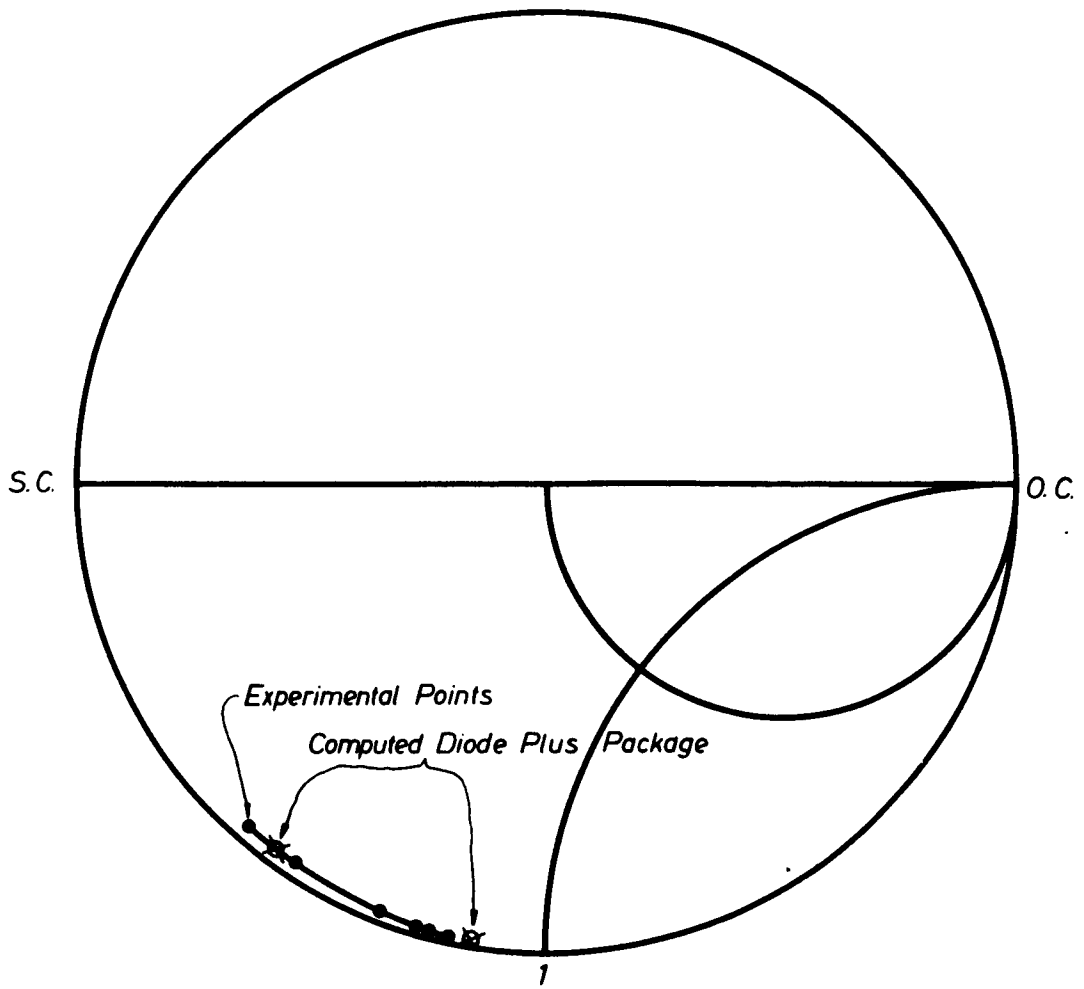


Figure 3.20 Comparison of computed and measured diode plus package.

### 3.3.3 Comparison of Experiments Computed Two-Carrier Results

Figure 3.21 shows computed high ( $3.5 \times 10^9$  Hz) and low frequency (350 Hz) for an M-I-N-N<sup>+</sup>-M MIS structure. It is shown here that the inclusion of minority carriers in the analysis predicts the relaxation of the capacitance to its insulator value in the inversion region. The low frequency curve generated by the two-carrier R.F. program compares well with the low frequency curve generated by the D.C. solution in Chapter 2 (Figure 2.11). Figure 3.22 shows computed high frequency curves for the M-I-N-N<sup>+</sup>-M structure with the epitaxial (N) layer density (DNE) as a parameter. As the density increases the maximum depletion depth decreases as is predicted from Chapter 2. The two-carrier solution may be used to analyze more general MIS structures. Figures 3.23 and 3.24 show computed normalized capacitance versus voltage curves for M-I-P-N<sup>+</sup>-M structures. Figure 3.23 is a plot of normalized capacitance versus voltage, at 350 Hz, for two different P layer densities. Figure 3.24 shows a high frequency capacitance plot for the same structure and densities. In this structure the capacitance versus voltage function is very complicated and requires more detailed investigations to explain the physical mechanisms. Figure 3.25 is a plot of high frequency resistance versus voltage characteristic.

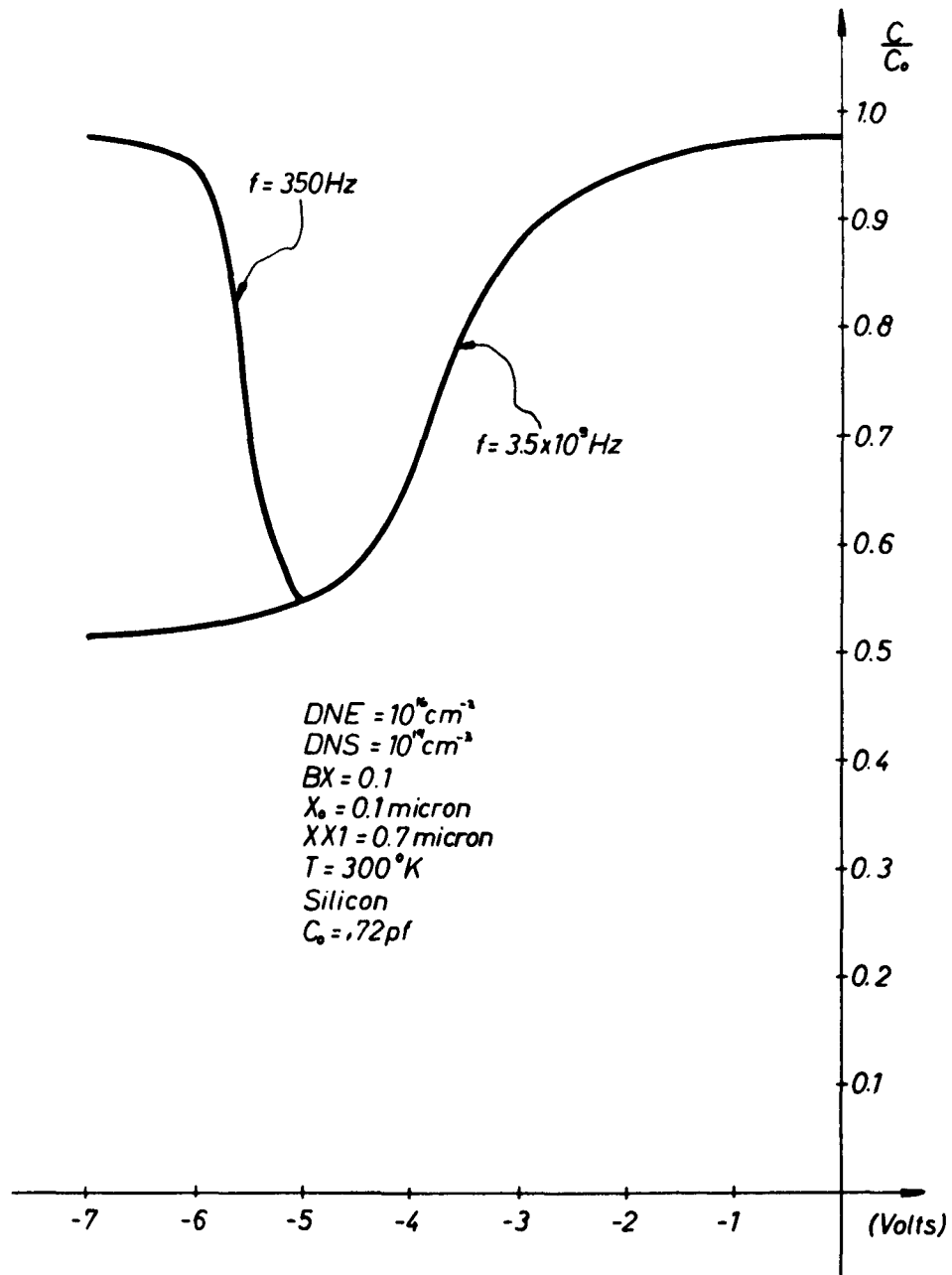


Figure 3.21 Computed low and high frequency normalized capacitance versus voltage curves for an M-I-N-N<sup>+</sup>-M structure.

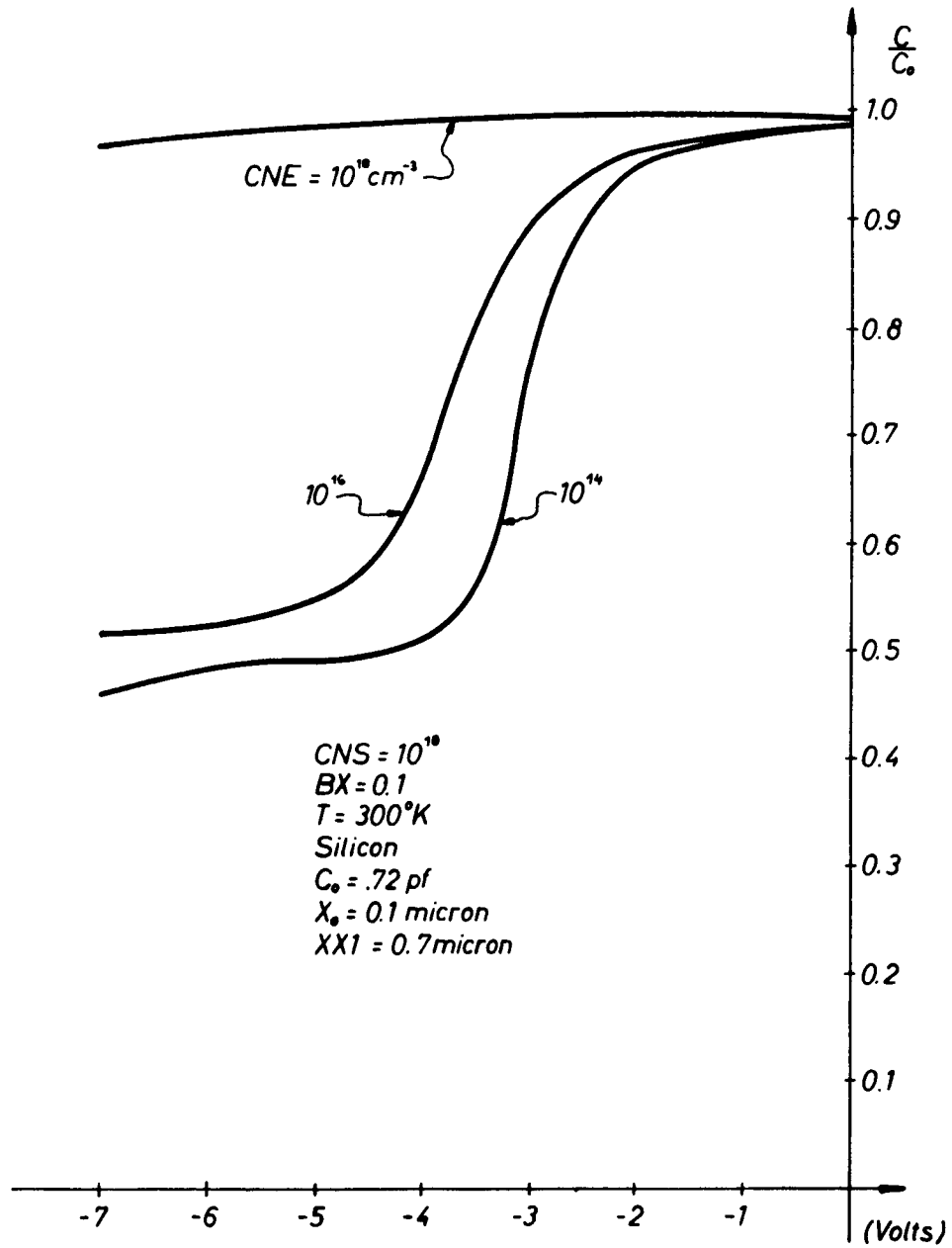


Figure 3.22 Computed high frequency normalized capacitance versus voltage curve with DNE as a parameter.

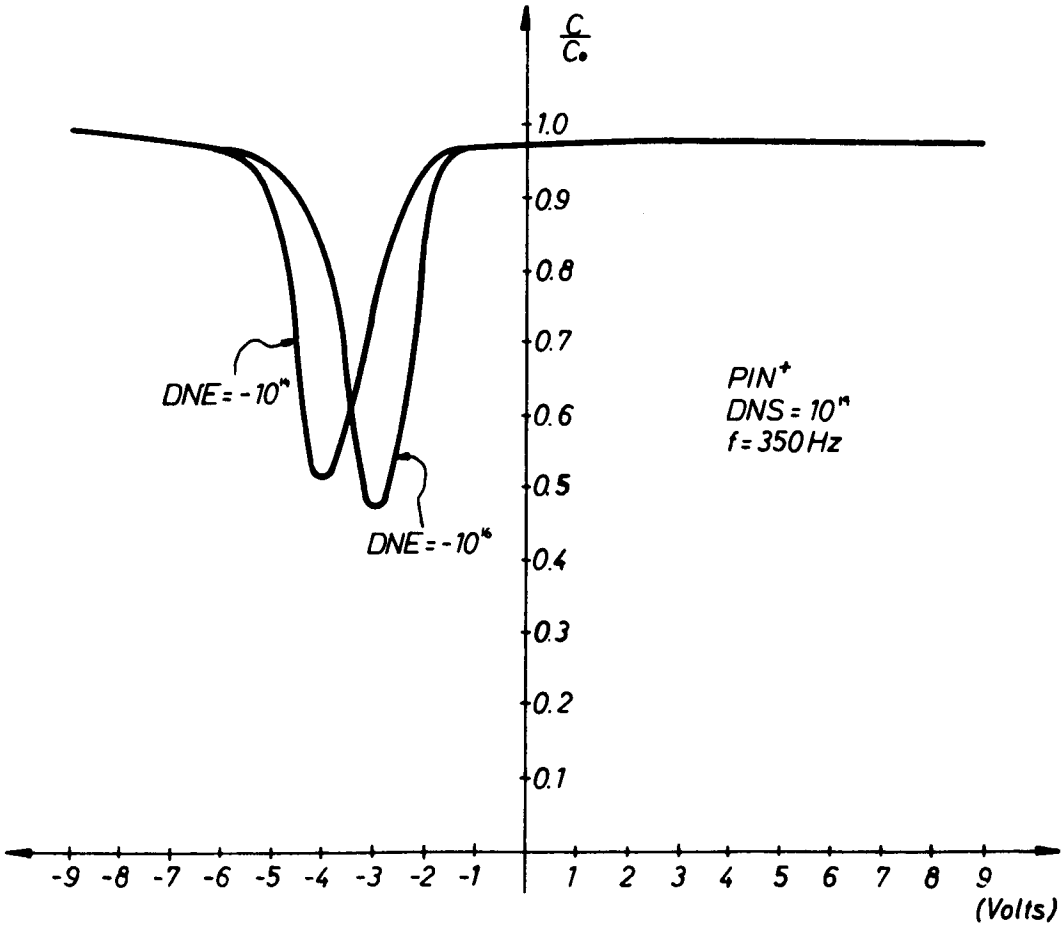


Figure 3.23 Computed low frequency capacitance versus voltage curve for a M-I-P-N<sup>+</sup>-M structure.

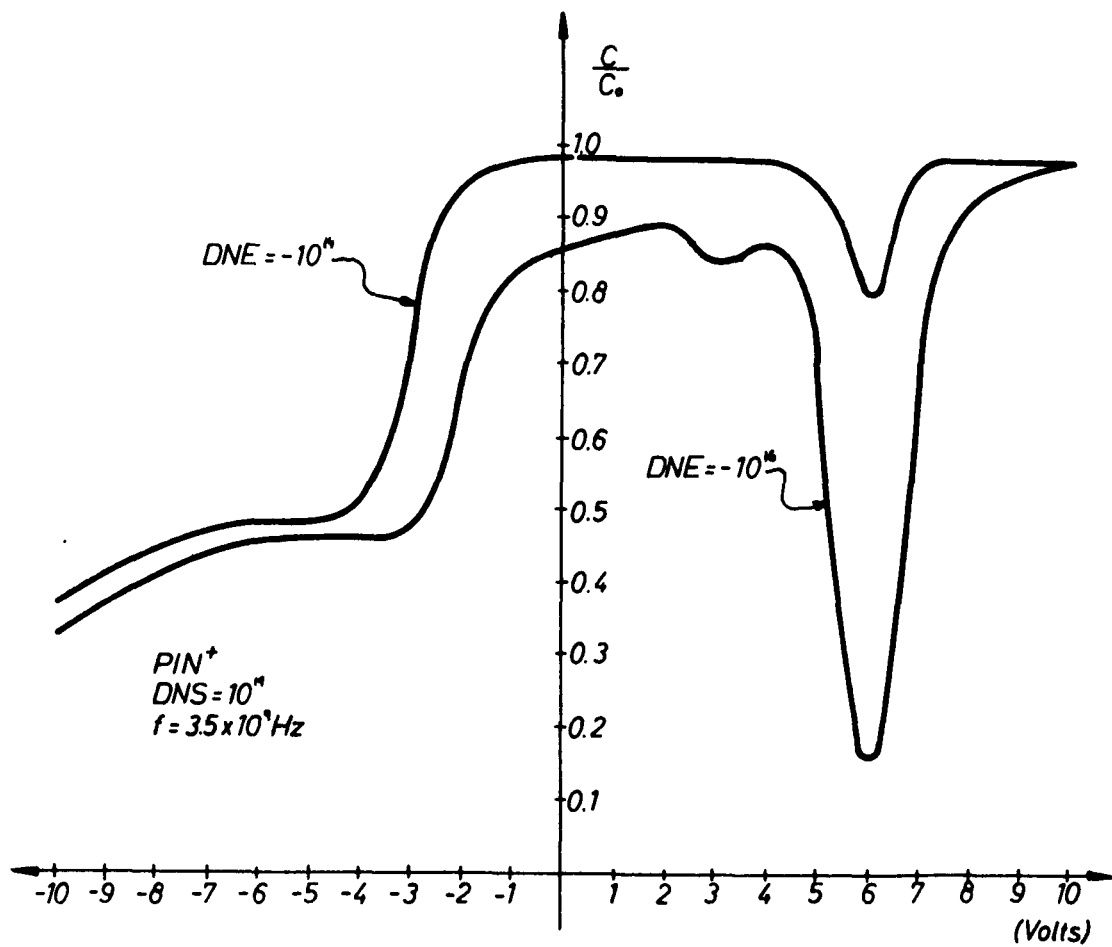


Figure 3.24 Computed high frequency capacitance versus voltage curve for an M-I-P-N<sup>+</sup>-M structure.

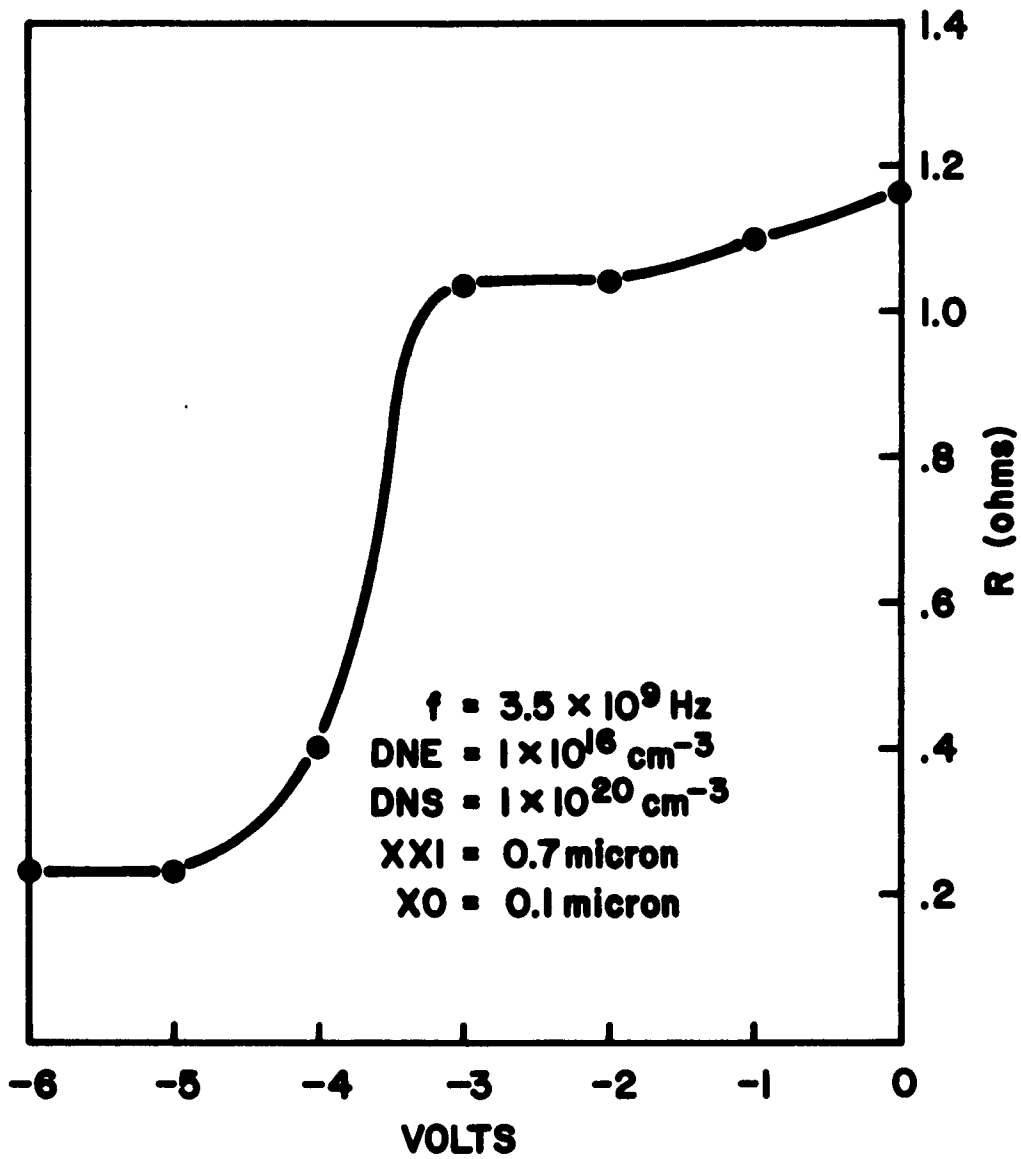


Figure 3.25 Computed resistance versus applied voltage for M-I-N-N<sup>+</sup>-M structure.

## CHAPTER 4

## MIS VARACTOR APPLICATIONS

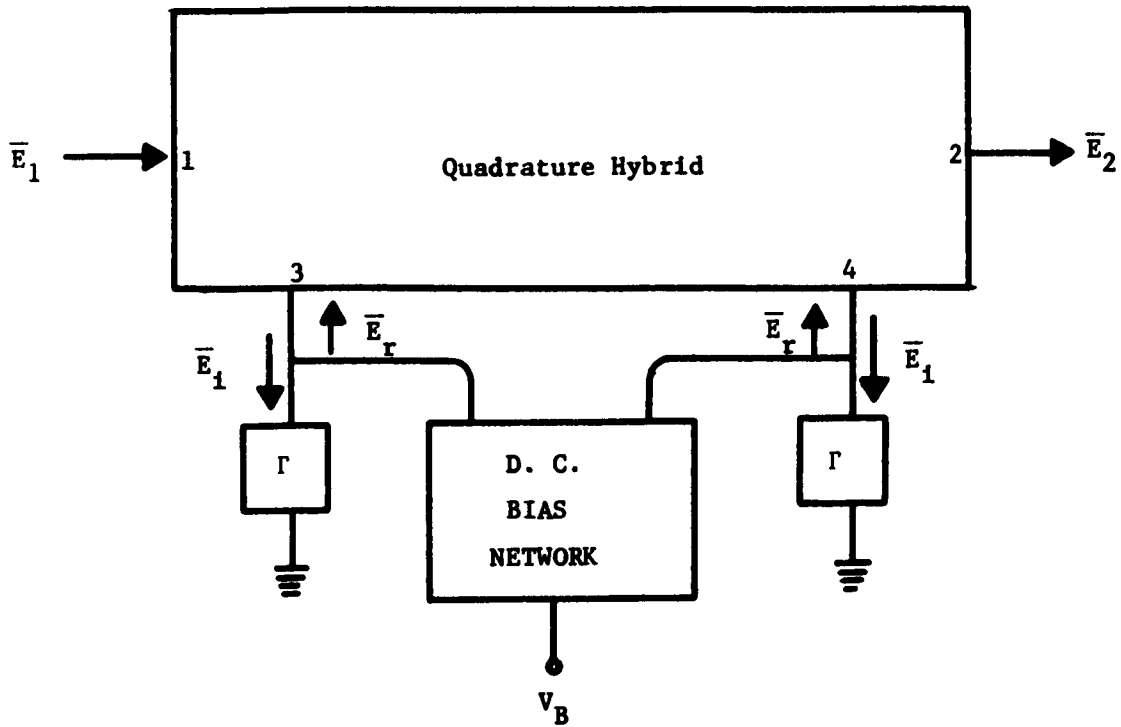
4.1.1 Principle of Operation of a Reflection Type Phase Shifter[32]

A sketch of a reflection-type phase shifter is shown in Figure 4.1. It consists of a quadrature hybrid, two nonlinear networks terminating the hybrid, and a bias network which is d.c. coupled to the nonlinear element but is r.f. isolated. A signal enters port 1, splits evenly between 3 and 4, and is isolated from 2. There is a 90° phase difference between the wave at 4 and 3. If 3 and 4 are terminated in the same loads (equal reflection coefficients), all power for the ideal hybrid will appear at 2. The phase of the wave at port 2 will equal the sum of the incident phase plus the phase of the reflection coefficient. If there are no losses in the system (hybrid, nonlinear network, bias network) and the hybrid has infinite directivity, then all the power incident will be recovered in port 2.

In general, the nonlinear network will consist of an MIS varactor and a linear phase compensating or stretching network. The MIS device is a voltage variable capacitor which has a unique two-state capacitance characteristic. At first, a nonlinear network consisting of a two-state capacitor ( $C_H, C_L$ ) will be analyzed. It will be shown for a given phase shift and frequency how to pick the capacitance values for minimum phase variation.

The reflection coefficient for a capacitor terminating a transmission line is

$$\Gamma = \frac{\frac{1}{j\omega C} - Z_0}{\frac{1}{j\omega C} + Z_0} \quad (4-1)$$



$$\Gamma = \frac{\bar{E}_r}{\bar{E}_1} = \rho \angle \theta$$

$$\bar{E}_1 = |E_1| \angle \phi$$

$$\bar{E}_2 = |E_2| \angle \phi + \theta$$

$$|E_2| = |E_1|$$

$$|E_1| = |E_1| / 2$$

Figure 4.1 Reflection type phase shifter.

which may be simplified into the form

$$\Gamma = 1 \left| \frac{\tan^{-1} \omega c Z_0 - \tan^{-1} \omega c Z_0}{\dots} \right| \quad (4-2)$$

Using the identity

$$\tan^{-1} (-\phi) = -\tan \phi \quad (4-3)$$

then the phase  $\theta$  of the reflection coefficient may be expressed as

$$\theta = +2 \tan^{-1} (\omega c Z_0) \quad (4-4)$$

If the capacitor may be switched between two different values ( $C_1, C_2$ )

by some means, the net differential phase shift is

$$\theta_2 - \theta_1 = 2 \tan^{-1} (-\omega c_2 Z_0) - \tan^{-1} (-\omega c_1 Z_0) \quad (4-5)$$

Using the identity

$$\tan^{-1} Z_1 \pm \tan^{-1} Z_2 = \tan^{-1} \frac{Z_1 \pm Z_2}{1 \mp Z_1 Z_2} \quad (4-6)$$

the expression (4-5) may be shown to be of the form

$$\Delta \theta = \theta_2 - \theta_1 = 2 \tan^{-1} \left( \frac{\omega c_2 Z_0 - \omega c_1 Z_0}{1 + \omega^2 c_1 c_2 Z_0^2} \right) \quad (4-7)$$

In general, it is desired to make the phase variation as small as possible across a given frequency band of interest. This may be done by choosing parameters such that the differential phase shift slope is zero at the design center frequency. Taking the derivative of Eq. (4-7) with respect to  $\omega$

$$\frac{d(\Delta \theta)}{d\omega} = \frac{1}{1 + \frac{\omega^2 (c_2 - c_1)}{1 + \omega^2 c_1 c_2 Z_0}} \frac{(c_2 - c_1) Z_0}{(1 + \omega^2 c_1 c_2 Z_0^2)^2} (1 - \omega^2 Z_0^2 c_1 c_2) \quad (4-8)$$

It can be seen that the phase slope will be zero at  $\omega = \omega_0$  if

$$1 - \omega_o^2 Z_o^2 c_1 c_2 = 0 \quad (4-9)$$

The values of capacitance for a given differential phase shift may be obtained by solving Eq. (4-9) and (4-7) simultaneously. From Eq. (4-9)

$$c_2 = \frac{1}{\omega_o^2 Z_o^2 c_1} \quad (4-10)$$

Substituting Eq. (4-10) into (4-7)

$$\Delta\theta = 2 \tan^{-1} \frac{\left(\frac{1}{\omega_o^2 Z_o^2 c_1}\right) Z_o - \omega c_1 Z_o}{1 + \omega^2 c_1 \left(\frac{1}{\omega_o^2 Z_o^2 c_1}\right) Z_o^2} \quad (4-11)$$

$$\tan \frac{\Delta\theta}{2} = \frac{\frac{\omega}{\omega_o^2 Z_o^2 c_1} - \omega c_1 Z_o}{1 + \frac{\omega}{\omega_o}} \quad (4-12)$$

$$c_1^2 + \frac{1}{\omega Z_o} \left[ 1 + \left(\frac{\omega}{\omega_o}\right)^2 \right] \tan \frac{\Delta\theta}{2} - \frac{1}{(\omega_o Z_o)^2} = 0 \quad (4-13)$$

At  $\omega = \omega_o$ , the two values which satisfy this equation may be solved for

$$c_1 = \frac{1}{\omega_o Z_o} \left\{ -\tan \frac{\Delta\theta}{2} \pm \sec \frac{\Delta\theta}{2} \right\} \quad (4-14)$$

Since the capacitance cannot be a negative number

$$c_1 = \frac{1}{\omega_o Z_o} \left\{ \sec \frac{\Delta\theta}{2} - \tan \frac{\Delta\theta}{2} \right\} \quad (4-15)$$

is chosen as the solution. Substituting Eq. (4-15) into (4-10)

$$c_2 = \frac{1}{\omega_0 Z_0} \cdot \frac{1}{\sec \frac{\Delta\theta}{2} - \tan \frac{\Delta\theta}{2}} \quad (4-16)$$

and the capacitance ratio is found by dividing Eq. (4-16) by (4-15).

$$\frac{c_2}{c_1} = \frac{1}{\sec \frac{\Delta\theta}{2} - \tan \frac{\Delta\theta}{2}} \quad (4-17)$$

Table 4-1 summarizes capacitance ratios and values at a design center frequency of 3.5 GHz, in a 50-ohm system.

$\Delta\theta$	$c_2/c_1$	$c_1$ (pF)	$c_2$ (pF)
22.5°	1.48	.739	1.09
45.0°	2.06	.627	1.29
90.0°	5.79	.372	2.15
180.0°	$\infty$	0	$\infty$

TABLE 4-1 SUMMARY OF CAPACITANCE RATIOS AND VALUES  $f_0 = 3.5$  GHz  
 $Z_0 = 50 \Omega$

It should be noted that the 180° differential phase shift cannot practically be achieved using two capacitors alone. Either an ideal switch is required or additional elements are required in addition to a non-linear capacitor with a finite capacitance ratio in order to achieve 180° of phase shift. It should also be noted that the values of capacitance obtained have a graphical significance on a reflection chart. Figure 4.2 shows that the optimal reactance values ( $X_1, X_2$ ), for a given phase shift  $\Delta\theta$ , fall symmetrically about the -90°

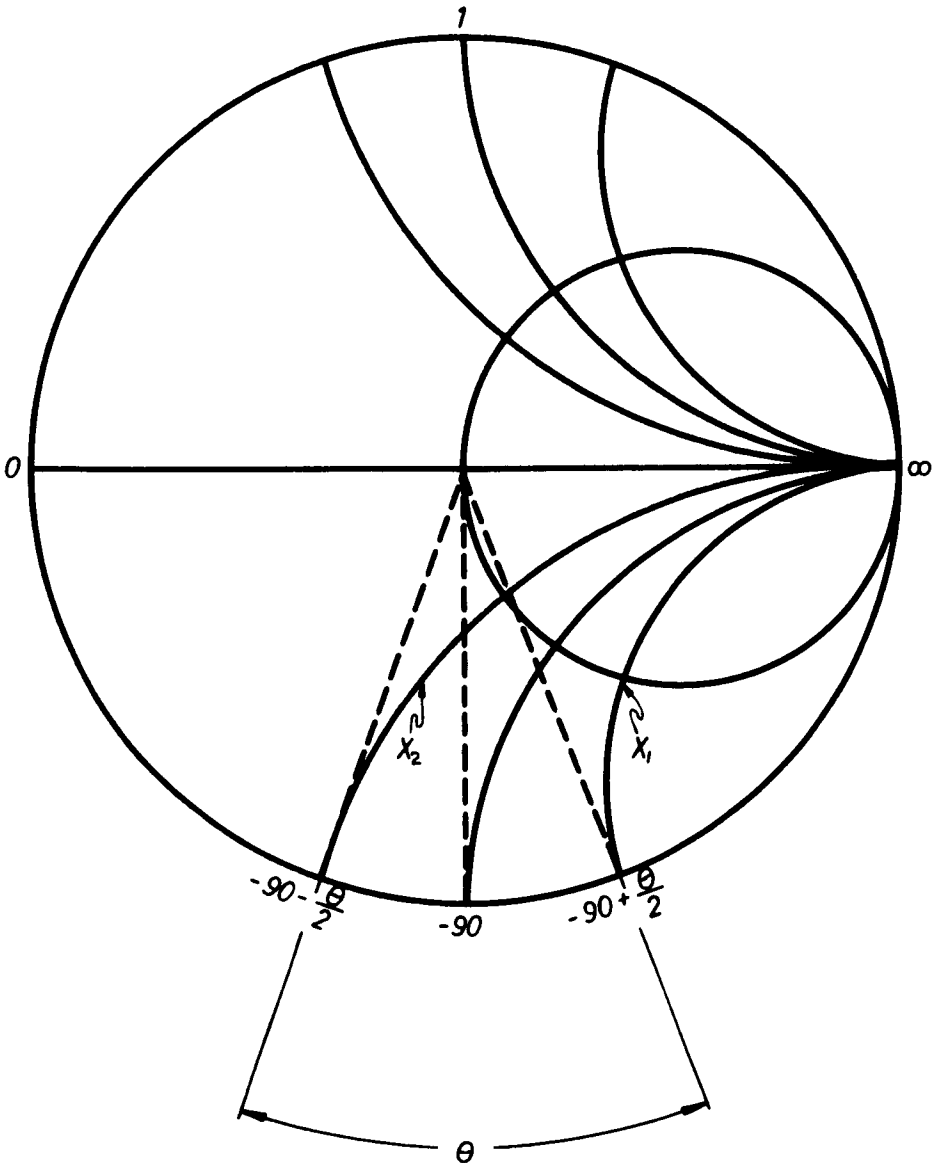
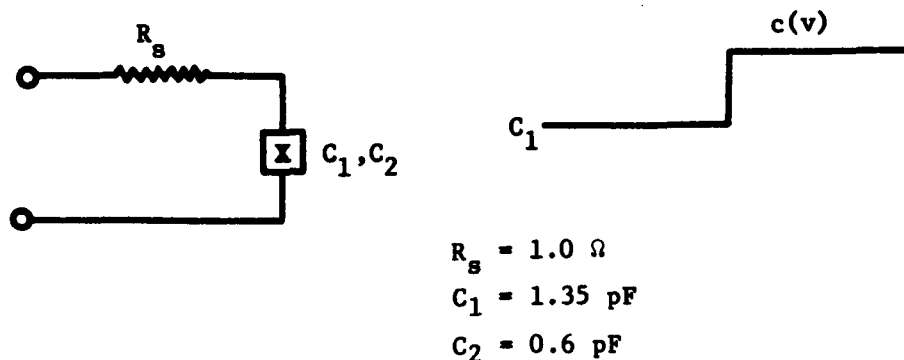


Figure 4.2 Optimal phase shift for two capacitors  $C_1, C_2$ .

phase coordinate. For two small capacitance values near the open circuit point, the reactance curves tend to bunch together yielding a small phase shift. For two large capacitors with reactances near the short circuit point, the differential phase shift is small this time because the change in reactance is small. The optimum, therefore, lies halfway between the open circuit and short circuit point.

In order to determine the phase shifter's useful bandwidth, one could plot equation (4-11) and determine phase flatness over the band of interest. Instead, an RCA circuit analysis program (COSMIC) was used to determine phase performance including parasitic series resistance (Figure 4.3). The program allows circuit simulation of the MIS varactor, including chip, parasitics and external tuning elements.



**Figure 4.3 Simulation of 45° BIT including parasitic series resistance.** The capacitance values are very close to those previously derived for the 45° BIT summarized in Table 4-1. The program is a linear analysis program so that a value of capacitance is assumed and reflection gain in dB and reflection phase (degrees) is plotted versus frequency. Then, another capacitance value is assumed and the phase shift and gain are calculated. The differential phase shift is obtained by subtracting the phase of reflection coefficient for the two values of capacitance.

Table 4-2 summarizes the results from 3.0 to 4.0 GHz.

Freq. (GHz)	$c = c_2$ Reflection Gain (dB)	$c = c_1$ Reflection Gain	$\Delta\theta$ (degrees)
3.0	-0.215	-0.084	44.685
3.2	-0.225	-0.093	45.028
3.4	-0.235	-0.101	45.200
3.6	-0.243	-0.110	45.225
3.8	-0.251	-0.118	45.128
4.0	-0.258	-0.126	44.927

TABLE 4-2 45° BIT SIMULATION:  $R_g = 1.0 \Omega$

$$c_1 = 0.6 \text{ pf}$$

$$c_2 = 1.35 \text{ pf}$$

Similar calculations may be done for the 22.5, 90° BITS.

#### 4.1.2 Quadrature Hybrids

One of the most important parts of a reflection type phase shifter is the quadrature hybrid. In order for a hybrid to be useful, its two-way loss should be as low as possible (i.e., loss from 1 to 2 when 3 and 4 are terminated in equal reactances). Also, the phase difference between ports 3 and 4 should be constant over the design bandwidth. Two types of hybrids were investigated:

- (1) Branch line[35]
- (2) Interdigitated[33]

Branch line hybrids are simple to make, requiring only a one mask photo-etch process. The Lange hybrid also requires a one mask process, but in addition needs bonding straps to interconnect digits. This makes the Lange hybrid less reproducible and more expensive to fabricate.

Both types of hybrid were evaluated.

The branch line hybrid was evaluated for a reflection type phase shifter applications by simulating a phase shift bit using this type hybrid and by building and testing the hybrid itself. A circuit with the topology shown in Figure 4.4 was fed into the COSMIC computer program.

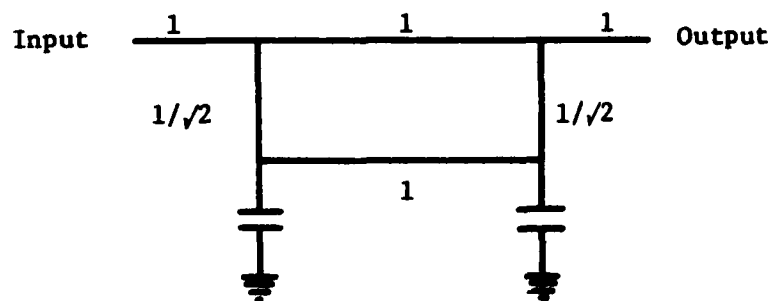


Figure 4.4 Branch line hybrid topology.

All lines were microstrip with a source as reference impedance of 50 ohms. The lengths of all lines were  $\lambda_g/4$  at the design center frequency of 3.5 GHz. The capacitors were designed to yield approximately the optimum values for a  $22.5^\circ$  bit ( $c_1 = 1.1$ ,  $c_2 = .745$ ). The differential phase variation for the capacitors alone can be shown to be less than  $0.5^\circ$  over a ten-percent bandwidth. The differential phase shift for the total circuit above over the same ten percent bandwidth is shown in Table 4.3.

f (GHz)	$\Delta\theta^\circ$
3.325	27.260
3.500	22.488
3.675	17.45

TABLE 4-3 PHASE SHIFT THROUGH BRANCH LINE HYBRID

The maximum insertion loss occurring in either state is 0.37 dB, which includes microstrip copper losses and mismatch loss. A phase variation of ten degrees is too large, especially for the  $22.5^\circ$  bit. Simultaneous to the hybrid stimulation studies, two 3-dB branch line hybrids were designed, built and tested. Both circuits were fabricated on alumina substrates with chrome-gold metallizations. One substrate was 25 mil thick and the second was 50 mil thick. Theoretically, the thicker substrates should have lower insertion losses. Figures 4.5 to 4.8 summarize the test results. In both hybrids, the designed center frequency is not exactly correct. This is clearly demonstrated in plots of return loss versus frequency (Figures 4.6 and 4.8). This misalignment affects coefficients of coupling and loss variation. The shift of the design center frequency may be explained because the design did not take into account reactances at the junction of the hybrid. A recent paper by Vogel[36] describes how to take into account junction discontinuities and may be used to improve the hybrid performance. When these hybrids were used with varactors to form phase shift bits, the phase variation predicted by simulation was verified. All work on future phase shifters using branch line hybrids stopped at this point. Attention was turned to the Lange type hybrid with the hope of achieving lower loss and smaller phase shift variation.

A photograph and schematic of an experimental Lange hybrid that was designed, fabricated and tested at City College is shown in Figure 4.9.

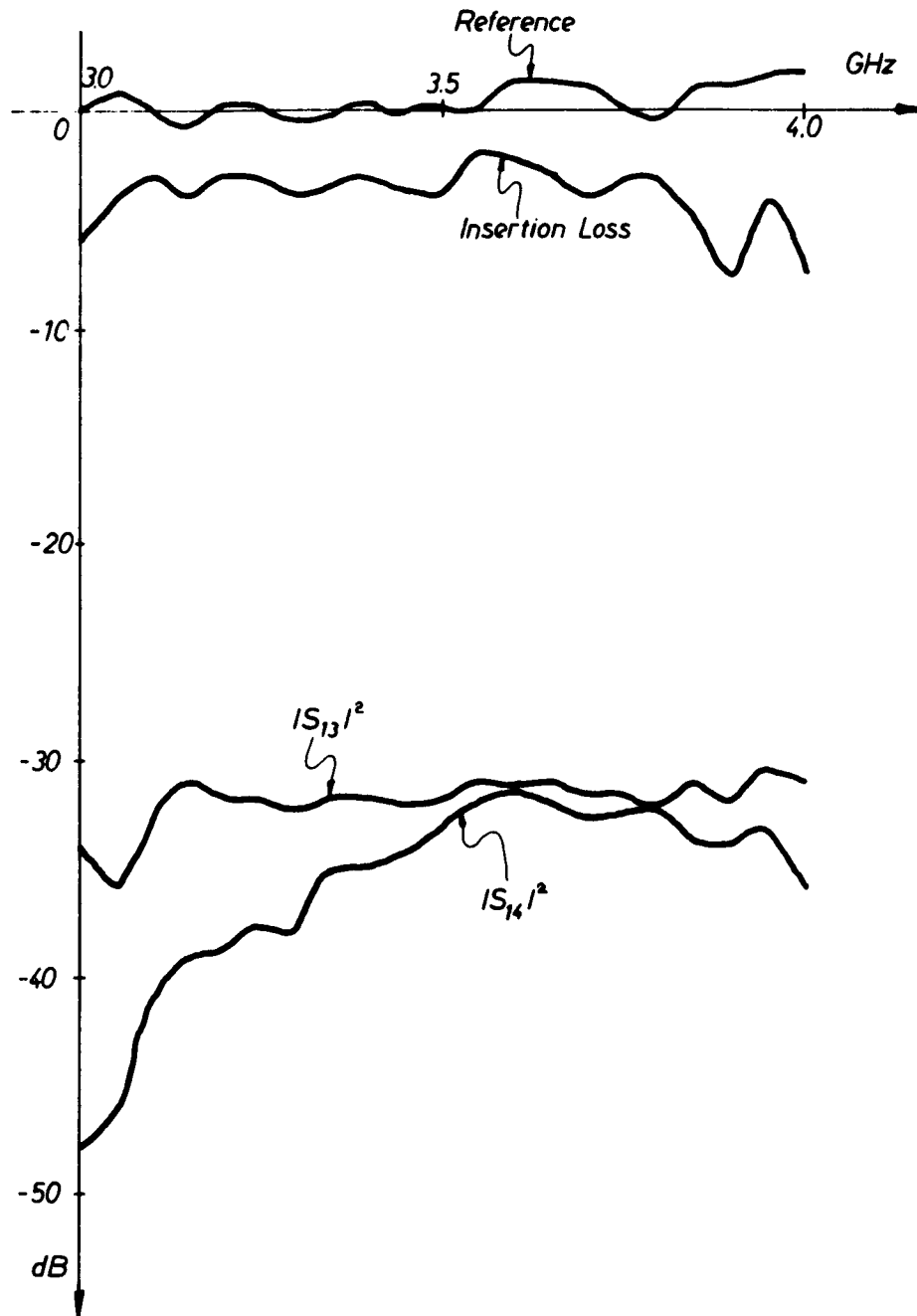


Figure 4.5 Insertion loss and coupling for a 25 mil branch line hybrid.

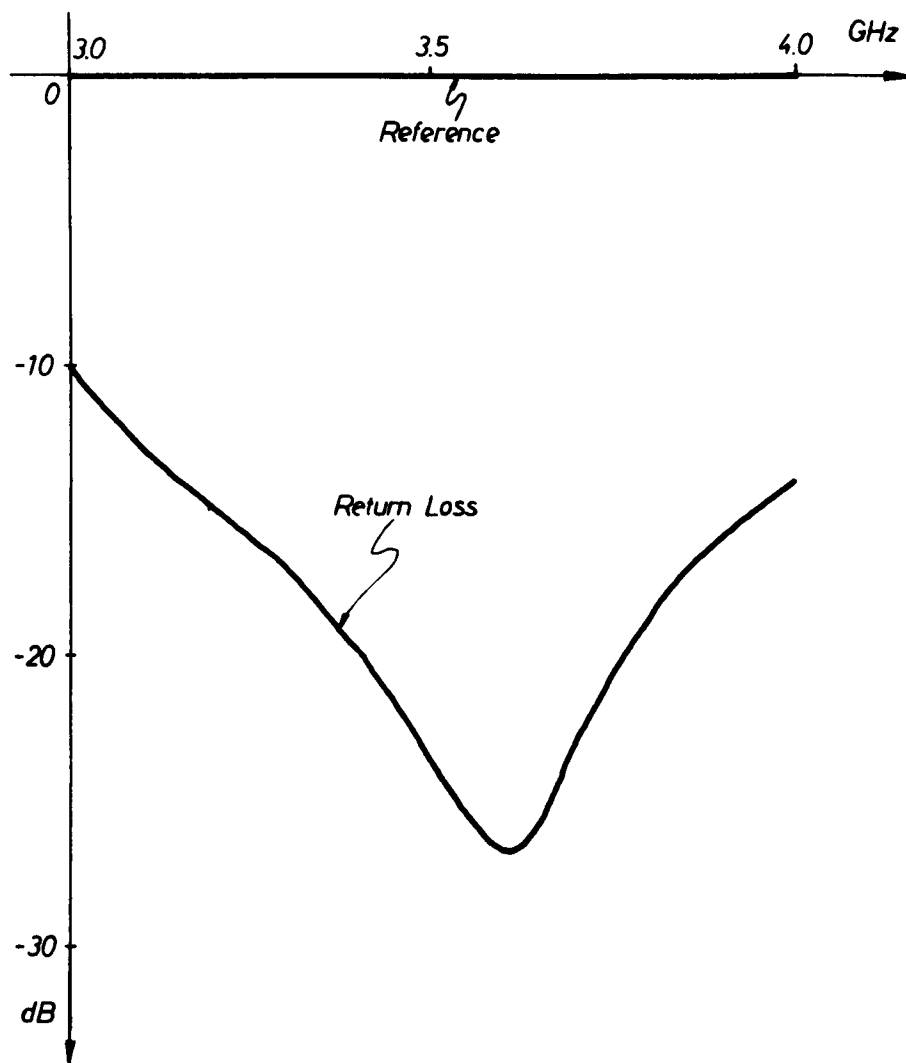


Figure 4.6 Return loss (isolation) for a 25 mil branch line hybrid.

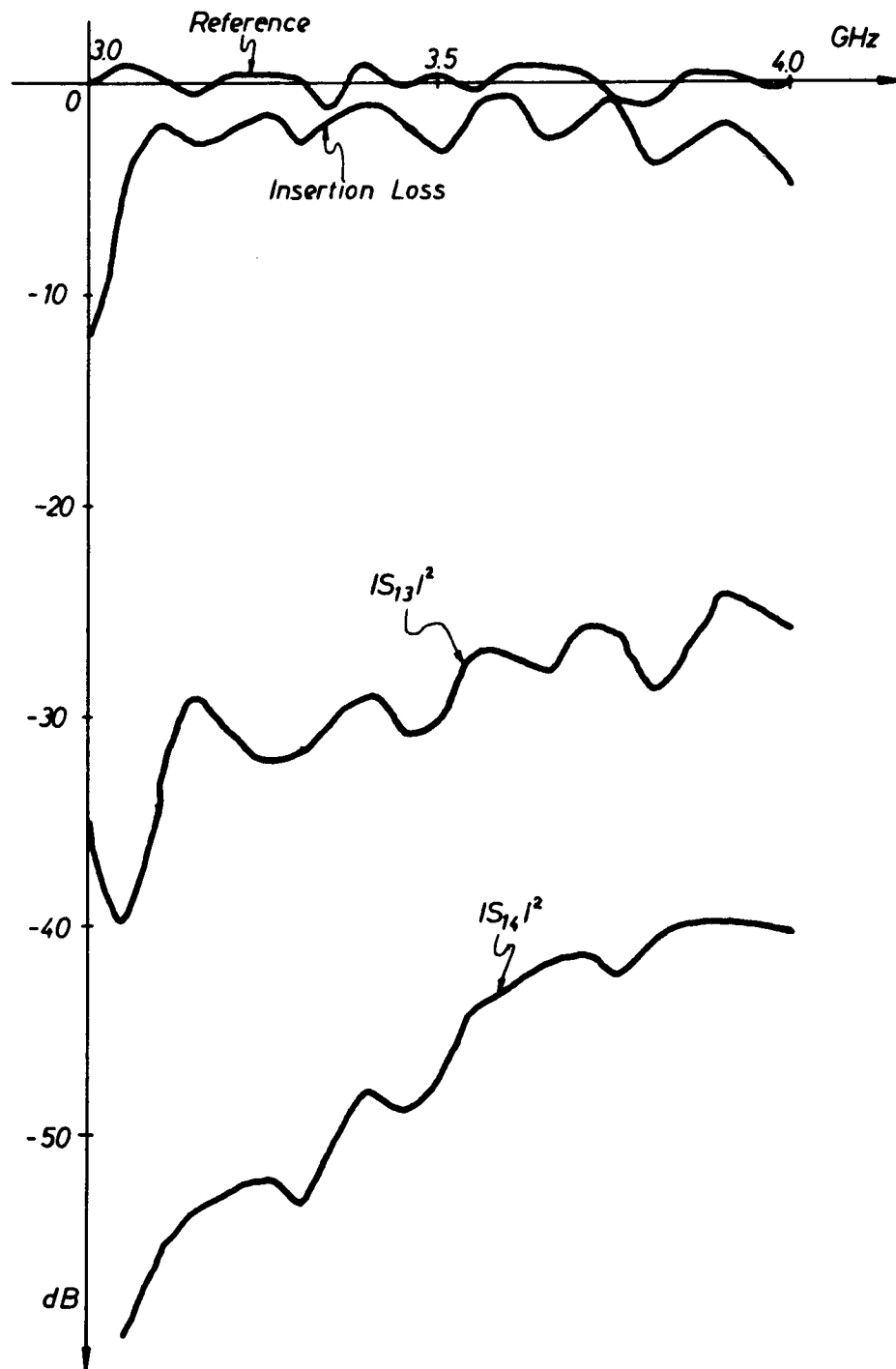


Figure 4.7 Insertion loss and coupling for a 50 mil branch line hybrid.

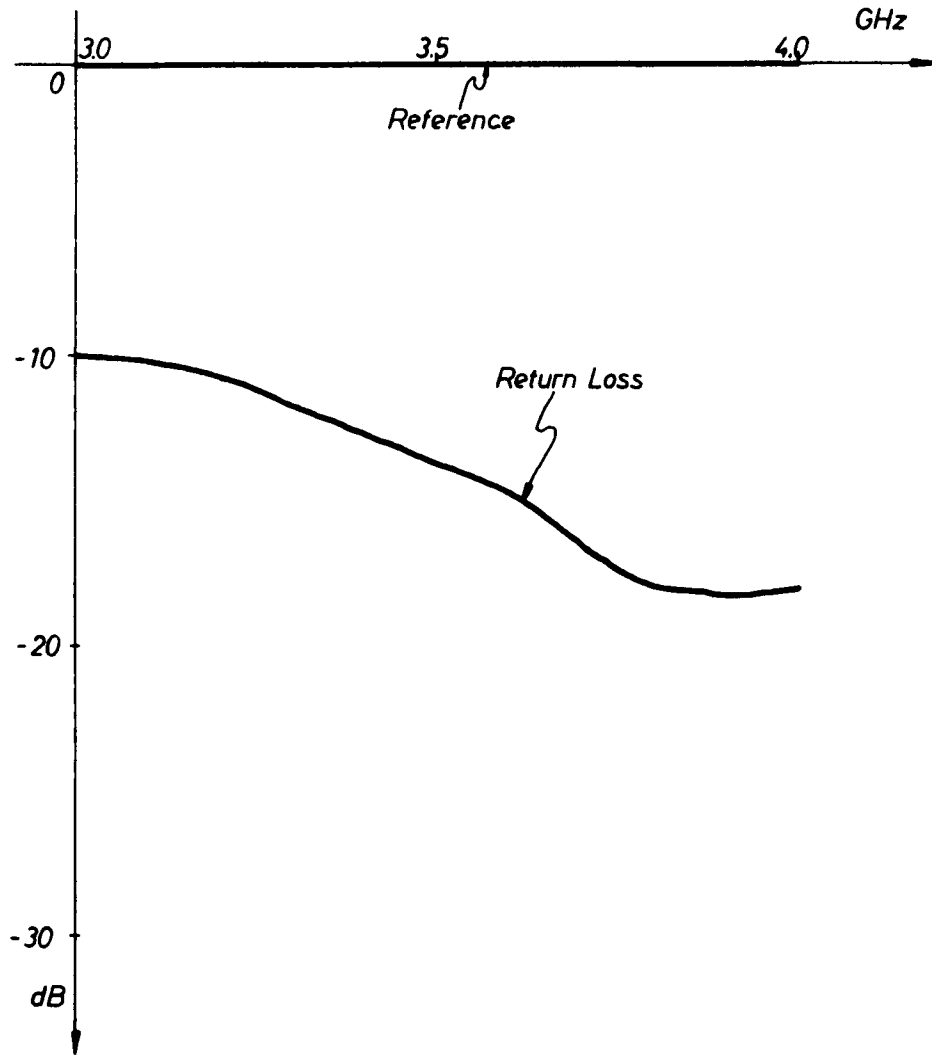
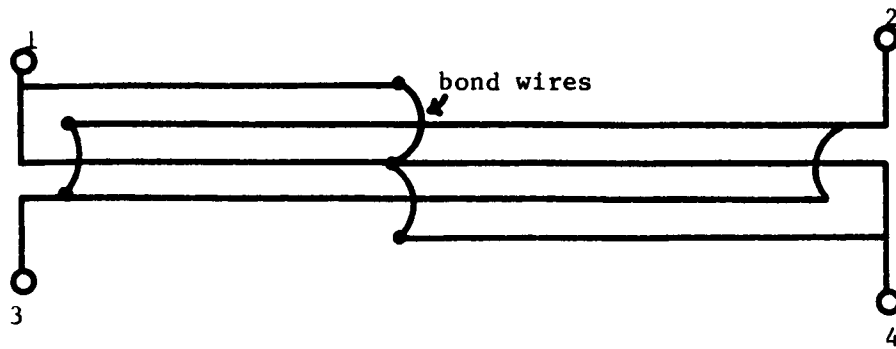
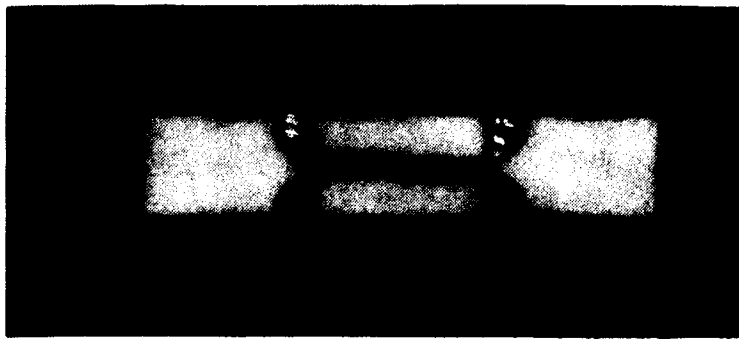


Figure 4.8 Return loss (isolation) for a 50 mil branch line hybrid.



(a)



(b)

Figure 4.9 Interdigitated (Lange) hybrid.

It consists of five coupled microstrip lines with four air crossovers. All input and output lines are 50 ohm impedances. The longest line length is a quarter wavelength and the shorter line is an eighth wavelength. The topology shown in Figure 4.4 was simulated by the COSMIC computer program. In Lange's original paper[33] no theory is given, only that the coupling required was determined by an unpublished computer program. Also, Lange's original design was on 40-mil alumina substrates. The widths of the lines are 4.5 mil wide and 3.0 mil spacings. The one-way insertion loss reported was 0.13 dB. We wanted to use thicker substrates (at least 50 mil thick) to try to minimize the losses and also to make dimensions easy to fabricate. Lange's 40-mil alumina design was scaled to determine a design on 50-mil alumina. In this approach, the even and odd mode impedances are kept constant between designs. For microstrip lines, with tight coupling, the even and odd mode impedances have been determined in closed form by Wheeler[34]. Definitions of terms and the closed form expressions are summarized in Figure 4.10.

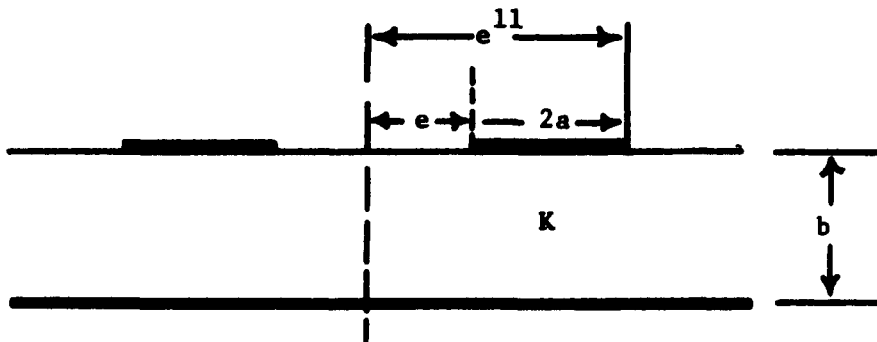
#### Scaling the Odd Mode:

Assuming the subscript X denotes an unknown design parameter, then keeping the odd mode constant from Figure 4.10 requires:

$$\sqrt{K_o} \ln \frac{4e''}{e} = \sqrt{K_{ox}} \ln \frac{4e''_x}{e_x} \quad (4-18)$$

Solving Eq. (4-18) for  $e''/e_x$

$$\frac{e''_x}{e_x} = \frac{1}{4} \left( \frac{4e''}{e} \right) \sqrt{K_o/K_{ox}} \quad (4-19)$$



Formula's correct for  $e/e^{11} < 1/4$ ;  $e^{11}/b < 1/2$ ;  $|K b/R < 1/8$

$$\frac{4Z_{oo}}{R_o \Pi} = \frac{1}{\sqrt{K_o} \ln \frac{4e^{11}}{e}}$$

$$\frac{Z_{oe\Pi}}{R_o} = \frac{\ln \frac{4b}{e^{11}}}{\sqrt{K_e}}$$

$$K_{o,e} = 1 + q_{o,e}(K-1)$$

- $Z_{oo}, Z_{oe}$  - Odd and even mode impedances
- $K_o, K_e$  - Effective dielectric constants in odd and even modes
- $R_o$  - Wave resistance of free space =  $120\Pi = 377 \Omega$
- $q_{o,e}$  - Odd and even mode filling fraction

Figure 4.10 Odd and even mode impedances for tightly coupled microstrip lines.

where

$$\frac{K_o}{K_{ox}} = \frac{1 + q_o(K-1)}{1 + q_{ox}(K_x-1)} \quad (4-20)$$

Assuming the even and odd mode velocities are approximately the same

$$q_o = q_e = \frac{1}{2} \quad (4-21)$$

and, therefore, the ratio of effective dielectric constants [Eq. (4-20)] may be expressed as

$$\frac{K_o}{K_{ox}} = \frac{\frac{K+1}{2}}{\frac{K_x+1}{2}} = \frac{K+1}{K_x+1} \quad (4-22)$$

Substituting Eq. (4-22) into (4-19)

$$\frac{e''_x}{e_x} = \frac{1}{4} \left( \frac{4e''}{e} \right) \left( \frac{K+1}{K_x+1} \right)^{1/2} \quad (4-23)$$

Similarly, scaling the even mode it may be shown

$$\frac{bx}{e''_x} = \frac{1}{4} \left( \frac{4b}{e''} \right) \left( \frac{K_x+1}{K+1} \right)^{1/2} \quad (4-24)$$

**EXAMPLE:** Suppose we wish to scale Lange's reported dimensions to a 50-mil alumina substrate. The pertinent data from Lange's paper are summarized below.

$$\left( \begin{array}{l} b = 40 \text{ mil} \\ e = 1.5 \text{ mil} \\ e'' = 6.0 \text{ mil} \\ K = 9.5 \end{array} \right) \longrightarrow \left( \begin{array}{l} b_x = 50 \text{ mil} \\ e_x = ? \\ e''_x = ? \\ K_x = 9.5 \end{array} \right)$$

For a scale to a substrate of the same dielectric constant equations (4-23) and (4-24) reduce down to

$$\frac{e''_x}{e_x} = \frac{e''}{e} \quad (4-25)$$

$$\frac{b_x}{e''_x} = \frac{b}{e''} \quad (4-26)$$

$$\therefore \frac{e''_x}{e_x} = \frac{6.0}{1.5} = 4.0 \quad , \text{ and}$$

$$\frac{b_x}{e''_x} = \frac{40}{6} = 6.68$$

$$\therefore e''_x = \frac{50}{6.68} = 7.5 \text{ mil}$$

$$e_x = \frac{7.5}{4} = 1.875 \text{ mil.}$$

On experimental models of the Lange hybrid actual dimensions are slightly smaller than theoretical ones due to undercutting in the etching process. Dimensions may be adjusted by plating up or allowing for undercutting in photomask. Typical dimensions in experimental models are

$$e'' = 6.9 \text{ mil}$$

$$e = 1.5 \text{ mil}$$

Typical performance for a Lange hybrid is shown in Figures 4.11 and 4.12. Typical phase difference between ports 3 and 4 is less than  $3^\circ$  over the 3 to 4 GHz band, which is much less than the branchline hybrid. The best two-way insertion loss measured with ports 3 and 4 terminated in shorts is 0.35 dB.

#### 4.1.3 Phase Stretching Network and Design of $90^\circ$ and $180^\circ$ Phase Shift Bits

It was shown in Section 4.1.1 that the  $180^\circ$  Bit could not be realized with only a nonlinear capacitor. In this section it will be shown how one can increase the phase shift capability of a two-state capacitor using two lumped inductors. The price paid for the increased phase shift is phase variation. But, with a number of iterations on the circuit elements phase variations may be minimized. It should be stressed that the approach presented here is not a direct synthesis and, therefore, optimal solutions cannot be guaranteed.

Figure 4.13(a) shows the phase stretching network which consists of a shunt and series ladder network. A sketch of the effects of each element of the ladder network is shown on a reflection coefficient chart in Figure 4.13(b). The starting point of the design is point a(2) and a(1), which correspond to the points on the chart for the nonlinear capacitor. For a ratio  $c_2/c_1 = 2.25$  optimally centered, it was shown in Section 4.1.1 that  $\theta = 45^\circ$ . A series inductor moves both points toward the short circuit point to b(2), b(1). Because  $c_1$  is a lower value of capacitance the point a(1) is not displaced much by the series  $L_2$ . The shunt  $L_1$  moves b(2) to c(2) and b(1) to c(1). Because b(2) is

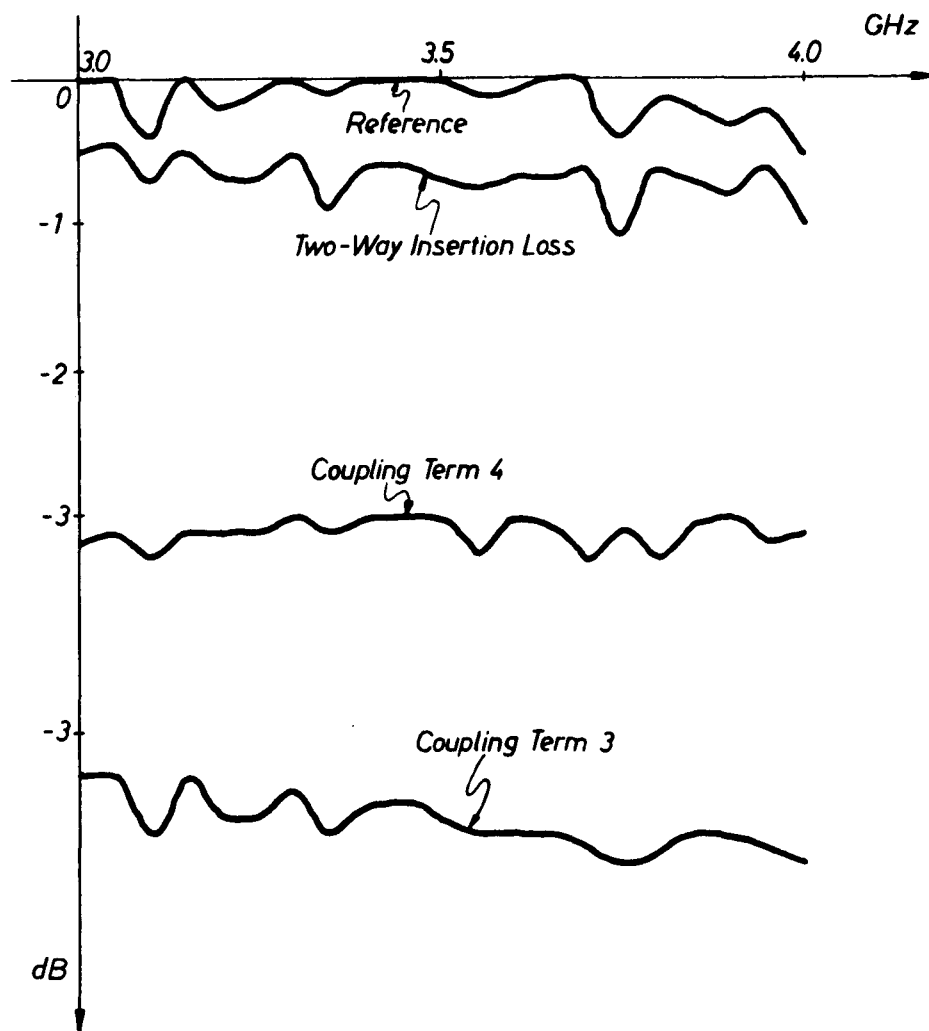


Figure 4.11 50 mil Lange hybrid two-way insertion loss and coupling versus frequency.

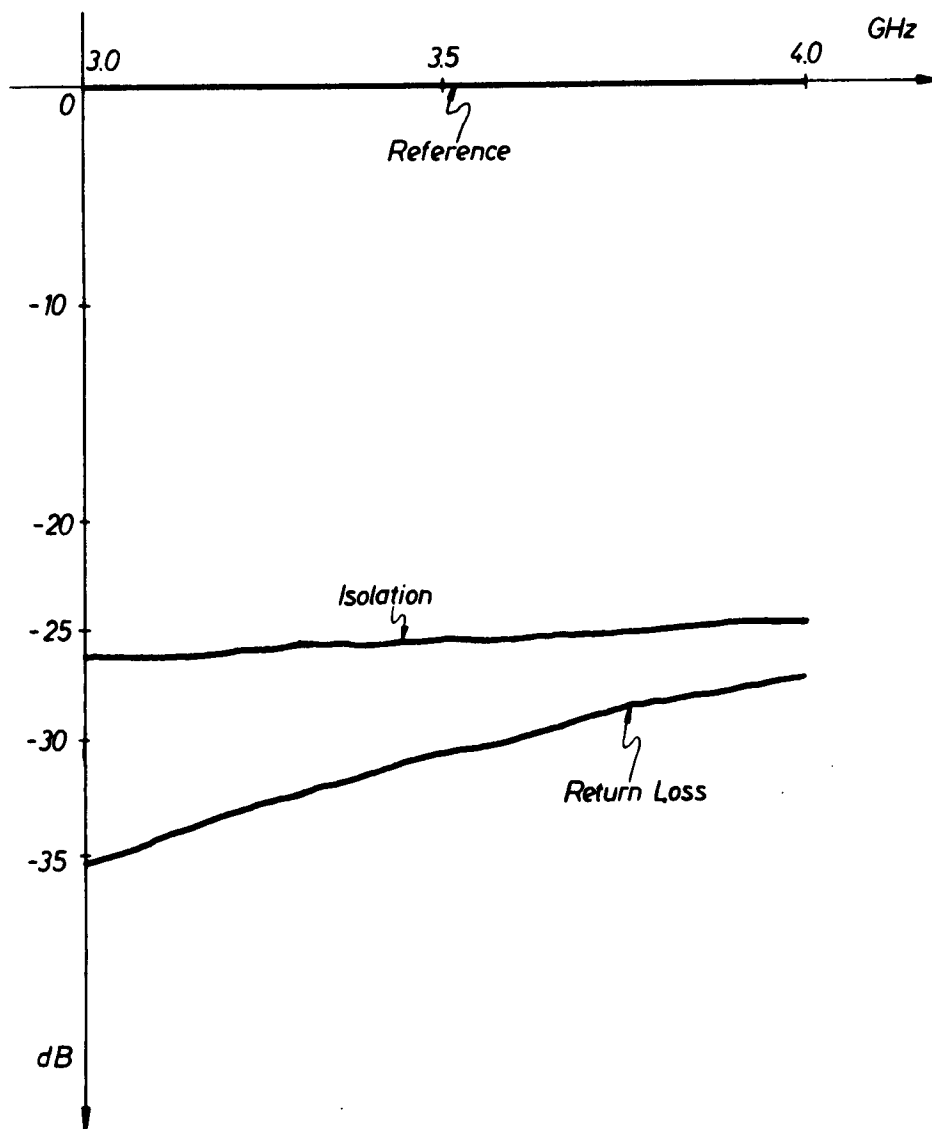


Figure 4.12 50 mil Lange hybrid isolation and input return loss.

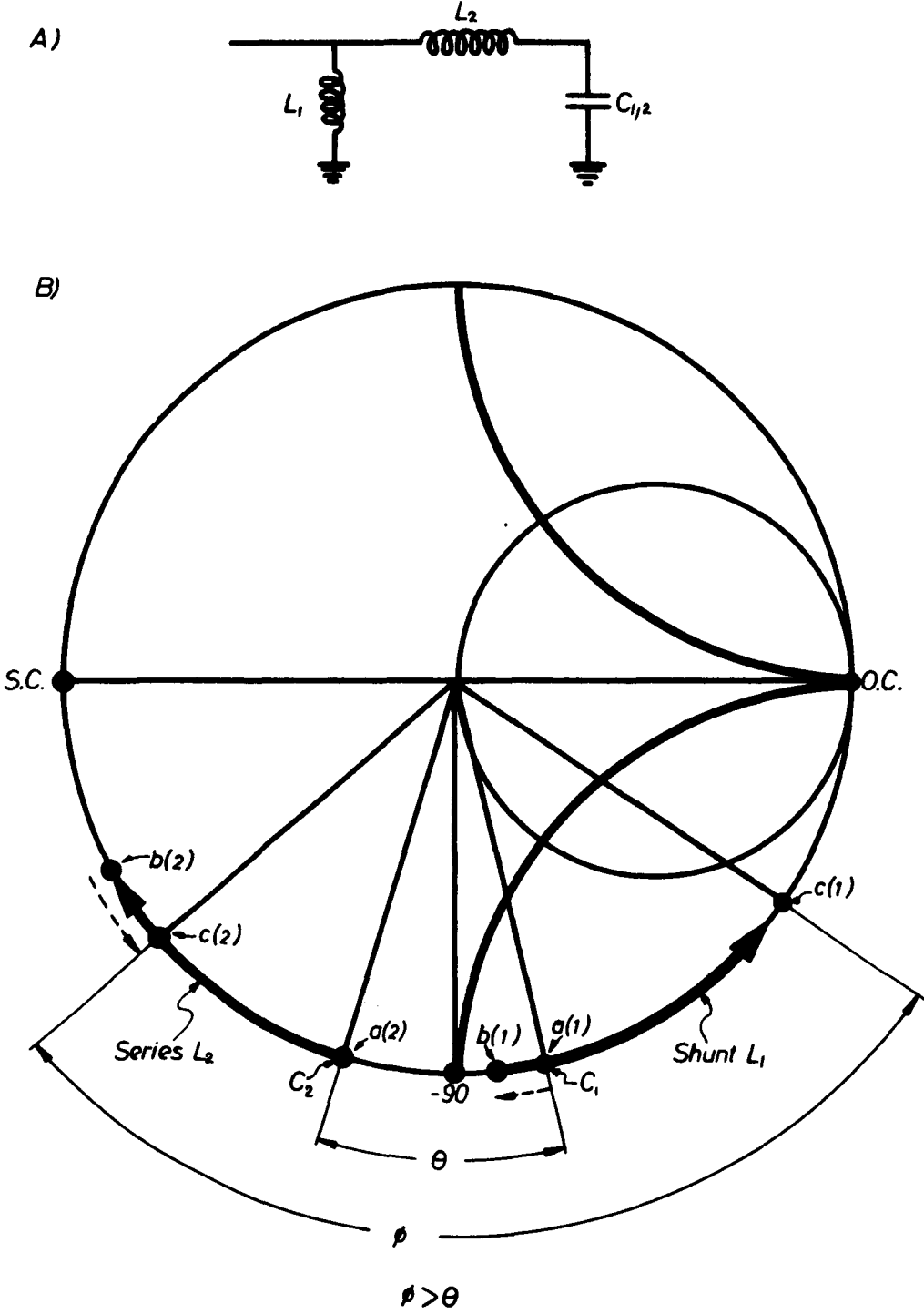
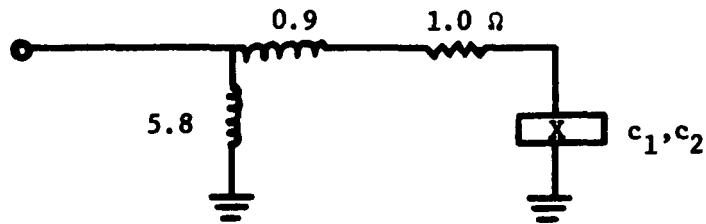


Figure 4.13 Phase shift stretching network and impedance motion on a reflection chart.

closer to the short circuit point a shunt  $L_1$  does not affect it very much in moving it to  $c(2)$ . But,  $L_1$ 's effect on the point  $b(1)$  is very great, moving it to  $c(1)$ . The overall effect is to stretch the phase shift from  $\theta$  to  $\phi$ . Figure 4.14 shows how this technique was used to stretch a  $45^\circ$  phase shift using optimally centered capacitors of ratio  $c_2/c_1 = 2.25$  into a  $90^\circ$  phase shift. This network including  $1.0 \Omega$  diode series resistance was simulated using COSMIC and the results are tabulated in Figure 4.14. Over the band of interest, 3.3 to 3.7 GHz, the phase variation is  $3.5^\circ$ . Figure 4.15 shows element values and calculated performance of a  $180^\circ$  phase shift Bit using optimally centered capacitors with a ratio  $c_2/c_1 = 2.25$ . The phase variation over a design 10% bandwidth is approximately  $35^\circ$ . In general, as the ratio  $c_2/c_1$  increases, the phase variation over the band decreases.

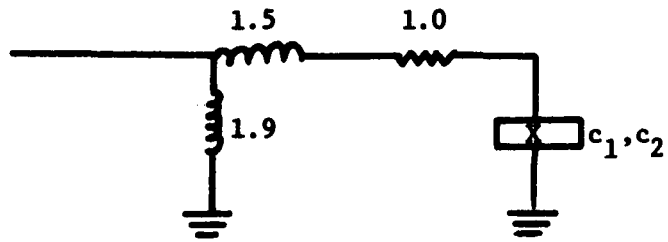
#### 4.1.4 Experimental Phase Shifter Performance

Phase shift bits were fabricated in a microwave integrated circuit format. Alumina ceramic substrates with chrome-gold or chrome-copper-gold metallizations were used. A photograph of an early assembly is shown in Figure 4.16. A Lange type hybrid is terminated in two packaged MIS varactors. The varactors are mounted across the alumina substrate. Holes are drilled into the bottom base plate and are fitted with beryllia copper fixtures. The diodes are soldered to the hybrid conductors and the bottom portion of the diode is press-fit into the fixture. In order to test a set of diodes in this fixture, external bias tees are required. Figure 4.17 shows a complete phase shift bit including molybdenum blocking capacitors and d.c. bias network. The d.c. bias network consists of a series high impedance length of line and a shunt



	$c_1 = .6 \text{ pf}$	$c_2 = 1.35 \text{ pf}$	
$f \text{ (GHz)}$	Ref1. Loss (dB)	Ref1. Loss (dB)	$\Delta\theta^\circ$
3.1	.175	.418	94.2
3.2	.184	.409	94.0
3.3	.193	.409	93.6
3.4	.211	.400	93.0
3.5	.220	.400	92.2
3.6	.229	.391	91.2
3.7	.247	.391	90.1
3.8	.256	.382	88.9
3.9	.265	.382	87.6

Figure 4.14 Calculated performance of a  $90^\circ$  bit with  $c_2/c_1 = 2.25$ .



	$c_1 = .6 \text{ pf}$	$c_2 = 1.35 \text{ pf}$	
$f \text{ (GHz)}$	Ref1. Loss (dB)	Ref1. Loss (dB)	$\Delta\theta^\circ$
3.1	.225	.568	203.85
3.2	.276	.497	201.4
3.3	.336	.441	196.7
3.4	.402	.396	190.11
3.5	.474	.359	181.77
3.6	.545	.329	169.302
3.7	.610	.303	161.1
3.8	.662	.382	159.518
3.9	.697	.264	137.69

Figure 4.15 Calculated performance of  $180^\circ$  bit with  $c_2/c_1 = 2.25$ .

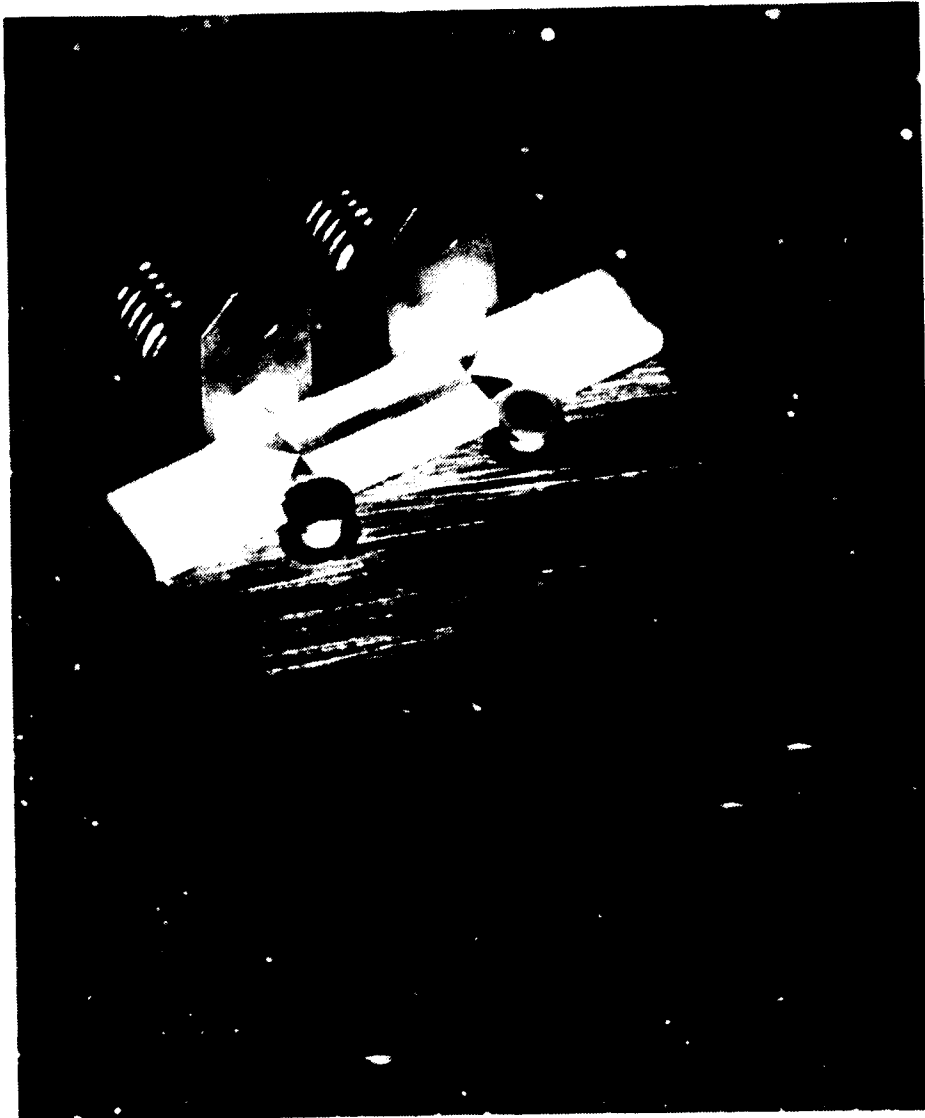


Figure 4.16 Phase shift bit assembly.

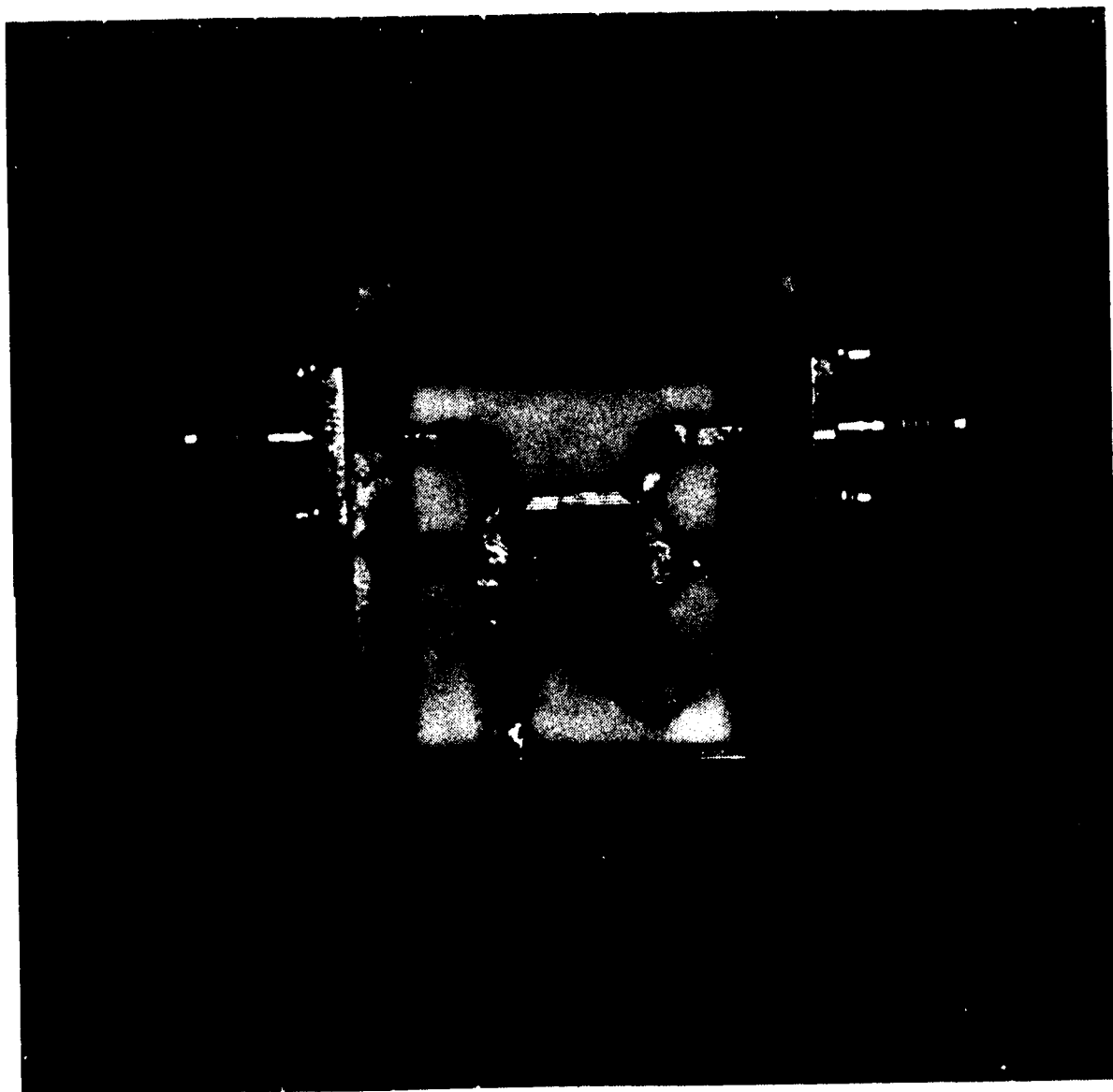


Figure 4.17 Complete phase shift bit.

low impedance line such that the diode over the band of interest sees an open circuit, but at d.c. there is a direct connection. Connections to the outside world are made through coax to microstrip transitions which are commercially available. Bits are made so that it may be cascaded by removing connectors and bolting them together in series.

All phase shifters were measured on an H.P. microwave network analyzer and a typical test setup is shown in Figure 4.18. Small signal performance of 22.5°, 45°, 90° and 180° phase shifters is shown in Figures 4.19, 4.20, 4.21, and 4.22. The insertion loss in each of these figures includes approximately 0.35 to 0.5 dB two-way loss of the Lange hybrid. The loss of the 180° bit is much larger than the other bits because two diodes in series were used which doubles the loss. This was done in order to reduce the phase variation by shifting the diode impedance to a more optimal portion of the chart. The performance of each bit corresponds well with predicted performance.

#### 4.1.5 Large Signal Phase Shifter Effects

In this section, large signal measurements on MIS varactor phase shifters are presented and discussed qualitatively. Specifically, measurements of differential phase shift and switching speed variations versus applied microwave power level will be presented. These effects may be directly attributed to the presence or absence of a minority carrier inversion layer. These effects ultimately limit the usefulness of MIS varactors in accurate, high speed, phase shifting applications. Or at best what may be said, at this point in their development, is that these effects must be minimized in order to make MIS varactors useful phase shifting elements.

Figure 4.23 shows a plot of phase shift versus input applied microwave power, for two different sets of bias. There is a 5° differential

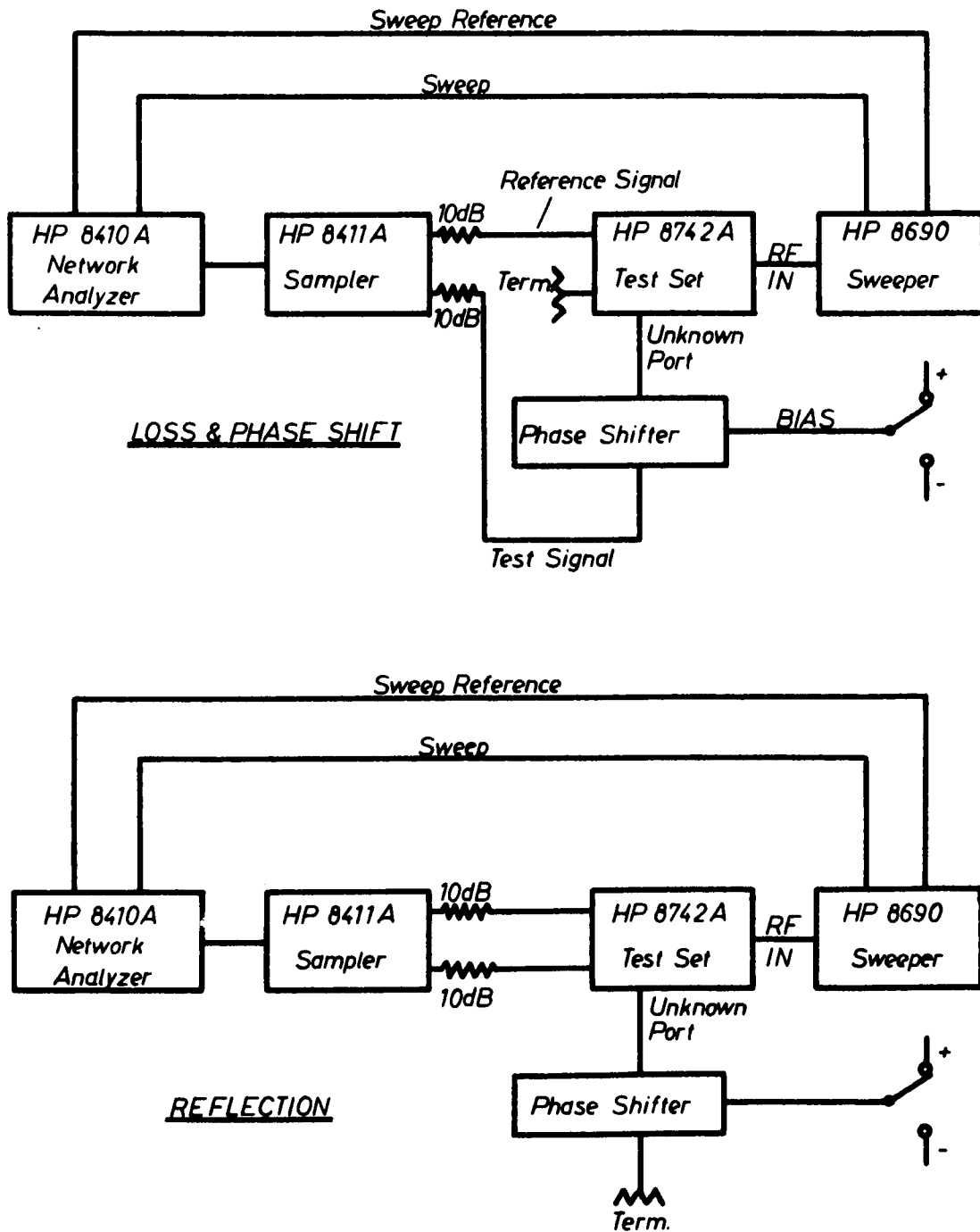


Figure 4.18 Small signal transmission and reflection measurement setup.

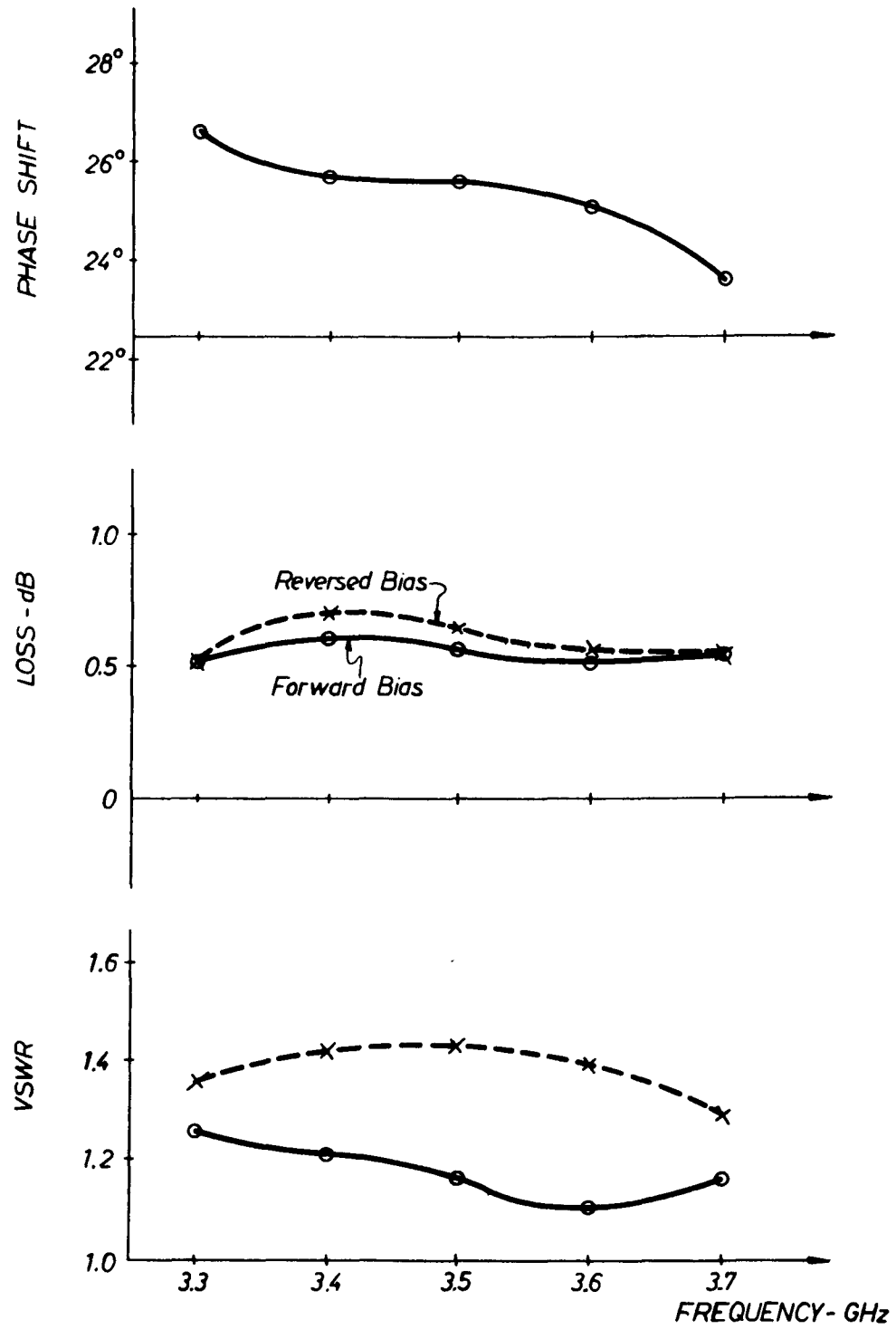


Figure 4.19 22.5° phase shift bit.

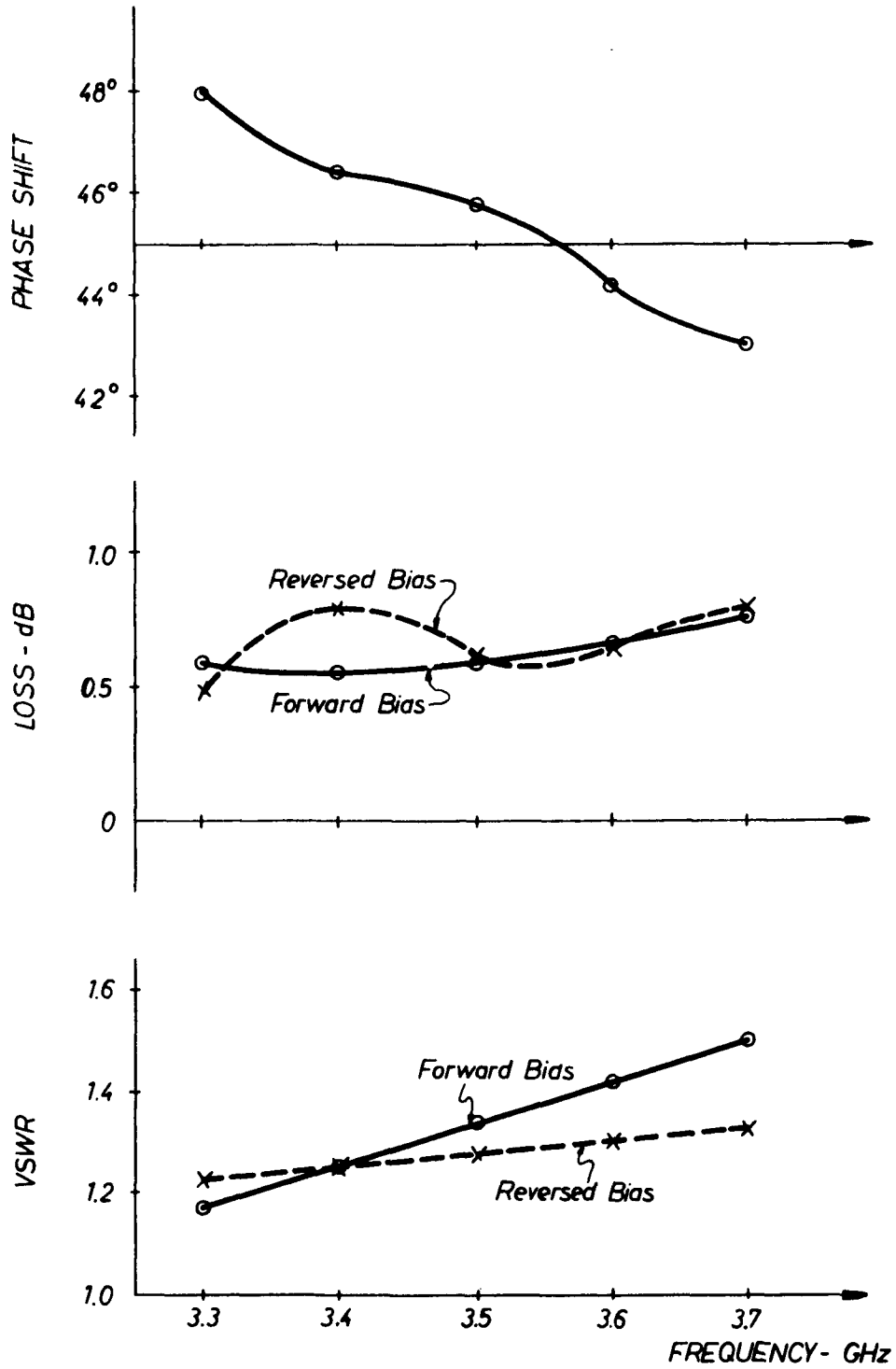


Figure 4.20 45° phase shift bit.

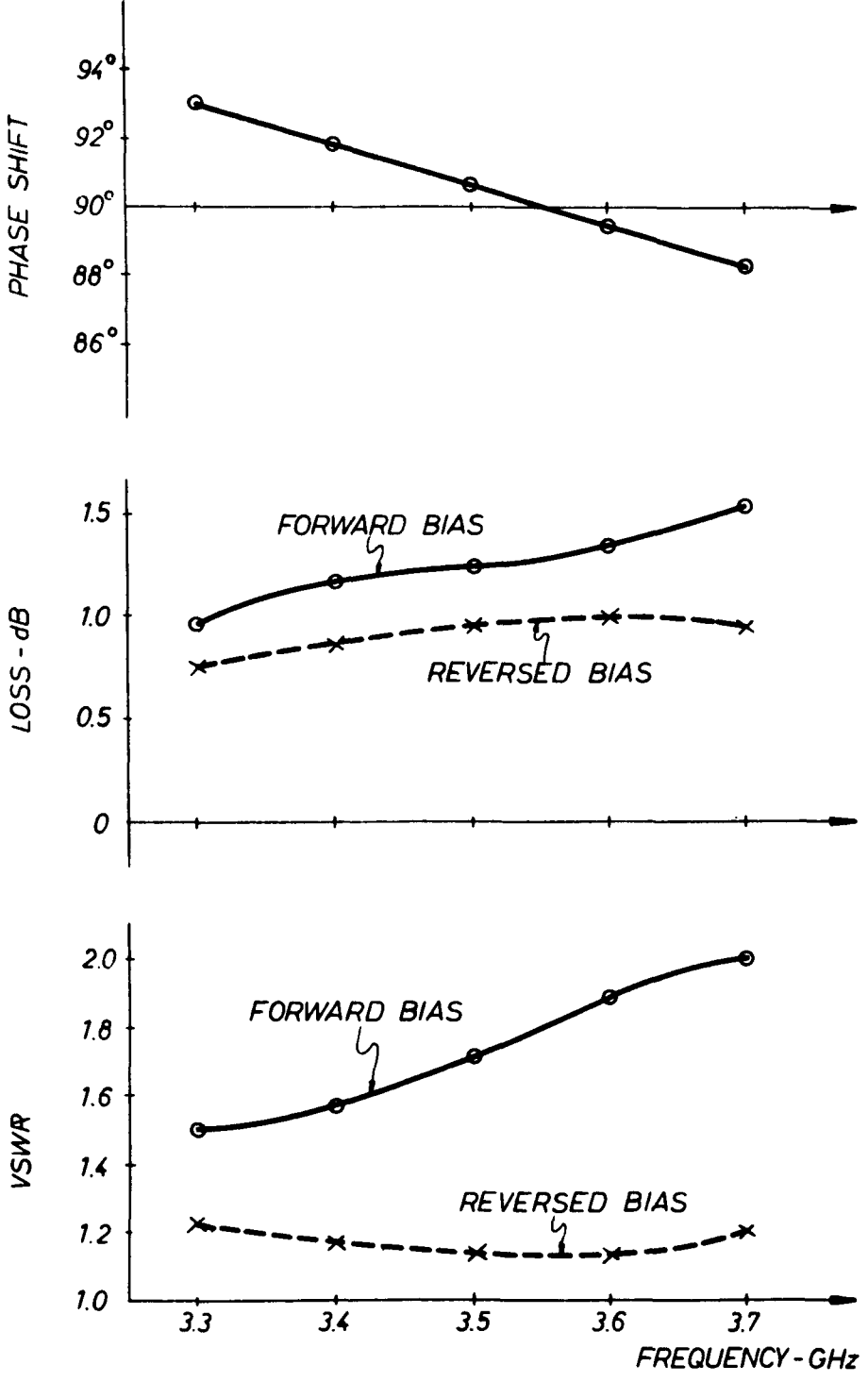


Figure 4.21 90° phase shift bit.

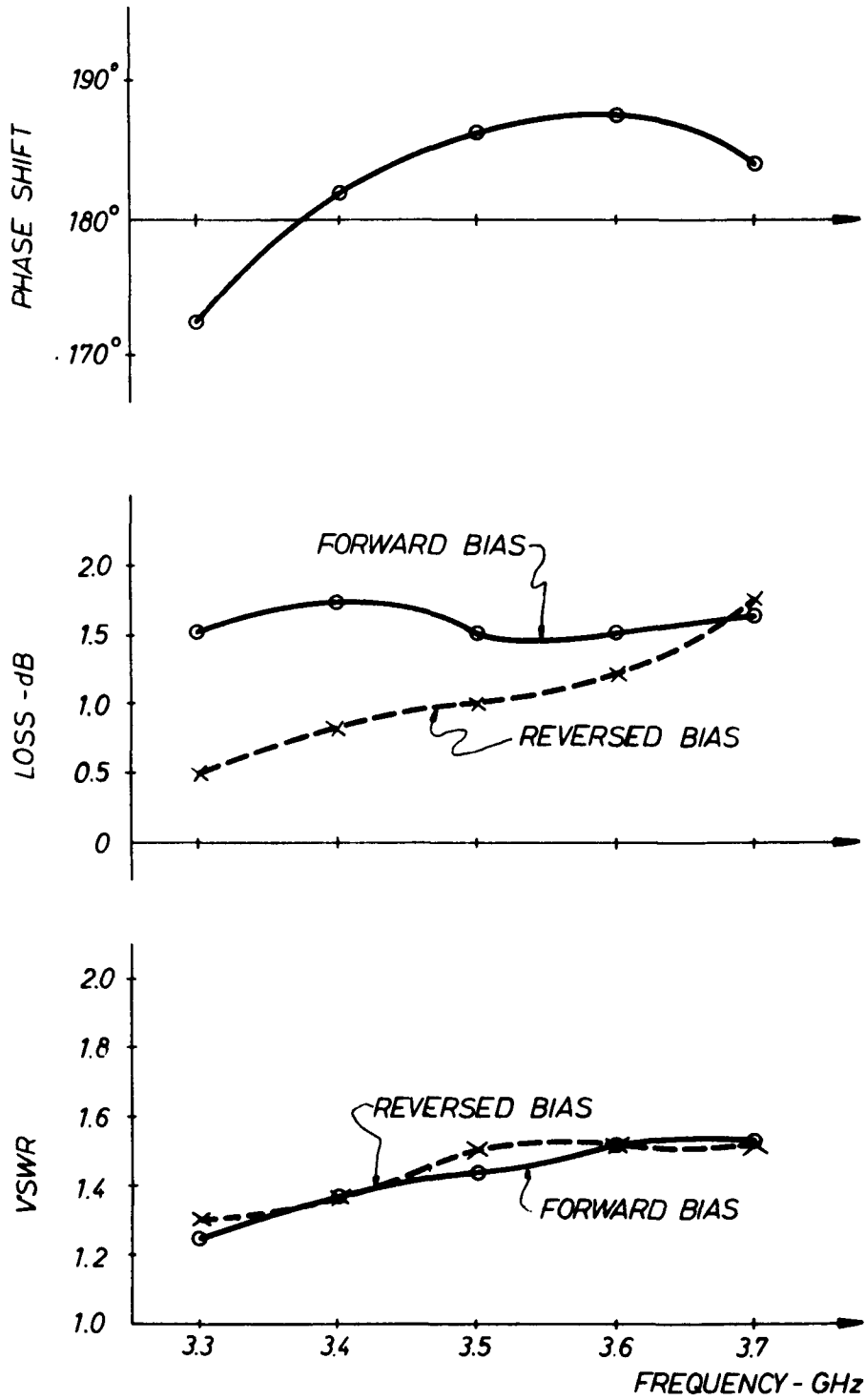


Figure 4.22 180° phase shift bit.

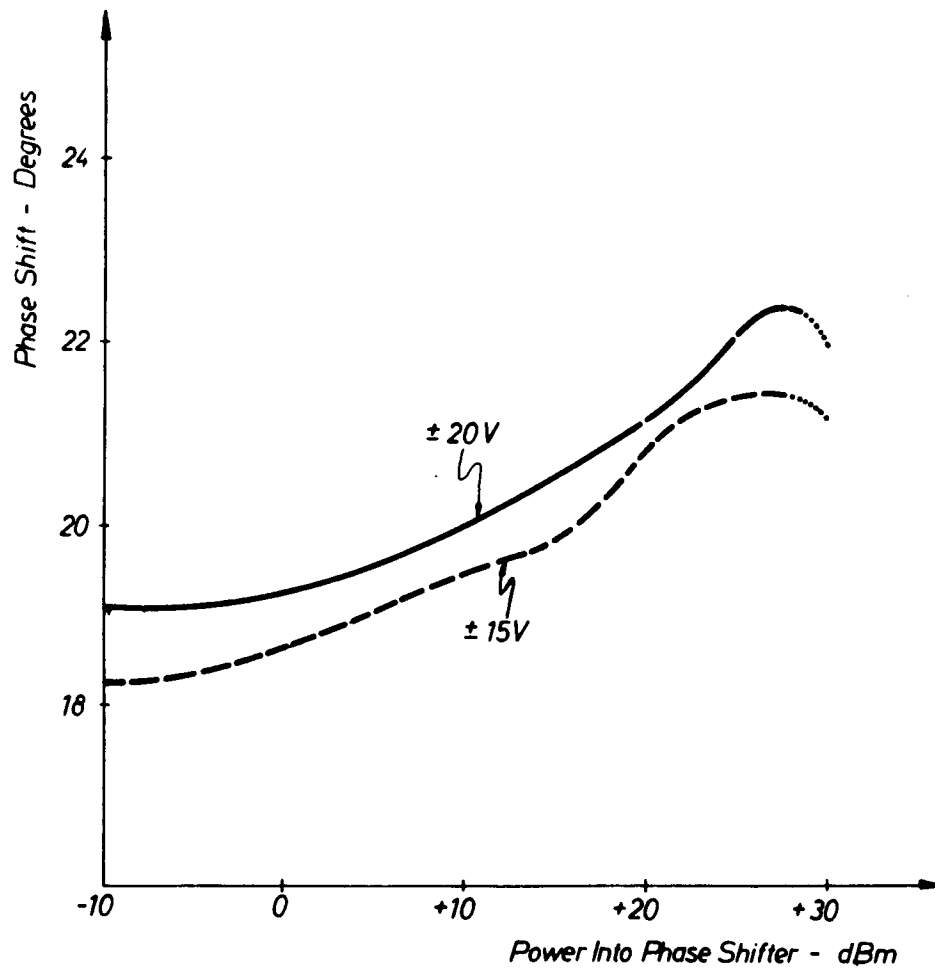


Figure 4.23 Phase shift versus power level.

phase shift variation when the power level is varied from small signals to about 1/2 watt. This variation could be attributed to variation of maximum depth with power. The same effect is shown in Figure 4.24 by plotting phase shift versus applied bias for two different power levels. The large signal transmission phase measurements were made with a setup similar to that of Figure 4.18. The only difference between tests is that in the large signal case the input to the phase shifter was amplified with a TWT and the output was padded so as not to destroy the HP 8411A sampling head.

Another important parameter is the phase shifter switching speed. That is, the time required for the phase to be varied from one state to another. A test setup to measure switching speed is shown in Figure 4.25. In this test setup, the microwave source (3.5 GHz) is split by a 3 dB hybrid. One arm of the hybrid is fed directly into a doubly balanced mixer. The other arm is fed into the mixer through the phase shifter. When the two inputs to a mixer are of the same amplitude and frequency the output is proportional to the phase difference between arms. The output of the mixer is observed on a scope. The bias waveform and phase shift versus time is sketched in Figure 4.26. The rise time ( $T_n$ ) is of the order of nanoseconds. The settling time depends upon the microwave power level. There is such a thing as a settling time in an MIS varactor, again because of the formation of an inversion layer. The inversion layer is minority carriers which must be thermally generated from within the semiconductor. This generation is a thermal process which is a long process. When the bias voltage is switched suddenly, the surface depletes to a depth  $X_d$  in  $T_n$ . But then the surface starts to invert and when this occurs, the depletion depth is limited to a maximum value  $X_{dm}$ .

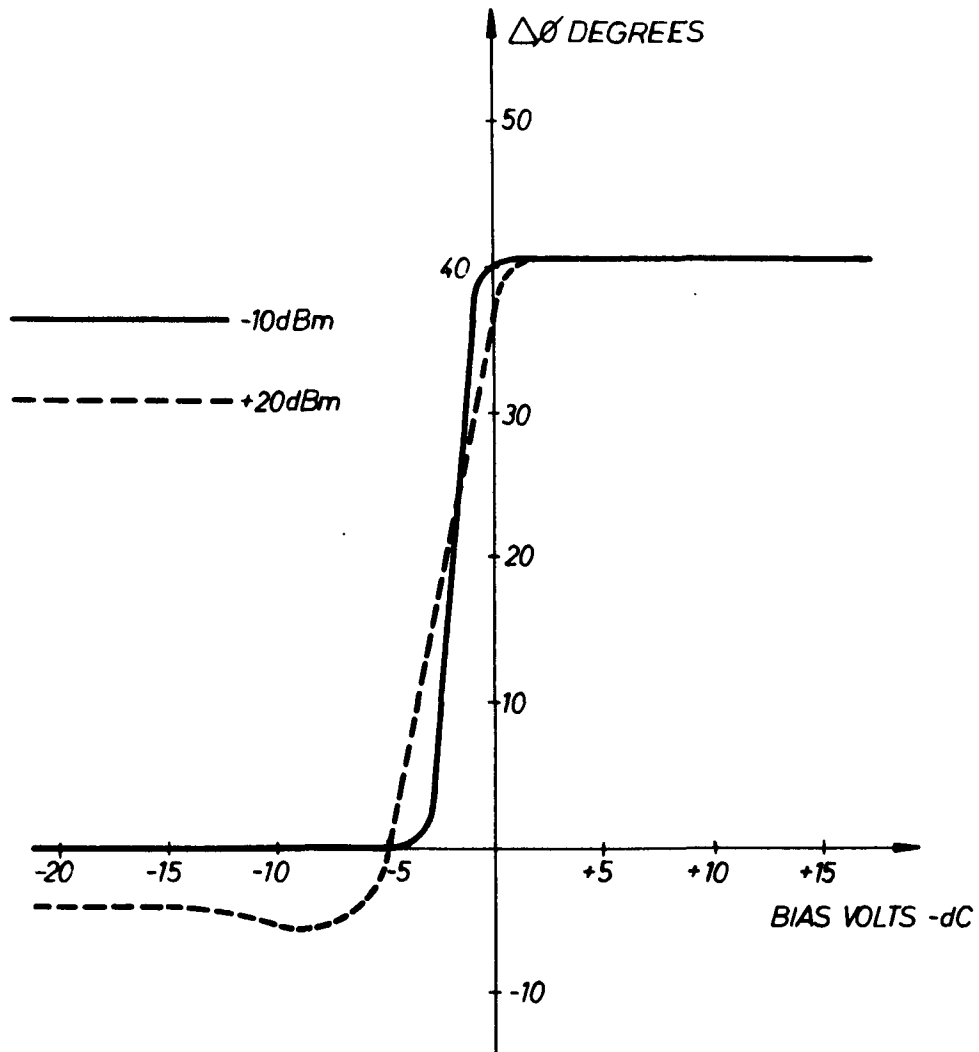


Figure 4.24 Differential phase shift for two different power levels.

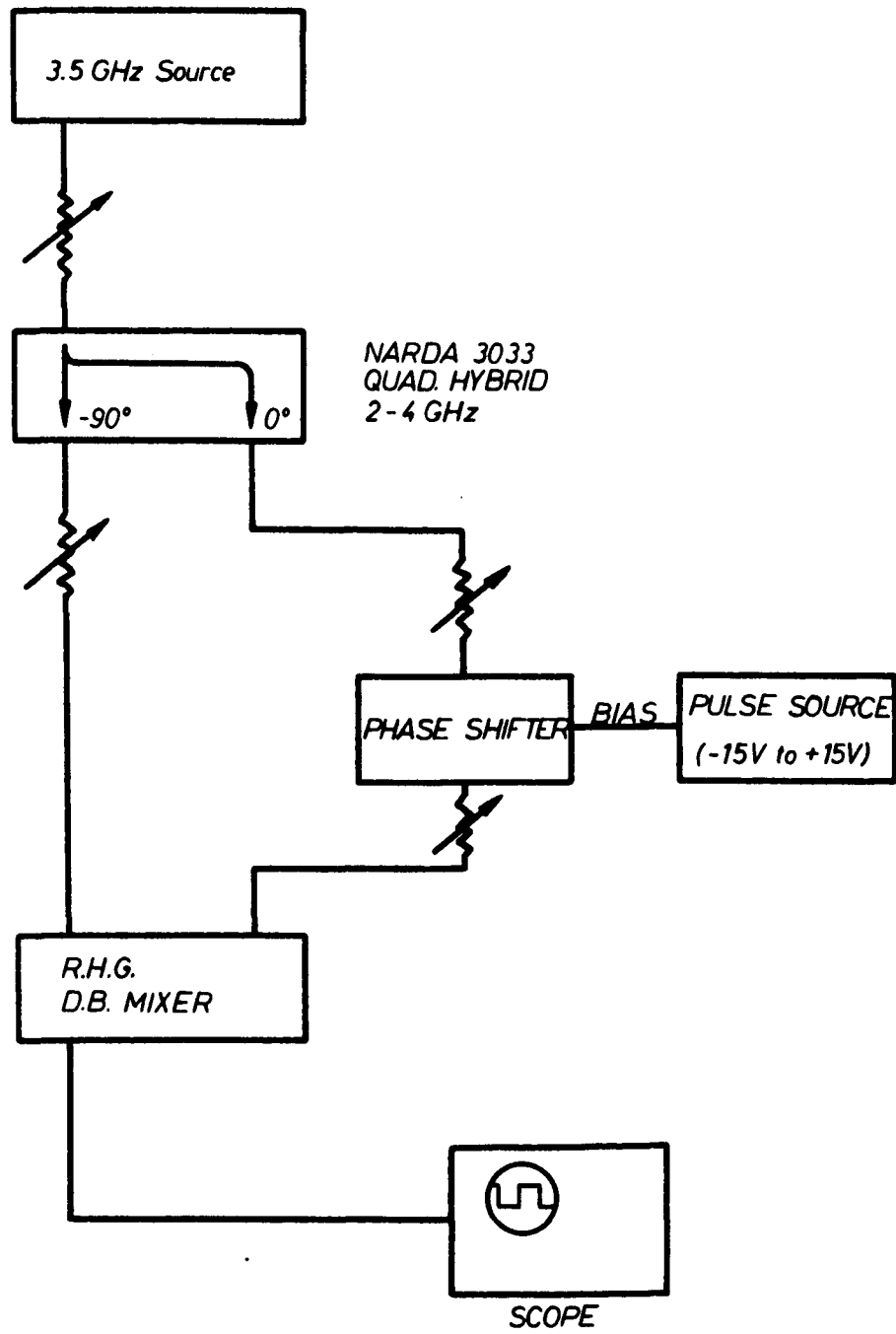


Figure 4.25 Switching time test setup.

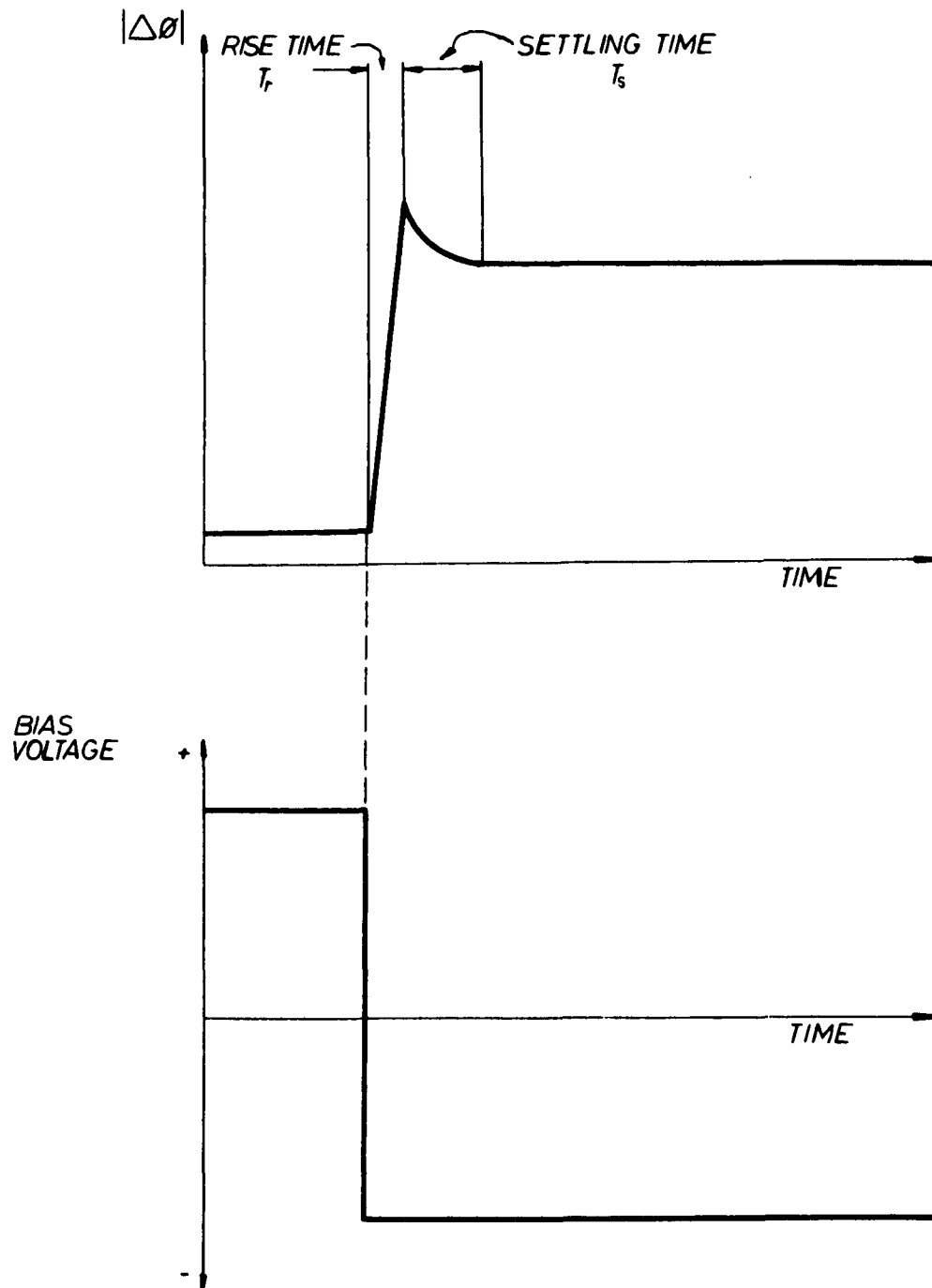


Figure 4.26 Instantaneous phase shift and bias waveforms.

If  $X_{dm} < X_d$

The time that it takes to go from  $X_d$  to  $X_{dm}$  is the settling time  $T_S$ . Figure 4.27 shows switching speed versus applied power level for various bias voltages. For small signals, the switching speed is of the order of msec. For +25 dBm the speed is of the order of 0.6  $\mu$ sec. In general, the larger the bias voltage the faster the switching speed.

#### 4.2 MIS Varactor Parametric Amplifier

In this section important paramp relationships will be briefly reviewed. It will be shown that an important paramp parameter is the nonlinearity ratio  $c_1/c_0$  as the dynamic quality factor ( $M = f_{co} c_1/c_0$ ). Estimates will be made of  $c_1/c_0$  for an MIS varactor using linear approximations. It will be shown that the nonlinearity ratio for an MIS varactor has regions which are relatively insensitive to pump amplitude variations. A rule of thumb for conventional Schottky barriers or pn junction varactor paramps is that for a 0.1 dB change in pump power, the midband gain changes by 0.5 dB. An MIS varactor paramp has been designed, built and tested. The design is in a microwave integrated circuit format similar to that of Bura et al[37].

##### 4.2.1 Parametric Amplifier Review[37]

A typical parametric amplifier system is shown in Figure 4.28. It consists of a circulator, varactor filter and transforming networks, pump source and amplitude leveling loop. The r.f. source is generally a very high microwave frequency (35 to 100 GHz). The value of the pump depends upon the signal frequency  $f_s$  and the idler ( $f_i$ ) required for minimum noise and maximum bandwidth.

$$f_p = f_i + f_s$$

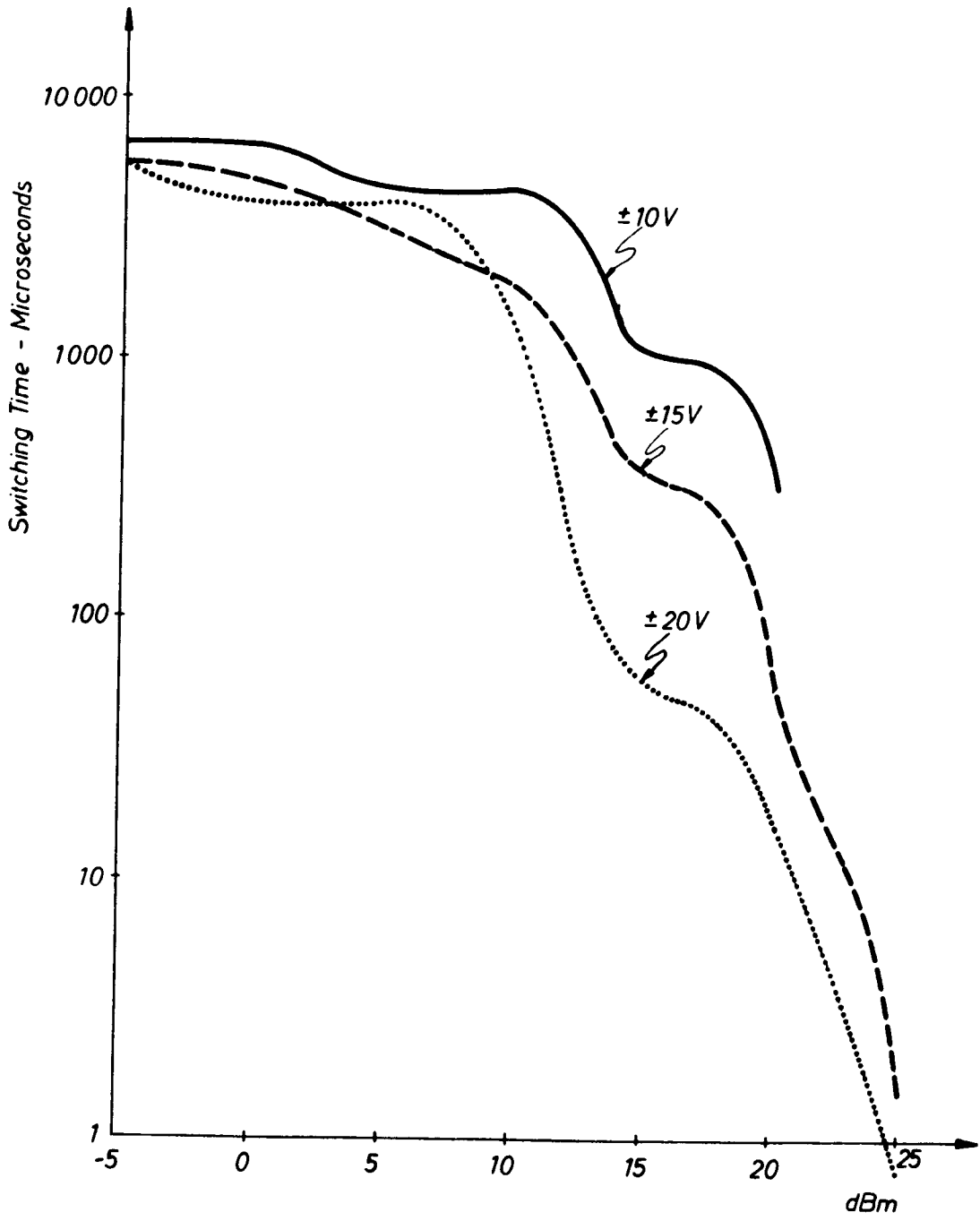


Figure 4.27 Switching time versus power into phase shifter.

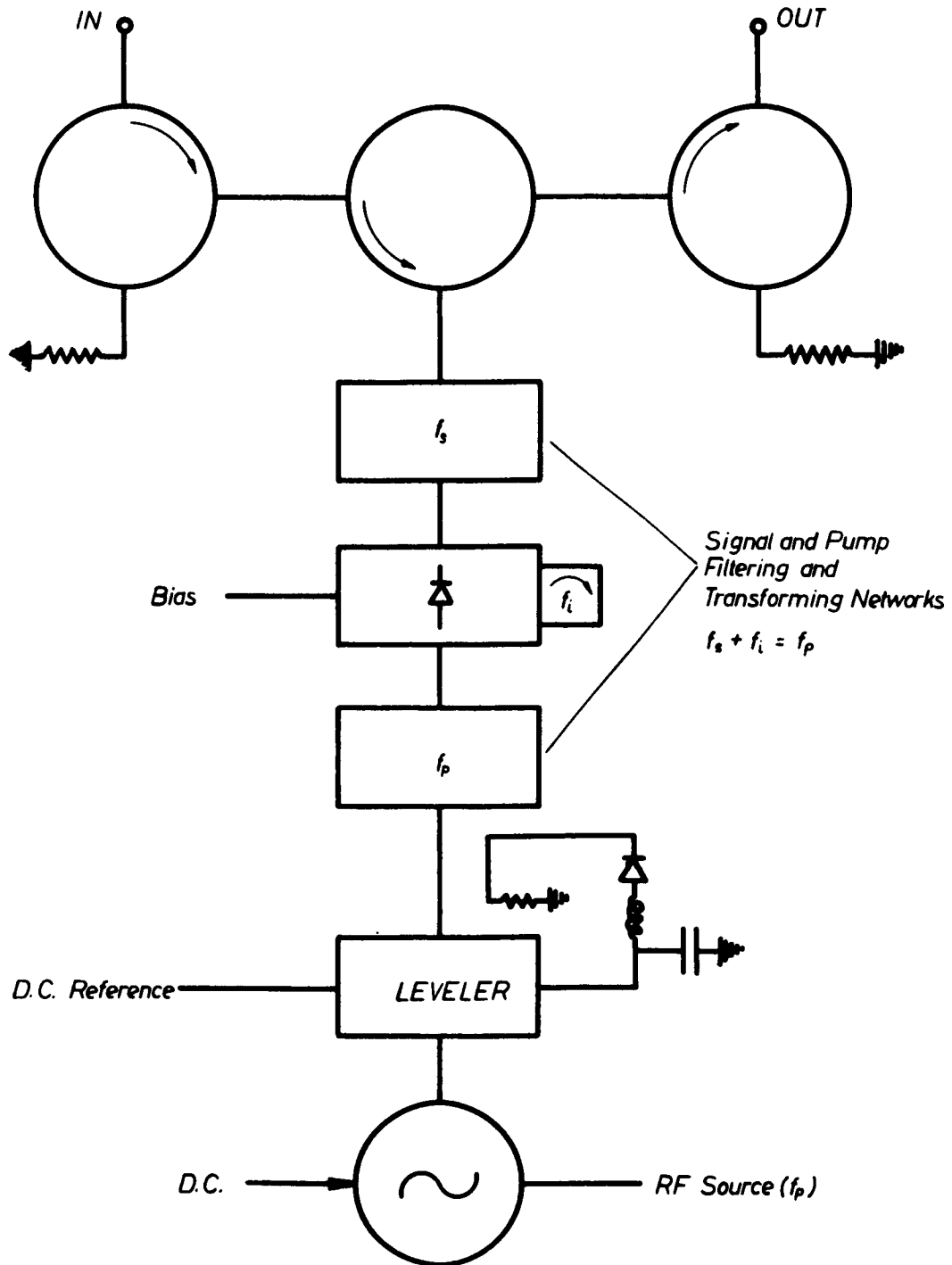


Figure 4.28 Reflection type paramp system.

The pump leveling loop may be fabricated using PIN diodes as ferrite attenuators. Elimination of this loop, without sacrifice to paramp performance, would be desirable in low cost or tactical systems. The filtering and transforming networks are necessary to separate the three frequencies and to establish proper gain and noise conditions.

The hub of the paramp is the varactor itself. Varactors in general must be tailored for each low noise application. Therefore, diode characterization in paramps is extremely important. A varactor is characterized statically and dynamically for paramp's Static ( $f_c$ ) in the sense that it may be defined in the absence of a large high frequency source (pump). Similarly, dynamic quality factor (M) means that it is defined only when a pump amplitude is present. The static quality factor is the cut off frequency,  $f_c$ , and is defined by:

$$f_{cv} = \frac{1}{2\pi R_g c(v)}$$

where  $R_g$  = varactor series resistance

$c(v)$  = varactor capacitance at bias  $v$ .

Usually, the cutoff frequency is defined as a zero bias which is its lowest value since the capacitance is a maximum. A conventional varactor is never operated in forward bias for fear of drawing current and, therefore, degrading noise performance. Other important varactor parameters are series and parallel resonances which are a function of diode parasitics. The series resonance is generally pushed as high in frequency as possible so that it may be used as the idler resonator. A summary of static diode quality factors is given in Figure 4.29.

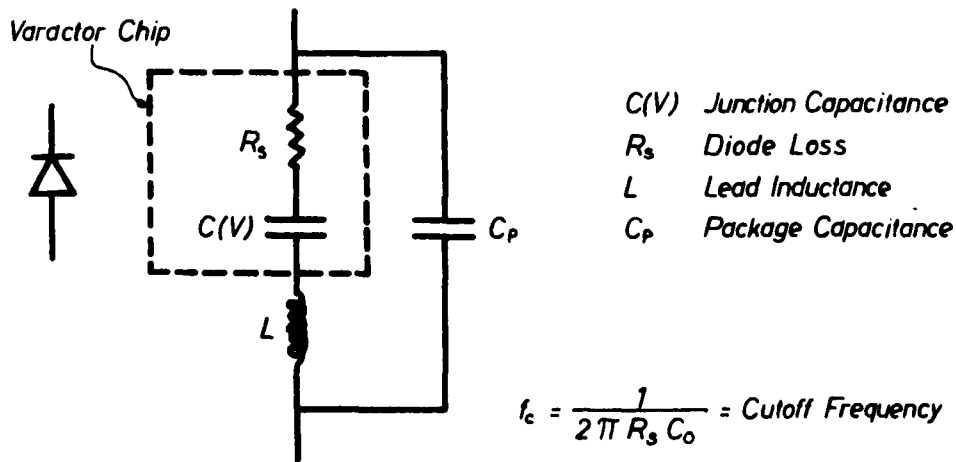
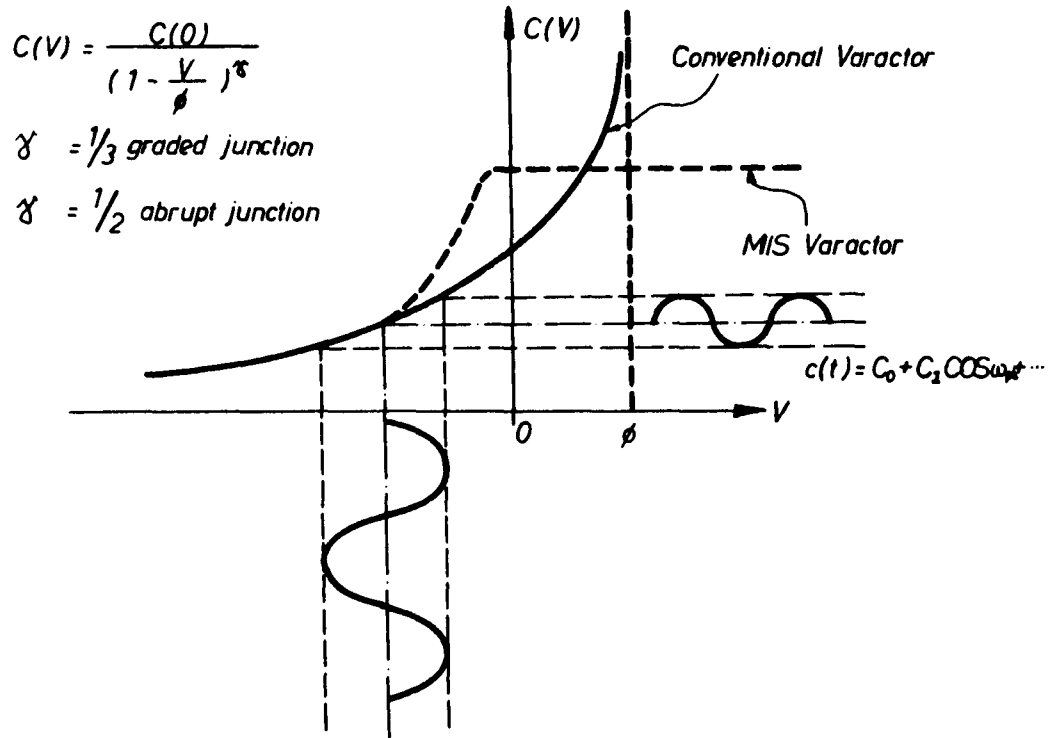


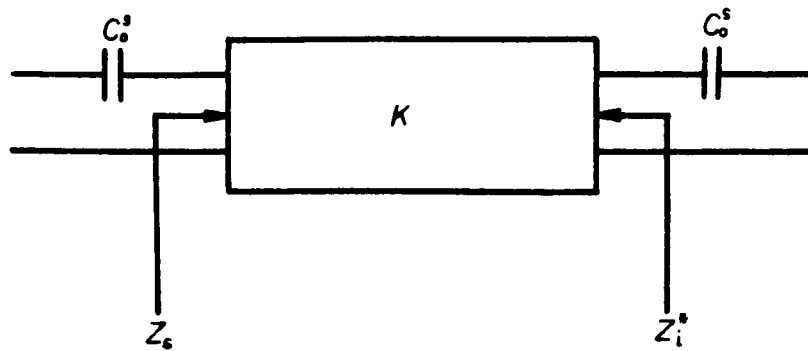
Figure 4.29 Static diode characterization.

The dynamic quality factor,  $M$ , is defined as the nonlinearity ratio multiplied by the diode cutoff frequency (i.e.,  $M = c_1/c_0 f_c$ ).  $c_1$  and  $c_0$  are the first two terms of a Fourier series expansion of  $c(t)$ . When no pump is present,  $c_1 = 0$  and, therefore,  $M = 0$ . The ratio  $c_1/c_0$  is called the nonlinearity ratio since the more nonlinear the capacitance versus voltage characteristic the greater the ratio becomes. It may be shown that the dynamic quality factor may be used to characterize a pumped varactor (Figure 4.30) which in turn may be used to determine midband gain (Figure 4.31), bandwidth and gain-bandwidth product (Figure 4.32), and effective input noise temperature (Figure 4.33). Each of these important performance parameters may be expressed in terms of  $f_s$ ,  $f_1$ ,  $M$  and  $c_1/c_0$ . The nonlinearity ratio depends upon the varactor capacitance law, and how hard it is driven by the pump amplitude. For conventional varactors,  $c_1/c_0$  is in the range of 1/3 to 1/4. Expressions for effective input noise temperature and gain bandwidth have been plotted by Albrecht[38]. These plots are reproduced for reference in Figures 4.34 and 4.35. They show that there is an optimum idler for minimum noise and for maximum gain bandwidth. The optimum idler for minimum noise does not correspond to the optimum idler for maximum gain-bandwidth. It can also be shown the optimum idler for minimum noise is

$$f_1 \text{ opt} = \sqrt{M^2 + f_s^2} - f_s$$

#### 4.2.2 Estimates of MIS Nonlinearity Ratio

Figure 4.36 is a sketch of a piecewise continuous approximation of an MIS varactor. Also shown is the capacitance versus time waveform resulting from the presence of a large high frequency pump source.



$$I_s = -j \frac{M}{f_i} R_s V_i^*$$

$$I_i^* = j \frac{M}{f_s} R_s V_s$$

$$Z_s Z_i^* = K = \frac{-M^2}{f_s f_i} R_s^2$$

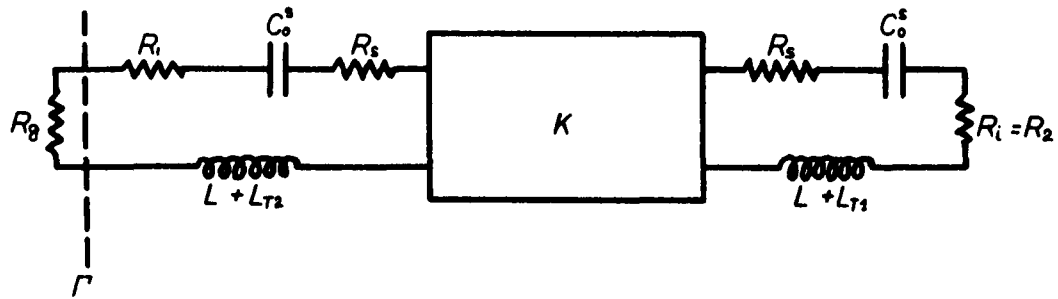
where:  $M = \frac{C_s}{C_0} f_c = \text{QUALITY FACTOR}$

$C_0, C_s$  are first two terms of Fourier Series

$$C_s^2 = C_0 \left\{ 1 - \left( \frac{C_s}{C_0} \right)^2 \right\}$$

$f_s, f_i$  Signal and Idler Frequencies

Figure 4.30 Dynamic characterization.



$$\Gamma = \frac{V_r}{V_n} = \frac{Z_n - R_g}{Z_n + R_g} = \text{Voltage Gain}$$

At Midband:

$$\Gamma = \frac{\frac{R_i + R_s}{R_s} - \frac{R_g}{R_s} - \frac{M^2}{f_s f_i} \frac{R_s}{R_s + R_2}}{\frac{R_i + R_s}{R_s} + \frac{R_g}{R_s} - \frac{M^2}{f_s f_i} \frac{1}{\frac{R_s + R_2}{R_s}}}$$

$$\Gamma = \frac{r_i - r_o - \frac{A}{f_s}}{r_i + r_o - \frac{A}{f_s}}; \quad A = \frac{K}{R_s^2}$$

$$G = \Gamma^2 = \text{POWER GAIN}$$

Figure 4.31 Amplifier equivalent and midband gain.

$$b = \frac{BW}{f_s} = \frac{r_i + r_o - \frac{A}{f_s}}{Q_1 r_i + \frac{f_s}{f_i} \frac{A}{f_s} Q_2}$$

$Q_1, Q_2 \rightarrow$  Unloaded Signal and Idler Circuit Q

Assuming  $R_1 = R_2 = 0$

$$\text{High Gain} \quad 1 + \frac{R_s}{R_s} \cong \frac{M^2}{f_s f_i}$$

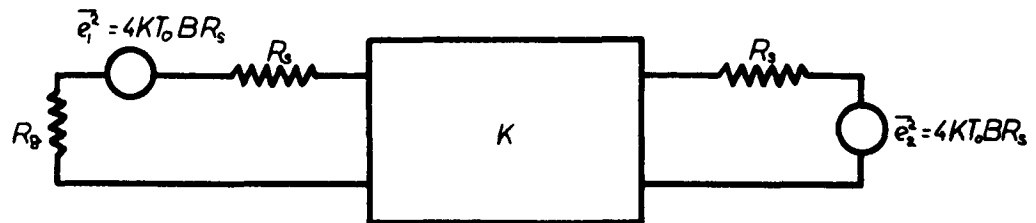
$$Q_1 = \frac{f_o}{f_s} = \frac{M}{f_s} \frac{C_o}{C_i}$$

$$Q_2 = \frac{f_o}{f_i} = \frac{M}{f_i} \frac{C_o}{C_i}$$

$$G^k B = 2 \frac{C_i}{C_o} f_s \frac{1 - \left(\frac{M}{f_s}\right)^2 \frac{f_o}{f_i}}{\frac{M}{f_s} \left\{ 1 + \left(\frac{M}{f_s}\right)^2 \left(\frac{f_o}{f_i}\right)^3 \right\}}$$

Figure 4.32 Bandwidth and voltage-gain bandwidth product.

Assuming  $R_1 = R_2 = 0$



$$T_e = \frac{N_T}{GKB}$$

$N_T$  = Total available noise output power due to thermal noise in the signal and idler circuits.

$$\frac{I_e}{I_0} = \frac{f_s}{f_i} \left\{ 1 + \frac{1 + \frac{f_s}{f_i}}{\left(\frac{M}{f_s}\right)^2 \left(\frac{f_s}{f_i}\right)^2 - \frac{f_s}{f_i}} \right\}$$

$$\frac{d(I_e/I_0)}{df_i} = 0; \quad (f_i)_{opt.} = f_s + \sqrt{M^2 + f_s^2}$$

$$(f_p)_{opt.} = \sqrt{M^2 + f_s^2}$$

Figure 4.33 Noise equivalent circuit.

$$\frac{T_e}{T_0} = \frac{f_s}{f_i} \left( 1 + \frac{1 + \frac{f_s}{f_i}}{\left( \frac{M'}{f_s} \right) \left( \frac{f_s}{f_i} \right)^2 - \frac{f_s}{f_i}} \right)$$

$$M' = \frac{M}{\sqrt{1 + \frac{R_s}{R_d}}}$$

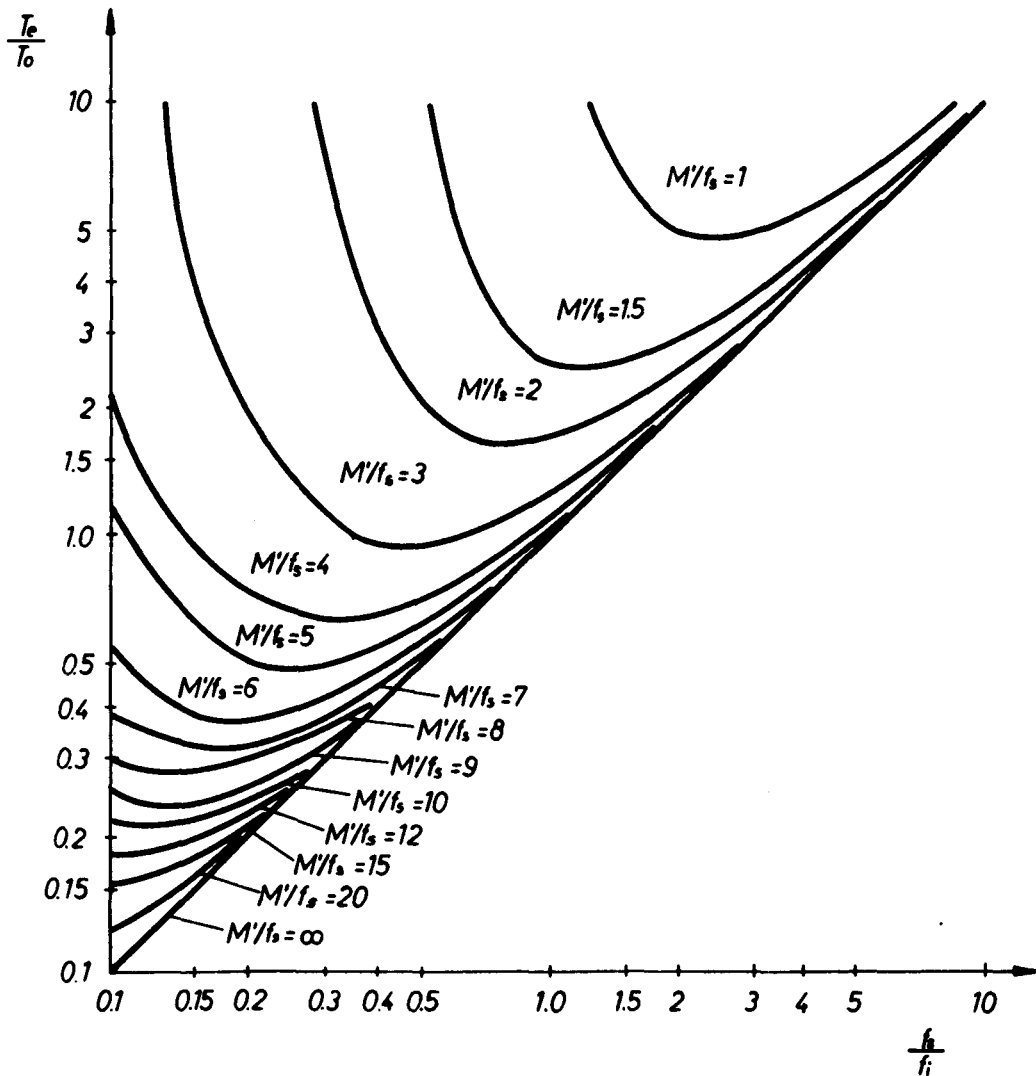


Figure 4.34 Noise ratio ( $T_e/T_0$ ) versus  $f_s/f_i$  with  $M'/f_s$  as a parameter.

$$G^h B = A_1 f_s \frac{C_i}{C_o}$$

$$A_1 = \frac{2 \left[ \left( \frac{M}{f_s} \right)^2 \frac{f_s}{f_i} - 1 \right]}{\frac{M}{f_s} \left[ 1 + \left( \frac{M}{f_s} \right)^2 \left( \frac{f_s}{f_i} \right)^3 \right]}$$

$$M = \frac{C_i}{C_o} f_{co}$$

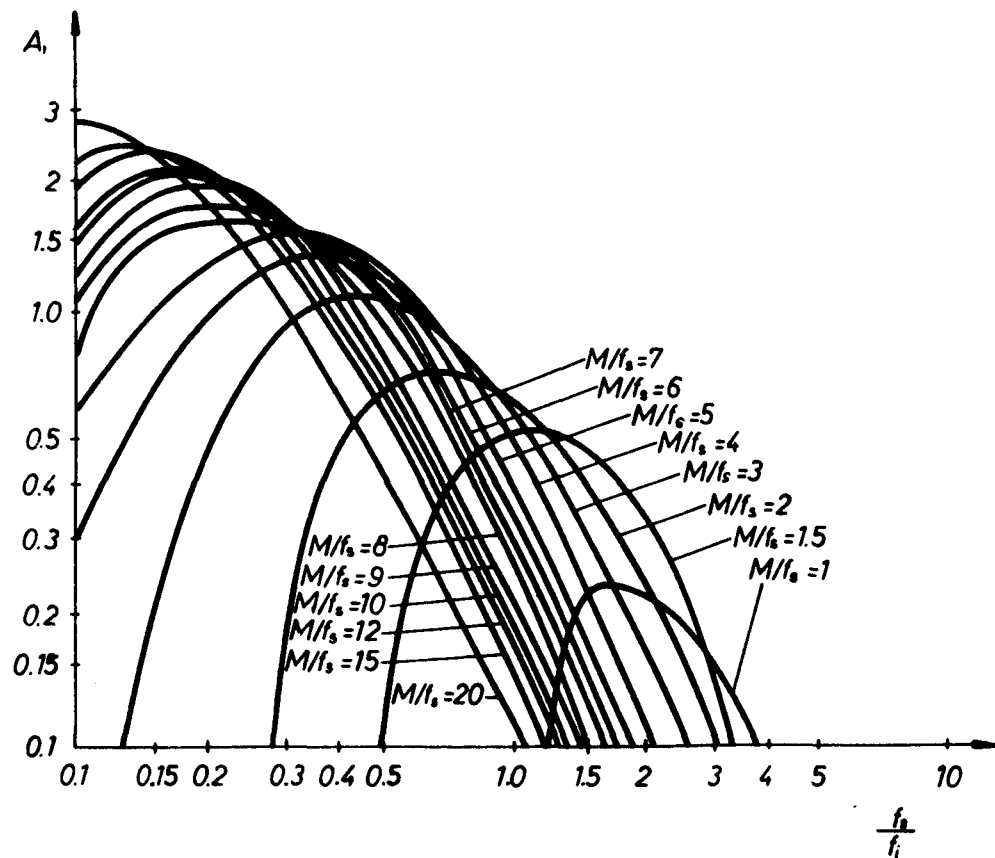


Figure 4.35 Gain bandwidth product versus  $f_s/f_i$  for a given diode quality factor.

If  $c(t)$  is expanded into a Fourier series, it may be shown that

$$c_o = c_L + \frac{\theta_1}{\pi} (c_H - c_L) + \frac{V_P}{\pi} \frac{c_H - c_L}{V_H - V_L} (\sin \theta_2 - \sin \theta_1)$$

and

$$c_1 = \frac{c_H - c_L}{\pi} \sin \theta_1 + \frac{V_P}{2\pi} \frac{c_H - c_L}{V_H - V_L} (\theta_2 - \theta_1) \\ + \frac{V_P}{4\pi} \frac{c_H - c_L}{V_H - V_L} (\sin 2\theta_2 - \sin 2\theta_1)$$

taking the ratio and manipulating

$$\frac{c_1}{c_o} = \frac{\sin \theta_1 + \frac{1}{2} \frac{V_P}{V_H - V_L} (\theta_2 - \theta_1) + \frac{1}{4} \frac{V_P}{V_H - V_L} (\sin 2\theta_2 - \sin 2\theta_1)}{\pi \frac{c_L}{c_H - c_L} + \theta_1 + \frac{V_P}{V_H - V_L} (\sin \theta_2 - \sin \theta_1)}$$

Note that  $\theta_1$  and  $\theta_2$  may be found by

$$V_L + V_P \cos \theta_2 = V_o$$

$$V_H + V_P \cos \theta = V_o$$

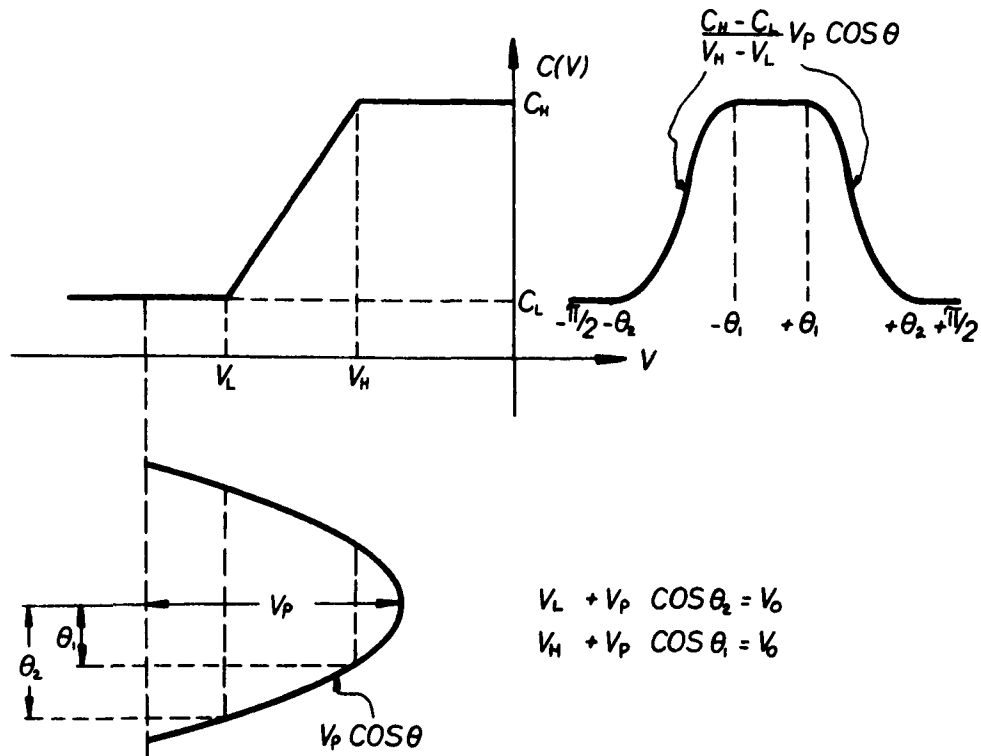
An interesting limiting value of nonlinearity ratio is for the abrupt junction case. Letting

$$V_H = V_L = v^+ , \text{ therefore}$$

$$\theta_1 = \theta_2$$

$$c_o = c_2 + \frac{\theta_1}{\pi} (c_H - c_L)$$

$$c_1 = \frac{c_H - c_L}{\pi} \sin \theta_1$$



$$\frac{C_1}{C_0} = \frac{\sin \theta_1 + \frac{1}{2} \frac{V_p}{V_H - V_L} (\theta_2 - \theta_1) + \frac{1}{4} \frac{V_p}{V_H - V_L} (\sin 2\theta_2 - \sin 2\theta_1)}{\pi \frac{C_H - C_L}{C_H - C_L} + \theta_1 + \frac{V_p}{V_H - V_L} (\sin \theta_2 - \sin \theta_1)}$$

Figure 4.36 Nonlinearity ratio for a piecewise linear model.

The nonlinearity ratio for this case is

$$\frac{c_1}{c_0} = \frac{\frac{c_H - c_L}{\pi} \sin \theta_1}{c_L + \frac{\theta_1}{\pi} (c_H - c_L)}$$

which may be manipulated into the following form by defining  $\theta = \pi q$  and rearranging terms.

$$\frac{c_1}{c_0} = \frac{q \left( \frac{c_H}{c_L} - 1 \right)}{1 + q \left( \frac{c_H}{c_L} - 1 \right)} \frac{\sin \pi q}{\pi q}$$

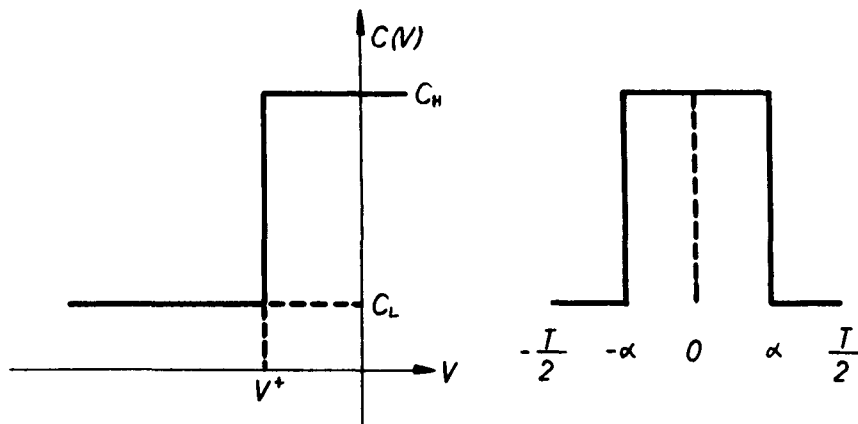
where

$$\cos \pi q = \frac{v^t - v_o}{v_p}$$

$q$  may be defined as that fraction of the r.f. cycle when the device is in the high capacitance state. A summary of the abrupt transition two-state capacitor is shown in Figure 4.37. A plot of nonlinearity ratio versus  $q$  for various capacitance ratios is shown in Figure 4.38. In this figure it may be seen that there is an optimum value of  $q$  for maximum nonlinearity ratio for a given capacitance ratio. This optimum always passes through the curve  $c_1/c_0 = \cos \pi q$ . This optimum is broad, especially for capacitance ratios less than 10. This effect does not occur in conventional varactors and can have a profound effect on parametric amplifier stability.

#### 4.2.3 Experimental MIS Parametric Amplifier

A microwave parametric amplifier has been designed, built, and tested using a metal insulator semiconductor varactor as the nonlinear



$$c(t) = C_0 + \sum_{n=1}^{\infty} 2 C_n \cos n\omega_p t + 2 C'_n \sin n\omega_p t$$

$$\frac{C_n}{C_0} = \frac{q \left( \frac{C_H}{C_L} - 1 \right)}{1 + q \left( \frac{C_H}{C_L} - 1 \right)} \frac{\sin n\pi q}{n\pi q}$$

$$\cos n\pi q = \frac{V^+ - V_0}{V_p} \quad q = \frac{2\alpha}{T}$$

Figure 4.37 Fourier analysis of an ideal two-state capacitor.

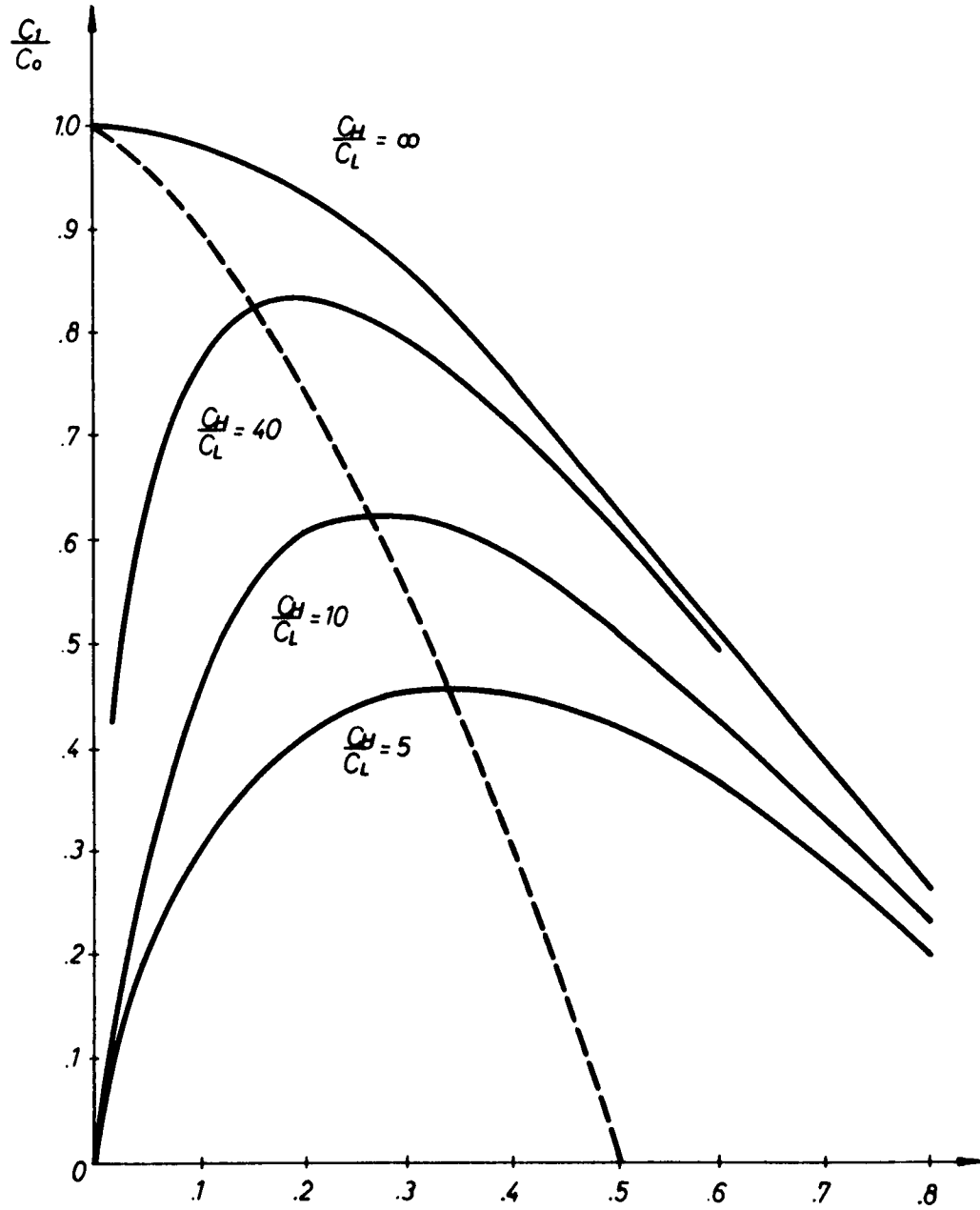


Figure 4.38 Nonlinearity ratio versus  $q$  for an ideal two-state capacitor.

capacitor. The amplifier is a microwave hybrid circuit using microstrip as the transmission element. The amplifier was built on an alumina substrate. The transmission lines are formed by thin films of chrome, copper and gold. The chrome promotes adhesion of the copper to the substrate, and the gold prevents the copper from tarnishing. Referring to Figure 4.39, the pump is fed to the diode through a band-pass filter. The idler is formed by the diode parasitics and the last element of the pump filter. Stubs in the signal circuit transform the source impedance to establish the correct gain and also act as a pump reject filter. A commercially available circulator is used to separate input and output signals. Typical paramp performance data is shown below.

$f_p$	= 7.92 GHz
$f_s$	= 2.07 GHz
$P_p$	= 65 mW
$V_B$	= 3.2 volts
Gain	= 16 dB
3 dB bandwidth	= 38 MHz
NF	= 2.8 dB

Also, measurements of gain variation with pump amplitude change are shown below.

<u>Pump Attenuation Change</u>	<u>Gain (dB)</u>
6.0	15.00
5.9	15.25
5.8	15.50
5.7	15.75

For this amplifier the gain sensitivity was approximately .25 dB better than a conventional parametric amplifier.

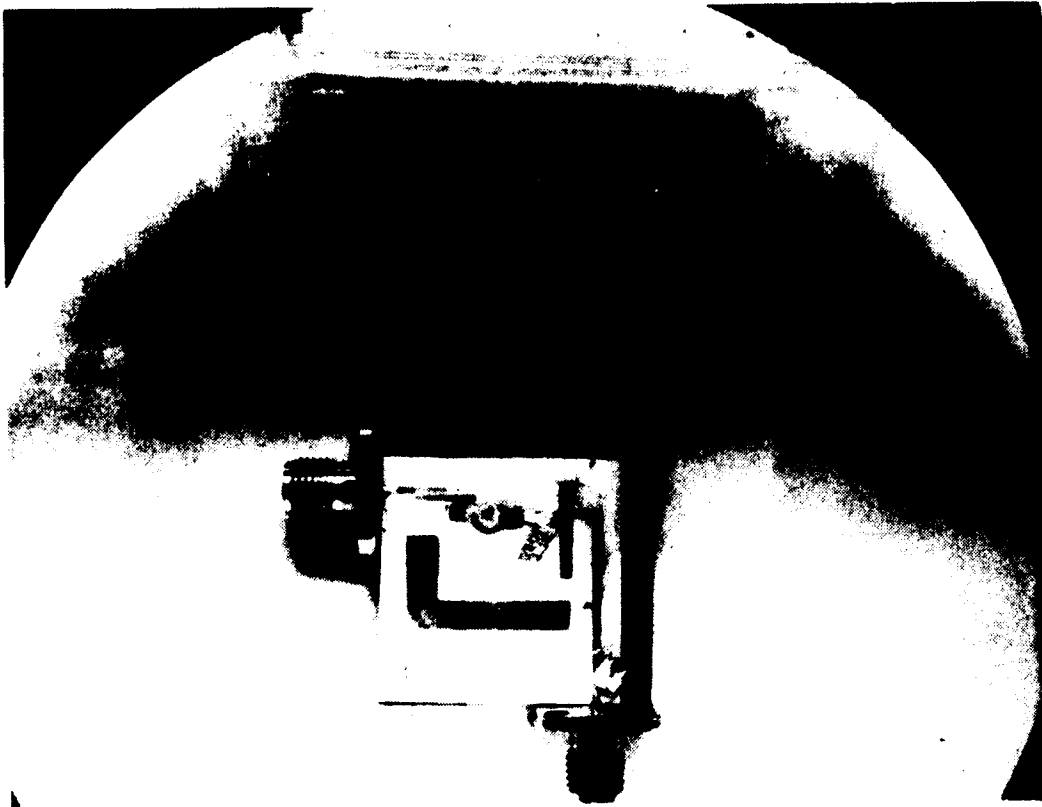


Figure 4.39 Photograph of MIS paramamp.

## CHAPTER 5

## CONCLUSIONS

The purpose of this chapter is to summarize the contributions of this dissertation and to suggest unsolved problem areas for possible future investigation.

In this dissertation high quality MIS varactors have been designed, fabricated and tested. Small signal models valid at microwave frequencies have been developed suitable for high speed digital computation. The devices and models developed were used in two important microwave circuit applications:

- (a) low power drain digital phase shifter
- (b) low noise parametric amplifier.

The motivation for this work was the need for a low power drain switching device for computer-controlled microwave networks. The major application of such a device is in an electronically scanned phased array antenna. This dissertation shows that the MIS varactor can fulfill this need if minority carrier effects can be overcome. This work also shows that the unique capacitance versus voltage characteristic may be exploited in a low noise parametric amplifier application. MIS varactors are shown to have less gain variation due to pump power fluctuations than conventional varactors.

In Chapter 2 an efficient algorithm for the solution of the d.c. electrostatic potential throughout the semiconductor is given. This problem is solved as a mixed linear-nonlinear boundary value problem. The solution is valid for an arbitrary doping profile and specifically epitaxial profiles were studied extensively. An expression was derived

for the low frequency differential capacitance which requires only the storage of the interface potential. A comparison of experimental results and computer simulation showed good correlation. This is limited in that Boltzmann's statistics are assumed. This assumption excluded exact solutions for degenerate semiconductors. Since, in this work  $n/n^+$  structures are always considered, the solution presented here could be considered approximate by purists. Further work should be done to take into account Fermi statistics simply. It should also be done since in accumulation and inversion majority and minority carrier densities, respectively, obey full Fermi statistics. For the purpose of modeling the MIS structure at microwave frequencies, Boltzmann's statistics were quite adequate.

In Chapter 3 a small signal model suitable for digital computation is described. This model involves the small signal electrostatic potential ( $V_1$ ) and quasi-potentials ( $V_{n1}, V_{p1}$ ). Others[11,13] have used these variables to solve MIS small signal problems. Their solution is different from mine in that they first construct a distributed equivalent circuit from the basic equations. Then, the circuit is solved by cascading subsections of the network. Gokhale[27] has used the variables ( $V_1, V_{n1}, V_{p1}$ ) to solve for the small signal response of bipolar transistors. His solution results in a nine-band matrix which is solved by a special Gauss elimination routine. In this work, the basic equations are manipulated into three coupled linear equations in three unknowns. It is shown here that these equations reduce to a seven-band matrix solution. This system of equations is solved directly using a Gauss elimination routine. Further investigations are required

in this solution to improve computed accuracy in the inversion and accumulation regions. Further work should be done to reduce the amount of computer memory required. It is felt that some type of iterative improvement of the solution using a relaxation technique could solve both problems.

In Chapter 4, 360° digital phase shifter was build at 3.5 GHz. It was found that if these devices are to become practical diode circuit losses must be reduced. Also, a major problem area is the presence and response of minority carriers to large signals and to switching pulses. It was shown that differential phase shift and switching speed were dependent upon microwave signal amplitude. In order to solve these problems, the fabrication of silicon devices on thinner epitaxial layers should be investigated. Layers 0.3  $\mu\text{m}$  thick for  $10^{16} \text{ cm}^{-3}$  densities are suggested. A more forward looking approach to improvement of MIS performance, it is felt, is in the area of new materials. Gallium arsenide for a given density or resistivity has a much larger maximum depletion depth ( $X_{\text{dm}}$ ) than silicon. An approximate expression for  $X_{\text{dm}}$  is

$$X_{\text{dm}} = \alpha \rho^{\beta}$$

where for silicon

$$\alpha = .44 \mu\text{m}$$

$$\beta = .465$$

GaAs

$$\alpha = 1.3 \mu\text{m}$$

$$\beta = .477$$

One way of eliminating power sensitivity of phase shift and switching speed is by making the device so that it pushes through the  $n^+$  substrate. Another way of saying that is that maximum depletion depth should be greater than the epitaxial layer thickness ( $X_1$ ).

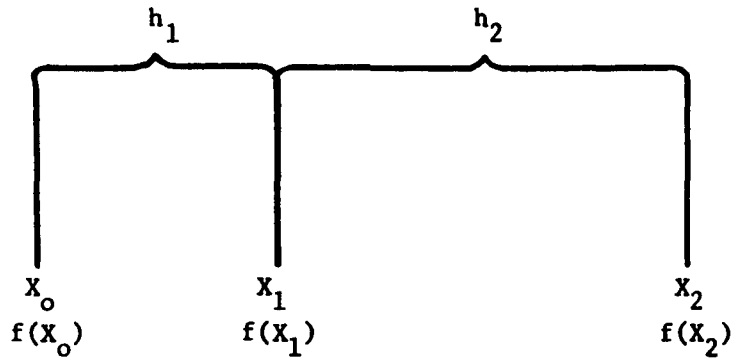
$$X_{dm} > X_1$$

This can be achieved easier for GaAs than for Si since the  $\alpha$  for GaAs is larger.

The problem with this solution is that very little is understood about insulator-GaAs interfaces. The problem then would be how to put down a high quality insulator on GaAs with a minimum number of surface states.

## APPENDIX I

## DERIVATION OF FINITE DIFFERENCE APPROXIMATIONS FOR A NONUNIFORM GRID



Let us approximate the function  $f(X)$  over the interval  $(X_0, X_1, X_2)$  by a second order polynomial.\*

$$f(X) \approx f(X_0) + f[X_0, X_1](X - X_0) + f[X_0, X_1, X_2](X - X_0)(X - X_1) \quad (\text{I-1})$$

where  $f(X_0)$ ,  $f(X_1)$  and  $f(X_2)$  are the values of the function in the interval of interest. Also,  $f[X_0, X_1]$  and  $f[X_1, X_2]$  are the first and second divided differences defined as follows:

$$f[X_0, X_1] = \frac{f(X_0) - f(X_1)}{X_0 - X_1} \quad (\text{I-2})$$

$$f[X_1, X_2] = \frac{f(X_1) - f(X_2)}{X_1 - X_2} \quad (\text{I-3})$$

$$f[X_0, X_1, X_2] = \frac{f[X_0, X_1] - f[X_1, X_2]}{X_0 - X_2} \quad (\text{I-4})$$

Equation (I-1) may be rewritten by expanding terms into the form

$$f(X) \approx f(X_0) + f[X_0, X_1](X - X_0) + f[X_0, X_1, X_2](X^2 - (X_0 + X_1)X + X_0X_1) \quad (\text{I-5})$$

\*S. D. Conte and C. DeBoor, "Elementary Numerical Analysis," Second Edition, McGraw Hill, 1972, pp. 195.

Taking the first derivative and second derivative of (I-5)

$$f'(X) \approx f[X_0, X_1] + f[X_0, X_1, X_2](2X - (X_0 + X_1)) \quad (I-6)$$

$$f''(X) \approx 2f[X_0, X_1, X_2] \quad (I-7)$$

At point  $X=X_1$  both (I-6) and (I-7) may now be evaluated

$$f'(X_1) \approx f[X_0, X_1] + f[X_0, X_1, X_2](X_1 - X_0) \quad (I-8)$$

$$f''(X_1) \approx 2f[X_0, X_1, X_2] \quad (I-9)$$

Now,  $f'(X_1)$  and  $f''(X_1)$  may be expanded in terms of the definitions of divided differences.

$$f''(X_1) = 2 \frac{\frac{f(X_0) - f(X_1)}{(X_0 - X_1)} - \frac{f(X_1) - f(X_2)}{(X_1 - X_2)}}{X_0 - X_2} \quad (I-10)$$

$$f''(X_1) = \frac{2}{-(h_1 + h_2)} \left\{ \frac{f(X_0) - f(X_1)}{-h_1} - \frac{f(X_1) - f(X_2)}{-h_2} \right\} \quad (I-11)$$

$$f''(X_1) = \frac{2}{h_1 + h_2} \left\{ \frac{f(X_0) - f(X_1)}{h_1} - \frac{f(X_1) - f(X_2)}{h_2} \right\} \quad (I-12)$$

$$f''(X_1) = \frac{2}{h_1 + h_2} \left\{ \frac{f(X_0)}{h_1} - \left( \frac{1}{h_1} + \frac{1}{h_2} \right) f(X_1) + \frac{f(X_2)}{h_2} \right\} \quad (I-13)$$

$$f''(X_1) = \frac{2}{h_1 + h_2} \left\{ \frac{f(X_0)}{h_1} - \left( \frac{h_1 + h_2}{h_1 h_2} \right) f(X_1) + \frac{f(X_2)}{h_2} \right\} \quad (I-14)$$

$$f^{11}(X_1) = \frac{2}{(h_1+h_2)h_1} f(X_0) - \frac{2}{h_1h_2} f(X_1) + \frac{2}{(h_1+h_2)h_2} f(X_2) \quad (I-15)$$

$$f^{11}(X_1) = \frac{2 f(X_0)}{h_1^2 (1+h_2/h_1)} - \frac{2f(X_1)}{h_1h_2} + \frac{2}{h_1h_2(1+h_2/h_1)} f(X_2) \quad (I-16)$$

or

$$f^{11}(X_1) = \frac{2f(X_0)}{h_1^2 (1+h_2/h_1)} - \frac{2f(X_1)}{h_1^2 h_2/h_1} + \frac{2f(X_2)}{h_1^2 h_2/h_1 (1+h_2/h_1)} \quad (I-17)$$

If we let  $H_2 = h_1^2 = (XH_2)^2$  and  $h_2/h_1 = RS = XH_3/XH_2$

$X_0 \longrightarrow M, X_1 \longrightarrow K, X_2 \longrightarrow P_1, \text{ and } f \longrightarrow \bar{y}$

then

$$f''(K) = \frac{2f(M)}{H_2(1+RS)} - \frac{2f(K)}{H_2RS} + \frac{2f(P_1)}{H_2RS(1+RS)} \quad (I-18)$$

Similarly, starting with equation (I-8) and expanding the divided differences

$$f^1(X_1) = \frac{f(X_0)-f(X_1)}{X_0-X_1} + \frac{\frac{f(X_0)-f(X_1)}{X_0-X_1} - \frac{f(X_1)-f(X_2)}{X_1-X_2}}{X_0-X_2} (X_1-X_0) \quad (I-19)$$

$$f^1(X_1) = \frac{f(X_0)-f(X_1)}{-h_1} + \frac{\frac{f(X_0)-f(X_1)}{-h_1} - \frac{f(X_1)-f(X_2)}{-h_2}}{-(h_1+h_2)} h_1 \quad (I-20)$$

$$f^1(X_1) = \frac{f(X_1) - f(X_0)}{h_1} + \frac{\frac{f(X_1) - f(X_0)}{h_1} - \frac{f(X_2) - f(X_1)}{h_2}}{-(h_1 + h_2)} h_1 \quad (\text{I-21})$$

$$f^1(X_1) = \frac{f(X_1) - f(X_0)}{h_1} + \frac{\frac{f(X_2) - f(X_1)}{h_2} - \frac{f(X_1) - f(X_0)}{h_1}}{h_1 + h_2} h_1 \quad (\text{I-22})$$

$$f^1(X_1) = \frac{f(X_1) - f(X_0)}{h_1} + \frac{h_1 \{f(X_2) - f(X_1)\}}{h_2(h_1 + h_2)} - \frac{f(X_1) - f(X_0)}{(h_1 + h_2)} \quad (\text{I-23})$$

$$f^1(X_1) = \frac{f(X_1)}{h_1} - \frac{f(X_0)}{h_1} + \frac{f(X_2)}{\frac{h_2}{h_1}(h_1 + h_2)} - \frac{f(X_1)}{\frac{h_2}{h_1}(h_1 + h_2)} - \frac{f(X_1)}{h_1 + h_2} + \frac{f(X_0)}{h_1 + h_2} \quad (\text{I-24})$$

$$f^1(X_1) = \left( \frac{1}{h_1 + h_2} - \frac{1}{h_1} \right) f(X_0) + \left( \frac{1}{h_1} - \frac{1}{\frac{h_2}{h_1}(h_1 + h_2)} - \frac{1}{h_1 + h_2} \right) f(X_1) \quad (\text{I-25})$$

$$+ \frac{f(X_2)}{\frac{h_2}{h_1}(h_1 + h_2)}$$

But note that

$$\frac{1}{h_1 + h_2} - \frac{1}{h_1} = \frac{h_1 - (h_1 + h_2)}{(h_1 + h_2)h_1} = \frac{-h_2}{(h_1 + h_2)h_1}, \text{ and}$$

$$\frac{1}{h_1} - \frac{1}{\frac{h_2}{h_1}(h_1 + h_2)} - \frac{1}{h_1 + h_2} = \frac{\frac{h_2}{h_1}(h_1 + h_2) - h_1 - h_2}{h_1 \frac{h_2}{h_1} (h_1 + h_2)} = \frac{\frac{h_2^2}{h_1} - h_1}{h_2(h_1 + h_2)}$$

and, therefore, (I-25) may be simplified to (I-26).

$$f'(X_1) = \frac{-h_2}{(h_1+h_2)h_1} f(X_0) + \frac{\left(\frac{h_2}{h_1}\right)^2 - h_1}{h_2(h_1+h_2)} f(X_1) + \frac{f(X_2)}{\frac{h_2}{h_1}(h_1+h_2)} \quad (\text{I-26})$$

$$f'(X_1) = \frac{f(X_2)}{h_1\left(\frac{h_2}{h_1}\right)\left(1+\frac{h_2}{h_1}\right)} + \frac{\left(\frac{h_2}{h_1}\right)^2 - 1}{\left(\frac{h_2}{h_1}\right)\left(1+\frac{h_2}{h_1}\right)} f(X_1) - \frac{h_2/h_1}{h_1(1+h_2/h_1)} f(X_0) \quad (\text{I-27})$$

Let, as before,  $H_2 = h_1^2 = (XH_2)^2$

$$RS = \frac{h_2}{h_1} = \frac{XH_3}{XH_2}$$

$$X_0 \longrightarrow M$$

$$X_1 \longrightarrow K$$

$$X_2 \longrightarrow P_1$$

Then

$$f'(K) = \frac{f(P_1)}{XH_2RS(1+RS)} + \frac{(RS)^2 - 1}{XH_2RS(1+RS)} f(K) - \frac{RS}{(1+RS)XH_2} f(M) \quad (\text{I-28})$$

When  $h_1 = h_2 = h$ , the nonuniform grid formula corresponds to the well-known uniform grid formula.

$$f'(X_1) = \frac{f(X_2)}{2h} - \frac{f(X_0)}{2h} \quad (\text{I-29})$$

$$= \frac{f(X_2) - f(X_0)}{2h} \quad \checkmark \quad (\text{I-30})$$

Note equation (I-28) may be rewritten in the form

$$f'(K) = \frac{f(P1)}{XH3(1+RS)} + \frac{RS-1}{XH3} f(K) - \frac{RS}{(1+RS)XH2} f(M) \quad (I-31)$$

Therefore, the derivative of electrostatic potential with respect to distance at point K is

$$\left. \frac{dV}{dX} \right|_K = \frac{V(P1)}{XH3(1+RS)} + \frac{(RS-1)}{XH3} V(K) - \frac{RS}{(1+RS)XH2} V(M)$$

$$E_o(K) = - \frac{dV}{dX} = EOK$$

and the electric field is

$$EOK = \frac{RS}{(1+RS)XH2} V(M) - \frac{(RS-1)}{XH3} V(K) - \frac{V(P1)}{XH3(1+RS)}$$

If

$$XRS = 1+RS$$

$$XRT = RS-1$$

then

$$EOK = \frac{RS}{(XRS)(XH2)} V(M) - \frac{XRT}{XH3} V(K) - \frac{V(P1)}{(XH3)(XRS)}$$

APPENDIX II

DIRECT INVERSION BY GAUSS ELIMINATION - A 7-BAND DIAGONAL MATRIX

We wish to solve the linear system of equations of the form:

$$\bar{A} \cdot \bar{X}_n = \bar{h}$$

where  $\bar{A}$  is a 7-band matrix of the form:

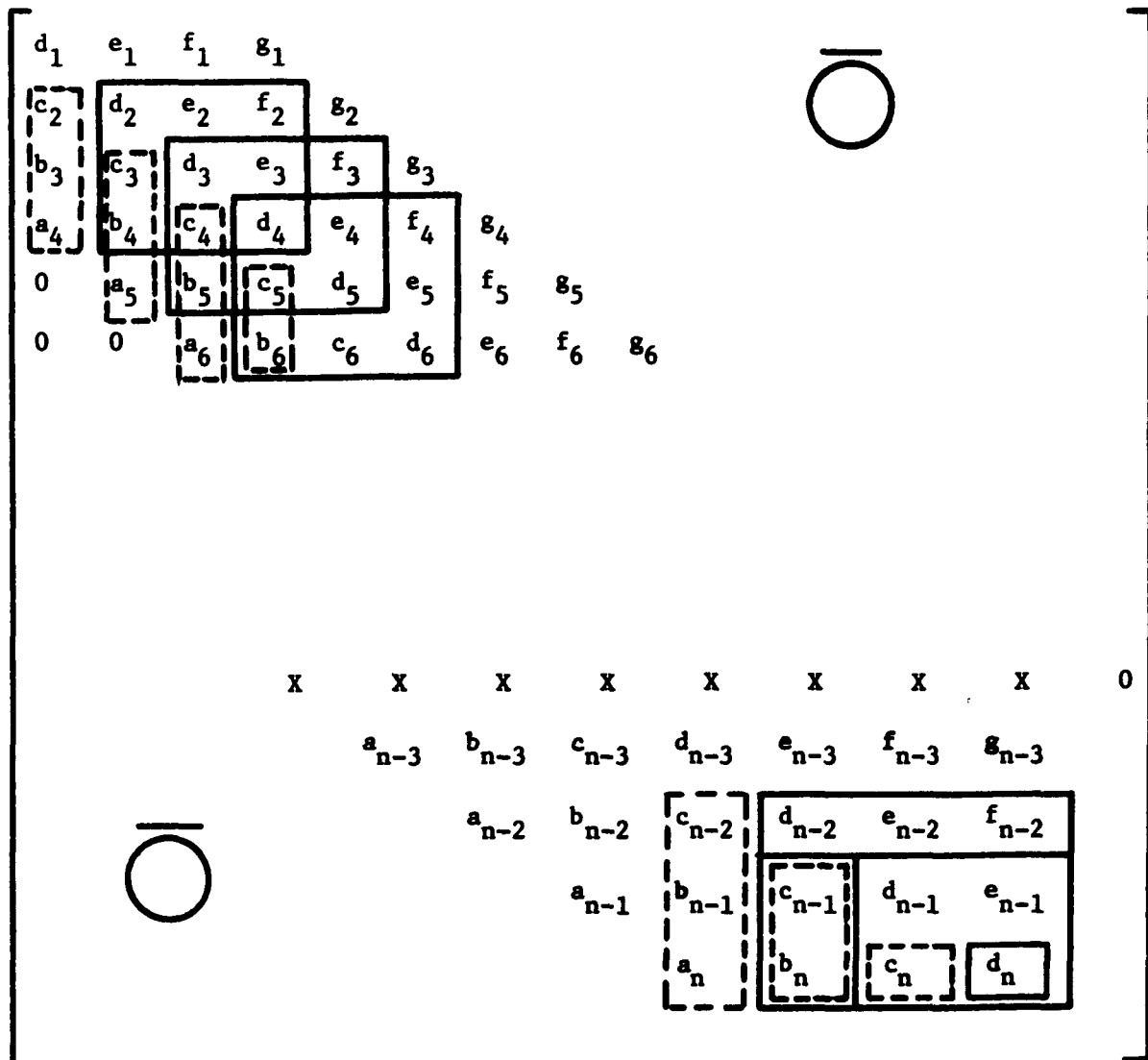


Figure II.1 Seven-band matrix.

The first step in a Gauss elimination routine is to convert the existing matrix into an upper triangularized matrix. This corresponds to eliminating the elements dotted in Figure II.1. It should be noted that because of the band nature of the matrix below the main diagonal three eliminations in each column are necessary except the last three columns which correspond to elements below  $d_{n-2}$  (two eliminations),  $d_{n-1}$  (one elimination), and  $d_n$  (no elimination).

The set of equations of interest may be written as:

$$d_1 X_1 + e_1 X_2 + f_1 X_3 + g_1 X_4 = h_1 \quad (\text{II-1})$$

$$c_2 X_1 + d_2 X_2 + e_2 X_3 + f_2 X_4 + g_2 X_5 = h_2 \quad (\text{II-2})$$

$$b_3 X_1 + c_3 X_2 + d_3 X_3 + e_3 X_4 + f_3 X_5 + g_3 X_6 = h_3 \quad (\text{II-3})$$

$$a_4 X_1 + b_4 X_2 + c_4 X_3 + d_4 X_4 + e_4 X_5 + f_4 X_6 + g_4 X_7 = h_4 \quad (\text{II-4})$$

$$0 \quad a_5 X_2 + b_5 X_3 + c_5 X_4 + d_5 X_5 + e_5 X_6 + f_5 X_7 + g_5 X_8 = h_5 \quad (\text{II-5})$$

$$\begin{array}{ccccccc} \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{array}$$

$$a_{n-3} X_{n-6} + b_{n-3} X_{n-5} + c_{n-3} X_{n-4} + d_{n-3} X_{n-3} + e_{n-3} X_{n-2} + f_{n-3} X_{n-1} + g_{n-3} X_n = h_{n-3} \quad \cdot$$

$$a_{n-2} X_{n-5} + b_{n-2} X_{n-4} + c_{n-2} X_{n-3} + d_{n-2} X_{n-2} + e_{n-2} X_{n-1} + f_{n-2} X_n = h_{n-2} \quad \cdot$$

$$a_{n-1} X_{n-4} + b_{n-1} X_{n-3} + c_{n-1} X_{n-2} + d_{n-1} X_{n-1} + e_{n-1} X_n = h_{n-1} \quad \cdot$$

$$a_n X_{n-3} + b_n X_{n-2} + c_n X_{n-1} + d_n X_n = h_n \quad (\text{II-n})$$

Let

$$R1 = \frac{c_2}{d_1} \quad R2 = \frac{b_3}{d_1} \quad R3 = \frac{a_4}{d_1}$$

Multiplying Eq. (II-1) by R1

$$c_2 X_1 + R1 e_1 X_2 + R1 f_1 X_3 + R1 g_1 X_4 = R1 h_1 \quad (\text{II-2}')$$

Subtracting Eq. (II-2') from (II-2), an equation (II-2'') results, and the coefficients of (II-2'') may be considered to replace the coefficients of (II-2).

$$\underbrace{(d_2 - R1 * e_1)}_{d_2} X_2 + \underbrace{(e_2 - R1 * f_1)}_{e_2} X_3 + \underbrace{(f_2 - R1 * g_1)}_{f_2} X_4 + g_2 X_5 = \underbrace{h_2 - R1 * h_1}_{h_2} \quad (\text{II-2''})$$

Multiplying Eq. (II-1) by R2 we get (II-3').

$$b_3 X_1 + R2 * e_1 X_2 + R2 * f_1 X_3 + R2 * g_1 X_4 = R2 * h_1 \quad (\text{II-3'})$$

Subtracting Eq. (II-3') from (II-3), Eq. (II-3'') results.

$$\underbrace{(c_3 - R2 * e_1)}_{c_3} X_2 + \underbrace{(d_3 - R2 * f_1)}_{d_3} X_3 + \underbrace{(e_3 - R2 * g_1)}_{e_3} X_4 + f_3 X_5 + g_c X_6 = \underbrace{(h_3 - R2 * h_1)}_{h_3} \quad (\text{II-3''})$$

Similarly, multiplying Eq. (II-1) by R3 we get Eq. (II-4').

$$a_4 X_1 + R3 * e_1 X_2 + R3 * f_1 X_3 + R3 * g_1 X_4 = R3 * h_1 \quad (\text{II-4'})$$

Subtracting Eq. (II-4') from (II-4), Eq. (II-4'') results.

$$\begin{aligned} & \underbrace{(b_4 - R3 * e_1)}_{b_4} X_2 + \underbrace{(c_4 - R3 * f_1)}_{c_4} X_3 + \underbrace{(d_4 - R3 * g_1)}_{d_4} X_4 \\ & + e_4 X_5 + f_4 X_6 + g_4 X_7 = \underbrace{(h_4 - R3 * h_1)}_{h_4} \end{aligned} \quad (\text{II-4''})$$

That completes the first column of eliminations.

For Eq. (II-1) the above procedure may be generalized to

$$\text{If } d_1 = 0$$

$$R1 = \frac{c_{1+1}}{d_1}$$

$$R2 = \frac{b_{1+2}}{d_1}$$

$$R3 = \frac{a_{1+3}}{d_1}$$

Then calculate

$$d_{i+1} = d_{i+1} - R1 * e_i$$

$$e_{i+1} = e_{i+1} - R1 * f_i$$

$$f_{i+1} = f_{i+1} - R1 * g_i$$

$$h_{i+1} = h_{i+1} - R1 * h_i$$

Then calculate

$$c_{i+2} = c_{i+2} - R2 * e_i$$

$$d_{i+2} = d_{i+2} - R2 * f_i$$

$$e_{i+2} = e_{i+2} - R2 * g_i$$

$$h_{i+2} = h_{i+2} - R2 * h_i$$

Then calculate

$$b_{i+3} = b_{i+3} - R3 * e_i$$

$$c_{i+3} = c_{i+3} - R3 * f_i$$

$$d_{i+3} = d_{i+3} - R3 * g_i$$

$$h_{i+3} = h_{i+3} - R3 * h_i$$

At this point it should be noted that when eliminating the first column  $(c_2, b_3, a_4)$  then a  $3 \times 3$  block is changed or affected.

$$\begin{bmatrix} d_2 & e_2 & f_2 \\ c_3 & d_3 & e_3 \\ b_4 & c_4 & d_4 \end{bmatrix}$$

This procedure may be continued until  $i=n-3$ , which corresponds to the last  $3 \times 3$  block available.

After the  $i=n-3$  elimination procedure, the following situation should exist:

$$\begin{bmatrix}
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 \dots & 0 & d_{n-3} & e_{n-3} & f_{n-3} & g_{n-3} & X_{n-3} \\
 \dots & 0 & 0 & d_{n-2} & e_{n-2} & f_{n-2} & X_{n-2} \\
 \dots & 0 & 0 & c_{n-1} & d_{n-1} & e_{n-1} & X_{n-1} \\
 \dots & 0 & 0 & b_n & c_n & d_n & X_n
 \end{bmatrix}
 =
 \begin{bmatrix}
 \vdots \\
 \vdots \\
 \vdots \\
 h_{n-3} \\
 h_{n-2} \\
 h_{n-1} \\
 h_n
 \end{bmatrix}$$

The algorithm continues by letting

$$i = n-2$$

$$R1 = \frac{c_{i+1}}{d_i} \quad R2 = \frac{b_{i+2}}{d_i}$$

$$d_{i+1} = d_{i+1} - R1 * e_i$$

$$e_{i+1} = e_{i+1} - R1 * f_i$$

$$h_{i+1} = h_{i+1} - R1 * h_i$$

$$c_{i+2} = c_{i+2} - R2 * e_i$$

$$d_{i+2} = d_{i+2} - R2 * f_i$$

$$h_{i+2} = h_{i+2} - R2 * h_i$$

Then let

$$i = n-1$$

$$R1 = \frac{c_{i+1}}{d_i}$$

$$d_{i+1} = d_{i+1} - R1 * e_i$$

$$h_{i+1} = h_{i+1} - R1 * h_i$$



$$x_k = \frac{h_k - g_k * x_{k+3} - f_k * x_{k+2} - e_k * x_{k+1}}{d_k}$$

for instance, for  $i = n-1$ ,  $k=1$  and

$$x_1 = \frac{h_1 - g_1 * x_4 - f_1 * x_3 - e_1 * x_2}{d_1}$$

## 7-DIAGONAL BAND MATRIX ALGORITHM SUMMARY

```

DO 16      I=1,      N-3
1          IF D1(I) = 0      continue
2          R1 = C1(I+1)/D1(I)
3          R2 = B1(I+2)/D1(I)
4          R3 = A1(I+3)/D1(I)
5          D1(I+1) = D1(I+1) - R1*E1(I)
6          E(I+1) = E(I+1) - R1*F(I)
7          F(I+1) = F(I+1) - R1*G(I)
8          H(I+1) = H(I+1) - R1*H(I)
9          C(I+2) = C(I+2) - R2*E(I)
10         D(I+2) = D(I+2) - R2*F(I)
11         E(I+2) = E(I+2) - R2*G(I)
12         H(I+2) = H(I+2) - R2*H(I)
13         B(I+3) = B(I+3) - R3*E(I)
14         C(I+3) = C(I+3) - R3*F(I)
15         D(I+3) = D(I+3) - R3*G(I)
16         H(I+3) = H(I+3) - R3*H(I)
17         I = N-2
18         R1 = C(I+1)/D(I)
19         R2 = B(I+2)/D(I)
20         D(I+1) = D(I+1) - R1*E(I)
21         E(I+1) = E(I+1) - R1*F(I)
22         H(I+1) = H(I+1) - R1*H(I)
23         C(I+2) = C(I+2) - R2*E(I)
24         D(I+2) = D(I+2) - R2*F(I)
25         H(I+2) = H(I+2) - R2*H(I)

```

26            I = N-1  
 27             $R_1 = C(I+1)/D(I)$   
 28             $D(I+1) = D(I+1) - R_1 * E(I)$   
 29             $H(I+1) = H(I+1) - R_1 * H(I)$

Now we solve for X(N) by back substitution

29             $X(N) = H(N)/D(N)$   
 30             $X(N-1) = (H(N-1) - E(N-1) * H(N)) / D(N-1)$   
 31             $X(N-2) = (H(N-2) - F(N-2) * X(N) - E(N-2) * X(N-1)) / D(N-2)$   
 32            DO — I = 3, N-1  
 33            K = N-I  
  
 34             $X(K) = \frac{H(K) - G(K) * X(K+3) - F(K) * X(K+2) - E(K) * X(K+1)}{D(K)}$

RETURN

END

## APPENDIX III

## HIGH FREQUENCY SIMPLIFICATION FOR ONE TYPE CARRIER[11]

The valid linearized equations assuming no surface states and no doped deep level impurities are:

$$\nabla \cdot \bar{J}_p + \frac{qP_o}{V_T} (\dot{v}_p - \dot{v}_i) = 0 \quad (\text{III-1})$$

$$\nabla \cdot \bar{J}_n + \frac{qN_o}{V_T} (\dot{v}_n - \dot{v}_i) = 0 \quad (\text{III-2})$$

$$\bar{J}_p = -q\mu_p P_o \nabla v_p \quad (\text{III-3})$$

$$\bar{J}_n = -q\mu_n N_o \nabla v_n \quad (\text{III-4})$$

$$\epsilon_s \nabla^2 v_i = \frac{qP_o}{V_T} (v_i - v_p) + \frac{qN_o}{V_T} (v_i - v_n) \quad (\text{III-5})$$

$$\bar{J}_T = \bar{J}_p + \bar{J}_n - \epsilon_s \nabla \dot{v}_i \quad (\text{III-6})$$

The above authors have also shown that for high frequencies minority carriers need not be considered and, therefore, for an n-type semiconductor

$$v_i = v_p \quad (\text{III-7})$$

$$\bar{J}_p = 0 \quad (\text{III-8})$$

Using Eq. (III-7) and (III-8) in (III-1 through III-6) and assuming sinusoidal steady state  $d/\dot{a}t \rightarrow j\omega$

$$\nabla \cdot \bar{J}_n + j\omega \frac{qN_o}{V_T} (\dot{v}_n - \dot{v}_i) = 0 \quad (\text{III-9})$$

$$\bar{J}_n = -q\mu_n N_o \nabla V_n \quad (\text{III-10})$$

$$\epsilon_s \nabla^2 V_1 = \frac{qN_o}{V_T} (V_1 - V_n) \quad (\text{III-11})$$

Substituting Eq. (III-10) into (III-9)

$$-q\mu_n \nabla \cdot (N_o \nabla V_n) + j\omega \frac{qN_o}{V_T} (V_n - V_1) = 0 \quad (\text{III-12})$$

$$\nabla \cdot (N_o \nabla V_n) + j\omega \frac{N_o}{\mu_n V_T} (V_1 - V_n) = 0 \quad (\text{III-13})$$

It may be shown that

$$N_o = n_i \exp V_o / V_T \quad (\text{III-14})$$

$$\nabla \cdot (N_o \nabla V_n) = N_o \nabla^2 V_n + \nabla N_o \cdot \nabla V_n \quad (\text{III-15})$$

$$\nabla N_o = n_i \exp \frac{V_o}{V_T} \left( \frac{1}{V_T} \right) \nabla V_o \quad (\text{III-16})$$

$$\nabla N_o = \frac{N_o \nabla V_o}{V_T} \quad (\text{III-17})$$

Substituting Eq. (III-17 and 15) into (III-13)

$$N_o \nabla^2 V_n + \frac{N_o \nabla V_o}{V_T} \cdot \nabla V_n + \frac{j\omega N_o}{V_T \mu_n} (V_1 - V_n) = 0 \quad (\text{III-18})$$

$$\nabla^2 V_n + \frac{\nabla V_o}{V_T} \cdot \nabla V_n + j \frac{\omega}{V_T \mu_n} (V_1 - V_n) = 0 \quad (\text{III-19})$$

Equations (III-11) and (III-19) may be written in one dimension.

$$\frac{d^2 V_1}{dx^2} - \frac{qN_o}{\epsilon_s V_T} (V_1 - V_n) = 0 \quad (\text{III-20})$$

$$\frac{d^2 v_n}{dX^2} + \frac{1}{V_T} \frac{dV_o}{dX} \frac{dv_n}{dX} + j \frac{\omega}{V_T \mu_n} (v_i - v_n) = 0 \quad (\text{III-21})$$

The boundary conditions are:

at  $X = X_0$  (Insulator-Semiconductor Interface)

$$D_s - D_I = 0 \quad (\text{III-22})$$

$$J_n = 0 \quad (\text{III-23})$$

But 
$$D = \epsilon E = -\epsilon \frac{dV}{dX} \quad (\text{III-24})$$

∴ substituting Eq. (III-24) into (III-22)

$$\epsilon_s \left( -\frac{dv_i}{dX} \right)_s - \epsilon_I \left( -\frac{dv_i}{dX} \right)_I = 0 \quad (\text{III-25})$$

∴

$$\epsilon_s \left[ \frac{dv_i}{dX} \right]_s - \epsilon_I \left( \frac{dV}{dX} \right)_I = 0 \quad (\text{III-26})$$

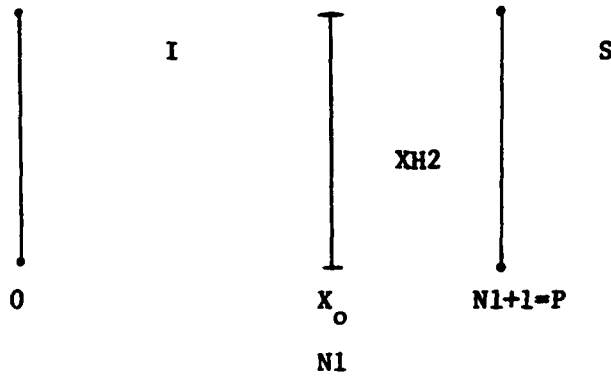
Also using the definition of hole r.f. current [Eq. (III-10)] in (III-23)

$$\frac{d}{dX} v_n = 0 \quad (\text{III-27})$$

At the ohmic contact:

$$v_i = v_n = 0 \quad (\text{III-28})$$

Equations (III-20, 21, 26, 27, 28) may be solved by replacing derivatives by finite difference approximations. Starting at the interface between the insulator and semiconductor Eq. (III-26) is reduced to



an algebraic equation.

$$\left. \frac{dv_1}{dx} \right]_S = \frac{v_1(P) - v_1(N1)}{XH2} \quad (III-29)$$

$$\left. \frac{dv_1}{dx} \right]_I = \frac{v_1(N1) - v_{1L}}{X_0} \quad (III-30)$$

$$\epsilon_S \left\{ \frac{v_1(P) - v_1(N1)}{XH2} \right\} - \epsilon_I \left\{ \frac{v_1(N1) - v_{1L}}{X_0} \right\} = 0 \quad (III-31)$$

or

$$\frac{DKS}{DKI} \frac{X_0}{XH2} \left\{ v_1(P) - v_1(N1) \right\} - \left\{ v_1(N1) - v_{1L} \right\} = 0 \quad (III-32)$$

$$- \left\{ 1 + \frac{DKS}{DKI} \frac{X_0}{XH2} \right\} v_1(N1) + \frac{DKS}{DKI} \frac{X_0}{XH2} v_1(P) = - v_{1L} \quad (III-33)$$

Multiplying by (-1)

$$\left\{ 1 + \frac{DKS}{DKI} \frac{X_0}{XH2} \right\} v_1(N1) - \frac{DKS}{DKI} \frac{X_0}{XH2} v_1(P) = v_{1L} \quad (III-34)$$

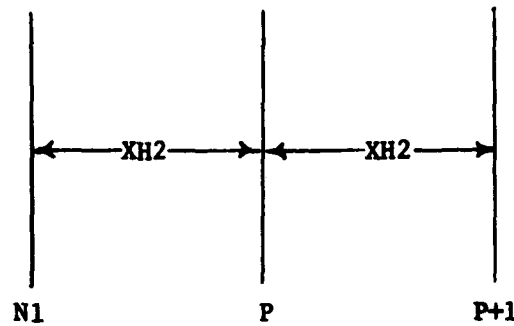
Expanding Eq. (III-27)

$$V_n(P) - V_n(N1) = 0 \quad (\text{III-35})$$

or

$$V_n(N1) - V_n(P) = 0 \quad (\text{III-36})$$

Next, since point P is the first point within the semiconductor, Eq. (III-20) and (III-21) are the valid expressions to expand.



$$\frac{V_i(N1) - 2V_i(P) + V_i(P+1)}{(XH2)^2} - \frac{qN_o(P)}{\epsilon_s V_T} V_i(P) + \frac{qN_o(P)}{\epsilon_s V_T} V_n(P) = 0 \quad (\text{III-37})$$

Multiplying through by  $H2 = (XH2)^2$

$$V_i(N1) - 2V_i(P) + V_i(P+1) - \frac{qH2}{\epsilon_s V_T} N_o(P) V_i(P) + \frac{qH2 N_o(P)}{\epsilon_s V_T} V_n(P) = 0 \quad (\text{III-38})$$

Collecting terms

$$V_i(N1) - \left\{ 2 + \frac{qH2}{\epsilon_s V_T} N_o(P) \right\} V_i(P) + \frac{qH2}{\epsilon_s V_T} N_o(P) V_n(P) + V_i(P+1) = 0 \quad (\text{III-39})$$

Similarly, expanding Eq. (III-21)

$$\frac{V_n(N1) - 2V_n(P) + V_n(P+1)}{H^2} + \left( \frac{1}{V_T} \frac{dV_o}{dX} \right) \left( \frac{V_n(P+1) - V_n(N1)}{2XH^2} \right) + \frac{j\omega}{V_T \mu_n} V_i(P) - j \frac{\omega}{V_T \mu_n} V_n(P) = 0 \quad (\text{III-40})$$

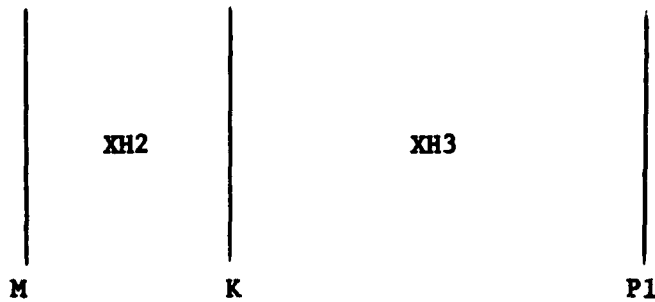
Multiplying through by  $H^2$

$$V_n(N1) - 2V_n(P) + V_n(P+1) + \left( \frac{XH^2}{2V_T} \frac{dV_o}{dX} \right) (V_n(P+1) - V_n(N1)) + j \frac{\omega H^2}{V_T \mu_n} V_i(P) - j \frac{\omega H^2}{V_T \mu_n} V_n(P) = 0 \quad (\text{III-41})$$

Collecting terms

$$\left( 1 - \frac{XH^2}{2V_T} \frac{dV_o}{dX} \right) V_n(N1) + j \frac{\omega H^2}{V_T \mu_n} V_i(P) - \left( 2 + \frac{j\omega H^2}{V_T \mu_n} \right) V_n(P) + \left( 1 + \frac{XH^2}{2V_T} \frac{dV_o}{dX} \right) V_n(P+1) = 0 \quad (\text{III-42})$$

At Point K we have a nonuniform grid and therefore



nonuniform grid formulas must be used (Appendix I).

$$\left[ \frac{dY}{dX} \right]_K = \frac{Y(P1)}{XH3(1+RS)} + \frac{(RS-1)}{XH3} Y(K) - \frac{RS}{(1+RS)XH2} Y(M) \quad (III-43)$$

$$\left[ \frac{d^2Y}{dX^2} \right]_K = \frac{2Y(M)}{H2(1+RS)} - \frac{2Y(K)}{(H2)RS} + \frac{2Y(P1)}{(H2)RS(1+RS)} \quad (III-44)$$

where

$$RS = \frac{XH3}{XH2} \quad (III-45)$$

$$H2 = (XH2)^2 \quad (III-46)$$

Substituting the expression (III-44) into (III-20)

$$\begin{aligned} \frac{2V_i(M)}{(1+RS)} - \frac{2V_i(K)}{RS} + \frac{2V_i(P)}{RS(1+RS)} - \frac{qH2N_o(K)}{\epsilon_s V_t} V_i(K) \\ + \frac{qN_o(K)H2}{\epsilon_s V_T} V_n(K) = 0 \end{aligned} \quad (III-47)$$

Multiplying by H2 and collecting terms

$$\begin{aligned} \frac{2}{(1+RS)} V_i(M) - \left\{ \frac{2}{RS} + \frac{qH2N_o(K)}{\epsilon_s V_T} \right\} V_i(K) + \frac{qH2N_o(K)}{\epsilon_s V_T} V_n(K) \\ + \frac{2}{RS(1+RS)} V_i(P1) = 0 \end{aligned} \quad (III-48)$$

Substituting Eq. (III-43) and (III-44) into (III-21)

$$\begin{aligned} \frac{2V_n(M)}{(1+RS)} - \frac{2V_n(K)}{(RS)} + \frac{2V_n(P1)}{RS(1+RS)} \\ + \frac{XH2}{V_T} \left[ \frac{dV_o}{dX} \right]_K \left\{ \frac{V_n(P1)}{RS(1+RS)} + \frac{RS-1}{RS} V_n(K) - \frac{RS}{(1+RS)} V_n(M) \right\} \\ + j \frac{\omega H2 V_i(K)}{V_T \mu_n} - j \frac{\omega H2 V_n(K)}{V_T \mu_n} = 0 \end{aligned} \quad (III-49)$$

Collecting terms

$$\begin{aligned}
 & \left\{ \frac{2}{(1+RS)} - \frac{XH2}{V_T} \frac{dV_o}{dX} \right\}_K \frac{RS}{1+RS} \left. \right\} V_n(M) + j \frac{\omega H2}{V_T \mu_n} V_1(K) \\
 & - \left\{ \frac{2}{RS} + \frac{XH2}{V_T} \frac{dV_o}{dX} \right\}_K \frac{RS-1}{RS} + j \frac{\omega H2}{V_T \mu_n} \left. \right\} V_n(K) \\
 & + \left\{ \frac{2}{RS(1+RS)} + \frac{XH2}{V_T} \frac{dV_o}{dX} \right\}_K \frac{1}{RS(1+RS)} \left. \right\} V_n(p1) = 0 \quad (III-50)
 \end{aligned}$$

After point K, P1 → L1, M1 replace XH2 by XH3 and the form of the coefficients should be the same.

## LOAD THE A1 DIAGONAL

```
J = 3
DO 22 I = P,M
A1(J) = (0., 0.)
A1(J+1) = (0.,0.)
J = J+2
2 2 CONTINUE
A1(J) = (0., 0.)
A1(J+1) = (0., 0.)
J = J+2
DO 23 I + P1,M1
A1(J) = (0., 0.)
A1(J+1) = (0., 0.)
J = J+2
23 CONTINUE
```

## LOAD THE B1 DIAGONAL

J = 3

DO 24 I = P,M

B1(J) = (1., 0.)

$$B1(J+1) = 1 - \frac{XH2}{2V_T} \left[ \frac{dV_o}{dX} \right]_I = 1 - \underbrace{\left( \frac{V_o(I+1) - V_o(I-1)}{4V_T} \right)}_{RV}$$

J = J+2

24 CONTINUE

$$B1(J) = \frac{2}{1+RS} = (2./XRS, 0)$$

$$B1(J+1) = \frac{2}{1+RS} - \frac{XH2}{V_T} \left[ \frac{dV_o}{dX} \right]_K \frac{RS}{1+RS} = \left( \frac{2}{1+RS} + \frac{XH2}{V_T} \text{EOK} \frac{RS}{1+RS}, 0. \right)$$

J = J+2

DO 26 I + P1, M1

B1(J) = (1., 0.)

$$B1(J+1) = 1. - \frac{XH3}{2V_T} \left[ \frac{dV_o}{dX} \right]_I = 1 - \underbrace{\left( \frac{V_o(I+1) - V_o(I-1)}{4V_T} \right)}_{RV}$$

J = J+2

26 CONTINUE

$$\left[ \frac{dV_o}{dX} \right]_I = \frac{V_o(I+1) - V_o(I-1)}{2\Delta X}$$

where

$$\Delta X \rightarrow \begin{cases} XH2 \\ XH3 \end{cases}, \text{ depending upon if we are in region 2 or 3.}$$

## LOAD THE C1 DIAGONAL

$$C1(2) = 0$$

$$J = 3$$

DO 27 I = P,M

$$C1(J) = 1.$$

$$C1(J+1) = +j \frac{\omega H2}{V_{T\mu n}} \times 10^{-8} = +jXN2$$

$$J = J+2$$

27 CONTINUE

$$C1(J) = 0$$

$$C1(J+1) = j \frac{\omega H2}{V_{T\mu n}} = + jXN2$$

$$J = J+2$$

D) 28 I = P1,M1

$$C1(J) = 0$$

$$C1(J+1) = j \frac{\omega H3}{V_{T\mu n}} = + jXN3$$

$$J = J+2$$

28 CONTINUE

$$XN2 = \frac{\omega H2}{V_{T\mu n}} \times 10^{-8}$$

$$RS = \frac{XH3}{XH2} ; H2 = (XH2)(XH2)$$

$$XN3 = RS * RS * XN2$$

Units

$$\frac{\omega H2}{V_{T\mu n}} = \frac{(1/\text{sec})(\text{micron})^2}{(\text{volt})(\text{cm}^2/\text{volt}\cdot\text{sec})} = \left(\frac{\text{micron}}{\text{cm}}\right)^2 = \left(\frac{10^{-6}\text{m}}{10^{-2}\text{m}}\right)^2 = (10^{-4})^2 = 10^{-8}$$

## LOAD THE D1 DIAGONAL

$$D1(1) = 1 + \frac{DKS}{DKI} \frac{X_o}{XH2}$$

$$D1(2) = 1.$$

$$J = 3$$

DO 29 I = P,M

$$D1(J) = - \left\{ 2. + \frac{qH2}{\epsilon_s V_T} N_o(I) \right\} = - \left\{ 2. + \frac{1}{2} cs \exp \frac{V_o(I)}{V_T} \right\}$$

$$D1(J+1) = - \left\{ 2. + j \frac{\omega H2}{V_T \mu_n} \right\} = - \left\{ 2. + j XN2 \right\}$$

$$J = J+2$$

29 CONTINUE

$$D1(J) = - \left\{ \frac{2}{RS} + \frac{qH2N_o(K)}{\epsilon_s V_T} \right\} = - \left\{ \frac{2}{RS} + \frac{1}{2} cs \exp \frac{V_o(K)}{V_T} \right\}$$

$$D1(J+1) = - \left\{ \frac{2}{RS} + \frac{XH2}{V_T} EOK \frac{RS-1}{RS} + j \frac{\omega H2}{V_T \mu_n} \right\} \\ \text{XN2}$$

$$J = J+2$$

DO 31 I = P1,M1

$$D1(J) = - \left\{ 2. + \frac{qH3}{\epsilon_s V_T} N_o(I) \right\} = - \left\{ 2. + RS*RS*\frac{1}{2}cs \exp \frac{V_o(I)}{V_T} \right\}$$

$$D1(J+1) = - \left\{ 2. + j \frac{\omega H3}{V_T \mu_n} \right\} = - \left\{ 2. + jXN3 \right\}$$

$$J = J+2$$

31 CONTINUE

$$N_o = N_1 \exp \frac{V_o}{V_T}$$

$$EOK = - \left. \frac{dV_o}{dX} \right]_K$$

## LOAD THE E1 DIAGONAL

$$E1(1) = 0$$

$$E1(2) = 0$$

$$J = 3$$

D) 32 I = P,M

$$E1(J) = \frac{qH2}{\epsilon_s V_T} N_o(I) = \frac{1}{2} cs \exp \frac{V_o(I)}{V_T}$$

$$E1(J+1) = 0$$

$$J = J+2$$

32 CONTINUE

$$E1(J) = \frac{qH2}{\epsilon_s V_T} N_o(K) = \frac{1}{2} cs \exp \frac{V_o(K)}{V_T}$$

$$E1(J+1) = 0$$

$$J = J+2$$

DO 33 I = P1,L1

$$E1(J) = \frac{qH3}{\epsilon_s V_T} N_o(I) = RS * RS * \frac{1}{2} * cs * \exp \frac{V_o(I)}{V_T}$$

$$E1(J+1) = 0$$

$$J = J+2$$

33 CONTINUE

$$E1(J) = \frac{qH3}{\epsilon_s V_T} N_o(M1) = RS * RS * \frac{1}{2} * cs \exp \frac{V_o(M1)}{V_T}$$

## LOAD THE F1 COEFFICIENT

$$F1(1) = - \frac{DKS}{DKI} \frac{X_o}{XH2}$$

$$F1(2) = -1$$

$$J = 3$$

DO 34 I = P,M

$$F1(J) = 1$$

$$F1(J+1) = 1. + \frac{XH2}{2V_T} \left[ \frac{dV_o}{dX} \right]_I = 1 + RV$$

34 CONTINUE

$$F(J) = \frac{2}{RS(1+RS)}$$

$$F(J+1) = \frac{2}{RS(1+RS)} - \frac{XH2}{V_T} \underbrace{\left[ \frac{dV_o}{dX} \right]_K}_{EOK} \frac{1}{RS(1+RS)}$$

$$J = J+2$$

DO 36 I = P1,L1

$$F1(J) = 1$$

$$F1(J+1) = 1. + \frac{XH3}{2V_T} \left[ \frac{dV_o}{dX} \right]_I = 1 + RV$$

36 CONTINUE

$$RF = \frac{V_o(I+1) - V_o(I-1)}{4V_T}$$

## LOAD THE G1 DIAGONAL

G1(1) = 0

G1(2) = 0

J = 3

DO 37 I = P,M

G1(J) = 0

G1(J+1) = 0

J = J+2

37 CONTINUE

G1(J) = 0

G1(J+1) = 0

J = J+1

DO 38 I = P1,L1

G1(J) = 0

G1(J+1) = 0

J = J+2

38 CONTINUE

APPENDIX IV  
MIS TWO-CARRIER PROGRAM

```

FORTRAN IV G LEVEL 21          MAIN          DATE = 74777          15/31/64

0001          COMPLEX A1(1500),R1(1500),C1(1500),D1(1500)
0002          COMPLEX F1(1500),F1(1500),G1(1500),V1(1500),H1(1500)
0003          COMPLEX P1,P2,P3,P4,P5,XIMPED,VIX1(26),CMPLX,VILN
0004          DIMENSION A(500),H(500),C(500),D(500)
0005          DIMENSION V(500),X(500),CC(500),DELTA(500),D2V(500)
0006          DIMENSION VX1(26),VX2(26),VX3(26),VX4(26)
0007          DIMENSION CAP(26),RES(26),VR(26),EX1(26),FX2(26)
0008          INTEGER P1,P2,P3,P4,P5
0009          COMMON A1,H1,C1,D1,E1,F1,G1,H1,A,R,C,D
C DATA REQUIRED IN FORMATC INTEGERS 15, ALL OTHERS E10.2
C DATA LINE 1701,N2,N3,N4,N5
C DATA LINE 20XL,X1,X2,X3,X4,XR (MICRONS)
C DATA LINE 30UPM,UNM(CM**2/VOLT/SEC),EGAP(EV),DOT(MILS)
C DATA LINE 40CNE,CNS1(CM**3),XX1,XX(MICRONS)
C DATA LINE 50TDEG,K1,DK1,DKS,CUSS(COUL/M**2)
C DATA LINE 60CNC,CNVI(CM**3)
C DATA LINE 70VIN,DV,YV
C DATA LINE 80FREQ,FN2,FP2(MOBILITY REDUCTION FACTORS)
C SEVERAL CASES MAY BE RUN ON ONE JOB BUT ALL 10 DATA LINES
C MUST BE LISTED FOR EACH CASE
0010          700 CONTINUE
0011          READ(5,901) N1,N2,N3,N4,N5
0012          901 FORMAT(5I5)
0013          IF (N1-0) 701,701,703
0014          701 WRITE(6,904)
0015          909 FORMAT('1')
0016          STOP
0017          703 CONTINUE
0018          WRITE(6,707)
0019          910 FORMAT('1',' DATA FOR MIS 5-REGION 2-CARRIER FORTRAN PROGRAM')
0020          WRITE(6,902)N1,N2,N3,N4,N5
0021          902 FORMAT('0','N1 =',I4,' N2 =',I4,' N3 =',I4,' N4 =',I4,
1 ' N5 =',I4)
0022          READ(5,904) XN1,XN1,XN2,XN3,XN4,XNR
0023          WRITE(6,904) XN1,XN1,XN2
0024          903 FORMAT(4E10.2)
0025          904 FORMAT('0','XL =',E10.2,' X1 =',E10.2,' X2 =',E10.2)
0026          WRITE(6,903)XN3,XN4,XNR
0027          907 FORMAT('0','X3 =',E10.2,' X4 =',E10.2,' XR =',E10.2)
0028          X0=XN1*1.E-6
0029          X1=XN1*1.E-6
0030          X2=XN2*1.E-6
0031          X3=XN3*1.E-6
0032          X4=XN4*1.E-6
0033          X5=XNR*1.E-6
0034          XL=X0
0035          XR=X5
0036          READ(5,900)UPM,UNM,EGAP,DOT
0037          900 FORMAT(4E10.2)
0038          WRITE(6,902)UPM,UNM,EGAP,DOT
0039          902 FORMAT('0','UPM =',E10.2,' UNM =',E10.2,' EGAP =',E10.2,
1 ' DOT =',E10.2)
0040          UN=UNM*1.E-4
0041          UP=UPM*1.E-4
0042          DIA=25.4*DOT*1.E-6
0043          AREA =3.14159*0.1A**2/4

```

```

FORTRAN IV G. LEVEL 21          WATIN          DATE = 74277          17/11/44

3044 XH1=(X1-X0)/Z1
3045 XH2=(X2-X1)/Z2
3046 XH3=(X3-X2)/Z3
3047 XH4=(X4-X3)/Z4
3048 XH5=(X5-X4)/Z5
3049 N=1+Z3+Z4+Z5
3050 K1=N1
3051 K2=N1+Z2
3052 K3=N1+Z2+Z3
3053 K4=N1+Z2+Z3+Z4
3054 K5=N1+Z2+Z3+Z4+Z5
3055 P1=1
3056 P2=K1+1
3057 P3=K2+1
3058 P4=K3+1
3059 P5=K4+1
3060 M1=K1-1
3061 M2=K2-1
3062 M3=K3-1
3063 M4=K4-1
3064 M5=K5-1
3065 MM5=M5-1
3066 MM4=M4-1
3067 JQ=30+MM5+M1+1
3068 DO 101 I=PI,K1
3069 X(I)=A1+I*Q11
3070 DO 102 I=P2,K2
3071 X(I)=X1+(I-K1)*KH2
3072 DO 103 I=P3,K3
3073 X(I)=X2+(I-K2)*KH3
3074 DO 104 I=P4,K4
3075 X(I)=X3+(I-K3)*KH4
3076 DO 105 I=P5,K5
3077 X(I)=X4+(I-K4)*KH5
3078 READ (5,905)CVF,CV5,CV6,CV7,CV8,CV9,CV10,CV11,CV12
3079 FORMAT(4F10.2)
3080 CV1=CV4+I*5-6
3081 CV2=CV5+I*4-6
3082 DNF=CVF+I*6
3083 DMS=CVS+I*6
3084 WRITE (6,906)CVF,CV5,CV6,CV7,CV8,CV9,CV10,CV11,CV12
3085 FORMAT(10F10.2)
3086 AX=1005-CVF/22.
3087 CX=1005+DNF/22.
3088 DO 106 I=K1,M5
3089 CC(I)=AR*TANH(X(I)-KH1)/KH1+CX
3090 READ(5,907)DKT,DKT1,DKT2,DKT3,CSS
3091 FORMAT(4F10.2)
3092 HK=R+Z2*Z4-5
3093 READ(5,981)CV,CNV
3094 FORMAT(2F10.2)
3095 CSS=CVS
3096 VT=F*P*G1/G4-25
3097 CUI=50710*CV*CNV)*EXP(-EGAP/(2+KH*T)))*I*F4
3098 CC(I)=C1+I*1--6

```

```

FORTRAN IV G. LEVEL 21          MAIN          DATE = 74277          15/31/44
0029      YU=CC*(Y5)/Z*(C4)
0100      VR=1.0*VTRALOG(VU+SQRT(VW*YU+1))
0101      WRITE(6,904)VT,VZ,C4
0102      FORMAT(10,F10.2,10,F10.2,1)  VR =0.0F10.2,1  C4I =0.0F10.2,1
0103      M2=M01*X01
0104      M2=M2*X42
0105      M3=M01*X04
0106      M4=M04*X04
0107      M5=M05*X05
0108      WRITE(6,915)M1, C,SS,C0K1,CKS
0109      FORMAT(10,F10.2,2X,C0SS+1,2F10.2,
1          10,F10.2,10,F10.2,1)  CKS =0.0F10.2,1
0110      SPOUR=0.05-12
0111      CP1=PK1*EP1
0112      EP5=DKS*EP0
0113      Q=1.62E-19
0114      GE=CFEPS
0115      CO=(EP1*AK+CA)/(X1-X2)
0116      READ(5,911)VIN,DV,NV
0117      FORMAT(2E10,2,15)
0118      WRITE(6,912)VIN,DV,NV,C0
0119      FORMAT(10,F10.2,10,F10.2,1)  DV =0.0F10.2,1  NV =0.0D15,
1          5X,10,C0 =0.0F10.2,1
0120      READ(5,913)R=0,FP2,FP2
0121      FORMAT(3E10,2)
0122      WRITE(6,914)R=0,FP2,FP2
0123      FORMAT(10,F10.2,10,F10.2,1)  FP2 =0.0F10.2,1  FP2 =0.0F10.2,1
C
C      CALCULATION OF POTENTIAL
C
0124      DO 221 I=1,NV
0125      VL=VIN+VZ*OV*(I-1)
C
C      INITIALIZATION
C
0126      VX(I)=VL-V4
C      ALTERNATE INITIALIZATION
C
000=1-2*(EPI*2)*VX(I)/FPS/DNF/(X0**2)
02=(DKS/OK1)*2*(1-X1*(I-0WE1)*(-1.5)*RT(0.0))
VX1(I)=VX(I)*OV*V/EPI
VX2(I)=VX1(I)*((0**2)/Z*-PS/0/DNF
VX3(I)=V2
VX4(I)=V2
C      END OF ALTERNATE
VX1(I)=V4
VX2(I)=V4
VX3(I)=V4
VX4(I)=V4
VX1=VX1(I)
00 223 I=1,N1
0133      V(I)=VL*(VX1(I)-VL)*((X(I)-X2)/(X1-X2))
0134      DO 221 I=1,N2
0135      V(I)=VX1(I)*((VX2(I)-VX1(I)-X1)/(X2-X1))
0136      DO 222 I=0,N3
0137      V(I)=VX2(I)*((VX3(I)-VX2(I))*((X(I)-X2)/(X3-X2)))
0138      DO 223 I=0,N4

```



```

FORMAN IV'S LEVEL 21      MAIN      DATE = 74277      15/31/64

0137 254 1FAR5VIT1-17.,1253,254,254
0141 254 WRTF(6,2411),VIT,K(1)
0142 254 VIK2)=VIR2)-.1*DELTA(K2)
0143 254 GO TO 2512
0144 253 CONTINUE
0145 253 A(K2)=1,
0146 253 R(K2)=-11+K2+K2*(12*)*CNI1/(EPS*VT1)*COSH(VIK2)/VT1)
0147 253 C(K2)=K2
0148 253 D(K2)=-K2*(12)*VIR21+0*CC(K21)/EPS-2.*CNI*Q*STW(VIT1)/EPS)
0149 253 NO 212 1=0 3,4
0203 256 1FAR5VIT1-17.,1255,256,256
0204 256 WRTF(6,2411),VIT,K(1)
0205 256 V(1)=V(11)-.1*DELTA(K1)
0206 256 GO TO 2513
0207 255 CONTINUE
0208 255 A(1)=1,
0209 255 Q(1)=-12.+13*G.*COSH(VIT1)
0210 255 C(1)=1,
0211 255 D(1)=-43*(12)*V(1)+0*CC(11)/EPS-2.*CNI*Q*STW(VIT1)
0212 255 CONTINUE
0213 255 EK3=KH3/2.1*(V13+XK4)
0214 255 EK3=KH3/VK4
0215 255 VIT=VIR31/VT
0216 255 1FAR5VIT1-17.,1257,259,259
0217 255 WRTF(6,2411),VIT,K(1)
0218 255 VIK3)=VIR31)-.1*DELTA(K3)
0219 255 GO TO 2514
0220 257 CONTINUE
0221 257 A(K3)=1,
0222 257 R(K3)=-11+K3+K3*(12*)*CNI1/(EPS*VT1)*COSH(VIR31)/VT1)
0223 257 C(K3)=K3
0224 257 D(K3)=-K3*(12)*VIR31+0*CC(K31)/EPS-2.*CNI*Q*STW(VIT1)/EPS)
0225 257 NO 214 1=0 4,4
0226 255 VIT=V(11)/VT
0227 255 1FAR5VIT1-17.,1259,260,260
0228 255 WRTF(6,2411),VIT,K(1)
0229 255 V(1)=V(11)-.1*DELTA(K1)
0230 255 GO TO 2515
0231 259 CONTINUE
0232 259 A(1)=1,
0233 259 Q(1)=-12.+14*G.*COSH(VIT1)
0234 259 C(1)=1,
0235 259 D(1)=-44*(12)*V(1)+0*CC(11)/EPS-2.*CNI*Q*STW(VIT1)
0236 259 CONTINUE
0237 259 EK4=KH4/XH5
0238 259 EK4=KH4/2.1*(V14+XK5)
0239 259 VIT=VIR41/VT
0240 259 1FAR5VIT1-17.,1261,262,262
0241 259 WRTF(6,2411),VIT,K(1)
0242 259 V(K4)=VIR41)-.1*DELTA(K4)
0243 259 GO TO 2516
0244 261 CONTINUE
0245 261 A(K4)=1,
R(K4)=-11+K4+K4*(12*)*CNI1/(EPS*VT1)*COSH(VIR41)/VT1)
C(K4)=K4

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FORTRAN IV G LEVEL 21          MAIN          DATE = 74277          15/31/66

0237          CS2=H2*2.*I*CN1/VT/EPS
0238          CS3=H3*2.*I*CN1/VT/EPS
0239          CS4=H4*2.*I*CN1/VT/EPS
0310          CS5=H5*2.*I*CN1/VT/EPS
C              LOAD THE A1 DIAGONAL
0311          J=1
0312          A1(J,1)=(0.,0.,0.)
0313          A1(J+1,1)=(0.,0.,0.)
0314          A1(J+2,1)=(0.,0.,0.)
0315          J=J+3
0316          DO 301 I=P2,M2
0317          A1(J,1)=(1.,0.,0.)
0318          RV=(V(I+1)-V(I-1))/4./VT
0319          A1(J+1,1)=CMPLX(1.,-RV,0.)
0320          A1(J+2,1)=CMPLX(1.,+RV,0.)
0321          J=J+3
0322          CONTINUE
C 301
C K2
0313          A1(J,1)=CMPLX(2./XS2,0.)
0314          A1(J+1,1)=CMPLX((2./XS2)*((RS2*EK2*XH2)/(XS2*VT)),0.)
0315          A1(J+2,1)=CMPLX((2./XS2)-((RS2*EK2*XH2)/(XS2*VT)),0.)
0316          J=J+3
0317          DO 302 I=P3,M3
0318          A1(J,1)=(1.,0.,0.)
0319          RV=(V(I+1)-V(I-1))/4./VT
0320          A1(J+1,1)=CMPLX(1.,-RV,0.)
0321          A1(J+2,1)=CMPLX(1.,+RV,0.)
0322          J=J+3
0323          CONTINUE
C 302
C K3
0324          A1(J,1)=CMPLX(2./XS3,0.)
0325          A1(J+1,1)=CMPLX((2./XS3)*((RS3*EK3*XH3)/(XS3*VT)),0.)
0326          A1(J+2,1)=CMPLX((2./XS3)-((RS3*EK3*XH3)/(XS3*VT)),0.)
0327          J=J+3
0328          DO 303 I=P4,M4
0329          A1(J,1)=(1.,0.,0.)
0330          RV=(V(I+1)-V(I-1))/4./VT
0331          A1(J+1,1)=CMPLX(1.,-RV,0.)
0332          A1(J+2,1)=CMPLX(1.,+RV,0.)
0333          J=J+3
0334          CONTINUE
C 303
C K4
0335          A1(J,1)=CMPLX(2./XS4,0.)
0336          A1(J+1,1)=CMPLX((2./XS4)*((RS4*EK4*XH4)/(XS4*VT)),0.)
0337          A1(J+2,1)=CMPLX((2./XS4)-((RS4*EK4*XH4)/(XS4*VT)),0.)
0338          J=J+3
0339          DO 304 I=P5,M5
0340          A1(J,1)=(1.,0.,0.)
0341          RV=(V(I+1)-V(I-1))/4./VT
0342          A1(J+1,1)=CMPLX(1.,-RV,0.)
0343          A1(J+2,1)=CMPLX(1.,+RV,0.)
0344          J=J+3
0345          CONTINUE
C 304
C              LOAD THE B1 DIAGONAL
0346          J=1
0347          B1(J)=(0.,0.,0.)

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FORT-RAN IV G LEVEL 21          MAIN          DATE = 74277          15/31/44

0348      A1(J+1)=(0.,0.)
0349      A1(J+2)=(0.,0.)
0350      J=J+1
0351      DO 315 I=P2,M2
0352      A1(J)=(0.,0.)
0353      A1(J+1)=(0.,0.)
0354      A1(J+2)=CMPLX(0.,XP2)
0355      J=J+3
0356      315 CONTINUE
          C K2

0357      A1(J)=(0.,0.)
0358      A1(J+1)=(0.,0.)
0359      A1(J+2)=CMPLX(0.,XP2)
0360      J=J+3
0361      DO 316 I=P3,M3
0362      A1(J)=(0.,0.)
0363      A1(J+1)=(0.,0.)
0364      A1(J+2)=CMPLX(0.,XP3)
0365      J=J+3
0366      316 CONTINUE
          CK3

0367      A1(J)=(0.,0.)
0368      A1(J+1)=(0.,0.)
0369      A1(J+2)=CMPLX(0.,XP3)
0370      J=J+3
0371      DO 317 I=P4,M4
0372      A1(J)=(0.,0.)
0373      A1(J+1)=(0.,0.)
0374      A1(J+2)=CMPLX(0.,XP4)
0375      J=J+3
0376      317 CONTINUE
          CK4

0377      A1(J)=(0.,0.)
0378      A1(J+1)=(0.,0.)
0379      A1(J+2)=CMPLX(0.,XP4)
0380      J=J+3
0381      DO 318 I=P5,M5
0382      A1(J)=(0.,0.)
0383      A1(J+1)=(0.,0.)
0384      A1(J+2)=CMPLX(0.,XP5)
0385      J=J+3
0386      318 CONTINUE
          C          LOAD THE CI DIAGONAL
          J=1
0387      C1(J)=(0.,0.)
0388      C1(J+1)=(0.,0.)
0389      C1(J+2)=(0.,0.)
0390      C1(J+3)=(0.,0.)
0391      J=J+3
0392      DO 319 I=P2,M2
0393      C1(J)=(0.,0.)
0394      C1(J+1)=CMPLX(0.,XP2)
0395      C1(J+2)=(0.,0.)
0396      J=J+3
0397      319 CONTINUE
          CK2

0398      C1(J)=(0.,0.)

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FORTRAN IV G LEVEL 21          MAIN          DATE = 74277          15/31/44

0379      C1(J+1)=CMPLX(0.,XN2)
0400      C1(J+2)=(0.,0.)
0401      J=J+3
0402      DO 316 I=P3,M3
0403      C1(J)=(0.,0.)
0404      C1(J+1)=CMPLX(0.,XN3)
0405      C1(J+2)=(0.,0.)
0406      J=J+3
0407      CONTINUE
316      CK3
0408      C1(J)=(0.,0.)
0409      C1(J+1)=CMPLX(0.,XN3)
0410      C1(J+2)=(0.,0.)
0411      J=J+3
0412      DO 317 I=P4,M4
0413      C1(J)=(0.,0.)
0414      C1(J+1)=CMPLX(0.,XN4)
0415      C1(J+2)=(0.,0.)
0416      J=J+3
0417      CONTINUE
317      CK4
0418      C1(J)=(0.,0.)
0419      C1(J+1)=CMPLX(0.,XN4)
0420      C1(J+2)=(0.,0.)
0421      J=J+3
0422      DO 318 I=P5,M5
0423      C1(J)=(0.,0.)
0424      C1(J+1)=CMPLX(0.,XN5)
0425      C1(J+2)=(0.,0.)
0426      J=J+3
0427      CONTINUE
318      C
319      LOAD THE DIAGONAL
320      J=1
0428      D1(J)= CMPLX(1.+DK5*X1/DK1/XM2),0.)
0429      D1(J+1)=(1.,0.)
0430      D1(J+2)=(1.,0.)
0431      J=J+3
0432      DO 325 I=P7,M7
0433      VIT=V(I)/VT
0434      D1(J)=CMPLX(-2.-CS2*COSSH(VIT),0.)
0435      D1(J+1)=CMPLX(-2.-XM2)
0436      D1(J+2)=CMPLX(-2.-XP2)
0437      J=J+3
0438      CONTINUE
325      CK2
0439      C
0440      VIT=V(K2)/VT
0441      D1(J)=CMPLX((-2.-/RS2)-CS2*COSSH(VIT),0.)
0442      D1(J+1)=CMPLX((-2./RS2)-(XT2*XH2*EK2/RS2/VT),-XM2)
0443      D1(J+2)=CMPLX((-2./RS2)+(XT2*XH2*EK2/RS2/VT),-XP2)
0444      J=J+3
0445      DO 326 I=P3,M3
0446      VIT=V(I)/VT
0447      D1(J)=CMPLX((-2.-CS3*COSSH(VIT),0.)
0448      C1(J+1)=CMPLX(-2.-XM3)
0449      D1(J+2)=CMPLX(-2.-XP3)
0450      J=J+3

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FORTRAN IV G LEVEL 21          MAIN          DATE = 74277          15/31/66

0451          326 CONTINUE
C K 3
0452          VIT=V(K3)/VT
0453          D1(J)=CMPLX((-2./RS3)-CS*CSH(VIT),0.)
0454          D1(J+1)=CMPLX((-2./RS3)-(XT3*KH3*EK3/RS3/VT),-XN3)
0455          D1(J+2)=CMPLX((-2./RS3)+(XT3*KH3*EK3/RS3/VT),-XP3)
0456          J=J+3
0457          DO 32Z I=P4,M4
0458          VIT=V(I)/VT
0459          D1(J)=CMPLX(-2.-CS4*CSH(VIT),0.)
0460          D1(J+1)=CMPLX((-2.*-XN4)
0461          D1(J+2)=CMPLX((-2.*-XP4)
0462          J=J+3
0463          CONTINUE
C K 4
0464          VIT=V(K4)/VT
0465          D1(J)=CMPLX((-2./RS4)-CS4*CSH(VIT),0.)
0466          D1(J+1)=CMPLX((-2./RS4)-(XT4*KH4*EK4/RS4/VT),-XN4)
0467          D1(J+2)=CMPLX((-2./RS4)+(XT4*KH4*EK4/RS4/VT),-XP4)
0468          J=J+3
0469          DO 32R I=P5,M5
0470          VIT=V(I)/VT
0471          D1(J)=CMPLX(-2.-CS5*CSH(VIT),0.)
0472          D1(J+1)=CMPLX((-2.*-XN5)
0473          D1(J+2)=CMPLX((-2.*-XP5)
0474          J=J+3
0475          CONTINUE
C
0476          LDAU THE EI TRIAGONAL
C K 2
0477          J=1
0478          E1(J)=(0.,0.)
0479          E1(J+1)=(0.,0.)
0480          E1(J+2)=(0.,0.)
0481          J=J+3
0482          DO 335 I=P2,M2
0483          VIT=V(I)/VT
0484          E1(J)=CMPLX(0.5*CS2*EXP(VIT),0.)
0485          E1(J+1)=(0.,0.)
0486          E1(J+2)=(0.,0.)
0487          J=J+3
0488          CONTINUE
C K 2
0489          VIT=V(K2)/VT
0490          E1(J)=CMPLX(0.5*CS2*EXP(VIT),0.)
0491          E1(J+1)=(0.,0.)
0492          E1(J+2)=(0.,0.)
0493          J=J+3
0494          DO 336 I=P3,M3
0495          VIT=V(I)/VT
0496          E1(J)=CMPLX(C.5*CS3*EXP(VIT),0.)
0497          E1(J+1)=(0.,0.)
0498          E1(J+2)=(0.,0.)
0499          J=J+3
0500          CONTINUE
C K 3
0501          VIT=V(K3)/VT
          E1(J)=CMPLX(0.5*CS3*EXP(VIT),0.)

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FORTRAN IV G LEVEL 21          MAIN          DATE = 74277          15/31/64

0522          F1(J+1)=(0.,0.)
0523          F1(J+2)=(0.,0.)
0524          J=J+1
0525          DO 337 I=P4,M4
0526             VIT=V(I)/VT
0527             E1(J)=CMPLX(0.5*CS4*EXP(VIT),0.)
0528             F1(J+1)=(0.,0.)
0529             F1(J+2)=(0.,0.)
0530             J=J+3
0531          337 CONTINUE
          CK4

0512          VIT=V(K4)/VT
0513             E1(J)=CMPLX(0.5*CS4*EXP(VIT),0.)
0514             F1(J+1)=(0.,0.)
0515             F1(J+2)=(0.,0.)
0516             J=J+3
0517          DO 338 I=P5,M5
0518             VIT=V(I)/VT
0519             E1(J)=CMPLX(0.5*CS5*EXP(VIT),0.)
0520             F1(J+1)=(0.,0.)
0521             F1(J+2)=(0.,0.)
0522             J=J+3
0523          338 CONTINUE
          C          LOAD THE FL DIAGONAL
0524             J=1
0525             F1(J)=(0.,0.)
0526             F1(J+1)=(0.,0.)
0527             F1(J+2)=(0.,0.)
0528             J=J+3
0529          DO 345 I=P2,M2
0530             VIT=V(I)/VT
0531             F1(J)=CMPLX(0.5*CS2*EXP(-VIT),0.)
0532             F1(J+1)=(0.,0.)
0533             F1(J+2)=(0.,0.)
0534             J=J+3
0535          345 CONTINUE
          C K2

0536             VIT=V(K2)/VT
0537             F1(J)=CMPLX(0.5*CS2*EXP(-VIT),0.)
0538             F1(J+1)=(0.,0.)
0539             F1(J+2)=(0.,0.)
0540             J=J+3
0541          DO 346 I=P3,M3
0542             VIT=V(I)/VT
0543             F1(J)=CMPLX(0.5*CS3*EXP(-VIT),0.)
0544             F1(J+1)=(0.,0.)
0545             F1(J+2)=(0.,0.)
0546             J=J+3
0547          346 CONTINUE
          CK3

0548             VIT=V(K3)/VT
0549             F1(J)=CMPLX(0.5*CS3*EXP(-VIT),0.)
0550             F1(J+1)=(0.,0.)
0551             F1(J+2)=(0.,0.)
0552             J=J+3
0553          DO 347 I=P4,M4

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FORTRAN IV C LEVEL 21          MAIN          DATE = 74277          15/31/44

0574      VIT=V(I)/VT
0575      F(I,J)=CMPLX(I,0)*CS+*EXPI(-VIT),0.)
0576      F(I,J+1)=(0.,0.)
0577      F(I,J+2)=(0.,0.)
0578      J=J+3
0579      CONTINUE
0580      347 CONTINUE
0581      CR4
0582      VIT=V(K4)/VT
0583      F(I,J)=CMPLX(I,0)*CS4+*EXPI(-VIT),0.)
0584      F(I,J+1)=(0.,0.)
0585      F(I,J+2)=(0.,0.)
0586      I=I+3
0587      DO 348 I=P5,M5
0588      VIT=V(I)/VT
0589      F(I,J)=CMPLX(I,0)*CS5+*EXPI(-VIT),0.)
0590      F(I,J+1)=(0.,0.)
0591      F(I,J+2)=(0.,0.)
0592      J=J+3
0593      CONTINUE
0594      348 CONTINUE
0595      C
0596      LOAD THE GI DIAGONAL
0597      J=1
0598      G(I,J)=DM5*X1/DKI/XM2+0.)
0599      G(I,J+1)=(-1.,0.)
0600      G(I,J+2)=(-1.,0.)
0601      J=J+3
0602      DO 351 I=P2,M2
0603      G(I,J)=(-1.,0.)
0604      RV=(V(I+1)-V(I-1))/4./VT
0605      G(I,J+1)=CMPLX(I,0)*RV,0.)
0606      G(I,J+2)=CMPLX(I,0)*RV,0.)
0607      J=J+4
0608      CONTINUE
0609      351 CONTINUE
0610      C K2
0611      G(I,J)=CMPLX(I2./XS2/R52+X12./XS2/R52+X22./XS2/R52+X32./XS2/R52+0.)
0612      G(I,J+1)=CMPLX(I2./XS2/R52+X12./XS2/R52+X22./XS2/R52+X32./XS2/R52+0.)
0613      J=J+3
0614      DO 352 I=P3,M3
0615      G(I,J)=(-1.,0.)
0616      RV=(V(I+1)-V(I-1))/4./VT
0617      G(I,J+1)=CMPLX(I1,0)*RV,0.)
0618      G(I,J+2)=CMPLX(I1,0)*RV,0.)
0619      J=J+3
0620      CONTINUE
0621      352 CONTINUE
0622      C K3
0623      G(I,J)=CMPLX(I2./XS3/R53+X12./XS3/R53+X22./XS3/R53+X32./XS3/R53+0.)
0624      G(I,J+1)=CMPLX(I2./XS3/R53+X12./XS3/R53+X22./XS3/R53+X32./XS3/R53+0.)
0625      J=J+3
0626      DO 353 I=P4,M4
0627      G(I,J)=(-1.,0.)
0628      RV=(V(I+1)-V(I-1))/4./VT
0629      G(I,J+1)=CMPLX(I1,0)*RV,0.)
0630      G(I,J+2)=CMPLX(I1,0)*RV,0.)
0631      J=J+3
0632      CONTINUE
0633      353 CONTINUE
0634      C
0635

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FCRTAN IV G LEVEL 21 MAIN DATE = 74277 15/31/44

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0636          G11J  1=CMBLX12./X54.*2.)
0607          G11J+1)=C2PLX12./X54./454-1444444/454/X54/VT.*2.)
0638          G11J+2)=CMBLX12./X54./454+4444444/454/X54/VT.*2.)
0639          J=I+1
0610          ND 354 1=PS,*5
0611          G11J  1=(1.,*2.)
0612          4V=1V11+11)-V11-111/4./VT
0613          G11J+1)=(4V1 V11.*4V.*2.)
0614          G11J+2)=(CMBLX11.-4V.*2.)
0615          J=I+1
0616          GOVT=ND1
0617          VIL=VT
0618          M111)=C2PLXVIL.*2.)
0619          ND 355 J=2./J
0620          M11J)=(1.,*2.)
0621          CALC DIASGZ7J0.VILV.*1.*K2.*K3.*K4)
0622          V1X11IP)=VILN
0623          Y=REAL(V1X11IP)/VIL)
0624          Z=AIMAG(V1X11IP)/VIL)
0625          4HAR=1.-Y
0626          CF=2.*4.*161508F2E/4C
0627          X1MPED=(1.-1./CMBLX1CF*499AR+.-CF*7)
0628          4RI=REAL(X1MPED)
0629          CAP(IP)=4HAR
0630          RES(IP)=4RI
0631          WRITE (6,135)
0632          GOVT=ND1
0633          FOWMATE(10,4)='VH',1DX,1CL/2,2,7R,10,11R,1VIL,10X,1EX1,10X,1EX2)
0634          ND 356 1P=1,1V
0635          M11F(6,34)='V11IP),CAP(IP),RES(IP),V11(IP),X11(IP),4X2(IP)
0636          FOWMATE(10,4)='V11IP),4CL/2,3,2X1)
0637          GO TO 70)
0638          W1FF(6,42)
0639          FOWMATE(10,4)='IT 4ATION 4444444 4444444 TO 4444444)
0640          WRITE (6,435)
0641          ND1356 1P=1,1P
0642          W1FF(6,43)='V11IP),CAP(IP),RES(IP),V11(IP),X11(IP),4X2(IP)
0643          GO TO 70)
0644          FND

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FORTRAN IV IS LEVEL 21          DIAGM3          DATE = 74277          15/11/44

0001      SUBROUTINE DIAGM3(M5,DMAX,IMAX,DELTA1)
0002      COMPLEX A1(1500),F1(1500),G1(1500),V1(1500),H1(1500)
0003      COMPLEX F1(1500),F1(1500),G1(1500),V1(1500),H1(1500),H1(1500)
0004      COMPLEX A1,R2,R3,R4,R5,X1MPEL,V1X1(26),CMPLX,V1LV
0005      DIMENSION A1(500),F1(500),G1(500),V1(500)
0006      DIMENSION V1(26),VX2(26),VX3(26),VX4(26),VX5(26)
0007      DIMENSION CAP(26),REST(26),VB(26),EX1(26),EX2(26)
0008      INTEGER P1,P2,P3,P4,P5
0009      COMMON A1,H1,C1,D1,E1,F1,G1,H1,A,H,C,D
0010      MMS=M5-1
0011
0012      C      ELIMINATION OF THE A DIAGONAL
0013      DO 421 I=1,MMS
0014      A(I+1)=A(I+1)-C(I)*A(I+1)/R(I)
0015      G(I+1)=G(I+1)-D(I)*G(I+1)/R(I)
0016      C      HACK SUBSTITUTION
0017      DELTA(M5)=D(M5)/H(M5)
0018      DMAX=0.
0019      IMAX=1
0020      DO 402 K=1,MMS
0021      I=MMS-K+1
0022      DELTA(I)=(D(I)-C(I)*DELTA(I+1))/H(I)
0023      IF(ABS(DELTA(I))-ARSIOMAX) 402,402,403
0024      IMAX=I
0025      CONTINUE
0026      RETURN
0027      END

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FORTRAN IV G LEVEL 21          21ACM7          DATE = 74277          15/31/64

0056      IF(ABS(MII).LT.1.E-30)MII=10.*MII
0057      H1(1)=CMPLX(MIR,MII)
0058      R1=C1(I+1)/D1(I)
0059      R2=REAL(R1)
0060      R3=AIMAG(R1)
0061      IF(ABS(R1R)-1.E-30)5011,5012,5012
0062      J=1
0063      R14=1.E10*(R1R+1.E-30)
0064      J=J+1
0065      IF(J.GT.21)GO TO 5012
0066      GO TO 5013
0067      CONTINUE
0068      IF(ABS(R1E)-1.E-30)5111,5112,5112
0069      J=1
0070      R11=1.E10*(R1E+1.E-30)
0071      J=J+1
0072      IF(J.GT.21)GO TO 5112
0073      GO TO 5113
0074      CONTINUE
0075      R1=CMPLX(R1R,R1I)
0076      R2=REAL(R2)
0077      R2I=AIMAG(R2)
0078      IF(ABS(R2R)-1.E-30)5211,5212,5212
0079      J=1
0080      R2P=1.E10*(R2R+1.E-30)
0081      J=J+1
0082      IF(J.GT.21)GO TO 5212
0083      GO TO 5213
0084      CONTINUE
0085      IF(ABS(R2I)-1.E-30)5311,5312,5312
0086      J=1
0087      R21=1.E10*(R2I+1.E-30)
0088      J=J+1
0089      IF(J.GT.21)GO TO 5312
0090      GO TO 5313
0091      CONTINUE
0092      R2=CMPLX(R2R,R2I)
0093      R3=A1(I+3)/D1(I)
0094      R3R=REAL(R3)
0095      R3I=AIMAG(R3)
0096      IF(ABS(R3R)-1.E-30)5411,5412,5412
0097      J=1
0098      R3P=1.E10*(R3R+1.E-30)
0099      J=J+1
0100      IF(J.GT.21)GO TO 5412
0101      GO TO 5413
0102      CONTINUE
0103      IF(ABS(R3I)-1.E-30)5511,5512,5512
0104      J=1
0105      R3I=1.E10*(R3I+1.E-30)
0106      J=J+1
0107      IF(J.GT.21)GO TO 5512
0108      GO TO 5513
0109      CONTINUE
0110      R3=CMPLX(R3R,R3I)
0111

```

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FORTRAN IV G LEVEL 21          DIAGN7          DATE = 74277          1F/31/44

0112          O1(I+1)=O1(I+1)+Q1*F1(I)
0113          F1(I+1)=F1(I+1)+Q1*F1(I)
0114          F1(I+1)=F1(I+1)+Q1*G1(I)
0115          H1(I+1)=H1(I+1)+R1*H1(I)
0116          C1(I+2)=C1(I+2)+R2*F1(I)
0117          O1(I+2)=O1(I+2)+R2*F1(I)
0118          F1(I+2)=F1(I+2)+R2*G1(I)
0119          H1(I+1)=H1(I+1)+R3*F1(I)
0120          C1(I+1)=C1(I+1)+R3*F1(I)
0121          O1(I+1)=O1(I+1)+R3*G1(I)
0122          H1(I+1)=H1(I+1)+R3*H1(I)
0123          IF (I-JK2)1,2,1
C          IF (I-JK3)1,2,1
C          IF (I-JK4)1,2,1
C 2
C943          WRITE(6,943)
C          FORMAT(20,'VALUES OF THE ELEMENTS AT I =',I5)
C          WRITE(6,928)H1(I),A1(I+1),A1(I+2)
C          WRITE(6,929)C1(I),C1(I+1),C1(I+2)
C          WRITE(6,930)O1(I),O1(I+1),O1(I+2)
C          WRITE(6,931)F1(I),F1(I+1),F1(I+2)
C          WRITE(6,932)H1(I),H1(I+1),H1(I+2)
C          WRITE(6,933)G1(I),G1(I+1),G1(I+2)
C          WRITE(6,934)H1(I),H1(I+1),H1(I+2)
C          WRITE(6,935)R1,R2,R3
C          CONTINUE
C 1
501          CONTINUE
0124          I=J02
0125          W1=C1(I+1)/O1(I)
0126          W2=R1(I+2)/O1(I)
0127          O1(I+1)=O1(I+1)+Q1*W1(I)
0128          F1(I+1)=F1(I+1)+Q1*W2(I)
0129          H1(I+1)=H1(I+1)+R1*W1(I)
0130          C1(I+2)=C1(I+2)+R1*W1(I)
0131          O1(I+2)=O1(I+2)+R2*W1(I)
0132          W1(I+2)=H1(I+2)+R2*W1(I)
0133          I=J03
0134          R1=C1(I+1)/O1(I)
0135          O1(I+1)=O1(I+1)+R1*E1(I)
0136          H1(I+1)=H1(I+1)+R1*H1(I)
0137          BACK SUBSTITUTION
C          VI(J0)=H1(J0)/O1(J0)
0138          VI(J01)=H1(J01)-F1(J01)*VI(J0)/O1(J01)
0139          VI(J02)=H1(J02)-F1(J02)*VI(J01)-F1(J02)*VI(J0)/O1(J02)
0140          DO 504 K=1,J03
0141          I=J03-K+1
0142          VI(I)= (H1(I)-C1(I)*VI(I+1)-F1(I)*VI(I+2)-G1(I)*VI(I+3))/
0143          O1(I)
C          CONTINUE
504          VILN=VI(1)
0144          QCTIME=Q
0145          PRINT*Q
0146          ENH
0147

```

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## AUTOBIOGRAPHICAL STATEMENT

Mr. Camisa received his B.E.E. and M.E.E. degrees from the City College of the City University of New York in 1965 and 1969, respectively.

From 1965 to 1967 he was a Member of the Technical Staff at the RCA Advanced Communications Laboratory, New York. While there he worked on microwave filters, low noise parametric amplifiers and microwave integrated circuit techniques.

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From 1970 to 1974 he was a part time lecturer teaching courses in electromagnetic theory, electronics and microwave measurements. At the University he also worked as a graduate research assistant investigating the use of MIS varactors in microwave networks.

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