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THE STATIC AND DYNAMIC MEAN SCATTERING
CROSS-SECTIONS FROM ROUGH SURFACES

by

SEUNG KACK CHA

A dissertation submitted to the Graduate
Faculty in Engineering in partial fulfill-
ment of the requirements for the degree
of Doctor of Philosophy, The City
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Abstract

The static and dynamic mean scattering
cross-sections from rough surfaces

by

Seung Kack Cha

Advisor: Professor George Eichmann

This dissertation investigates the phenomena of scattering from rough surfaces. Three scattering problems are considered; backscattering from an isotropic rough surface, scattering near grazing angle from a moving ocean surface and Doppler scattering from a stationary surface by moving source-observer. The rough surface is assumed to be a real, isotropic Gaussian surface height random process with Gaussian surface height and Gaussian-travelling wave-like surface height correlation function for the static and dynamic rough surfaces, respectively. The surface is considered to be an ideal conductor. The static and dynamic ensemble averaged mean scattering cross sections and ensemble and time averaged temporal frequency spectra are evaluated. The ensemble averages are obtained for arbitrary Gaussian surface height realizations. The results show good agreement with measured data.

CHAPTER I INTRODUCTION

1.1 Background

The problem of scattering from rough surfaces arises in the many areas; electromagnetic and acoustic wave propagations, optical scattering, radar and communication etc. Literature on experimental studies on rough surface scattering is abundantly available. Data has been collected on various rough surfaces i.e. land, sea, planetaries and man-made laboratory rough surfaces etc.^{1,2,3,4}. The data are usually presented in terms of the scattering cross section. The scattering cross section gives information on the nature of the scattering surface and it is a function of the angles of wave incidence and the wave scattered.

The first theoretical investigation on the scattering from static rough surfaces was introduced by Rayleigh⁵ followed by Rice⁶. Their approach is based on the perturbations of the rough surface and is now known as small surface perturbation method. Shortly after Rice, Isacovitch⁷ introduced a new approach called the physical optics approximation. In this approximation the scattering field is obtained from an image current that is induced by the incident field. The result of small surface perturbation analysis is generally regarded as a better interpretation of the physical data for relatively low degree of surface roughness while the

result of the physical optics approximation is generally regarded as a better interpretation of the scattering data for fairly rough surfaces. The surface roughness depends on the wavelength of the source. For large frequency, a small change of the surface dimension will cause a large phase shift of the incident field yielding a large effect on the scattered field. Further, the physical optics results agree with the data for near normal angle of wave incidence while the perturbation approach predicts the data for near grazing angle of incidence even when the surface is very rough². Neither theories explain the data for all angle of wave incidence.

A number of modifications, especially to the physical optics approximation, has been introduced in order to improve the validity of the model. These are non-Gaussian correlation functions^{8,9}, composite statistical surface^{10,11}, shadowing correction to the effective scattering surface^{12,13,14}, non-Gaussian joint distribution functions^{15,16} and multiple scattering by the use of the ray double bounce¹⁷ etc.

For the dynamic rough surface scattering, and the scattering by static rough surface due to a moving source-observer, both the Doppler power spectrum and dynamic scattering cross section is measured¹⁹⁻²⁴. The Doppler power spectrum yields information on either the relative rough surface movements or the relative source movements. The theoretical investigation on the Doppler power spectrum are presented by various authors using physical optics^{23,24} and perturbation-geometrical optics^{21,25-29}.

In this thesis the physical optics approximation together with ensemble averaging over a number of surface realizations is used to predict the mean square fields. In the next two sections, the pertinent facts on the physical optics approximation and the statistical averaging is discussed.

1.2 Physical Optics Approximation(PO)

This approach is based on approximating boundary conditions for the scattered wave equation by a magnetic image current. This approach has various names i.e. Kirchhoff boundary condition, image current theory, local tangent approximation and physical optics approximation. PO assumes that the field is negligible in the shadow surface region and the scattered field on the illuminated surface is twice induced current. By this approximation, the scalar scattered far field can be written, by the use of the Kirchhoff-Helmholtz integral¹ as

$$\underline{E} = \frac{j e^{jkR}}{2\pi R} \int_{S_0} (R_F \underline{P}_1 - \underline{P}_2) \cdot \underline{a}_n e^{j\underline{P}_1 \cdot \underline{r}} dS_0 \quad (1.2-1)$$

where R_F are Fresnel reflection coefficients which depend on the polarization and angles of wave incidence and electrical properties of the illuminating surface and

$$\underline{P}_1 = \underline{k}_i - \underline{k}_s \quad (1.2-2)$$

$$\underline{P}_2 = \underline{k}_i + \underline{k}_s \quad (1.2-3)$$

with \underline{k}_i and \underline{k}_s are incident and scattered wave propagation vectors. R is a distance from origin to the observation point, \underline{r} is the local surface radius vector

$$\underline{r} = x\underline{a}_x + y\underline{a}_y + \zeta(x,y)\underline{a}_z \quad (1.2-4)$$

where $\zeta(x,y)$ is a local surface height measured from x-y plane which is the mean plane and \underline{a}_n is the local surface

unit normal vector and S_0 is the illuminated scattering area. The scattered scalar far field E is a spherical wave with an amplitude that is proportional to the transform of the effective optical surface current distribution on the scattering surface. The vector form of the Kirchhoff-Helmholtz integral is known as Stratton-Chu integral³⁰. However modified Stratton-Chu integral, the Silver integral³¹, is frequently used. The vector scattered field due to the Silver integral has a cross-polarized field term³². For backscattering from ideal conductor this term disappears. In fact all integral expressions, the Kirchhoff-Helmholtz, the Silver and Kodis³³ reduce to an same form for backscattering from an ideal conducting surface. Parkins²³ also derived identical form for backscattering from time dependent scalar Kirchhoff integral for his acoustic wave scattering investigation from rigid rough boundary.

1.3 Statistical Averaging of the Scattered Fields

To evaluate the PO integral the functional representation of the rough surface and its directional partial derivatives must be available. The rough surfaces are described by their statistical properties. In this dissertation the surface is assumed to be a Gaussian stochastic surface height process. The scattering field is also a stochastic process since the field is a function of the random surface and its partial derivatives. Since the data is given in terms of the scattering cross section which is proportional to the first two statistical moments of the scattered field it is necessary to know the detailed statistics of the surface. Hoffman³⁴ has evaluated the moments of the field, which are moments of Wiener integrals, using the Karhunen-Loeve expansion theorem. This theorem states that the random process $\zeta(r)$ has an orthogonal expansion in the interval L

$$\zeta(r) = \sum_m \lambda_m z_m q_m(r) \quad m \in L \quad (1.2-5)$$

where z_m 's are uncorrelated, orthogonal random variables

$$\langle z_m z_n^* \rangle = \delta_{mn} \quad (1.2-6)$$

and

$$\int_{|r| \in L} q_m(r) q_n^*(r) dr = \delta_{mn} \quad (1.2-7)$$

if and only if $|\lambda_m|^2$ and $q_m(r)$ are the eigenvalues and eigenfunctions of the integral equation

$$\int_{|r| \in L} R(r_1, r_2) q_m(r_2) dr_2 = |\lambda_m|^2 q_m(r_1) \quad (1.2-8)$$

where $R(r_1, r_2)$ is the autocorrelation function of $\xi(r)$. This theorem decomposes the random process into an infinite set of random variables Z_m and an infinite set of deterministic function $q_m(r)$. The various partial derivatives as well as integral are now specified in terms of known, i.e. deterministic functions. The indicated integrations and differentiations can now be formally performed. For a Gaussian process, with arbitrary correlation function, the resulting integrals can then formally be evaluated. The results of this manipulations are available in Hoffman's original paper.

1.4 Scope of the Dissertation

Based on the conditions of the source radiation and the rough surface with respect to the temporal variation, the three scattering models are formulated. These are

- A. The scattering observation by a fixed source-observer from a static rough surface.
- B. The scattering observation by a fixed source and observer from a dynamic rough surface.
- C. The scattering observation by a moving source-observer from a static rough surface.

The field scattering is based on a physical optics approximation and the rough surface is assumed to be a real, isotropic Gaussian process with normalized Gaussian correlation function. These assumptions permit the complete evaluations of the physical optics intergral.

In the first model, the topic of Chapter II, the mean backscattering cross section is evaluated. The main contribution of this investigation is the numerical evaluations of the diffuse, non-coherent mean backscattering cross section valid for all angles of wave incidence, for both smooth and rough surface and for wide range of the source frequency.

In the second model, the topic of Chapter III, the temporal frequency spectrum is evaluated in terms of partial Doppler frequency spectrum. The scattered field is calculated

based on an extended Parkins³² model while the surface is an isotropic, Gaussian random process with correlated function suggested by Medwin and Clay²⁴. The results give complete information of the partial mean scattering cross section, partial Doppler spectral components with Doppler frequency shifts and spreads which are equivalent to the higher order Bragg resonant components. The partial components are a function of the angles of wave incidence near grazing angle of incidence and scattering the source frequency, surface roughness and the velocity and direction of the moving surface. Finally, a second order surface correlation function is proposed and analyzed. This second order model better predicts the measured data if the source wavelength is shorter than 20 m.

In the last model, the topic of Chapter IV, the mean Doppler spectrum is evaluated. The return field from the rough surface, which is assumed to be a real, isotropic Gaussian process, becomes not only temporal non-stationary but also non-isotropic character due to the source velocity. The non-stationary and non-isotropic characters of the PO integrand are main contributions to the mean Doppler spectral spread, while the stationary character determines the magnitude coefficients of the spectrum. The Doppler spectrum spread is due to the surface roughness and the direction cosine of the source velocity.

In the final Chapter, the results are summerized and some additional work is suggested.

CHAPTER II MEAN BACKSCATTERING CROSS SECTION OF AN IDEALLY
CONDUCTING ROUGH SURFACE FOR ARBITRARY ANGLE OF INCIDENCE

2.1 Introduction

When a plane wave impinges on an ideal, flat conductor the wave reflects according to Snell's law in a single direction. Backscattering is therefore only possible for normal angle of incidence. However, when the reflecting surface is rough the wave reflects in various directions and backscattering is possible for all angles of incidence. The terms specular and non-specular scatterings have been coined to denote those angular scattering components that are due to reflection from the mean surface which is assumed to be flat, according to geometrical optics and angular directions away from geometrical optical direction. The subject of this paper is the computation of the non-specular angular scattering.

There are a number of statistical parameters that characterize a rough surface. Two such parameters are length parameters; the height variance and the height correlation length. The variance describes the degree of the surface height roughness while the correlation length yields information on horizontal "periodicity". Since the measured data, on the reflection of electromagnetic waves from rough surface, is usually presented in terms of normalized mean backscattering cross section (MBC), the evaluation of the statistical second order moment of the field which is proportional to the MBC will be performed. For the purpose of physical interpretation it is convenient to divide the MBC near normal angle of incidence

into two component; a quasi-coherent and a diffuse component. The quasi-coherent component is dominant normalized geometrical optic MBC and the diffuse component is the additional contribution to the dominant quasi-coherent MBC. Near grazing angle of incidence it is convenient to decompose the MBC into a coherent and an incoherent component. The coherent component is due to the coherent field contribution while the incoherent component is the additional effect on the MBC.

Data on MBC's are available for a variety of physical surface, such as backscattering from terrains⁵⁻⁷, reflection from planetary surface⁹⁻¹¹, such as Mars and Venus, and reflections from optical surfaces^{12,13}. There are two electromagnetic models that allow the calculation of the MBC; a physical optics and a surface perturbation model. Further each model requires additional assumptions on the nature of the surface statistics for the MBC calculation. In the physical optics model the current on the surface of the scatterer is approximated by an image current obtained using a local infinite tangent plane approximation. The early statistical models assume a Gaussian statistical surface height distribution with height correlation function that is also of Gaussian form. This approach, first introduced by Isacovitch², has been reviewed in early³ as well as a number of recent publications^{4,33}. Although this model correctly predicts specular backscattering for diffuse scattering there are discrepancies between the measured data and the theoretical predictions^{4,7,33}. A Number of modification's have been introduced to the height correlation

function in order to be able to predict the diffuse scattering. One approach uses an exponential correlation function. The improvement here is due to the longer correlation length of exponential damping as compared to the Gaussian correlation function^{24,25}. It has been pointed out, however, that an exponential surface height correlation function can not represent a physical surface^{7,32}. To correct this deficiency a number of authors suggested to use a combination of correlation functions, a Gaussian correlation function for short correlation distances and an exponential correlation function for long correlation distances^{7,24,36}. In the same spirit, composite statistical surface model has been discussed for a single physical surface^{14,15}. In this model two or more independent statistical surface height variables are specified. A large-scale surface height variable produces a strong quasi-coherent backscattering with small additional diffuse backscattering while small-scale surface height variable produces a diffuse backscattering that adds to the diffuse scattering produced by the large-scale surface height variable. Physically, the large-scale surface height variable represents gross feature of the surface like mountains or large ocean waves, while small-scale surface height variable represents detailed features of surfaces, like gravel, stones or ocean ripples. It is possible to postulate two independent statistical variables one which is the surface height and the other is the surface slope²⁵. Since the slope and the height of the surface represent the same physical surface, correction terms, called

shadowing functions that reduce the effective scattering area for a wave with a given oblique angle of incidence, are needed. For a normal angle of incidence the effective area is the physical scattering area, however, for oblique angle of incidence the area is reduced. This area reduction increases the effective scattering cross section leading to an increased diffuse scattering component. A number of shadowing functions are available¹⁶⁻²⁰. It is also possible to introduce non-Gaussian joint distribution functions^{25,26}. However, such distribution function lead to mathematical difficulties and complicate physical interpretations of the results. It is also possible to improve the physical optics approximation. These improvements depend on the introduction of either an edge effect^{1,3} or the inclusion of additional slope dependent terms^{21,22}.

The surface perturbation model analyzed by Rice⁸ was originally developed by Rayleigh²⁹ for an acoustical surface scattering. In this method the equation representing the surface is expanded in a spatial harmonic Fourier series with random amplitude Fourier coefficients. These coefficients characterize the roughness of the surface. In the Rayleigh method, the scattering field is decomposed into a spatial harmonic series with unknown reflection coefficients for each rectangular component of the field. Using the divergence equation and boundary conditions and the spatial harmonic series for statistical surface in a small slope approximation, the averaged reflection coefficients are evaluated.

In this paper, the electromagnetic backscattered

field is evaluated using the physical optics approximation with statistical surface realizations that are sample functions of a Gaussian process. A Gaussian random height process is equivalent to a very large number of joint height probability distribution function. The resulting integral expression for backscattered field is a Wiener integral. The mean and mean square field have been formally evaluated by Hoffman¹. Using some of expressions derived by Hoffman, we evaluated the MBC for isotropic surface realizations for all angles of wave incidence,

In section two, we define of electromagnetic scattering model and the parameters of the rough surface. The evaluation of the MBC for all angles of wave incidence is done in section three. Finally, in section four we summarize the results.

2.2 The Rough Surface Backscattering Model

It is known that the backscattering electromagnetic field due to a plane wave incident on an arbitrary conducting surface can be cast in an integral form. This integral can be obtained either by proceeding from the Kirchhoff-Helmholtz integral³, which is a mathematical statement of the Huygens' principle, or by postulating an optical surface current induced by the incident field³⁷, or by starting directly from the free space dyadic Green function²⁸. The result of any these calculations for the backscattered electric far-field amplitude due an unit amplitude plane wave incidence is

$$E(P) = \frac{je^{jkR}}{2\pi R} \int_{S_0} (\underline{k}_i \cdot \underline{a}_n) e^{-j2\underline{k}_i \cdot \underline{r}} dS_0 \quad (2.2-1)$$

where $k = \omega/c$ is the free space wave number with ω as the radian frequency of the source and c is the velocity of the light in free space. In Eq.(2.2-1), R is a distance from the origin to the point of observation P , \underline{r} is the local surface radius vector

$$\underline{r} = x \underline{a}_x + y \underline{a}_y + \zeta(x,y) \underline{a}_z \quad (2.2-2)$$

\underline{a}_n is the local surface normal unit vector

$$\underline{a}_n = -\left\{ \frac{\frac{\partial \zeta(x,y)}{\partial x} \underline{a}_x + \frac{\partial \zeta(x,y)}{\partial y} \underline{a}_y - \underline{a}_z}{\left[1 + \left(\frac{\partial \zeta}{\partial x}\right)^2 + \left(\frac{\partial \zeta}{\partial y}\right)^2\right]^{\frac{1}{2}}}\right\} \quad (2.2-3)$$

\underline{k}_i is the incident wave number vector

$$\underline{k}_i = k \sin u \cos v \underline{a}_x + \sin u \sin v \underline{a}_y - \cos u \underline{a}_z \quad (2.2-4)$$

with u and v as the angles of elevation and azimuth of the incident plane wave, and S_0 is the effective illuminated area (See Fig.2-1). It is assumed the field is time harmonic of the form $\exp(-j\omega t)$ where $j=(-1)^{\frac{1}{2}}$. By projecting the optical differential surface area dS_0 on the x - y plane, the backscattered field Eq.(2.2-1) is

$$E(P) = \frac{-jke^{jkR}}{2\pi R} \int_S F e^{-j2\underline{k}_i \cdot \underline{r}} dx dy \quad (2.2-5)$$

with

$$-F = (\underline{k}_i \cdot \underline{a}_n) / k(\underline{a}_z \cdot \underline{a}_n) = \sin u \cos v \frac{\partial \zeta}{\partial x} + \sin u \sin v \frac{\partial \zeta}{\partial y} + \cos u \quad (2.2-6)$$

and S the effective illuminated area on the x - y plane. Eq.(2.2-5) is the final form of the backscattered electric field suitable for statistical computations.

The backscattered EM field is a random process since it is dependent on the surface height $\zeta(x,y)$ which is itself a random process, The random surface height $\zeta(x,y)$ is assumed to be a real Gaussian random process of two independent variables x and y . The process $\zeta(x,y)$ is characterized by its mean value $\langle \zeta(x,y) \rangle = 0$, that is the $z = 0$ is the mean plane, variance $\sigma^2 = \langle \zeta^2(x,y) \rangle$, independent of the location of the surface, and surface height correlation function $C(x,x',y,y') = \langle \zeta(x,y) \zeta(x',y') \rangle / \sigma^2$ where $\langle \dots \rangle$ is the ensemble average of the process. The surface height correlation function $C(x,x',y,y')$ is assumed to be radially isotropic, that is, $\zeta(x,y)$ is a wide-sense stationary

Gaussian process with correlation function dependent on the radial variable $t_1 = [(x-x')^2 + (y-y')^2]^{1/2}$ only. Our interest is in the mean magnitude square of the electric field since it is the quantity that is proportional to the MBC. Eq.(2.2-6), the mean magnitude square of the electric field is

$$\langle EE^* \rangle = A \int_S \int_{S'} I e^{-j [k_x(x-x') + k_y(y-y')] } ds ds' \quad (2.2-7)$$

where

$$I = \cos^2 u M_1 + \sin u \cos u (\cos v M_2 + \sin v M_3) + \sin^2 u \sin v \cos v (M_4 + M_5) + \sin^2 u (\cos^2 v M_6 + \sin^2 v M_7) \quad (2.2-8)$$

$$A = \left(\frac{k}{2\pi R} \right)^2 \quad (2.2-9)$$

$$\text{and } M_1 = \langle e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-10)$$

$$M_2 = \langle \left(\frac{\partial \zeta}{\partial x} + \frac{\partial \zeta}{\partial x'} \right) e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-11)$$

$$M_3 = \langle \left(\frac{\partial \zeta}{\partial y} + \frac{\partial \zeta}{\partial y'} \right) e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-12)$$

$$M_4 = \langle \frac{\partial \zeta}{\partial x} \frac{\partial \zeta}{\partial y'} e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-13)$$

$$M_5 = \langle \frac{\partial \zeta}{\partial y} \frac{\partial \zeta}{\partial x'} e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-14)$$

$$M_6 = \langle \frac{\partial \zeta}{\partial x} \frac{\partial \zeta}{\partial x'} e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-15)$$

$$M_7 = \langle \frac{\partial \zeta}{\partial y} \frac{\partial \zeta}{\partial y'} e^{j2k \cos u [\zeta(x,y) - \zeta(x',y')]} \rangle \quad (2.2-16)$$

The M functions are known from the work of Hoffman¹ on scattering of EM waves from a rough surface. For a random, stationary Gaussian surface, Hoffman was able to formally evaluate the M functions using the Karhunen-Loeve expansion theorem. The result of this calculation is

$$M_1 = \exp[-p^2(1-C)] \quad (2.2-17)$$

$$M_2 = -j\sigma p \left(\frac{\partial C}{\partial x} - \frac{\partial C}{\partial x'} \right) M_1 \quad (2.2-18)$$

$$M_3 = -j\sigma p \left(\frac{\partial C}{\partial y} - \frac{\partial C}{\partial y'} \right) M_1 \quad (2.2-19)$$

$$M_4 = \sigma^2 \left(\frac{\partial^2 C}{\partial x \partial y'} + p^2 \frac{\partial C}{\partial x} \frac{\partial C}{\partial y'} \right) M_1 \quad (2.2-20)$$

$$M_5 = \sigma^2 \left(\frac{\partial^2 C}{\partial x' \partial y} + p^2 \frac{\partial C}{\partial x'} \frac{\partial C}{\partial y} \right) M_1 \quad (2.2-21)$$

$$M_6 = \sigma^2 \left(\frac{\partial^2 C}{\partial x \partial x'} + p^2 \frac{\partial C}{\partial x} \frac{\partial C}{\partial x'} \right) M_1 \quad (2.2-22)$$

$$M_7 = \sigma^2 \left(\frac{\partial^2 C}{\partial y \partial y'} + p^2 \frac{\partial C}{\partial y} \frac{\partial C}{\partial y'} \right) M_1 \quad (2.2-23)$$

where

$$p = 2k\sigma \cos u \quad (2.2-24)$$

For additional details the calculation can be found in the Ref.1. Knowing that integrand of Eq.(2.2-7) is function of $(x-x')$ and $(y-y')$ only, the integral can be simplified by the coordinate transformation

$$\bar{x} = x-x' \quad (2.2-25)$$

$$\bar{y} = y-y' \quad (2.2-26)$$

The result of this transformation is

$$\langle EE^* \rangle = AS \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} T_1 T_2 I(\bar{x}, \bar{y}) e^{-j2(k_x \bar{x} + k_y \bar{y})} d\bar{x} d\bar{y} \quad (2.2-27)$$

where T_1 and T_2 are the aperture functions which for rectangular effective are of illumination are

$$T_1 = \begin{cases} 1 - |\bar{x}|/2X & |\bar{x}| = 2X \\ 0 & |\bar{x}| = 2X \end{cases} \quad (2.2-28)$$

$$T_2 = \begin{cases} 1 - |\bar{y}|/2Y \\ 0 \end{cases} \quad \begin{cases} |\bar{y}| = 2Y \\ |\bar{y}| = 2Y \end{cases} \quad (2.2-29)$$

where $2X$ and $2Y$ are the lengths and S is the effective area as $4XY$. The function $I(\bar{x}, \bar{y})$ takes on significant values near the origin of \bar{x} and \bar{y} due to the exponential damping of the correlation coefficient $C(\bar{x}, \bar{y})$. Thus area effects are negligible when the aperture dimension is large compared to the correlation distance L , and therefore we approximate the integral

$$\langle EE^* \rangle = AS \iint_{-\infty}^{\infty} I(\bar{x}, \bar{y}) e^{-j2(k_x \bar{x} + k_y \bar{y})} d\bar{x} d\bar{y} \quad (2.2-30)$$

Because the surface statistics is isotropic, the correlation function depends on the radial distance t only, where t and w are the cylindrical coordinate variables

$$t^2 = \bar{x}^2 + \bar{y}^2 \quad (2.2-31)$$

$$w = \tan^{-1} \bar{x}/\bar{y} \quad (2.2-32)$$

We next transform the integral Eq.(2.2-30) into the new coordinate system. The phase term in the integrand is

$$J_w = e^{-jqts \sin(w+v)} \quad (2.2-33)$$

where

$$q = 2k \sin u \quad (2.2-34)$$

In Appendix A, the M functions are transformed to radial coordinate system resulting the following transformed M functions

$$M_1 = \exp\{-p^2[1-C(t)]\} \quad (2.2-35)$$

$$M_2 = -j2\sigma p \sin w \frac{dC}{dt} M_1 \quad (2.2-36)$$

$$M_3 = -j2\sigma p \cos w \frac{dC}{dt} M_1 \quad (2.2-37)$$

$$M_4 = M_5 = -\frac{1}{2}\sigma^2 \sin 2w L_1 M_1 \quad (2.2-38)$$

$$M_6 = \frac{1}{2}\sigma^2 \{L_1 \cos 2w - L_2\} M_1 \quad (2.2-39)$$

$$M_7 = \frac{1}{2}\sigma^2 \{-L_1 \sin 2w - L_2\} M_1 \quad (2.2-40)$$

where

$$L_{1,2} = \frac{d^2 C}{dt^2} \mp \frac{1}{t} \frac{dC}{dt} + p^2 \left(\frac{dC}{dt}\right)^2 \quad (2.2-41)$$

The integral Eq.(2.2-30) can be now written in cylindrical coordinates as

$$\langle EE^* \rangle = AS \int_0^\infty \int_0^{2\pi} I(t,w) e^{-jqts \sin(w+v)} t dw dt \quad (2.2-42)$$

The quantity of interest is the MBC

$$\bar{\sigma} = \lim_{R \rightarrow \infty} [4\pi R^2 \langle EE^* \rangle / |E_i|^2] \quad (2.2-43)$$

where $|E_i|^2$ is magnitude square of the amplitude of the incident electric field. In the next section the mean magnitude square of the scattered field will be evaluated for an surface whose correlation function of the height is isotropic Gaussian function with correlation distance L

$$C(t) = \exp(-t^2/L^2) \quad (2.2-44)$$

It is known that the Gaussian correlation function leads to a reasonable representation of variety of physical surfaces. The random surface is characterized by two lengths, the vertical

and horizontal roughness parameter σ and L respectively. It will be convenient to deal with normalized parameters

$$\sigma_n = 2\sigma/L \quad (2.2-45)$$

$$\ell = k\sigma = 2\pi\sigma/\lambda \quad (2.2-46)$$

where σ_n is the normalized roughness called rms slope and ℓ is the Rayleigh parameter and λ is the wavelength of the source. We also find it convenient to introduce a normalized MBC

$$\sigma^0 = \bar{\sigma}/S \quad (2.2-47)$$

where S is the scattering area. The degree of the surface roughness is characterized by the Rayleigh parameter which for a rough surface is

$$\ell > 1$$

and for a smooth surface it is

$$\ell < 1$$

Obviously λ should be small for physical optics approximations to be valid

2.3 The Evaluation of the Mean Backscattering Cross Section(MBC)

To evaluate the MBC we note, from Eqs.(2.2-42)-(2.2-47), that the angle and radial integrations separate.

Separating the t and w integrals, we have

$$\begin{aligned} \sigma^0 = & \frac{k}{2\pi_0} \int_0^{2\pi} dt \ t \exp^{-p^2(1-C)} [(\cos^2 u - \frac{1}{2}\sigma^2 L_2 \sin^2 u) \int_0^{2\pi} J_w dw \\ & - j\sigma p \sin 2u \frac{dC}{dt} \int_0^{2\pi} \sin(w+v) J_w dw \\ & - \frac{1}{2}\sigma^2 L_1 \{ \sin^2 u \int_0^{2\pi} \sin 2v \sin 2w J_w dw - \cos^2 u \cos 2v \int_0^{2\pi} \cos 2w J_w dw \}] \end{aligned} \quad (2.3-1)$$

The w-integrations are now identified as special cases of the Richard-Wolf identities³⁵

$$\int_0^{2\pi} \frac{\cos(nw)}{\sin(nw)} e^{-jrcos(w-\gamma)} dw = (-j)^n 2\pi J_n(r) \frac{\cos(n\gamma)}{\sin(n\gamma)} \quad (2.3-2)$$

where $J_n(r)$ are the Bessel function of the first kind of order n. From Eq.(2.3-2) we find for the three cases of the w-integration in Eq.(2.3-1), noting J_w defined in Eq.(2.2-33)

$$\int_0^{2\pi} J_w dw = 2\pi J_0(qt) \quad (2.3-3)$$

$$\int_0^{2\pi} \sin(v+w) J_w dw = -j2\pi J_1(qt) \quad (2.3-4)$$

$$\int_0^{2\pi} \frac{\cos(2w)}{\sin(2w)} J_w dw = \frac{-\sin(2v)}{+\cos(2v)} 2\pi J_2(qt) \quad (2.3-5)$$

Using Eqs.(2.3-3)-(2.3-5), Eq.(2.3-1) simplifies as

$$\begin{aligned} \sigma^0 = & k \int_0^{2\pi} dt \ t e^{-p^2(1-C)} [(\cos^2 u - \frac{1}{2}\sigma^2 L_2 \sin^2 u) J_0(qt) - \sigma p \sin 2u \frac{dC}{dt} J_1(qt) \\ & + \frac{1}{2}\sigma^2 L_1 \sin^2 u J_2(qt)] \end{aligned} \quad (2.3-6)$$

As expected σ^0 does not depend on the azimuthal angle of

incidence. To complete the radial integration, It is necessary to approximate the term $\exp[-p^2(1-C)]$. There are two cases of major interest, the case when the direction of wave incidence is near normal and near grazing angles.

First we will consider the near normal angle of incidence. For a rough surface the correlation length is small and the correlation function has appreciable values only for t close zero. Therefore we expand the Gaussian surface height correlation function in a Taylor series about the origin and truncate this series after the first two terms

$$e^{-p^2(1-C)} \approx e^{-(pt/L)^2} \quad (2.3-7)$$

$$L_1 \approx (1+p^2)(2t/L^2)^2 - (1+2p^2)(2t^2/L^3)^2 + (1+3p^2)2(t^3/L^4)^2 \quad (2.3-8)$$

$$L_2 \approx -4/L^2 + (2+p^2)(2t/L^2)^2 - (3+4p^2)2(t^2/L^3)^2 + (\frac{1}{3}+p^2)(2t^3/L^4)^2 \quad (2.3-9)$$

Substituting $L_{1,2}$ and the approximation for the exponential term into Eq.(2.3-6), σ^0 becomes

$$\begin{aligned} \sigma^0 = k^2 [& \cos^2 u I_{00} + \sin 2u \{ 2\sigma p L^{-2} I_{11} - 2\sigma p L^{-4} I_{31} + \sigma p L^{-6} I_{51} \} \quad (2.3-10) \\ & + \sin^2 u \{ 2\sigma^2 L^{-2} I_{00} - 2\sigma^2 L^{-4} (2+p^2) I_{20} + \sigma^2 L^{-6} (3+4p^2) I_{40} \\ & + 2\sigma^8 L^{-8} I_{60} + 2\sigma^2 L^{-4} I_{22} - 2\sigma^2 L^{-6} (1+2p^2) I_{42} + \sigma^2 L^{-8} (1+3p^2) I_{62} \}] \end{aligned}$$

where I_{mn} are

$$I_{mn} = \int_0^{\infty} t^{m+1} J_n(bt) e^{-at^2} dt \quad (2.3-11)$$

The integrals of Eq.(2.3-12) are Weber exponential integrals³¹ of first kind and derivatives with respect to \underline{a} . The Weber

integrals are

$$I_{mm} = \int_0^{\infty} t^{m+1} J_m(bt) e^{-at^2} dt = b^m (2a)^{-(m+1)} e^{-(b^2/4a)} \quad (2.3-12)$$

Substituting Eq.(2.3-12) and appropriate derivatives in Eq.(2.3-10), we have

$$\sigma^0 = \frac{L^2}{4\sigma^2} \left[g_0(u) + \frac{1}{k^2 \sigma^2} g_1(u) + \frac{1}{k^4 \sigma^4} g_2(u) + \frac{1}{k^6 \sigma^6} g_3(u) + \dots \right] \quad (2.3-13)$$

where

$$g_0(u) = G_0(u) [1 + 2\tan^2 u + \tan^4 u + \dots] \quad (2.3-14)$$

$$g_1(u) = G_0(u) \tan^2 u \sec^2 u [a'_0 + a'_1 \tan^2 u + a'_2 \tan^4 u + \dots] \quad (2.3-15)$$

$$g_2(u) = G_0(u) \tan^2 u \sec^4 u [b'_0 + b'_1 \tan^2 u + b'_2 \tan^4 u + \dots] \quad (2.3-16)$$

$$g_3(u) = G_0(u) \tan^2 u \sec^6 u [c'_0 + c'_1 \tan^2 u + c'_2 \tan^4 u + \dots] \quad (2.3-17)$$

and

$$G_0(u) = \exp\left(-\frac{L^2}{4\sigma^2} \tan^2 u\right) \quad (2.3-18)$$

The coefficients in Eqs.(2.3-14)-(2.3-17) are

$$a'_0 = \sigma^2/L^2 - 1, \quad a'_1 = L^2/8\sigma^2 - 7/8, \quad a'_2 = L^2/8\sigma^2 \quad (2.3-19)$$

$$b'_0 = 15/2^6 + 3L^2/2^6\sigma^2, \quad b'_1 = 3L^4/2^6\sigma^4 + L^2/2^6\sigma^2, \quad b'_2 = L^6/2^6\sigma^6 - 19L^4/2^{11}\sigma^4 \quad (2.3-20)$$

$$c'_0 = \sigma^2/2^4 L^2 - 2^{-7}, \quad c'_1 = L^2/2^6\sigma^2 + 5/3 \cdot 2^4, \quad c'_2 = L^2/2^{10}\sigma^2 \quad (2.3-21)$$

Using the normalized length parameters defined in Eqs.(2.2-45) and (2.2-46), we have final form for MBC

$$\sigma^0 = \sigma_g^0 + \ell^{-2} \sigma_1^0 + \ell^{-4} \sigma_2^0 + \ell^{-6} \sigma_3^0 + \dots \quad (2.3-22)$$

where

$$\sigma_g^0 = \sigma_n^{-2} e^{-\delta^2} [1 + 2\tan^2 u + \tan^4 u + \dots] \quad (2.3-23)$$

$$\sigma_1^O = B \sec^2 u [a_0 + a_1 \tan^2 u + a_2 \tan^4 u + \dots] \quad (2.3-24)$$

$$\sigma_2^O = B \sec^4 u [b_0 + b_1 \tan^2 u + b_2 \tan^4 u + \dots] \quad (2.3-25)$$

$$\sigma_3^O = B \sec^6 u [c_0 + c_1 \tan^2 u + c_2 \tan^4 u + \dots] \quad (2.3-26)$$

and

$$\delta = \frac{\tan u}{\sigma_n} \quad (2.3-27)$$

$$B = \delta^2 e^{-\delta^2} \quad (2.3-28)$$

with normalized coefficients as

$$a_0 = \frac{1}{4} \sigma_n^2 - 1 \quad a_1 = 1/2 \sigma_n^2 + 1/2^4 \sigma_n^2 \quad a_2 = 1/2 \sigma_n^2 \quad (2.3-29)$$

$$b_0 = 3/2^4 \sigma_n^2 + 15/2^6 \quad b_1 = 3/2^2 \sigma_n^4 + 1/2^4 \sigma_n^2 \quad b_2 = 1/\sigma_n^2 \quad (2.3-30)$$

$$c_0 = \sigma_n^2 / 2^6 - 1/2^7 \quad c_1 = 1/2^4 \sigma_n^2 + 5/3 \cdot 2^9 \quad c_2 = 1/2^8 \sigma_n^4 \quad (2.3-31)$$

The MBC near normal angle of incidence is an asymptotic series in term of the Rayleigh parameter ℓ . The first term σ_g^O is the geometric MBC while the additional terms are the high frequency correction to the geometric MBC. The geometric MBC can be separated into two terms

$$\sigma_g^O = \sigma_{g0}^O + \sigma_{g1}^O \quad (2.3-32)$$

with

$$\sigma_{g0}^O = \frac{1}{\sigma_n^2 \cos^2 u} e^{-\delta^2} \quad (2.3-33)$$

and

$$\sigma_{g1}^O = \frac{1}{\sigma_n^2} e^{-\delta^2} [\tan^2 u + \tan^4 u + \dots] \quad (2.3-34)$$

where we have been used the identity

$$1 + \tan^2 u = \sec^2 u \quad (2.3-35)$$

The first term is the quasi-coherent MBC^{21,22,30} while the second term represents the additional geometrical backscattering. The additional geometrical terms and high frequency corrections are the diffuse backscattering terms (DBS). In Figure 2.2-2.6 we plot the DBS contribution to the MBC for ℓ equal to 1.5 and various values of σ_n . Of course, the DBS is zero for normal angle of incidence.

We now consider scattering near grazing angle of incidence. For grazing angle of incidence the approximation of Eq.(2.3-7) is not effective because $p = 2\ell \cos u$ is nearly zero. For small values of p , the approximation is

$$e^{-p^2(1-C)} = e^{-p^2(1+p^2C)} \quad (2.3-36)$$

Eq.(2.3-36) is reasonable approximation for all angles of wave incidence for a smooth surface because p is small since the Rayleigh parameter ℓ is small. We find it convenient to separate the mean square field into a coherent and an incoherent scattering component³

$$\langle EE^* \rangle = \langle E \rangle \langle E^* \rangle + \text{covar}\{E\} \quad (2.3-37)$$

where $\langle E \rangle \langle E^* \rangle$ is the coherent and the covariance of E is the incoherent part of the mean square field.

From Eq.(2.2-5), we have for the coherent normalized MBC

$$\sigma_{\text{coh}}^0 = \cos^2 u M_o^2 k^2 |E_c|^2 \quad (2.3-38)$$

where

$$M_o = \langle e^{\pm j2k\cos u} \mathcal{F}(x,y) \rangle = e^{-\frac{1}{2}p^2} \quad (2.3-39)$$

and $|E_c|^2$ is the coherent pattern function for a flat surface. The coherent pattern function for a rectangular illuminated area is the square of the sampling function. The sampling function argument depends on the patch length. M_o is a coherence factor (coherent reflectance) that smears the coherent pattern function¹². The coherent MBC is large near normal angle of wave incidence and small near grazing angle of wave incidence.

The incoherent normalized MBC is obtained by subtracting from the total MBC the coherent MBC. Performing the subtraction, the result in cylindrical coordinates is

$$\sigma_{inc}^o = \frac{k^2}{2\pi} \int_0^\infty \int_0^{2\pi} (1 - \cos^2 u e^{-p^2}) J_w^2 t \, dt \, dw \quad (2.3-40)$$

The angular integrals are identical to the angular integrals obtained for near normal angle of incidence (See Eq.(2.3-6)) and therefore

$$\begin{aligned} \sigma_{inc}^o = k^2 \int_0^\infty e^{-p^2} t & [p^2 C \cos^2 u J_0^2(qt) + (1+p^2 C) \{ -\frac{1}{2} \sigma^2 L_2 \sin^2 u J_0^2(qt) \\ & - \sigma p \sin 2u \frac{dC}{dt} J_1(qt) + \frac{1}{2} \sigma^2 \sin^2 u L_1 J_2^2(qt) \}] dt \quad (2.3-41) \end{aligned}$$

Using the exact expressions for $L_{1,2}$

$$L_1 = \frac{4t^2}{L^4} (1+p^2 C) C \quad (2.3-42)$$

$$L_2 = -\frac{4}{L^2} + \frac{4t^2}{L^4} (1+p^2 C) C \quad (2.3-42)$$

the incoherent MBC becomes

$$\begin{aligned} \sigma_{inc}^o = k^2 e^{-p^2} & [2\sigma p L^{-2} \sin 2u I_{211} + 2\sigma^2 L^{-2} \sin^2 u I_{110} + 2\sigma^2 L^{-4} \sin^2 u I_{310} \\ & + p^2 \{ \cos^2 u I_{110} + 2\sigma p L^{-2} \sin 2u I_{221} + 2\sigma^2 L^{-2} \sin^2 u I_{120} \}] \end{aligned}$$

$$+4\sigma^2 L^{-4} \sin^2 u (-I_{320} + I_{322}) + p^4 2\sigma^2 L^{-4} \sin^2 u (-I_{330} + I_{332}) \quad (2.3-43)$$

where $I_{mn\ell}$ are the integrals

$$I_{mn\ell} = \int_0^{\infty} t^m e^{-(nt^2/L^2)} J_{\ell}(qt) dt \quad (2.3-44)$$

The integral of Eq.(2.3-44) can be evaluated using the Weber integrals of Eq.(2.3-12). Substituting Weber integrals and its derivatives into Eq.(2.3-43), we obtained the incoherent MBC as

$$\sigma_{inc}^o = 2L^2 k^4 \sigma^2 e^{-p^2} [(d'_{11} + d'_{12}) \bar{w}_4 + \sigma^2 k^2 d'_2 \bar{w}_8 + \sigma^4 k^4 d'_3 \bar{w}_{12}] \quad (2.3-45)$$

where

$$\bar{w}_n = \exp[-(2kL \sin u)^2/n] \quad (2.3-46)$$

and the coefficients are

$$d'_{11} = \cos^4 u \quad (2.3-47)$$

$$d'_{12} = 2 \sin^2 u \cos^2 u + \sin^4 u \quad (2.3-48)$$

$$d'_2 = 2 \sin^2 u \cos^4 u + \sin^4 u \cos^2 u \quad (2.3-49)$$

$$d'_3 = 2^4 \sin^4 u \cos^4 u / 3^3 \quad (2.3-50)$$

Using the normalized length parameters defined in Eqs.(2.2-46) and (2.2-47), the final result for the incoherent MBC becomes

$$\sigma_{inc}^o = \frac{8}{\sigma_n^2} [\ell^4 \cos^4 u (d_{11} + d_{12}) W_1 + \ell^6 \cos^6 u d_2 W_2 + \ell^8 \cos^8 u \cos^8 u d_3 W_3 + \dots] \quad (2.3-51)$$

where

$$W_i = \bar{w}_n e^{-p^2} = e^{-4\ell^2 (\cos^2 u + \sin^2 u / i \sigma_n^2)} \quad (2.3-51)$$

$$d_{11} = 1 \quad (2.3-52)$$

$$d_{12} = \tan^2 u (2 + \tan^2 u) \quad (2.3-53)$$

$$d_2 = \tan^2 u \cos^2 u (2 + \tan^2 u) \quad (2.3-54)$$

$$d_3 = 2^4 \tan^4 u / 3^3 \quad (2.3-55)$$

The incoherent MBC near grazing angle of incidence is a power series in the Rayleigh parameter ℓ . The dominant term in the series is proportional to ℓ^4 which is Rayleigh scattering. A term similar to the dominant term of Eq.(2.3-51) has been obtained by both surface perturbation²³ and physical optics calculation³⁰. In Fig.2.2-2.5, we plot the incoherent MBC(IMBC) with identical values of parameters of the MBC plots in order to compare with. For the convenience we plot $\sigma_n^2 \sigma^0$ in the decibel scale. We determine the transition region graphically. In Fig. 2.6, we plot the IMBC with respect to the Rayleigh parameter. These results show that physical optics does predict correctly the shape of MBC for all values of wave incidence.

2.4 Summary

Based on a physical optics current approximation, the MBC for an ideally conducting real, stationary, isotropic Gaussian surface height process has been evaluated. In the physical optics approximation the backscattered field is a function of both the surface height and its derivative, the surface slope process. The results of the calculations show that for near normal angle of incidence the MBC can be expressed as an asymptotic series while near grazing angle of incidence as a power series in the Rayleigh parameter ℓ . The leading term of the asymptotic series is the quasi-coherent MBC that has been obtained by both simplified physical optics and geometric optical computation^{2,3,4,21}. The additional terms in the asymptotic series, denoted as DBS, are due to the slope dependency for the backscattering integral. The DBS is zero for normal angle of incidence. The leading term near grazing angle of incidence is proportional to ℓ^4 that is typical for Rayleigh scattering. This result has been obtained by surface perturbational calculations^{23,27,34}. Again, the higher order terms are due to the slope dependency for backscattering integral. As expected, because of the assumed isotropic surface statistic, the MBC does not depend on the azimuthal angle of wave incidence.

CHAPTER III SCATTERING FROM DYNAMIC ROUGH SURFACE

3.1 Introduction

A great many experiments have been performed in the investigation of acoustic and electromagnetic scattering from both static and dynamic rough surfaces. A great wealth data for a wide variety of surfaces are available in both early¹ and in recent^{2,3,4} publications. Theoretical approaches for the interpretation of the mean scattering cross section, the usual result of the measured data, use either a physical^{1,5} or geometrical⁷ optics or a small surface slope perturbation theory.⁶ Crombie^{9,10} has measured the temporal frequency characteristics of scattering from an ocean surface using a very long wavelength radar of 20 m. The observed Doppler spectrum was a nominal Doppler frequency of .5 Hz shifted away from the source frequency and Doppler spectral spread of .03 Hz. Since then a large number of Doppler spectral measurements have been reported using different radar frequency and illumination¹¹⁻¹⁸.

The measured Doppler frequency shift can be predicted by assuming the sea wave trains contributing the most to the frequency shift are those that are travelling toward or away from a stationary observer. The Doppler frequency shift can be then calculated from a gravity wave dispersion equation. The result for this computation can be identified as an equivalent Bragg condition. However at a high frequency the measured data of the shift deviated from the one predicted by first order Bragg scattering. A correction to the Doppler shift in terms of the ratio of surface tension to water density was suggested by Wright.¹¹

Bass¹² introduced a second correction term that is due to wind drift velocity and the angle between wind direction and wave incidence vector. Instead of predicting the Doppler shift alone Barrick¹⁹ and Bass¹² used the geometrical optics-perturbation analysis to predict the magnitude of the Doppler spectrum. This analysis is called first order theory since it predicts the first order spectral peaks only. To predict higher order spectral components, which appeared in the measured data above 4 MHz, Hasselman^{14,17} introduced a second order theory. Here it is assumed that an interaction between incident wave and two water waves occurs. As a result of this interaction, four spectral components are produced. The two additional components satisfy a second order Bragg condition. Further multiple interactions are needed if higher order Bragg conditions are necessary. The geometrical optics-perturbation analysis requires separate consideration for calculation of the amplitude and Doppler shift of the spectrum. A physical optics approach, using the Pierson-Neumann's simplified ocean surface directional spectrum²² was introduced by Parkins²⁵. However Parkins considered the coherent Doppler spectrum only. The coherent Doppler spectra yield good results for near normal angle of wave incidence. However, near grazing angle of wave incidence, non-coherent scattering is important leading to Plateau phenomena^{2,3}.

In this paper the autocorrelation function and the Doppler power spectrum is calculated for a time-varying rough surface. The scattered field is calculated by a physical optics current approximation. The scattering surface is modeled as a

three dimensional, two spatial and one temporal, a Gaussian, stationary height process with zero mean and variance. The surface height correlation function is a Gaussian envelope. travelling wave function in the temporal and spatial variables. This type of correlation function was suggested by Clay-Medwin²¹ as a good experimental fit to his as well as Kinsman's measured data²³.

In section two, the scattered field from a time-varying Gaussian surface height process is developed. In section three, the normalized incoherent autocorrelation function is derived. The results are presented in terms of normalized surface roughness parameters. In section four, the normalized temporal frequency spectrum is obtained. The first order spectrum predicts third order Doppler frequency shifts. In addition, by correcting the surface height correlation, second order Doppler frequency shifts and spreads are predicted. In the last section a summary is presented.

3.2 Scattering by a Time-Varying Rough Surface

It is known that the solution of a time dependent scalar wave equation can be cast an integral form

$$\xi(t,R) = \frac{1}{4\pi} \int_{S''} \left\{ \frac{1}{R} \left[\frac{\partial \xi^t}{\partial n} \right] - \frac{\partial}{\partial n} \left[\xi^t \right] \frac{1}{R} + \frac{1}{Rc} \frac{\partial}{\partial n} R \left[\frac{\partial \xi^t}{\partial t} \right] \right\} dS'' \quad (3.2-1)$$

where ξ is the scattered field at the observation point $P(R)$ far from the surface at a distance R , n is the local surface normal, c is the velocity of wave propagation, $\xi^t = \xi^i + \xi$ is the total field at the surface and the square bracket denotes time retardation as $[\xi] = (x,y,z,t-R/c)$ and S'' is the scattering area. Using the frozen surface hypothesis and the local tangent approximation, Parkins²⁰ derived the scattered field from rough surface for a time harmonic plane wave incidence with radian frequency ω_c and the wave number $k = \omega_c/c$

$$(t,R) = \frac{jke^{-jkR+j\omega_c t}}{2\pi R} \int_S F e^{-j\mathbf{K} \cdot \mathbf{r}(t)} dx dy \quad (3.2-2)$$

where S'' projected to the mean plane so that S is the effective scattering area on the mean plane and \mathbf{r} is a surface radial vector

$$\mathbf{r} = x\mathbf{a}_x + y\mathbf{a}_y + \zeta(x,y,t)\mathbf{a}_z \quad (3.2-3)$$

The function F and \mathbf{K} are

$$F = \cos u + \sin u \cos v \frac{\partial \zeta}{\partial x} + \sin u \sin v \frac{\partial \zeta}{\partial y} \quad (3.2-4)$$

$$\mathbf{K} = \mathbf{k}_i - \mathbf{k}_s \quad (3.2-5)$$

with \mathbf{k}_i and \mathbf{k}_s are the incident and scattered wave number vectors as

$$\begin{pmatrix} \mathbf{k}_i \\ \mathbf{k}_s \end{pmatrix} = k \left\{ \sin(u_s) \cos(v_s) \mathbf{a}_x + \sin(u_s) \sin(v_s) \mathbf{a}_y \mp \cos(u_s) \mathbf{a}_z \right\} \quad (3.2-6)$$

where u, v, u_s and v_s are the elevation and azimuth angles of wave incidence and scattering, respectively. We note that F is only function of the angles of wave incidence and directional surface slopes. All geometrical parameters are depicted in Fig.3.1. The field $\xi(t, R)$ can be interpreted either as a acoustic field i.e. pressure, sonic and ultrasonic field or as a linearly polarized electromagnetic field.

The scattering field is a random process since it depends on the surface height $z = \zeta(x, y, t)$ which is itself a random process. The random surface height is assumed to be a real Gaussian stationary process of three independent variables x, y and t . The process $\zeta(x, y, t)$ is characterized by its mean value $\langle \zeta(x, y, t) \rangle = 0$, which is the x - y plane, the variance $\sigma^2 = \langle \zeta^2(x, y, t) \rangle$ which is independent of the temporal and spatial location of the surface due to the assumed stationarity, and the surface height normalized correlation function $C(\bar{x}, \bar{y}, \tau) = \sigma^{-2} \langle \zeta(x, y, t) \zeta(x', y', t') \rangle$ where $\langle \dots \rangle$ stands for an ensemble average. The normalized correlation function C is a function of temporal and spatial separations as $\bar{x} = x - x'$, $\bar{y} = y - y'$ and $\tau = t - t'$. For wind driven sea surface C can be expressed as a modulated travelling wave as proposed by Clay-Medwin²¹ based on their as well as Kinsmans²³ experimental investigations

$$C = \exp\left\{-\frac{\tau^2}{T} - \frac{\bar{x}^2 + \bar{y}^2}{L^2}\right\} \cos(f_x \bar{x} + f_y \bar{y} - \omega_d \tau) \quad (3.2-7)$$

where T is temporal correlation duration and L is spatial correlation distance, and wave is travelling in an arbitrary direction with phase velocity $\omega_d / \sqrt{f_x^2 + f_y^2}$ where f_x and f_y are directional ocean wave numbers as $f_y = f \cos \alpha$, $f_x = f \sin \alpha$.

Since the most of the experimental data are given in terms of the mean scattering cross section and/or the power spectrum, the second order statistical moment, the autocorrelation function of the scattered field is of interest. The autocorrelation function of the scattered field is

$$Q(\tau) = \langle \xi(t, R) \xi^*(t+\tau, R) \rangle \quad (3.2-8)$$

where the asterisk denotes the complex conjugate of the field. This function is also known as self-coherence function²⁴ of the field at point P(R). Substituting Eq.(3.2-2) into Eq.(3.2-8) and interchanging the integrations and averaging, we have

$$Q(\tau) = A e^{-j\omega_c \tau} \int_S \int_{S'} I e^{-j[K_x(x-x') + K_y(y-y')]} dx dx' dy dy' \quad (3.2-9)$$

where $A = k^2 / (2\pi R)^2$ and K_x and K_y are the scalar coefficients of the rectangular components of the vector \underline{K} and

$$I = \cos^2 u M_1 + \sin u \cos u \{ \cos v M_2 + \sin v M_3 \} + \sin^2 u \{ \sin v \cos v (M_4 + M_5) + \cos^2 v M_6 + \sin^2 v M_7 \} \quad (3.2-10)$$

The M's, defined below have been evaluated by Hoffman⁸ for real, stationary Gaussian surface height process

$$M_1 = \langle X \rangle = \exp[-p^2(1-C)] \quad (3.2-11)$$

$$M_2 = \langle \left(\frac{\partial \xi}{\partial x} + \frac{\partial \xi}{\partial x'} \right) X \rangle = -j \sigma p \left(\frac{\partial C}{\partial x} - \frac{\partial C}{\partial x'} \right) M_1 \quad (3.2-12)$$

$$M_3 = \langle \left(\frac{\partial \xi}{\partial y} + \frac{\partial \xi}{\partial y'} \right) X \rangle = -j \sigma p \left(\frac{\partial C}{\partial y} - \frac{\partial C}{\partial y'} \right) M_1 \quad (3.2-13)$$

$$M_4 = \langle \frac{\partial \xi}{\partial x} \frac{\partial \xi}{\partial y'} X \rangle = \sigma^2 \left(\frac{\partial^2 C}{\partial x \partial y'} + p^2 \frac{\partial C}{\partial x} \frac{\partial C}{\partial y'} \right) M_1 \quad (3.2-14)$$

$$M_5 = \langle \frac{\partial \xi}{\partial x'} \frac{\partial \xi}{\partial y} X \rangle = \sigma^2 \left(\frac{\partial^2 C}{\partial x' \partial y} + p^2 \frac{\partial C}{\partial x'} \frac{\partial C}{\partial y} \right) M_1 \quad (3.2-15)$$

$$M_6 = \langle \frac{\partial \xi}{\partial x} \frac{\partial \xi}{\partial x'} X \rangle = \sigma^2 \left(\frac{\partial^2 C}{\partial x \partial x'} + p^2 \frac{\partial C}{\partial x} \frac{\partial C}{\partial x'} \right) M_1 \quad (3.2-16)$$

$$M_7 = \langle \frac{\partial \xi}{\partial y} \frac{\partial \xi}{\partial y'} X \rangle = \sigma^2 \left(\frac{\partial^2 C}{\partial y \partial y'} + p^2 \frac{\partial C}{\partial y} \frac{\partial C}{\partial y'} \right) M_1 \quad (3.2-17)$$

where

$$X = e^{-jK_z} [\xi(x, y, t) - \xi(x', y', t')] \quad (3.2-18)$$

$$p = K_z \sigma \quad (3.2-19)$$

We note that the autocorrelation function $Q(\tau)$ is time-stationary if the surface height correlation function is temporal stationary. For the evaluation of the autocorrelation function, we separate coherent and incoherent components as

$$Q(\tau) = Q_c(\tau) + Q_I(\tau) \quad (3.2-20)$$

where the coherent component is

$$Q_c(\tau) = \langle \xi \rangle \langle \xi^* \rangle \quad (3.2-21)$$

and the incoherent component is

$$Q_I(\tau) = \text{covar}[\xi] \quad (3.2-21)$$

From Eq.(3.2-8), the coherent autocorrelation function is

$$Q_c(\tau) = A e^{-j\omega_c \tau} \cos^2 u M_0^2 |E_c^2| \quad (3.2-22)$$

where

$$M_0 = \langle e^{-jK_z \xi(x, y, t)} \rangle = \exp\{-p^2/2\} \quad (3.2-23)$$

is the characteristic function and

$$E_c = \int_S e^{-j(K_x x + K_y y)} dx dy \quad (3.2-24)$$

The magnitude square of E_c is the coherent pattern function for a flat surface. This function is dependent on illumination. For a rectangular patch it is a squared sampling function while for a circular patch it is an Airy Disc pattern. The term M_0^2 is a surface roughness factor(or coherent power factor) which smears the coherent pattern function. It is unity for specular

scattering and it is near zero for backscattering. A great deal of measured data has obtained from source radiating near grazing angle of incidence^{13,15,17,18}. Near grazing angle of incidence, the coherent power reflectance is near zero and therefore the incoherent component is dominant term in the total autocorrelation function.

3.3 The Incoherent Autocorrelation Function

The incoherent component of the autocorrelation function can be obtained by subtracting the coherent component from the total autocorrelation function

$$Q_I(\tau) = A e^{-j c \tau} \int_S \int_{S'} [I - \cos^2 u e^{-p^2}] e^{-j[K_x(x-x') + K_y(y-y')]} dx dx' dy dy' \quad (3.3-1)$$

where S and S' are identical illumination areas. This integral is two dimensional Fourier transform with respect to (x-x') and (y-y'). Since the integrand is a function of (x-x') and (y-y') only, the integral can be simplified by using reasonable approximation as in the Chapter II

$$Q_I(\tau) = A S e^{-j \omega_c \tau} \int_0^{\infty} \int_0^{2\pi} [I - \cos^2 u e^{-p^2}] e^{-j z K_t \cos(\theta - \phi_K)} z d\theta dz \quad (3.3-2)$$

where

$$K_t^2 = K_x^2 + K_y^2, \quad \phi_K = \tan^{-1}(K_x / K_y) \quad (3.3-3)$$

and

$$z^2 = (x-x')^2 + (y-y')^2, \quad \theta = \tan^{-1} \left(\frac{x-x'}{y-y'} \right) \quad (3.3-4)$$

The quantity, I, in the integrand, using Eq.(3.3-4), is in the new cylindrical coordinates

$$I = e^{-p^2(1-C)} \left\{ \cos^2 u - \frac{\sigma^2}{2} \sin^2 u L_2 - j \sigma p \sin 2u \left[\sin(v+\theta) \frac{\partial C}{\partial z} + \cos(v+\theta) \frac{1}{z} \frac{\partial C}{\partial \theta} \right] + \frac{\sigma^2}{2} \sin^2 u \left[\sin 2v (-\sin 2\theta L_1 - 2 \cos 2\theta L_3) + \cos 2v (\cos 2\theta L_1 - 2 \sin 2\theta L_3) \right] \right\} \quad (3.3-5)$$

where

$$L_{1,2} = \frac{\partial^2 C}{\partial z^2} \mp \frac{1}{z^2} \frac{\partial^2 C}{\partial \theta^2} \mp \frac{1}{z} \frac{\partial C}{\partial z} + p^2 \left\{ \left(\frac{\partial C}{\partial z} \right)^2 \mp \frac{1}{z^2} \left(\frac{\partial C}{\partial \theta} \right)^2 \right\} \quad (3.3-6)$$

$$L_3 = \frac{1}{z} \frac{\partial^2 C}{\partial \theta \partial z} - \frac{1}{z^2} \frac{\partial C}{\partial \theta} + \frac{p^2}{z} \frac{\partial C}{\partial z} \frac{\partial C}{\partial \theta} \quad (3.3-7)$$

Since p^2 is small near grazing angle of incidence the term

$\exp\{-p^2(1-C)\}$ can be approximated as

$$\exp\{-p^2(1-C)\} \cong \exp\{-p^2\}(1+p^2C) \quad (3.3-8)$$

Using this approximation in the integrand leads to a cancellation of the term $\exp\{-p^2\}\cos^2u$, and the integrand contains term up to C^3 . Letting

$$J \exp\{-p^2\} = I - \cos^2u \exp\{-p^2\} \quad (3.3-9)$$

we have for J (See Appendix B)

$$J = CN_{10} + \bar{C}N_{01} + C^2N_{20} + \bar{C}^2N_{02} + C\bar{C}N_{11} + C^3N_{30} + C^2\bar{C}N_{21} + C\bar{C}^2N_{12} \quad (3.3-10)$$

with

$$N_{10} = p^2 \cos^2u + j\sigma p \sin 2u \sin(v+\theta) \frac{2z}{L^2} + \frac{\sigma^2}{2} \sin^2u \left\{ \frac{4}{L^2} + f_1 - \frac{4z^2}{L^4} [1 - \cos 2(v+\theta)] \right\} \quad (3.3-11)$$

$$N_{01} = j\sigma p \sin 2u (f_x \sin v + f_y \cos v) - \frac{\sigma^2}{2} \sin^2u \frac{4z}{L^2} f_2 \quad (3.3-12)$$

$$N_{11} = j\sigma p^3 \sin 2u (f_x \cos v + f_y \sin v) - \frac{\sigma^2 p^2 8z}{2L^2} \sin^2u f_2 \quad (3.3-13)$$

$$N_{20} = p^2 (N_{10} - \cos^2u) \quad (3.3-14)$$

$$N_{02} = -p^2 \sigma^2 \sin^2u f_1 / 2 \quad (3.3-15)$$

$$N_{21} = -p^2 \sigma^2 2z^2 \sin^2u f_2 / L^4 \quad (3.3-16)$$

$$N_{12} = -p^4 \sigma^2 \sin^2u f_1 / 2 \quad (3.3-17)$$

$$N_{30} = -p^4 \sigma^2 2z^2 \sin^2u [1 - \cos 2(v+\theta)] / L^4 \quad (3.3-18)$$

where

$$f_1 = (f_x^2 + f_y^2) + 2f_x f_y \sin 2v + (f_x^2 - f_y^2) \cos 2v \quad (3.3-19)$$

$$\begin{aligned} f_2 &= \sin \theta (f_x - f_y \sin 2v - f_x \cos 2v) + \cos \theta (f_y - f_x \sin 2v + f_y \cos 2v) \\ &= \sin \theta V_1 + \cos \theta V_2 \end{aligned} \quad (3.3-20)$$

and

$$\bar{C} = \exp\left\{-\frac{\tau^2}{T^2} - \frac{z^2}{L^2}\right\} \sin\{z(f_x \sin \theta + f_y \cos \theta) - \omega_d \tau\} \quad (3.3-21)$$

The integral for the incoherent autocorrelation function is

$$Q_I(\tau) = A S e^{-P^2 - j\omega_c \tau} \int_0^\infty \int_0^{2\pi} J e^{-jzK_t \cos(\theta - \phi_K)} z d\theta dz \quad (3.3-22)$$

For algebraic convenience, we decompose C and \bar{C} into forward and backward travelling waves as

$$\begin{pmatrix} \bar{C} \\ C \end{pmatrix} = \begin{pmatrix} -j \\ 1 \end{pmatrix} (C^{+1} \mp C^{-1}) \frac{C_0^1}{2} \quad (3.3-23)$$

where we have used the identities

$$\frac{\sin(\alpha)}{\cos(\alpha)} = \frac{1}{2} (e^{j\alpha} \mp e^{-j\alpha}) \begin{pmatrix} -j \\ 1 \end{pmatrix} \quad (3.3-24)$$

and C_0^1 is spatial and temporal envelope function as

$$C_0^n = \exp\left\{-n\left(\frac{\tau^2}{T^2} + \frac{z^2}{L^2}\right)\right\} \quad (3.3-25)$$

and $C^{\pm 1}$ is phasors

$$C^{\pm m} = \exp\left\{-jm\left[\pm\omega_d \tau \mp z(f_x \sin\theta + f_y \cos\theta)\right]\right\} \quad (3.3-26)$$

Similarly, we decompose the set $\{C^m C^{\pm n}\}$ into the travelling waves

$$C^2 = \frac{1}{2} C_0^2 + \frac{1}{4} C_0^2 (C^{+2} + C^{-2}) \quad (3.3-27)$$

$$\bar{C}^2 = \frac{1}{2} C_0^2 - \frac{1}{4} C_0^2 (C^{+2} + C^{-2}) \quad (3.3-28)$$

$$C\bar{C} = -j\frac{1}{4} C_0^2 (C^{+2} - C^{-2}) \quad (3.3-29)$$

$$C^3 = \frac{1}{8} C_0^3 (C^{+3} - C^{-3} + 3C^{+1} + 3C^{-1}) \quad (3.3-30)$$

$$C^2\bar{C} = -j\frac{1}{8} C_0^3 (C^{+3} - C^{-3} + C^{+1} - C^{-1}) \quad (3.3-31)$$

$$C\bar{C}^2 = \frac{1}{8} C_0^3 (-C^{+3} - C^{-3} + C^{+1} + C^{-1}) \quad (3.3-32)$$

and substituting Eqs.(3.3-23) through (3.3-32) into J and

factoring the same order of C_o^n and $C^{\pm m}$, we have

$$J = \frac{1}{2}C_o^2(N_{20} + N_{02}) + \frac{1}{2}C^{+1}C_o^1(N_{10} \mp jN_{01}) + \frac{1}{4}C^{\pm 2}C_o^2(N_{20} - N_{02} \mp jN_{11}) \\ + \frac{1}{8}C_o^3[C^{\pm 1}(3N_{30} + N_{12} \mp jN_{21}) + C^{\pm 3}(N_{30} - N_{12} \mp jN_{21})] \quad (3.3-33)$$

Separating $Q_I(\tau)$ as

$$Q_I(\tau) = \sum_{mn} Q_{mn}^{\pm}(\tau) \quad (3.3-34)$$

where

$$Q_{mn}^{\pm} = A S e^{-p^2 - j\omega_c \tau} \int_0^{\infty} \int_0^{2\pi} J_{mn}^{\pm} e^{-jzK_t \cos(\theta - \phi_K)} z dz d\theta \quad (3.3-35)$$

with

$$J_{02} = \frac{1}{2}C_o^2(N_{20} + N_{02}) \quad (3.3-36)$$

$$J_{11}^{\pm} = \frac{1}{2}C^{\pm 1}C_o^1(N_{10} \mp jN_{01}) \quad (3.3-36)$$

$$J_{22}^{\pm} = \frac{1}{8}C^{\pm 2}C_o^2(N_{20} - N_{02} \pm jN_{11}) \quad (3.3-37)$$

$$J_{13}^{\pm} = \frac{1}{8}C^{\pm 1}C_o^3(3N_{30} + N_{12} \pm jN_{21}) \quad (3.3-38)$$

$$J_{33}^{\pm} = \frac{1}{8}C^{\pm 3}C_o^3(N_{30} - N_{12} \mp jN_{21}) \quad (3.3-39)$$

The term J_{02} has no phasor and therefore Q_{02} is the component of the incoherent autocorrelation function due to a quasi-static surface. The integrations Q_{mn}^{\pm} with respect to z and θ are similar. Next the integration Q_{02} is carried out in detail. The evaluations of the rests of Q_{mn}^{\pm} are done in Appendix C. We will first integrate the angular variable and then the radial variable. Defining the angular integrals

$$\left(\begin{array}{c} \phi \\ \psi \end{array} \right) m = \int_0^{2\pi} \frac{\cos(m\theta)}{\sin(\theta)} e^{-jzK_t \cos(\theta - \phi_K)} d\theta \quad (3.3-40)$$

we rewrite $Q_{02}(\tau)$

$$Q_{02}(\tau) = ASe^{-p^2 - j\omega_c \tau} \int_0^\infty dz z (\sigma p C_0 \sin u/L)^2 (1 - 2z^2/L^2) \Phi_0 \\ - j\sigma p (p C_0 z/L)^2 \sin 2u (\sin v \Phi_1 + \cos v \Psi_1) + 2z^3 (\sigma p C_0 \sin u/L^2)^2 \\ (-\sin 2v \Psi_2 + \cos 2v \Phi_2) \quad (3.3-41)$$

The angular integrals are Richard and Wolf integrals¹⁵

$$\left(\frac{\Phi}{\Psi}\right)_m = (-j) 2\pi J_m(K_t z) \frac{\cos^m(\phi_K)}{\sin^m(\phi_K)} \quad (3.3-42)$$

where $J_m(x)$ is the Bessel function of the first kind of order m .

In particular

$$\Phi_0 = 2\pi J_0(K_t z) \quad (3.3-43)$$

$$\left(\frac{\Phi}{\Psi}\right)_1 = 2\pi (-j) J_1(K_t z) \frac{\cos(\phi_K)}{\sin(\phi_K)} \quad (3.3-44)$$

$$\left(\frac{\Phi}{\Psi}\right)_2 = -2\pi J_2(K_t z) \frac{\cos^2(\phi_K)}{\sin^2(\phi_K)} \quad (3.3-45)$$

Substituting Eqs. (3.3-43)-(3.3-45) into Q_{02} , we have

$$Q_{02} = 2\pi ASe^{-p^2 - j\omega_c \tau} \frac{2\tau^2}{L^2} \{ (\sigma p \sin u/L)^2 (N_0^2 - L^{-2} N_0'^2) + \sigma p^3 L^{-2} \sin 2u \sin(v + \phi_K) \\ \cdot N_1^2 - 2(\sigma p \sin u/L^2)^2 \cos 2(v + \phi_K) N_2^2 \} \quad (3.3-46)$$

where N_m^n are the radial integrals as

$$N_m^n = \int_0^\infty z^{m+1} J_m(K_t z) \exp\{-nz^2/L^2\} dz \quad (3.3-47)$$

and $N_m^{n'}$ are the derivatives with respect to $(-n/L^2)$. The integrand of the radial integral is real as expected with real K_t and ϕ_K defined by Eq. (3.3-3). The integrals N_m^n are of the first kind Weber exponential integrals²⁶ as

$$N_m^n = K_t^m [2(nL^{-2})]^{-(m+1)} \exp[-L^2 K_t^2 (2n)^{-2}] \quad (3.3-48)$$

In particular

$$N_0^2 = L^2/4 \cdot \exp[-L^2 K_t^2/8] \quad (3.3-49)$$

$$N_1^2 = L^4 K_t/16 \cdot \exp[-L^2 K_t^2/8] \quad (3.3-50)$$

$$N_2^2 = L^6 K_t^2/4^{-3} \cdot \exp[-L^2 K_t^2/8] \quad (3.3-51)$$

and

$$N_0^{2'} = L^4 [1-L^2 K_t^2/8]/8 \cdot \exp[-L^2 K_t^2/8] \quad (3.3-52)$$

Substituting N_m^n into Q_{02} , we have

$$Q_{02}(\tau) = 2\pi AS \exp\{-p^2 - j\omega_c \tau - \frac{2\tau^2}{T} - \frac{L^2 K_t^2}{8}\} \{(\sigma p L K_t \sin u)^2/2^5 + \sigma p^3 L^2 K_t \sin 2u \sin(v+\phi_K)/2^4 - (\sigma p L K_t \sin u)^2 \cos 2(v+\phi_K)/2^5\} \quad (3.3-53)$$

This step completes the evaluation of $Q_{02}(\tau)$. As expected, Q_{02} is independent of the surface wave number f and the radial frequency ω_d .

From Appendix C

$$G_{mn}^\pm(\tau) = 2\pi AS \exp\{-p^2 - n\tau^2 T^{-2} - j\omega_c \tau \mp j m \omega_d \tau\} \cdot G_{mn}^\pm \quad (3.3-54)$$

where

$$G_{11}^\pm = \exp[-L^2 K_{1t}^{\pm 2}/4] \{ (pL \cos u)^2/4 \pm \sigma p L^2 \sin 2u (f_y \sin v + f_x \cos v)/2 + f_1 (\sigma L \sin u)^2/8 + (\sigma L K_{1t}^\pm \sin u)^2/2^4 + \sigma p L^2 K_{1t}^\pm \sin 2u \sin(v+\phi_{1K}^\pm)/4 \pm \frac{1}{4} (\sigma L \sin u)^2 K_{1t}^\pm (v_1 \sin \phi_{1K}^\pm + v_2 \cos \phi_{1K}^\pm) - (\sigma L K_{1t}^\pm)^2 \cos 2(v+\phi_{1K}^\pm) \} \quad (3.3-55)$$

$$G_{22}^\pm = \exp[-L^2 K_{2t}^{\pm 2}/8] \{ (L \sigma p \sin u)^2 (K_{2t}^{\pm 2} + [L_1^0] f_{18}^{\pm 1}) \pm \sigma p^3 L^2 \sin 2u (f_x \sin v + f_y \cos v)/4 + \sigma p^3 L^2 K_{2t}^\pm \sin 2u \sin(v+\phi_{2K}^\pm) \pm (\sigma p L)^2 K_{2t}^\pm \sin^2 u (v_1 \sin \phi_{2K}^\pm + v_2 \cos \phi_{2K}^\pm)/16 - (\sigma p L K_{2t}^\pm \sin u 2^{-3})^2 \cos 2(v+\phi_{2K}^\pm) \} \quad (3.3-56)$$

$$G_{13}^{\pm} = \exp\{-L^2 K_{1t}^{\pm 2}/12\} \left\{ -(\sigma p^2 L f_1 \sin u)^2 / 3 \cdot 2^5 + (\sigma p^2 L K_{1t}^{\pm} \sin u)^2 / 3^2 2^5 \right. \\ \left. \pm (\sigma p^2 L \sin u)^2 K_{1t}^{\pm} / 3^2 2^4 (V_1 \sin \phi_{1K}^{\pm} + V_2 \cos \phi_{1K}^{\pm}) \right. \\ \left. - (\sigma p^2 L K_{1t}^{\pm} \sin u)^2 \cos 2(v \pm \phi_{1K}^{\pm}) / 3^2 2^5 \right\} \quad (3.3-57)$$

and

$$G_{33}^{\pm} = \exp\{-L^2 K_{3t}^{\pm 2}/12\} \left\{ (\sigma p^2 L \sin u)^2 f_1 / 3 \cdot 2^5 + (\sigma p^2 L K_{3t}^{\pm} \sin u)^2 / 3^3 2^5 \right. \\ \left. \pm (\sigma p^2 L K_{3t}^{\pm} \sin u)^2 (V_1 \sin \phi_{3K}^{\pm} + V_2 \cos \phi_{3K}^{\pm}) - (\sigma p^2 K_{3t}^{\pm} \sin u L)^2 \cos 2(v + \phi_{3K}^{\pm}) / 3^2 2^5 \right\} \quad (3.3-58)$$

where

$$K_{mt}^{\pm 2} = (K_x \mp m f_x)^2 + (K_y \mp m f_y)^2 \quad (3.3-59)$$

$$\phi_{mK}^{\pm} = \tan^{-1} \{(K_x \mp m f_x) / (K_y \mp m f_y)\} \quad (3.3-60)$$

The results will now be expressed in terms of normalized quantities which are functions of normalized parameters. Let the normalized autocorrelation function(NAC), $Q(\tau)$ be defined as

$$\overline{Q(\tau)} = \frac{4\pi R^2}{S} Q(\tau) \quad (3.3-61)$$

For reasonable surface realizations, NAC is related to the mean scattering cross section(MSC) as

$$\overline{Q(\tau)} = W(\tau) \cdot \bar{\sigma} \quad (3.3-62)$$

where $W(\tau)$ is a function of the temporal variable and $\bar{\sigma}$ is the MSC. The MSC is a function of the following three normalized parameters

$$l = k\sigma \quad (3.3-63)$$

$$\sigma_n = \sigma/L \quad (3.3-64)$$

$$f = f/k = (f_x^2 + f_y^2)^{\frac{1}{2}}/k \quad (3.3-65)$$

where ℓ is the Rayleigh, σ_n is the rms surface slope or normalized roughness parameters and \bar{f} is the normalized sea wave number parameter. Using the normalization condition defined by Eq.(3.3-61) and the dimensionless surface parameters, Eqs(3.3-63)-(3.3-65), we have for the total NAC

$$\overline{Q(\tau)} = e^{-j\omega_c \tau} \bar{\sigma}_c + \sum_{mn} \exp(-j\omega_c \tau + jm\omega_d \tau - n\tau^2/T^2) \cdot \bar{\sigma}_{mn}^{\pm} \quad (3.3-66)$$

where $\bar{\sigma}_c$ and $\bar{\sigma}_{mn}$ are coherent and partial incoherent MSC respectively. The normalized coherent MSC is

$$\bar{\sigma}_c = \cos^2 u \exp(-p^2) |E_c|^2 \quad (3.3-67)$$

and the normalized partial incoherent MSCs are

$$\bar{\sigma}_{mn}^{\pm} = k^2 \exp(-p^2) G_{mn}^{\pm} \quad (3.3-68)$$

where

$$\bar{\sigma}_{02} = g_1(2) \{ \ell^4 \bar{p}^{-2} \bar{k}_t^2 \sin^2 u / 2^5 + \ell^6 [\bar{p}^{-3} \bar{k}_t \sin 2u \sin(v + \phi_K) / 2^4 - 2^{-5} \bar{p}^{-2} \bar{k}_t^2 \sin^2 u \cos 2(v + \phi_K)] \} \quad (3.3-69)$$

$$\begin{aligned} \bar{\sigma}_{11}^{\pm} = g_1^{\pm}(1) \ell^4 \{ & \frac{1}{4} \bar{p}^{-2} \cos u + \frac{1}{4} \bar{p}^{-2} \bar{k}_{1t}^{\pm} \sin 2u \sin(v + \phi_{1K}^{\pm}) + \frac{1}{4} \bar{f}_1 \sin^2 u + \bar{k}_{1t}^{\pm} \sin^2 u / 8 \\ & \pm \frac{1}{2} \bar{p} \bar{f} \sin 2u \cos(v - \alpha) \pm \frac{1}{4} \bar{f} \bar{k}_{1t}^{\pm} \sin^2 u (\bar{v}_1 \sin \phi_{1K}^{\pm} + \bar{v}_2 \cos \phi_{1K}^{\pm}) \\ & - \bar{k}_{1t}^{\pm 2} \sin^2 u \cos 2(v + \phi_{1K}^{\pm}) \} \quad (3.3-70) \end{aligned}$$

$$\begin{aligned} \bar{\sigma}_{22}^{\pm} = g_2^{\pm}(2) \ell^6 \{ & 2^{-7} \bar{p}^{-2} \bar{k}_{2t}^{\pm 2} \sin^2 u + 2^{-5} \bar{p}^{-3} \bar{k}_{2t}^{\pm} \sin 2u \sin(v + \phi_{1K}^{\pm}) \\ & \pm 2^{-2} \bar{p}^{-3} \bar{f} \sin 2u \cos(v - \alpha) + 2^{-4} \bar{p}^{-2} \bar{f}_1 \sin^2 u - 2^{-6} \bar{p}^{-2} \bar{k}_{2t}^{\pm 2} \sin^2 u \cos 2(v + \phi_{1K}^{\pm}) \\ & \pm 2^{-4} \bar{p}^{-2} \bar{f} \bar{k}_{2t}^{\pm} \sin^2 u (\bar{v}_1 \sin \phi_{2K}^{\pm} + \bar{v}_2 \cos \phi_{2K}^{\pm}) \} \quad (3.3-71) \end{aligned}$$

$$\begin{aligned} \bar{\sigma}_{13}^{\pm} = & g_1^{\pm}(3) \ell^8 \{ 2^{-5} 3^{-2-4\bar{p}} \bar{K}_{1t}^{\pm 2} \sin^2 u \pm 2^{-4} 3^{-2-4\bar{p}} \bar{K}_{1t}^{\pm} \sin^2 u (\bar{V}_1 \sin \phi_{1K}^{\pm} + \bar{V}_2 \cos \phi_{1K}^{\pm}) \\ & - 2^{-5} 3^{-1-4\bar{p}} \bar{f}_1 \sin^2 u - 2^{-5} 3^{-2-4\bar{p}} \bar{K}_{1t}^{\pm 2} \sin^2 u \cos 2(v + \phi_{1K}^{\pm}) \} \end{aligned} \quad (3.3-72)$$

$$\begin{aligned} \bar{\sigma}_{33}^{\pm} = & g_3^{\pm}(3) \ell^8 \{ 2^{-4} 3^{-1-4\bar{p}} \bar{f}_1 \sin^2 u - 2^{-5} 3^{-3-4\bar{p}} \bar{K}_{3t}^{\pm 2} \sin^2 u \cos 2(v + \phi_{3K}^{\pm}) \\ & + 2^{-5} 3^{-2-4\bar{p}} \bar{K}_{3t}^{\pm} \sin^2 u \pm 2^{-4} 3^{-3-4\bar{p}} \bar{K}_{3t}^{\pm} \sin^2 u (\bar{V}_1 \sin \phi_{3K}^{\pm} + \bar{V}_2 \cos \phi_{3K}^{\pm}) \} \end{aligned} \quad (3.3-73)$$

with

$$\begin{aligned} \bar{K}_{mt}^{\pm 2} = & (\sin u \cos v - \sin u_s \cos v_s \mp m \sin \alpha)^2 \quad (3.3-74) \\ & + (\sin u \sin v - \sin u_s \sin v_s \mp m \cos \alpha)^2 \end{aligned}$$

$$\phi_{mK}^{\pm} = \tan^{-1} \frac{K_x \mp m f_x}{K_y \mp m f_y} \quad (3.3-75)$$

$$\bar{p} = \cos u + \cos u_s \quad (3.3-76)$$

$$\bar{f}_1 = 1 + \cos 2(v - \alpha) \quad (3.3-77)$$

$$\begin{pmatrix} \bar{V}_1 \\ \bar{V}_2 \end{pmatrix} = \begin{pmatrix} \sin(\alpha) \mp \sin(\alpha + 2v) \\ \cos(\alpha) \mp \cos(\alpha + 2v) \end{pmatrix} \quad (3.3-78)$$

$$g_m^{\pm}(n) = \sigma_n^{-2} \exp\{-\ell^2 (\bar{p}^2 + \bar{K}_{mt}^{\pm 2} / 4n\sigma_n^2)\} \quad (3.3-79)$$

We note that $\bar{K}_t = \bar{K}_{0t}$. This step completes the evaluation of the NAC. We will next discuss a few special cases of our general MSC results.

For quasi-specular scattering,

$$u = u_s, \quad v \neq v_s \quad (3.3-80)$$

In this case we assume that the transmitter and receiver are nearly identical in heights. Therefore, angularly dependent

parameters are

$$\bar{p} = 2 \cos u \quad (3.3-81)$$

with

$$\bar{K}_{mt}^{\pm 2} = \{ \sin u (\cos v - \cos v_s) \mp m \sin \alpha \}^2 + \{ \sin u (\sin v - \sin v_s) \mp m \cos \alpha \}^2 \quad (3.3-82)$$

$$\phi_{mK}^{\pm} = \tan^{-1} \frac{\sin u (\cos v - \cos v_s) \mp m \sin \alpha}{\sin u (\sin v - \sin v_s) \mp m \cos \alpha} \quad (3.3-83)$$

$$\bar{K}_t^2 = \bar{K}_{0t}^2 = 2 \sin^2 u [1 - \cos(v - v_s)] \quad (3.3-84)$$

The coherent component $\bar{\sigma}_c$ is

$$\bar{\sigma}_c = \cos^2 u \exp\{-(\ell \bar{p})^2\} \quad (3.3-85)$$

independent of the azimuth angle. The partial MSC's for quasi specular scattering are listed in Table 3.1.

Quasi-specular scattering is identical to specular scattering if the azimuthal angle of incident and scattered wave vectors are identical, i.e.

$$v = v_s \quad (3.3-86)$$

with $u = u_s$. The parameters in this case are

$$\bar{p} = 2 \cos u \quad (3.3-87)$$

$$\bar{K}_{mt}^{\pm} = \bar{K}_{mt} = \begin{cases} 1 & m \neq 0 \\ 0 & m = 0 \end{cases} \quad (3.3-88)$$

$$\phi_{mK}^{\pm} = \begin{cases} \alpha & m \neq 0 \\ 0 & m = 0 \end{cases} \quad (3.3-89)$$

The coherent specular MSC is identical to the quasi-specular MSC since it is not a function of v . The results for the specular partial MSC's are listed in Table 3.1.

In the backscattering case

$$\underline{k}_i = \underline{k}_s \quad (3.3-90)$$

This statement is equivalent to

$$u = u_s, \quad v = v_s + \pi/2 \quad (3.3-91)$$

The parameters now become

$$\bar{p} = 2\cos u \quad (3.3-92)$$

$$\bar{K}_{mt}^{\pm 2} = (2\sin u \cos v \mp m \sin \alpha)^2 + (2\sin u \sin v \mp m \cos \alpha)^2 \quad (3.3-93)$$

$$\phi_{mK}^{\pm} = \tan^{-1} \frac{2\sin u \cos v \mp m \sin \alpha}{2\sin u \sin v \mp m \cos \alpha} \quad (3.3-94)$$

for $m \neq 0$, and

$$\bar{K}_t = \bar{K}_{ot} = 2\sin u \quad (3.3-95)$$

$$\phi_K = \phi_{oK} = \pi/2 - v \quad (3.3-96)$$

for $m=0$. Further we note that

$$\frac{\sin}{\cos}(v + \phi_K) = \begin{pmatrix} 1 \\ -1 \end{pmatrix} \quad (3.3-97)$$

The coherent backscattering MSC for grazing angle of incidence is small since the coherent pattern function is small. The result for partial backscattering MSC's are listed in Table 3.1.

When the incident wave illuminates the moving ocean surface in the cross wind direction i.e. the incident wave vector \underline{k}_i and the direction of the sea wave propagation are perpendicular, there are no resonance sea wave effects in the scattered field if observation is in the cross wind direction⁶, 11,14,16. In this case

$$v + \alpha = \pi/2 \quad (3.3-98)$$

The MSC's for the cross wind direction are listed in Table 3.2

for quasi-specular, specular and backscattering angles of wave incidence. For quasi-specular wave incidence it is shown that the result depends on sea wave number f while for the specular and backscattering MSC the sea wave number f drops out.

In Fig.3.3 through Fig.3.7, the partial MSC's for various values of parameters are plotted.

3.4 Temporal Frequency Spectrum

The Fourier transform of the autocorrelation function for the scattering field is the temporal power spectrum

$$S(\omega) = \int_{-\infty}^{\infty} Q(\tau) e^{j\omega\tau} d\tau \quad (3.4-1)$$

Since the NAC is separable

$$Q(\tau) = \bar{\sigma} W(\tau) \quad (3.4-2)$$

where $W(\tau)$ is an envelope function. We define the normalized temporal power spectrum (TPS) as

$$\bar{S}(\omega) = \bar{\sigma} W(\omega) \quad (3.4-3)$$

where

$$W(\omega) = \frac{\epsilon}{\sqrt{\pi}} \int_{-\infty}^{\infty} W(\tau) e^{j\omega\tau} d\tau \quad (3.4-4)$$

where ϵ is the time correlation frequency. Defining the normalized radian frequency parameters as

$$\bar{\omega} = \omega/\epsilon \quad (3.4-5)$$

$$\bar{\omega}_d = \omega_d/\epsilon \quad (3.4-6)$$

$$\bar{\omega}_c = \omega_c/\epsilon \quad (3.4-7)$$

Where $\bar{\omega}$, $\bar{\omega}_d$ and $\bar{\omega}_c$ are normalized spectral, Doppler and source radian frequencies. We have as the final result for the TPS

$$\overline{S(\bar{\omega})} = \sum_{mn} S_{mn}^{\pm}(\bar{\omega}, \bar{\omega}_d, \bar{\omega}_c) \quad (3.4-8)$$

with

$$S_{mn}^{\pm}(\bar{\omega}) = \bar{\sigma}_{mn}^{\pm} W_{mn}^{\pm}(\bar{\omega}, \bar{\omega}_d, \bar{\omega}_c) \quad (3.4-9)$$

where W_{mn}^{\pm} are partial spectral envelope functions

$$W_{mn}^{\pm} = \frac{\epsilon}{\sqrt{\pi}} \int_{-\infty}^{\infty} e^{-n\epsilon^2 \tau^2 - j(\omega - \omega_c \mp m\omega_d)\tau} d\tau = \frac{1}{\sqrt{n}} \exp[-(\bar{\omega} - \bar{\omega}_c \mp m\bar{\omega}_d)^2/n] \quad (3.4-10)$$

The index m and n represent the order of normalized Doppler frequency shifts and normalized Doppler frequency spreads, respectively. The coherent spectral component $W_c(\bar{\omega})$ can be found by letting the correlation time to be infinite. Using the identity

$$\delta(x) = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon \sqrt{\pi}} \exp(-x^2/\epsilon^2) \quad (3.4-11)$$

where $\delta(x)$ is the Dirac delta function, we have

$$W_c(\omega) = \lim_{\epsilon \rightarrow 0} W_{00}(\omega) = \sqrt{\pi} \delta(\bar{\omega} - \bar{\omega}_c) \quad (3.4-12)$$

Eqs.(3.4-8), (3.4-9) and (3.4-12) are the final results for the TPS. The TPS has seven spectral peaks corresponding to a surface with up to third order of Bragg scattering. The partial MSC's are the magnitude coefficients for the spectral components. The coherent spectral component is a discrete line²⁰. For the quasi-static spectral component S_{02} has a spread but no Doppler shift. This component is due to the slope dependent terms in the scattering integral. The quasi-static component appears in the measured data of Crombie⁹ and it has been described as an undesirable land echo. The partial terms S_{11}^{\pm} are the first order spectral components and they have highest spectral peaks and the narrowest spectral spreads. The partial MSC $\bar{\sigma}_{11}^{\pm}$ have been found previously^{12,19}. The partial terms S_{13}^{\pm} are correction factors to the first order spectral peaks and widths. The partial terms

S_{22}^{\pm} and S_{33}^{\pm} have second and third order spectral peaks and are equivalent to higher Bragg effects. In Fig. 3.8 and Fig.3.9, we plot TPS for various values of parameters.

The results thus obtained indicate that the spectral peaks of the echo are aligned with the Doppler frequencies $\pm m d$ that include zero order shift $m=0$. Also the spectral peaks of the up-Doppler and the down-Doppler are asymmetrical. This result shows good agreement with early very low radar frequency sea echo measurements^{9,10}. However, recent measurements, using higher radar frequencies^{13,17,18} show that the peaks shift away from the nominal Doppler frequencies and the skewness of the spectra markedly increases. An explanation for this effect, referred to as a second order theory^{12,14,19}, considers a non-linear wave interaction between two water waves travelling in the same direction to form an additional Doppler shift in the TPS. The additional wave is an evanescent wave that can neither take energy nor can it propagate independently of the first order water wave.

To predict the additional Doppler shift from a physical optics point of view we must modify the surface height correlation function. Kinsmann's experimental record on sea surface height correlation function²³ (See Fig.3.2) shows a deviation from Eq.(3.2-7) in the second temporal cycle. To correct this deficiency we now introduce a second order sea surface height correlation function

$$C_2 = C_0 \cos\{z f_s (\sin\alpha \sin\theta + \cos\alpha \cos\theta) - w_s \tau\} \cos\{z f_e (\sin\alpha \sin\theta + \cos\alpha \cos\theta) - w_e \tau\} \quad (3.4-12)$$

which gives a better fit to the experimental data for $f_e \ll f_s$ and $\omega_e \ll \omega_s$. Using the trigonometric identity

$$2\cos\alpha\cos\beta = \cos(\alpha+\beta) + \cos(\alpha-\beta) \quad (3.4-13)$$

the second order correlation function can be represented as two interacting surface waves

$$C_2 = C'_0(\cos a + \cos b) \quad (3.4-14)$$

where

$$\begin{pmatrix} a \\ b \end{pmatrix} = z(f_s \pm f_e)(\cos\alpha\cos\theta + \sin\alpha\sin\theta) - (\omega_s \pm \omega_e) \quad (3.4-15)$$

$$C'_0 = C_0/2 \quad (3.4-16)$$

The two waves have different phase velocities of propagations. Using the second order correlation function, we next evaluate the NAC and TPS. By expanding the correlation function into a series of travelling waves and identifying the appropriate surface number and surface wave Doppler frequency components, TPS and NAC can be cast in a series of partial TPS and NAC form. The result of the NAC (Details of calculation are in Appendix D) is

$$\bar{Q}(\tau) = e^{-j\omega_c\tau} \bar{\sigma}_c + \sum_{h \neq 0} e^{-j\omega_c\tau} \mp (h\omega_s + l\omega_e) - n\tau^2/T^2 \cdot \bar{\sigma}_{hln}^{\pm} \quad (3.4-17)$$

where

$$\bar{\sigma}_{002} = \frac{1}{2} \bar{\sigma}_{02}(\bar{f} = \bar{f}_s) \quad (3.4-18)$$

$$\bar{\sigma}_{1\pm 11}^{\pm} = \frac{1}{2} \bar{\sigma}_{11}(\bar{f} = \bar{f}_s, \bar{K}_{1t}^{\pm} = \bar{K}_{1\pm 1}^{\pm}, \phi_{1K}^{\pm} = \phi_{1\pm 1}^{\pm}) \quad (3.4-19)$$

$$\bar{\sigma}_{102}^{\pm} = \frac{1}{2} \bar{\sigma}_{22}(\bar{f} = \bar{f}_s, \bar{K}_{2t}^{\pm} = \bar{K}_{10}^{\pm}, \phi_{2K}^{\pm} = \phi_{10}^{\pm}) \quad (3.4-20)$$

$$\bar{\sigma}_{2\pm 22}^{\pm} = \frac{1}{2} \bar{\sigma}_{22}(\bar{f} = \bar{f}_s, \bar{K}_{2t}^{\pm} = \bar{K}_{2\pm 2}^{\pm}, \phi_{2K}^{\pm} = \phi_{2\pm 2}^{\pm}) \quad (3.4-21)$$

$$\bar{\sigma}_{0\pm 12}^{\pm} = \frac{1}{4} \bar{\sigma}_{02}^{\pm} (\bar{f} = \bar{f}_s, \bar{K}_t = \bar{K}_{0\pm 1}^{\pm}, \phi_K = \phi_{0\pm 1}^{\pm}) \quad (3.4-22)$$

$$\bar{\sigma}_{1\pm 13}^{\pm} = \frac{1}{16} \bar{\sigma}_{13}^{\pm} (\bar{f} = \bar{f}_s, \bar{K}_{1t}^{\pm} = \bar{K}_{1\pm 1}^{\pm}, \phi_{1K}^{\pm} = \phi_{1\pm 1}^{\pm}) \quad (3.4-23)$$

$$\bar{\sigma}_{3\pm 13}^{\pm} = \frac{3}{16} \bar{\sigma}_{33}^{\pm} (\bar{f} = \bar{f}_s, \bar{K}_{3t}^{\pm} = \bar{K}_{3\pm 1}^{\pm}, \phi_{3K}^{\pm} = \phi_{3\pm 1}^{\pm}) \quad (3.4-24)$$

$$\bar{\sigma}_{3\pm 33}^{\pm} = \frac{1}{16} \bar{\sigma}_{33}^{\pm} (\bar{f} = \bar{f}_s, \bar{K}_{3t}^{\pm} = \bar{K}_{3\pm 3}^{\pm}, \phi_{3K}^{\pm} = \phi_{3\pm 3}^{\pm}) \quad (3.4-25)$$

$$\bar{\sigma}_{1\pm 33}^{\pm} = \frac{3}{16} \bar{\sigma}_{13}^{\pm} (\bar{f} = \bar{f}_s, \bar{K}_{3t}^{\pm} = \bar{K}_{3\pm 3}^{\pm}, \phi_{3K}^{\pm} = \phi_{1\pm 3}^{\pm}) \quad (3.4-26)$$

where

$$K_{h\pm 1}^{\pm 2} = (K_{hf_s \mp 1f_e})_x^2 + (K_{hf_s \mp 1f_e})_y^2 \quad (3.4-27)$$

$$\phi_{h\pm 1}^{\pm} = \tan^{-1} (K_{hf_s \mp 1f_e})_x / (K_{hf_s \mp 1f_e})_y \quad (3.4-28)$$

and $\bar{K} = K/k$ where subscript x and y denote components of the rectangular coordinate.

The normalized TPS is

$$S(\bar{w}) = S_c(\bar{w}) + \sum_{hln} \bar{\sigma}_{hln}^{\pm} W_{hln}^{\pm}(\bar{w}, \bar{w}_c, \bar{w}_d) \quad (3.4-29)$$

where

$$W_{hln}^{\pm} = \frac{1}{\sqrt{n}} \exp\{- (\bar{w} - \bar{w}_c \mp h\bar{w}_s \mp 1\bar{w}_e)^2 / n\} \quad (3.4-30)$$

The partial spectral envelope functions W_{hln} have the indices hln, h and l are nominal Doppler shifts and n is the Doppler spread index parameter. By choosing h and l for various values of w_s and w_e , the nominal Doppler shift moves away from the shift obtained in the first order model. The skewness of the Doppler spread is due to the interaction of the new additional spectral

component. If we let $m=h$ and $l=0$ then the second order TPS reduces to the first order TPS obtained previously.

3.5 Summary

A general scalar model of wave scattering from statistically rough spatial-temporal stationary surfaces has been shown to give good results for both the MSC and temporal frequency characteristics of the returns. Unlike previous models which require independent considerations of the Bragg scatter for the Doppler shift using geometrical optics and sea wave angular spectrum for the magnitude of the MSC using small surface slope perturbation^{12,14,19}, this model uses physical optics which requires the knowledge of a temporal-spatial surface correlation function. The temporal-spatial surface height correlation function is a gross resultant of the interaction of gravity, wind and ocean wave velocity and it is an accurately measured quantity. Because of nonlinear dependence of the scattered return on the surface height correlation function, the spectra contain higher order Bragg resonance terms. Also physical optics analysis not only predicts the correct partial side-spectral components but also small interaction components as well.

At large sea wave number height order MSCs increase leading to increased non-coherent effects. These effects cause the observed Plateau² phenomena. The asymmetry in the equal order spectral magnitudes are due to magnitude and direction of the wind and the direction of the incident wave. The dynamic model also predicts a quasi-static spectral component, a component that has a spectral spread but no source radian frequency shift. This component appears in reported data^{8,10,17,18} has been denoted as a land echo. In general higher order spectra has wider spreads with lower peak values.

To predict second order effects of the Doppler spectral data, which spectral peaks shift away from the nominal Doppler frequencies and Doppler peak skew from the symmetry, we introduced a second order surface height correlation function based on the reported data^{21,23}. The second order surface correlation function is decomposed into two ocean surface waves and these waves produce a number of higher order spectral and corrected primary components which contribute each primary spectral component skewness. The quasi-static component, as compared with first order model, decreases to half of the first order peak. The second order model is applicable if illuminating source is higher than 4 MHz because high frequency forms typical Rayleigh parameter for small vertical roughness parameter. In Fig.3.5 we plot a typical first and second order TPS's together with identical values of the common parameters.

CHAPTER IV DOPPLER SPECTRA OF A MOVING SOURCE-OBSERVER

TRAVELLING ABOVE A STATIONARY ROUGH SURFACE

4.1 Introduction

An accurate description of scattering mechanism from rough surface due to a radiating moving source observer has practical interest. Aircraft-satellite altimetry and communication, airborne radar Doppler velocity measuring system, terrain imaging and target surveillance radar etc. require extensive informations of Doppler frequency characteristics of the scattering from rough surfaces. Doppler return power frequency spectrum may be characterized by Doppler frequency shift and spread of the backscattered radiation due to a relative velocity between moving source and stationary rough surface. The first Doppler return power spectral calculation from rough surface^{1,2,3} have been limited to a ray optics computation from fixed mean surfaces. The computations neglected the effect of the surface roughness. The effect of the Doppler spectral spread due to a surface roughness was considered recently^{4,5}. Sohel⁴ approaches the problem by using a physical optics approximation. However in his model the spectral spread was due to the intensity distribution of the incident beam while spectral spread broadens when surface becomes smooth. Berger's model⁵ which is based on geometrical optics, assumes a Gaussian random delay due to a range fluctuation deviated from equal range speckle points in the scattering ray tube. He then assumes that the Gaussian delay is due to a Gaussian surface height distribution.

For the Doppler return of our study we will use

physical optics scattering model with source radiation that is due to a monochromatic i.e. linearly polarized time harmonic, plane wave. The surface is a height Gaussian random process independent of time. The static surface assumption allows us to consider the Doppler spectral characteristics of the moving source observer. The object of the study is to obtain the mean Doppler power spectrum as a function of the rough surface parameters, the direction and polarization of the incident radiation, the speed and direction of the source velocity. In section two, the field scattered from a static Gaussian surface height process due to a moving source is developed. In section three, the normalized mean Doppler spectrum(NMDS) is derived. The results are presented in terms of normalized surface roughness parameters. In the last section, the summary of the results and NMDS plots for various parameters are presented.

4.2 Model of the Doppler Return

It is known that the scattered linearly polarized electromagnetic field due to a time harmonic plane wave incident on an arbitrary surface can be obtained from the Kirchhoff-Helmholtz integral⁶

$$\xi(t, R) = \frac{j e^{j(kR - \omega_c t)}}{4\pi R} \int_{S_0} F e^{-j(\underline{P}_1 \cdot \underline{r})} dS_0 \quad (4.2-1)$$

where $k = \omega_c / c$ is the free space wave number with ω_c as the radian frequency of the source and c is the velocity of the light in free space. In Eq.(4.2-1), R is the distance from origin to the observation point, $P(R)$, \underline{r} is the local radius vector

$$\underline{r} = x \underline{a}_x + y \underline{a}_y + \zeta(x, y) \underline{a}_z \quad (4.2-2)$$

and

$$F = (R_F \underline{P}_1 - \underline{P}_2) \cdot \underline{a}_n \quad (4.2-3)$$

where \underline{a}_n is the local surface normal unit vector

$$\underline{a}_n = - \frac{\frac{\partial \zeta}{\partial x} \underline{a}_x + \frac{\partial \zeta}{\partial y} \underline{a}_y - \underline{a}_z}{\left\{ \left(\frac{\partial \zeta}{\partial x} \right)^2 + \left(\frac{\partial \zeta}{\partial y} \right)^2 + 1 \right\}^{1/2}} \quad (4.2-4)$$

R_F is the Fresnel reflection coefficient which depends on the polarization and the material property of the surface, $\underline{P}_{1,2}$ are

$$\underline{P}_{1,2} = \underline{k}_i \mp \underline{k}_s \quad (4.2-5)$$

where

$$\underline{k}_{i,s} = k \left\{ \sin\left(\frac{u_i}{u_s}\right) \cos\left(\frac{v_i}{v_s}\right) \underline{a}_x + \sin\left(\frac{u_i}{u_s}\right) \sin\left(\frac{v_i}{v_s}\right) \underline{a}_y \mp \cos\left(\frac{u_i}{u_s}\right) \underline{a}_z \right\} \quad (4.2-6)$$

are incident and scattered wave vectors, respectively. The angles $u_{i,s}$ and $v_{i,s}$ are the angles of elevation and azimuth of incident

and scattered waves, respectively. By projecting the differential surface area dS_0 on the x-y plane, the scattered wave of Eq.(4.2-1)

$$\xi(t, R) = \frac{j e^{j(kR - \omega_c t)}}{4\pi R} \int_S F_n e^{-j \underline{P}_1 \cdot \underline{r}} dx dy \quad (4.2-7)$$

where

$$F_n = (R_{F-1} - \underline{P}_2) \cdot \left(-\frac{\partial \phi}{\partial x} \underline{a}_x - \frac{\partial \phi}{\partial y} \underline{a}_y + \underline{a}_z \right) \quad (4.2-8)$$

We note that the field reradiated from a static rough surface due to a time harmonic plane wave incidence is a time harmonic spherical wave with amplitude by surface current transform

We are interested in that part of the scattered field that is scattered back to a moving source-observer. Let the velocity vector of the source

$$\underline{V} = V(\sin\alpha \cos\beta \underline{a}_x + \sin\alpha \sin\beta \underline{a}_y + \cos\alpha \underline{a}_z) \quad (4.2-9)$$

where α and β are the elevation angle and azimuthal angle of the velocity vector. The time it takes the signal to propagate from the source to the observer at the surface and back to the moving source(See Fig.4.1)

$$\tau(t) = \frac{2R}{c} + \left(\frac{Vt}{2cR} \right)^2 - \frac{t}{2cR} \underline{k}_i \cdot \underline{V} \quad (4.2-10)$$

where $2R$ is the approximate propagation distance. From Fig. 4.2 we note that the scattered wave vector \underline{k}_s can be written

$$\underline{k}_s = -\underline{k}_i + \frac{kt}{R} \underline{V} \quad (4.2-11)$$

The second term represents an effective bidirectional scattering. However when the source is stationary, i.e. $V=0$, the scattering

is backscattering. Substituting Eqs.(4.2-10) and (4.2-11) into Eq.(4.2-7), we have

$$\xi(t,R) = \frac{j e^{j(kR-\phi)}}{4\pi R} \int_S F_n e^{-j(2\underline{k}_i - \frac{t\underline{k}_v}{R}) \cdot \underline{r}} dx dy \quad (4.2-12)$$

where

$$\phi(t) = \omega_c t - \underline{k}_i \cdot \underline{V} t + \frac{2\pi V^2}{\lambda R} \frac{1}{2} t^2 \quad (4.2-13)$$

The second and third terms of Eq.(4.2-13) are first and second order geometric optical Doppler shifts. The quadratic phase term $2\pi V^2/\lambda R$ is known as the linear FM rate^{3,8,9} and it represents a complex modulation term. We will omit this term because it is independent of the surface roughness. Our final model of the Doppler scattered return field suitable for statistical computation is

$$\xi(t,R) = \frac{j e^{jkR - j\omega_o t}}{4\pi R} \int_S F_n e^{-j(2\underline{k}_i - \frac{t\underline{k}_v}{R}) \cdot \underline{r}} dx dy \quad (4.2-14)$$

where ω_o is an apparent source radian frequency as

$$\omega_o = \omega_c - \underline{k}_i \cdot \underline{V} \quad (4.2-15)$$

First, we will consider the case where the incident plane wave is horizontally polarized and the surface is an ideally conductor, then the Fresnel reflection coefficient reduces to $R_F = -1$ and F becomes

$$F_n^- = -2k \left(\cos u_i + \sin u_i \cos v_i \frac{\partial \phi}{\partial x} + \sin u_i \sin v_i \frac{\partial \phi}{\partial y} \right) \quad (4.2-16)$$

since the factor $(R_{F=1} - \underline{P}_2)$ is $-2\underline{k}_i$ and F_n^- denotes the case of the horizontal polarization. The scattered return for the

horizontal polarization is therefore

$$\xi(t,R) = Ae^{-j\omega_0 t} \int_S F^- e^{-j(2\mathbf{k}_i - \frac{t\mathbf{k}}{R} \cdot \mathbf{v}) \cdot \mathbf{r}} dx dy \quad (4.2-17)$$

where F^- and A are

$$F^- = -F_n^- / 2k \quad (4.2-18)$$

$$A = \frac{-jke^{jkR}}{2\pi R} \quad (4.2-19)$$

When the incident plane wave is vertically polarized, the Fresnel reflection coefficient reduces for an ideally conductor $R_F = 1$, then the factor $(R_{F=1} \cdot \mathbf{p}_1 - \mathbf{p}_2)$ becomes $-2\mathbf{k}_s$. Using the Eq.(4.2-11), we have

$$\xi^+(t,R) = \xi(t,R) + \xi^C(t,R) \quad (4.2-20)$$

where

$$\xi^C(t,R) = Ae^{-j\omega_0 t} \int_S \frac{t\mathbf{k}}{R} (\mathbf{v} \cdot \mathbf{a}_n) e^{-j(2\mathbf{k}_i \cdot \frac{t\mathbf{k}}{R} \cdot \mathbf{v}) \cdot \mathbf{r}} dx dy \quad (4.2-21)$$

and $\xi(t,R)$ is the horizontally polarized scattered return field. Thus ξ^C is a correction term to the horizontal scattering for the vertical polarization.

The field $\xi(t,R)$ is a random process since it depends on the surface height $\zeta(x,y)$ which is itself a random process. The random process $\zeta(x,y)$ is assumed to be a real Gaussian random process of two independent variables x and y . The process is characterized by its mean value which is zero, and correlation function $\sigma^2 C$ where σ^2 is variance and C is normalized correlation function. The process is assumed to be isotropic, that is, it is a mean square stationary Gaussian process with constant variance and normalized correlation function dependent on the radial variable z only where

$$z^2 = (x-x')^2 + (y-y')^2 \quad (4.2-22)$$

We shall use a normalized Gaussian correlation function

$$c = e^{-z^2/L^2} \quad (4.2-23)$$

where L is the correlation distance.

Our ultimate interest is in the spectral representation of the field. For stationary process, the power spectrum is Fourier transform of the autocorrelation function of the return signal. However, in our case the second order moment of the scattered field $Q(t_1, t_2)$ is nonstationary where

$$Q(t_1, t_2) = \langle \varepsilon(t_1, R) \varepsilon^*(t_2, R) \rangle \quad (4.2-24)$$

This $Q(t_1, t_2)$ is also known as a self-coherence function of the field at point $P(R)$ ¹⁷. For a nonstationary process, the time averaged spectrum is appropriate⁷, where averaged spectrum $W(\omega)$ is the Fourier transform of the time averaged second order moment

$$R_\varepsilon(\tau) = \int_{-\infty}^{\infty} Q(t_1, t_2) dt_1 \quad (4.2-25)$$

$$W(\omega) = \int_{-\infty}^{\infty} R_\varepsilon(\tau) e^{-j\omega\tau} d\tau \quad (4.2-26)$$

where $t_2 = t_1 + \tau$. The averaged spectrum $W(\omega)$ can also be found from bifrequency spectrum of $Q(t_1, t_2)$ as⁷

$$W(\omega) = \Gamma(\omega_1, \omega_2) \Big|_{\omega_1 = \omega_2 = \omega} = \iint_{-\infty}^{\infty} Q(t_1, t_2) e^{-j\omega_1 t_1 + j\omega_2 t_2} dt_1 dt_2 \quad (4.2-27)$$

We will evaluate the mean spectrum $W(\omega)$ by the use of Eq.(4.2-27).

It is convenient to work with the following

normalized variables and let the normalized mean Doppler spectrum(NMDS) be

$$W_n(w) \triangleq \frac{4V^2}{S} W(w) \quad (4.2-28)$$

and let normalized surface roughness parameters be

$$l = k\sigma, \quad \sigma_n = \sigma/L \quad (4.2-29)$$

with normalized frequencies

$$\bar{w} = \frac{w}{w_f}, \quad \bar{w}_c = \frac{w}{w_f}, \quad \bar{w}_d = \frac{w}{w_f} \quad (4.2-30)$$

where $w_f = V/R$ is a velocity-range radian frequency, and σ_n and l are the rms surface slope and Rayleigh parameter, respectively while \bar{w} , \bar{w}_c and \bar{w}_d are the normalized transform, source and Doppler radian frequency parameters, respectively.

4.3 The Evaluation of the Mean Doppler Spectrum(MDS)

The ensemble averaged scattering intensity

$Q(t_1, t_2)$, from Eq.(4.2-17) is

$$Q(t_1, t_2) = A^2 e^{-i\omega_0(t_1 - t_2)} \int_S \int_{S'} H e^{-jq[\cos v(x-x') + \sin v(y-y')]} \cdot e^{-j\frac{k}{R}[t_1(v_x x + v_y y) + t_2(v_x x' + v_y y')]} dx dx' dy dy' \quad (4.3-1)$$

where we dropped the subscript i from the angles of wave incidence and

$$q = 2k \sin u \quad (4.3-2)$$

$$H = \cos^2 u M_1 + \sin u \cos u (\cos v M_2 + \sin v M_3) + \sin^2 u \{ \frac{1}{2} \sin 2v (M_4 + M_5) + \cos^2 v M_6 + \sin^2 v M_7 \} \quad (4.3-3)$$

The ensemble averaged quantities, the M functions, for the Gauss Gaussian random process can be evaluated by using the method of the Karhunen expansion¹⁵ as

$$M_1 = \langle X \rangle = \exp\{ -\frac{1}{2}(pq_v)^2 (t_1^2 + t_2^2 - 2Ct_1 t_2) + p^2 q_v (1-C)(t_1 + t_2) - p^2 (1-C) \} \quad (4.3-4)$$

$$M_2 = \langle \left(\frac{\partial \xi}{\partial x} + \frac{\partial \xi}{\partial x'} \right) X \rangle = -j\sigma p \left[\frac{\partial C}{\partial x} - \frac{\partial C}{\partial x'}, -q_v (t_2 \frac{\partial C}{\partial x} - \frac{\partial C}{\partial x'}, t_1) \right] M_1 \quad (4.3-5)$$

$$M_3 = \langle \left(\frac{\partial \xi}{\partial y} + \frac{\partial \xi}{\partial y'} \right) X \rangle = -j\sigma p \left[\frac{\partial C}{\partial y} - \frac{\partial C}{\partial y'}, -q_v (t_2 \frac{\partial C}{\partial y} - t_1 \frac{\partial C}{\partial y'},] M_1 \quad (4.3-6)$$

$$M_4 = \langle \frac{\partial \xi}{\partial x} \frac{\partial \xi}{\partial y}, X \rangle = \sigma^2 \left[\frac{\partial^2 C}{\partial x \partial y} + p^2 (1-t_1 q_v)(1-t_2 q_v) \frac{\partial C}{\partial x} \frac{\partial C}{\partial y}, \right] M_1 \quad (4.3-7)$$

$$M_5 = \langle \frac{\partial \xi}{\partial x} \frac{\partial \xi}{\partial y'} X \rangle = \sigma^2 \left[\frac{\partial^2 C}{\partial x \partial y} + p^2 (1-t_1 q_v)(1-t_2 q_v) \frac{\partial C}{\partial x} \frac{\partial C}{\partial y} \right] M_1 \quad (4.3-8)$$

$$M_6 = \langle \frac{\partial \xi}{\partial x} \frac{\partial \xi}{\partial x'}, X \rangle = \sigma^2 \left[\frac{\partial^2 C}{\partial x \partial x'} + p^2 (1-t_1 q_v)(1-t_2 q_v) \frac{\partial C}{\partial x} \frac{\partial C}{\partial x'}, \right] M_1 \quad (4.3-9)$$

$$M_7 = \langle \frac{\partial \xi}{\partial y} \frac{\partial \xi}{\partial y'}, X \rangle = \sigma^2 \left[\frac{\partial^2 C}{\partial y \partial y'} + p^2 (1-t_1 q_v)(1-t_2 q_v) \frac{\partial C}{\partial y} \frac{\partial C}{\partial y'}, \right] M_1 \quad (4.3-10)$$

where

$$X = e^{-j2k\sigma\cos u} [(1-t_1q_v)^{\zeta}(x,y) - (1-t_2q_v)^{\zeta}(x',y')] \quad (4.3-11)$$

$$p = 2k\sigma\cos u \quad (4.3-12)$$

$$q_v = \frac{V_z}{2R\cos u} = \frac{w_f \cos u}{2\cos u} \quad (4.3-13)$$

and σ^2 and C are the variance and normalized correlation function of the surface height process $\zeta(x,y)$. Substituting these M functions into H and grouping them in terms of the order of t_1 and t_2 , we have

$$H = M_1 (H_0 + t_1 H_1 + t_2 H_2 + t_1 t_2 H_3) \quad (4.3-14)$$

where

$$\begin{aligned} H_0 = & \cos^2 u - j\frac{1}{2}\sigma p \sin 2u [\cos v (\frac{\partial C}{\partial x} \frac{\partial C}{\partial x'}) + \sin v (\frac{\partial C}{\partial y} \frac{\partial C}{\partial y'})] + \sigma^2 \sin^2 u [\frac{1}{2} \sin 2v \cdot \\ & \cdot (\frac{\partial^2 C}{\partial x \partial y'} + \frac{\partial^2 C}{\partial x' \partial y} + p^2 \frac{\partial C}{\partial x} \frac{\partial C}{\partial y} + p \frac{2\partial C}{\partial x'} \frac{\partial C}{\partial y}) + \cos^2 v (\frac{\partial^2 C}{\partial x \partial x'} + p \frac{2\partial C}{\partial x} \frac{\partial C}{\partial x'}) \\ & + \sin^2 v (\frac{\partial^2 C}{\partial y \partial y'} + p \frac{2\partial C}{\partial y} \frac{\partial C}{\partial y'})] \end{aligned} \quad (4.3-15)$$

$$\begin{aligned} H_1 = & -j\frac{1}{2}\sigma p \sin 2u q_v [\cos v \frac{\partial C}{\partial x'} + \sin v \frac{\partial C}{\partial y'}] \quad (4.3-16) \\ & - \sigma^2 p^2 \sin^2 u q_v [\frac{1}{2} \sin 2v (\frac{\partial C}{\partial x \partial y'} + \frac{\partial C}{\partial x'} \frac{\partial C}{\partial y}) + \cos^2 v \frac{\partial C}{\partial x} \frac{\partial C}{\partial x'} + \sin^2 v \frac{\partial C}{\partial y} \frac{\partial C}{\partial y'}] \end{aligned}$$

$$\begin{aligned} H_2 = & -j\frac{1}{2}\sigma p \sin 2u q_v [\cos v \frac{\partial C}{\partial x} + \sin v \frac{\partial C}{\partial y}] \quad (4.3-17) \\ & - \sigma^2 p^2 \sin^2 u q_v [\frac{1}{2} \sin 2v (\frac{\partial C}{\partial x} \frac{\partial C}{\partial y'} + \frac{\partial C}{\partial x'} \frac{\partial C}{\partial y}) + \cos^2 v \frac{\partial C}{\partial x \partial x'} + \sin^2 v \frac{\partial C}{\partial y} \frac{\partial C}{\partial y'}] \end{aligned}$$

and

$$H_3 = 2 p^2 \sin^2 u q_v^2 [\frac{1}{2} \sin 2v (\frac{\partial C}{\partial x \partial y'} \frac{\partial C}{\partial x'} \frac{\partial C}{\partial y}) + \cos^2 v \frac{\partial C}{\partial x} \frac{\partial C}{\partial x'} + \sin^2 v \frac{\partial C}{\partial y} \frac{\partial C}{\partial y'}] \quad (4.3-18)$$

Corresponding to the H_i , we will separate $Q(t_1, t_2)$ and the corresponding bifrequency spectrum into four terms as

$$Q(t_1, t_2) = Q_0(t_1, t_2) + t_1 Q_1(t_1, t_2) + t_2 Q_2(t_1, t_2) + t_1 t_2 Q_3(t_1, t_2) \quad (4.3-19)$$

$$\Gamma(w_1, w_2) = \Gamma_0(w_1, w_2) + \Gamma_1(w_1, w_2) + \Gamma_2(w_1, w_2) + \Gamma_3(w_1, w_2) \quad (4.3-20)$$

where

$$\Gamma_1(w_1, w_2) = -j \frac{\partial}{\partial w_1} \bar{\Gamma}_1(w_1, w_2) \quad (4.3-21)$$

$$\Gamma_2(w_1, w_2) = j \frac{\partial}{\partial w_2} \bar{\Gamma}_2(w_1, w_2) \quad (4.3-22)$$

$$\Gamma_3(w_1, w_2) = \frac{\partial^2}{\partial w_1 \partial w_2} \bar{\Gamma}_3(w_1, w_2) \quad (4.3-23)$$

with

$$\bar{\Gamma}_i(w_1, w_2) = \mathcal{F}_{w_1, w_2} [Q_i(t_1, t_2)] \quad (4.3-24)$$

and Eq.(4.3-24) denotes double Fourier transform. Each partial bifrequency spectrum $\bar{\Gamma}_i(w_1, w_2)$ has six integrations, four geometric and two transform integrations. When we perform any of six integrations, the new integral will have a singularity which then requires the integration of a singular integral in the next step. We remove this singularity by changing the transform integrals into a different form. The final result will be the MDS

$$W(w) = \Gamma(w, w) = \sum_{m=0}^3 W_m(w) \quad (4.3-25)$$

where W_m are the partial MDS. The partial bifrequency spectrum $\bar{\Gamma}_i(w_1, w_2)$ transformed (See Appendix E) into

$$\bar{\Gamma}_i = A^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P_i e^{-\frac{1}{2}(pq_v)^2 (s_1^2 + s_2^2) - j\{(w_1 + w_0)(s_1 - q_v^{-1}) + (w_2 + w_0)(s_2 - q_v^{-1})\}} ds_1 ds_2 \quad (4.3-26)$$

where s_1 and s_2 are dummy integration variables and P_i are geometrical integrals

$$P_i = \iint_{SS'} H_i e^{-Cp^2 q_v^2 s_1 s_2 - j \left\{ \frac{kV}{2R} x(s_1 + s_2)(x+x') + \frac{kV}{2R} y(s_1 + s_2)(y+y') \right\}} \cdot e^{-j(y-y') \left\{ \frac{kV}{R} y(q_v^{-1} + \frac{1}{2}s_1 - \frac{1}{2}s_2) + q \sin v \right\}} \cdot e^{-j(x-x') \left\{ \frac{kV}{R} x(q_v^{-1} + \frac{1}{2}s_1 - \frac{1}{2}s_2) + q \cos v \right\}} dx dx' dy dy' \quad (4.3-27)$$

The other partial spectra differ from $\Gamma_0(w_1, w_2)$ in the geometric integrals and derivatives with respect to radian transform frequencies. We will evaluate each of the partial spectra by first integrating geometrical integrals, P_i and then by integrating with respect to dummy variables.

The integrals P_i can be factored as (See Appendix E)

$$P_i = B \cdot T_i \quad (4.3-28)$$

and changed to cylindrical coordinates as

$$B = \iint_{\infty}^{a2\pi} e^{-jh_2 z_2 \cos(\theta_2 - \phi_V)} z_2 d\theta_2 dz_2 \quad (4.3-29)$$

$$T_i = \iint_{\infty}^{2\pi} H_i e^{-Cp^2 q_v^2 s_1 s_2 - jA_t z_1 \sin(\theta_1 + \phi_A) - jh_1 z_1 \cos(\theta_1 - \phi_V)} z_1 d\theta_1 dz_1 \quad (4.3-30)$$

by using cylindrical variables

$$z_{1,2}^2 = (x \mp x')^2 + (y \mp y')^2, \quad \theta_{1,2} = \tan^{-1}(x \mp x') / (y \mp y') \quad (4.3-31)$$

where index 1 is for the minus sign and 2 for the plus sign with

$$h_{1,2} = kV_t (s_1 \mp s_2) / 2R \quad (4.3-32)$$

$$v_t^2 = v_x^2 + v_y^2, \quad \phi_V = \tan^{-1} v_x / v_y = \frac{1}{2}\pi - \beta \quad (4.3-33)$$

$$A_t^2 = A_x^2 + A_y^2, \quad \phi_A = \tan^{-1} A_y/A_x \quad (4.3-34)$$

where

$$A_{x,y} = \frac{kV_{x,y}}{q_v R} + q_v^{\cos(v)} \quad (4.3-35)$$

The envelope functions of the integrand are also transformed in the new coordinates

$$H_0 = \cos^2 u - \frac{1}{2} \sigma^2 L_2 \sin^2 u - j \sigma p \sin 2u \frac{dC}{dz_1} \sin(\theta_1 + v) + \frac{1}{2} \sigma^2 L_1 \sin^2 u \cos 2(\theta_1 + v) \quad (4.3-36)$$

where $L_{1,2}$ are given Eq.(2.2-41) and

$$H_1 + H_2 = -\frac{1}{2} \sigma p \sin 2u q_v \frac{dC}{dz_1} \sin(\theta_1 + v) + \sigma^2 p^2 q_v \left(\frac{dC}{dz_1} \right)^2 \sin^2 u [1 - \cos 2(\theta_1 + v)] \quad (4.3-37)$$

$$\triangleq H_{12}$$

$$H_3 = -\frac{1}{2} \sigma^2 p^2 q_v^2 \left(\frac{dC}{dz_1} \right)^2 \sin^2 u [1 - \cos 2(\theta_1 + v)] \quad (4.3-38)$$

Since the envelope T_i are damping within the integration intervals of the integrations, the intervals are extended to infinity.

The integral B is an Airy function

$$B = 2\pi \int_0^a z_2 J_0(h_2 z_2) dz_2 = S \frac{J_1(h_2 a)}{h_2 a} \quad (4.3-39)$$

where $S = 2\pi a^2$ is an effective scattering area.

We first consider the calculation of the dominant bifrequency spectrum $\Gamma_0(w_1, w_2)$ which in turn requires T_0 . For the evaluation of T_0 , we expand one of the phase term into infinite series

$$e^{-jh_1 z_1 \cos(\theta_1 - \phi_V)} = \sum_n (-j)^n \epsilon_n J_n(h_1 z_1) \cos n(\theta_1 - \phi_V) \quad (4.3-40)$$

$$\triangleq \bar{I} \cos n(\theta_1 - \phi_V)$$

where ϵ_n is the Neumann constant which is unity for $n=0$ and 2 for all non-zero n and $J_n(x)$ is the n th order Bessel function of the first kind. Substituting Eq.(4.3-40) into Eq.(4.3-30), T_o can be written

$$T_o = \int_0^\infty dz_1 z_1 e^{-Cp^2 q_v^2 s_1 s_2} \bar{I} [(\cos^2 u - \frac{1}{2} \sigma^2 L_2 \sin^2 u) \Phi_o - j \sigma p \sin 2u \frac{dC}{dz_1} \Phi_1 - \frac{1}{2} \sigma^2 L_1 \sin^2 u (\sin 2v \Phi_2 - \cos 2v \Phi_3)] \quad (4.3-41)$$

where \int_i are the angular variable integrations

$$\Phi_{o,1,2,3} = \int_0^{2\pi} \begin{bmatrix} 1 \\ \sin(\theta_1 + v) \\ \sin 2\theta_1 \\ \cos 2\theta_1 \end{bmatrix} \cos n(\theta_1 + v) e^{-jA_t z_1 \sin(\theta_1 + \phi_A)} d\theta \quad (4.3-42)$$

These angular integrals are evaluated by the Richard-Wolf¹⁶ identities given by Eq.(2.3-2). The results for the \int_i integrals are

$$\Phi_o = (-j)^n 2\pi J_n(A_t z_1) \cos n(\pi/2 - \phi_V - \phi_A) \quad (4.3-43)$$

$$\begin{aligned} \Phi_1 = & \pi \cos v [\cos n \phi_V \{D_S(n+1) - D_S(n-1)\} + \sin n \phi_V \{-D_C(n+1) + D_C(n-1)\}] \\ & \pi \sin v [\sin n \phi_V \{D_C(n+1) + D_C(n-1)\} + \cos n \phi_V \{D_S(n+1) + D_S(n-1)\}] \end{aligned} \quad (4.3-44)$$

$$\Phi_{2,3} = \pi \frac{\cos(n\phi_V)}{\sin(n\phi_V)} [\pm D_C(n+2) + D_C(n-2)] + \pi \frac{\sin(n\phi_V)}{\cos(n\phi_V)} [D_S(n+2) \pm D_S(n-2)] \quad (4.3-45)$$

where

$$D_{C,S}(n+m) = (-j)^{n+m} J_{n+m}(A_t z_1) \frac{\cos(n+m)(\frac{1}{2}\pi - \phi_A)}{\sin(n+m)(\frac{1}{2}\pi - \phi_A)} \quad (4.3-46)$$

We note that the integrand of T_o is an infinite series of $\bar{I} \cdot \Phi_i$. This infinite series can be reduced to finite form by the use of Graf's generalized Neumann addition theorem¹⁰ which for $Z > z$

$$e^{jn\nu} J_n(r) = \sum_n \frac{J(Z)}{m+n} \frac{J(z)}{m} e^{jm\mu} \quad (4.3-47)$$

where

$$r^2 = Z^2 + z^2 - 2Zz\cos\mu \quad (4.3-48)$$

and

$$\nu = \tan^{-1} \frac{z\sin\mu}{Z-z\cos\mu} \quad (4.3-49)$$

For example $\bar{I} \bar{\Phi}_0$ becomes

$$\begin{aligned} \bar{I} \bar{\Phi}_0 &= 2\pi \sum_n (-j)^{2n} \epsilon_n J_n(h_1 z_1) J_n(A_t z_1) \cos(\frac{1}{2}\pi - \phi_V - \phi_A) \\ &= 2\pi J_0(dz_1) \end{aligned} \quad (4.3-50)$$

where

$$d^2 = A_t^2 + h^2 + 2A_t h_1 \sin(\phi_V + \phi_A) \quad (4.3-51)$$

Similarly

$$\bar{I} \bar{\Phi}_1 = (-j) 2\pi \cos(\phi_A - \nu - \nu) J_1(dz_1) \quad (4.3-52)$$

$$\bar{I} \bar{\Phi}_{2,3} = \pm 2\pi \cos 2(\phi_A - \nu - \nu) J_2(dz_1) \quad (4.3-53)$$

with

$$\nu = \tan^{-1} \left[\frac{h_1 \cos(\phi_A + \phi_V)}{A_t - h_1 \sin(\phi_A + \phi_V)} \right] \quad (4.3-54)$$

This step completes the angular variable integration of T_0 .

Substituting $\bar{I} \bar{\Phi}_i$ into Eq.(4.3-41), we have

$$\begin{aligned} T_0 &= 2\pi \int_0^\infty dz_1 z_1 e^{-Cp^2 q_V^2 s_1 s_2} \left[(\cos^2 u - \frac{1}{2}\sigma^2 L_2 \sin^2 u) J_0(dz_1) \right. \\ &\quad \left. - \frac{1}{2}\sigma p \frac{dC}{dz_1} \sin 2u \cos(\phi_A - \nu - \nu) J_1(dz_1) - \frac{1}{2}\sigma^2 L_1 \sin^2 u \cos 2(\phi_A - \nu - \nu) J_2(dz_1) \right] \end{aligned} \quad (4.3-55)$$

The term $e^{-Cp^2 q_V^2 s_1 s_2}$ is unity everywhere except near $z_1=0$.

therefore we approximate

$$e^{-Cp^2 q_V^2 s_1 s_2} = 1 - Cp^2 q_V^2 s_1 s_2 \quad (4.3-56)$$

The Gaussian correlation function leads to $L_{1,2}$ as in the Eqs.(2.3-42) and (2.3-43). Substituting these $L_{1,2}$ into Eq.(4.3-55) we have

$$T_o = 2\pi(T_o^1 - p^2 q_V^2 s_1 s_2 T_o^2) \quad (4.3-57)$$

where

$$\begin{aligned} T_o^1 = & \cos^2 u I_{100} - 2\sigma^2 L^{-2} \sin^2 u (-I_{110} + L^{-2} I_{310} + p^2 L^{-2} I_{320}) \\ & + \sigma p L^{-2} \sin 2u \cos(\phi_A - v - \nu) I_{211} \\ & - 2\sigma^2 L^{-4} \sin^2 u \cos 2(\phi_A - v - \nu) (I_{312} + p^2 I_{322}) \end{aligned} \quad (4.3-58)$$

$$\begin{aligned} T_o^2 = & \cos^2 u I_{110} - 2\sigma^2 L^{-2} \sin^2 u (-I_{120} + L^{-2} I_{320} + p^2 L^{-2} I_{330}) \\ & + \sigma p L^{-2} \sin 2u \cos(\phi_A - v - \nu) I_{221} \\ & - 2\sigma^2 L^{-4} \sin^2 u \cos 2(\phi_A - v - \nu) (I_{322} + p^2 I_{332}) \end{aligned} \quad (4.3-59)$$

with

$$I_{nmi} = \int_0^\infty z_1^m e^{-nz_1^2/L^2} J_i^2(dz_1) dz_1 \quad (4.3-60)$$

The integrals I_{nmi} are identified as the Weber integrals¹⁰ (See Eq.(2.3-12)). Substituting I_{nmi} into $T_o^{1,2}$, we have

$$\begin{aligned} T_o^1 = & \sqrt{\pi} \delta(d) + g_1 \frac{1}{2} L^2 \{ \sigma^2 d^2 \sin^2 u (1 - \cos 2\gamma) + \sigma p d \sin 2u \cos \gamma \} \\ & + g_2 2^{-5} \sigma^2 p^2 L^2 d^2 \sin^2 u (1 - \cos 2\gamma) \end{aligned} \quad (4.3-61)$$

$$\begin{aligned} T_o^2 = & g_1 \frac{1}{2} L^2 \cos^2 u + g_2 2^{-5} L^2 \{ 12\sigma^2 \sin^2 u + \sigma^2 d^2 \sin^2 u (1 - \cos 2\gamma) \\ & + 2\sigma p d \sin 2u \cos \gamma \} \end{aligned} \quad (4.3-62)$$

where

$$\gamma = \phi_A - v - \nu \quad (4.3-63)$$

This step completes the geometrical integrations.

The partial bifrequency spectrum $\Gamma_0(\omega_1, \omega_2)$ is

$$\Gamma_0 = 2\pi A^2 S e^{-j(\omega_1 - \omega_2)/q_V} \iint_{-\infty}^{\infty} ds_1 ds_2 \frac{J_1(ah_2)}{ah_2} (T_0^1 - p^2 q_V^2 s_1 s_2 T_0^2) \cdot e^{-\frac{1}{2} p^2 q_V^2 (s_1^2 + s_2^2) - j\frac{1}{2} [(\omega_1 - \omega_2)(s_1 - s_2) + (\omega_1 + \omega_2 + 2\omega_0)(s_1 + s_2)]} \quad (4.3-64)$$

Since the integrand is function of $s_1 \pm s_2$, we now introduce new dummy variables

$$\begin{pmatrix} t \\ \tau \end{pmatrix} = s_1 \pm s_2 \quad (4.3-65)$$

Using Eq.(4.3-65), Γ_0 is

$$\Gamma_0 = 2\pi A^2 S e^{-j(\omega_1 - \omega_2)/q_V} \iint_{-\infty}^{\infty} dt d\tau \frac{J_1(\omega_u t)}{\omega_u t} [T_0^1 + \frac{1}{2} p^2 q_V^2 (t^2 + \tau^2) T_0^2] \cdot e^{-\frac{1}{2} p^2 q_V^2 (t^2 + \tau^2) - j\frac{1}{2} [(\omega_1 - \omega_2) + (\omega_1 + \omega_2 + 2\omega_0)t]} \quad (4.3-66)$$

where we used the fact that

$$s_1^2 + s_2^2 = \frac{1}{2}(t^2 + \tau^2) \quad (4.3-67)$$

$$s_1 s_2 = \frac{1}{2}(t^2 - \tau^2) \quad (4.3-68)$$

with

$$\omega_u = kaV_t/2R \quad (4.3-69)$$

We note that in the expression for Γ_0 bifrequency ω_1 and ω_2 appear in the exponent only. Derivatives with respect to ω_1 and ω_2 yielding Γ_{12} and Γ_3 , are equivalent to taking moments with respect to t_1 and t_2 as

$$\Gamma_{12} = A^2 \iint_{-\infty}^{\infty} ds_1 ds_2 (P_1 j \frac{\partial}{\partial \omega_1} - P_2 j \frac{\partial}{\partial \omega_2}) e^{-\frac{1}{2} p^2 q_V^2 (s_1^2 + s_2^2) - j[(\omega_1 + \omega_0)(s_1 - q_V^{-1}) + (\omega_2 + \omega_0)(s_2 - q_V^{-1})]} \quad (4.3-70)$$

$$\Gamma_3 = A^2 \int_{-\infty}^{\infty} ds_1 \int_{-\infty}^{\infty} ds_2 P_{3\partial} \frac{\partial^2}{\partial w_1 \partial w_2} e^{-\frac{1}{2} p^2 q_V^2 (s_1^2 + s_2^2) - j[(w_1 + w_0)(s_1 - \frac{1}{q_V}) + (w_2 + w_0)(s_2 - \frac{1}{q_V})]} \quad (4.3-71)$$

By letting $w = w_1 = w_2$ in the bifrequency spectrum, we have for the dominant partial mean Doppler spectrum(MDS)

$$W_0(w) = 2\pi A^2 \int_{-\infty}^{\infty} \frac{J_1(w_u t)}{w_u t} [T_0^{-\frac{1}{2} p^2 q_V^2 (t^2 - \tau^2)}] \cdot e^{-\frac{1}{2} p^2 q_V^2 (t^2 + \tau^2) - j(w_0 + w)t} dt d\tau \quad (4.3-72)$$

We will first evaluate the t -integration and then we will perform τ -integration. Let the t -integral be denoted as

$$j_n = \int_{-\infty}^{\infty} \frac{J_1(w_u t)}{w_u t} \cdot e^{-\frac{1}{4} p^2 q_V^2 t^2 - j(w + w_0)t} dt \quad (4.3-73)$$

we Have

$$W_0(w) = 2 A^2 \int_{-\infty}^{\infty} d\tau e^{-\frac{1}{4} p^2 q_V^2 \tau^2} \cdot [T_0^{1 + \frac{1}{2} p^2 q_V^2 \tau^2} T_0^2] j_0^{-\frac{1}{2} p^2 q_V^2 T_0^2} j_2 \quad (4.3-74)$$

The integral j_n can not be evaluated in a closed form. Therefore we first expand the circular sampling function $J_1(x)/x$ in an infinite series¹⁰

$$\frac{J_1(w_u t)}{w_u t} = \sum_m \frac{(-1)^m}{2 \cdot m! \cdot (m+1)!} (\frac{1}{2} w_u t)^{2m} \quad (4.3-75)$$

By the use of Eq.(4.3-75), j_0 is

$$j_0 = \sum_m \frac{(\frac{1}{2} w_u)^{2m}}{2 \cdot m! \cdot (m+1)!} \int_{-\infty}^{\infty} (-jt)^{2m} e^{-\frac{1}{4} p^2 q_V^2 t^2 - j w_t t} dt$$

$$= \sum_m \frac{(\frac{1}{2} w_u)^{2m}}{2 \cdot m! \cdot (m+1)!} \cdot \frac{d^{2m}}{d w_t^{2m}} (j_c) \quad (4.3-76)$$

$$j_c = \int_{-\infty}^{\infty} e^{-\frac{1}{4}p^2 q_V^2 t^2 - j\omega_t t} dt = \frac{2\sqrt{\pi}}{pq_V} \exp\left[-\left(\frac{\omega_t}{pq_V}\right)^2\right] \quad (4.3-77)$$

and ω_t is shifted transform radian frequency variable as

$$\omega_t = \omega + \omega_0 \quad (4.3-78)$$

Using the identity¹¹

$$e^{-x^2} H_n(x) = (-1)^n \frac{d^n}{dx^n} (e^{-x^2}) \quad (4.3-79)$$

where $H_n(x)$ are the Hermite polynomials of order n , the final results of the j_0 are

$$j_0 = \frac{1}{2} j_c \sum_m \frac{1}{m!(m+1)!} \left(\frac{\omega_u}{2pq_V}\right)^{2m} H_{2m}(\bar{\omega}_t) \quad (4.3-80)$$

where

$$\bar{\omega}_t = \omega_t / pq_V \quad (4.3-81)$$

Since $H_n(\bar{\omega}_t)$ are even function of $\bar{\omega}_t$ for even n , therefore j_0 is also symmetric with respect to $\bar{\omega}_t$. Similarly

$$j_2 = -\frac{1}{2} \frac{j_c}{p^2 q_V^2} \sum_m \frac{1}{m!(m+1)!} \left(\frac{\omega_u}{2pq_V}\right)^{2m} H_{2m} \quad (4.3-82)$$

Substituting j_0 and j_2 into Eq.(4.3-74), we have

$$W_0(\omega) = \pi A^2 S j_c \sum_m \frac{\left(\frac{\omega_u}{2pq_V}\right)^{2m}}{m!(m+1)!} \left[H_{2m}(K_0^1(0) + \frac{1}{2} p^2 q_V^2 K_0^2(2)) + \frac{1}{2} H_{2m+2} K_0^2(0) \right] \quad (4.3-83)$$

where $K_i^{1,2}(n)$, the τ -integrations, are

$$K_i^{1,2}(n) = \int_{-\infty}^{\infty} \tau^n T_i^{1,2} e^{-\frac{1}{4} p^2 q_V^2 \tau^2} d\tau \quad (4.3-84)$$

The magnitude coefficients of the partial spectral components.

Using the similar calculations for the other

partial MDS(See Appendix E), and the normalization condition defined by Eq.(4.2-28), we have for the NMDS

$$W_n(\bar{\omega}_t) = (A_0 + A_1) \mathcal{W}'_{n,2\bar{m}}(\bar{\omega}_t) + A_2 \mathcal{W}'_{n,2\bar{m}+2}(\bar{\omega}_t) + A_3 \mathcal{W}'_{n,2\bar{m}+4}(\bar{\omega}_t) \quad (4.3-85)$$

where $\mathcal{W}'(\bar{\omega}_t)$ are spectral shape functions

$$\mathcal{W}'_{n,2m+j} = e^{-\bar{\omega}_t^2 / (\ell \sigma_n^2 |\cos \alpha|)} \cdot \sum_{\bar{m}} \frac{(\frac{\bar{a}}{4\ell} \tan \alpha)^{2\bar{m}}}{\bar{m}! (m+1)!} \cdot H_{2m+j} \quad (4.3-86)$$

where

$$\bar{\omega}_t = (\bar{\omega} - \bar{\omega}_c - \bar{\omega}_d) / \ell \cos \alpha \quad (4.3-87)$$

and magnitude coefficients of the spectra are listed in Table 4.1 in the order of the Rayleigh parameter ℓ . This step completes the evaluation of the NMDS.

The NMDS consists of two parts, a spectral shape function defined by Hermite polynomials and the magnitude of the spectrum defined by the coefficients A_j . The spectral center frequency shift is due to the angles of the wave incidence and source velocity vector. The spectral spread is due to the Rayleigh parameter and the vertical component of the source velocity. The higher components contribute the spectral skewness away from the dominant spectral shape. The higher order spectral components are generated by the effective patch length \bar{a} , which is associated with the elevation angle of the moving source, a result due to the expansion of the circular sampling function (See Eq.(4.3-75)). For a small value of $\bar{a} \sin \alpha$, the NMDS becomes

$$W_n = \frac{e^{-\bar{t}^2}}{\ell \sigma_n^2 |\cos \alpha|} \cdot \{A_0 + A_1 + A_2 H_2 + A_3 H_4\} \quad (4.3-88)$$

because the circular sampling function is unity. When the

elevation angle of the source velocity vector approaches $\pi/2$ and/or the Rayleigh parameter becomes zero, W_n reduces to a discrete spectrum. The first case represents a moving source travelling parallel to the mean surface while the second represents scattering from ideal flat surface. When the source moves in the vertical direction the patch effect disappears. When the source moves in the horizontal direction the full patch length contributes to the Doppler spectral spread. Actually for near horizontal direction of flight the circular sampling function series expansion of the NMDS is inappropriate. For large value of \bar{a} with close to $\pi/2$ of angle α , the integral j_n can be approximated as

$$j_0 = \int_{-\infty}^{\infty} \frac{J_1(\omega_u t)}{\omega_u t} e^{-j\omega_u t} dt = \frac{1}{\omega_u} [1 - (\omega_t/\omega_u)^2]^{1/2} \quad (4.3-89)$$

with j_2 and j_4 as zero. Therefore very large \bar{a} , corresponding to large value ω_u , the surface roughness contribution is negligible and the mean spectrum is discrete.

The magnitude coefficients A_j are dependent on the values u , v , α and β as well as on the normalized roughness parameters l and σ_n . The expressions G_1 and $\frac{n}{m}$ are exponential damping functions of the Rayleigh parameter l . When the source illuminates the rough surface at the normal angle of incidence i.e. the case when $u=0$ all coefficient A_j are zero except

$$A_0 = 2\sigma_n e^{-4l^2} \quad (4.3-90)$$

$$A_1 = \left(\frac{1}{2} + \frac{1}{2}\sigma_n^{-2}\right) e^{-4l^2} \cdot [4\sigma_n^2 \cos^2\alpha - \sin^2\alpha] / 2^4 \sigma_n^4 \cos^2\alpha \quad (4.3-91)$$

independent of v and β . First term is due to the coherent reflectance, which is proportional to the surface roughness, while other terms are flight correction terms. For an incident one dimensional slanted wave, the case where

$$v = \beta \quad (4.3-92)$$

the coefficient A_j can be simplified because many of the parameters reduce to simple forms such as $a_1=1$, $b_1=0$.

$\phi_m^h=0$, $\Lambda_m^n=1$ and ϕ_A becomes v . Consequently, the new parameters are

$$\bar{A}_t = 2(\tan\alpha \cos u + \sin u) \quad (4.3-93)$$

$$G_i = \exp\{-4\ell^2(\cos u + \sin u/\tan\alpha)^2\} \quad (4.3-94)$$

and all the factors $(1 - \cos \phi_m^n \Lambda_m^n)$ are multiplied by zero.

The coefficients A_j are

$$A_0 = 2\sigma_n G_0 \quad (4.3-95)$$

$$A_1 = \frac{\cos^2 u \cos^2 \alpha}{2^3 \delta_1^2} \left(1 + \frac{\sin^2 \alpha \bar{A}_t^2}{2^5 \sigma_n^4 \delta_1^2}\right) + \frac{\sin 2u \cos \alpha}{2^6 \delta_2^2} \left(\frac{\sin \alpha}{\bar{A}_t} + \frac{\cos^3 u \bar{A}_t^3}{2^7 \sigma_n^4 \delta_2^2}\right) \quad (4.3-96)$$

The NMDS is zero for grazing angle of incidence. Finally we note that as expected, the NMDS is predominantly of Gaussian shape^{1, 3, 13, 14}. In Fig.4-3,4 we plot the NMDS for various values of scattering parameters.

Due the correction field ξ^C of Eq,(4.2-21), the vertically polarized scattered field has more spectral spread because of the higher order moments generated by the t -dependencies in the integrand. However, the basic nature of the spectral spread is similar to the horizontal polarization except for small additional terms in the magnitude coefficients A_j .

4.4 Summary

A model of the mean Doppler frequency spectrum from rough surfaces by a moving source illumination has been formulated and analyzed using a physical optics approximation. The results provide a theoretical framework for studying experimental clutter data by moving source observer with regard to the surface roughness contribution to the mean Doppler spectral spread. The Doppler autocorrelation return function from rough surface is non-stationary. Mean Doppler spectrum has calculated for the horizontal polarization. The normalized mean Doppler spectrum (NMDS) is a function of Rayleigh, normalized surface roughness parameters and four angles, two elevations and two azimuthal angles of wave incident and source velocity vector.

The NMDS, consists of a spectral and magnitude coefficient parts, is characterized by a Doppler frequency shift from the source line spectrum. The spectral spread, generated by the nonstationary character of the scattered field, is due to the source motion and therefore a stationary source observer sees only line spectrum regardless surface roughness. The dominant NMDS is Gaussian like shape broadened from a line by the surface roughness and the directional cosine of the source velocity vector. The spectral broadening is proportional to the surface roughness and vertical velocity component. However, if the source moves parallel to the mean surface level, the spectrum

is a line spectrum even though the surface is rough. The magnitude coefficients of the spectrum consists of two parts, a coherent and an incoherent reflectance. When surface is ideally flat, the coefficient due the coherent reflectance is zero, and the incoherent magnitude coefficient is the dominant term. This term is dependent on the all geometrical parameters. The all coefficients are zero for grazing angle of wave incidence. Finally we also noted that the NMDS depends on the scattering area. For wide beam, the peak of the spectrum is increasing while the spread is decreasing. This result correctly predicts the frequency characteristics of the Doppler return from rough surface by a moving source.

CHAPTER V RECOMMENDATION FOR FURTHER STUDIES

The direction of our investigations on the rough surface scattering has been being correct interpretations of the measured data, in terms of static and dynamic scattering cross section and Doppler temporal frequency spectra. However the obtained results can be used directly and indirectly to the various experimental and theoretical applications in various topics which suggested in recent studies. Some of these are; surface roughness determination by measuring scattering cross section¹⁻⁴, field coherence from rough surface^{5,6}, mobile radio reception⁷, speckle pattern analysis, effect and application⁸, reduction of speckle size⁹, rough surface scattering channel and diversity^{10,11}, the improvements of holographic image construction by the use of diffusers^{12,13}, holographic coupler by use of random surface¹⁴, hologram information capacity¹⁵ and effect^{16,17} by the film granular noises, guidance of surface wave by multilayer coating with irregularity¹⁸, random mode locking¹⁹, measurements of the moving surface velocity by the use of surface irregularities^{20,21}, the depolarization effects by the surface roughness^{22,23} and the change of interaction between incident light and surface plasma oscillation by the roughness²⁴ etc. Most of these topics are recent experimental investigations and are analytically incomplete. To be completed additional investigations are necessary i.e. the scattering

from dielectric rough surface, the forward and bidirectional scattering from layered medium with irregular interfaces and cross polarization effect by the rough surface. Further theoretical work could also be directed toward the temporal spatial physical optics approximation which carries more parameters than physical optics approximation. For an example, target detection in the clutter, clutter and temporal noise interaction, moving radar signal and noise ratio by the clutter tropospheric, ionospheric layer and mobile radio diversity channel etc. are of interest and temporal physical optics approximation analysis is appropriate, although to obtain the general random boundary condition or probability density functionals would be complicated task.

Quasi-Specular Scattering	$\bar{\sigma}_{00}^{\pm}$	$g_0 b$
	$\bar{\sigma}_{02}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 \left[\frac{1}{8} a^2 b + ab^2 \sin(\nu + \phi_k) - \frac{1}{8} a^2 b \cos 2(\nu + \phi_k) \right]$
	$\bar{\sigma}_{11}^{\pm}$	$g_1 \bar{\sigma}_u^2 l^4 \left[b^2 + \bar{K}_{1t}^{\pm} a^{\frac{1}{2}} b \sin(\nu + \phi_{1k}^{\pm}) + \frac{1}{4} \bar{f}_1 a \pm 2 \bar{f} a^{\frac{1}{2}} b \cos(\alpha - \nu) \pm \frac{1}{4} \bar{f} a \bar{K}_{1t}^{\pm} (\bar{V}_1 \sin \phi_{1k}^{\pm} + \bar{V}_2 \cos \phi_{1k}^{\pm}) + \frac{1}{8} a \bar{K}_{1t}^{\pm 2} \{1 - \cos 2(\nu + \phi_{1k}^{\pm})\} \right]$
	$\bar{\sigma}_{22}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 \left[\frac{1}{16} ab \bar{K}_{2t}^{\pm 2} \{1 - \cos 2(\nu + \phi_{2k}^{\pm})\} + \frac{1}{2} \bar{K}_{2t}^{\pm} a^{\frac{1}{2}} b \sin(\nu + \phi_{2k}^{\pm}) + \frac{1}{4} \bar{f}_1 ab \pm 2 \bar{f} a^{\frac{1}{2}} b \cos(\alpha - \nu) \pm \frac{1}{4} \bar{f} \bar{K}_{2t}^{\pm} ab (\bar{V}_1 \sin \phi_{2k}^{\pm} + \bar{V}_2 \cos \phi_{2k}^{\pm}) \right]$
	$\bar{\sigma}_{13}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \left[\frac{1}{18} \bar{K}_{1t}^{\pm 2} \pm \frac{1}{9} \bar{f} \bar{K}_{1t}^{\pm} (\bar{V}_1 \sin \phi_{1k}^{\pm} + \bar{V}_2 \cos \phi_{1k}^{\pm}) - \frac{1}{6} \bar{f}_1 - \frac{1}{18} \bar{K}_{1t}^{\pm 2} \cos 2(\nu + \phi_{1k}^{\pm}) \right] ab^2$
$\bar{\sigma}_{33}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \left[\frac{1}{3} \bar{f}_1 \pm \frac{1}{27} \bar{f} \bar{K}_{3t}^{\pm} (\bar{V}_1 \sin \phi_{3k}^{\pm} + \bar{V}_2 \cos \phi_{3k}^{\pm}) + \frac{1}{54} \bar{K}_{3t}^{\pm 2} \{1 - \cos 2(\nu + \phi_{3k}^{\pm})\} \right] ab^2$	
Specular Scattering	$\bar{\sigma}_c$	$g_0 b$
	$\bar{\sigma}_{02}^{\pm}$	0
	$\bar{\sigma}_{11}^{\pm}$	$g_0 \bar{\sigma}_u^2 l^4 \left[b^2 + a^{\frac{1}{2}} b \sin(\nu + \alpha) + \binom{1}{0} \frac{1}{2} a \bar{f} \{1 + \cos 2(\omega - \nu)\} + \frac{1}{8} a \pm 2 \bar{f} a^{\frac{1}{2}} b \cos(\omega - \nu) - \frac{1}{16} ab \cos 2(\nu + \alpha) \right]$
	$\bar{\sigma}_{22}^{\pm}$	$g_0 \bar{\sigma}_u^2 l^6 \left[\frac{1}{16} ab + \frac{1}{2} a^{\frac{1}{2}} b \sin(\nu + \alpha) + \binom{1}{0} \frac{1}{2} a b \bar{f} \{1 + \cos 2(\alpha - \nu)\} \pm 2 \bar{f} a^{\frac{1}{2}} b \cos(\omega - \nu) - \frac{1}{16} ab \cos 2(\nu + \alpha) \right]$
	$\bar{\sigma}_{13}^{\pm}$	$g_0 \bar{\sigma}_u^2 l^8 \left[\frac{1}{18} ab^2 - \binom{1}{5} \frac{1}{18} \bar{f} ab^2 \{1 + \cos 2(\alpha - \nu)\} - \frac{1}{18} ab^2 \cos 2(\nu + \alpha) \right]$
$\bar{\sigma}_{33}^{\pm}$	$g_0 \bar{\sigma}_u^2 l^8 \left[\frac{1}{18} ab^2 + \binom{10}{8} \frac{1}{27} \bar{f} ab^2 \{1 + \cos(\omega - \nu)\} - \frac{1}{27} ab^2 \cos 2(\nu + \alpha) \right]$	
Backscattering	$\bar{\sigma}_c$	0
	$\bar{\sigma}_{02}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 [2ab^2 + a^2b]$
	$\bar{\sigma}_{11}^{\pm}$	$g_1 \bar{\sigma}_u^2 l^4 \left[b^2 + \frac{1}{4} \bar{f}_1 a + \bar{K}_{1t}^{\pm} a^{\frac{1}{2}} b \sin(\nu + \phi_{1k}^{\pm}) \pm 2 \bar{f} ab \cos(\alpha - \nu) \pm \frac{1}{4} \bar{f} a \bar{K}_{1t}^{\pm} (\bar{V}_1 \sin \phi_{1k}^{\pm} + \bar{V}_2 \cos \phi_{1k}^{\pm}) + \frac{1}{8} a \bar{K}_{1t}^{\pm 2} \{1 + \cos 2(\nu + \alpha)\} \right]$
	$\bar{\sigma}_{22}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 \left[\frac{1}{2} a^{\frac{1}{2}} b \sin(\nu + \phi_{2k}^{\pm}) \bar{K}_{2t}^{\pm} + \frac{1}{2} \bar{f}_1 ab \pm 2 \bar{f}_1 a^{\frac{1}{2}} b \cos(\alpha - \nu) \pm \frac{1}{4} \bar{f} ab \bar{K}_{2t}^{\pm} (\bar{V}_1 \sin \phi_{2k}^{\pm} + \bar{V}_2 \cos \phi_{2k}^{\pm}) + \frac{1}{16} \bar{K}_{2t}^{\pm 2} ab \{1 - \cos 2(\nu + \alpha)\} \right]$
	$\bar{\sigma}_{13}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \left[\frac{1}{27} \bar{K}_{3t}^{\pm 2} \{1 - \cos 2(\nu + \phi_{3k}^{\pm})\} \pm \frac{1}{27} \bar{f} \bar{K}_{3t}^{\pm} (\bar{V}_1 \sin \phi_{3k}^{\pm} + \bar{V}_2 \cos \phi_{3k}^{\pm}) - \frac{1}{6} \bar{f}_1 \right]$
$\bar{\sigma}_{33}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \left[\frac{1}{3} \bar{f}_1 + \frac{1}{54} \bar{K}_{3t}^{\pm 2} \{1 - \cos 2(\nu + \phi_{3k}^{\pm})\} \pm \frac{1}{27} \bar{f} \bar{K}_{3t}^{\pm} (\bar{V}_1 \sin \phi_{3k}^{\pm} + \bar{V}_2 \cos \phi_{3k}^{\pm}) \right]$	

Table 3-1. The Normalized Mean Scattering Cross Sections for Various Cases.

Quasi-Specular Scattering	$\bar{\sigma}_0^+$	$g_0 \cdot b$
	$\bar{\sigma}_{02}^+$	$g_2 \bar{\sigma}_u^2 l^6 ab \left[\frac{a}{2} + b \sin(V + \phi_K) - \frac{a}{2} \cos 2(V + \phi_K) \right]$
	$\bar{\sigma}_{11}^+$	$g_1 \bar{\sigma}_u^2 l^4 \cdot [b^2 + a^2 b \bar{K}_{1t} \sin(V + \phi_K) + \frac{a}{8} \bar{K}_{1t}^2 - \frac{a}{8} \bar{K}_{1t}^2 \cos 2(V + \phi_K)]$
	$\bar{\sigma}_{22}^+$	$g_2 \bar{\sigma}_u^2 l^6 \cdot \frac{1}{2} \bar{K}_{2t} \cdot \left[\frac{ab}{8} \bar{K}_{2t} + a^2 b^2 \sin(V + \phi_K) - \frac{1}{8} \bar{K}_{2t} ab \cos 2(V + \phi_{2K}) \right]$
	$\bar{\sigma}_{13}^+$	$g_3 \bar{\sigma}_u^2 l^8 \frac{1}{18} ab^2 \bar{K}_{3t} [1 - \cos 2(V + \phi_K)]$
	$\bar{\sigma}_{33}^+$	$g_3 \bar{\sigma}_u^2 l^8 \frac{1}{18} ab^2 \bar{K}_{3t} [1 - \cos 2(V + \phi_K)]$
Specular Scattering	$\bar{\sigma}_0$	$g_0 \cdot b$
	$\bar{\sigma}_{02}$	0
	$\bar{\sigma}_{11}^{\pm}$	$g_1 \bar{\sigma}_u^2 l^4 [b^2 + a^2 b + \frac{1}{8} a]$
	$\bar{\sigma}_{22}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 [\frac{1}{2} a^2 b^2 + \frac{1}{16} ab]$
	$\bar{\sigma}_{13}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \frac{1}{9} ab^2$
	$\bar{\sigma}_{33}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \frac{1}{9} ab^2$
Backscattering	$\bar{\sigma}_0$	0
	$\bar{\sigma}_{02}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 [2ab^2 + a^2 b]$
	$\bar{\sigma}_{11}^{\pm}$	$g_1 \bar{\sigma}_u^2 l^4 [b^2 + 2ab + a^2]$
	$\bar{\sigma}_{22}^{\pm}$	$g_2 \bar{\sigma}_u^2 l^6 [ab^2 + \frac{1}{2} a^2 b]$
	$\bar{\sigma}_{13}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \frac{4}{9} a^2 b^2$
	$\bar{\sigma}_{33}^{\pm}$	$g_3 \bar{\sigma}_u^2 l^8 \frac{4}{27} a^2 b^2$
notations for Tables	$a = \sin^2 u, b = \cos^2 u, \bar{V}_1 = \sin \alpha - \sin(\alpha + 2V), \bar{V}_2 = \cos \alpha + \cos(\alpha + 2V)$ $\bar{g}_m^h = g_0 \cdot g_m^h = \exp[-4bl^2] \cdot \exp[-al^2 \{1 - h \cos(V - V_S)\} / 4m \bar{\sigma}_u^2]$ $\bar{K}_{mt}^{\pm 2} = [\sin u (\cos V - \cos V_S) \mp m \sin \alpha]^2 + [\sin u (\sin V - \sin V_S) \mp m \cos \alpha]^2$ $\phi_{mk}^{\pm} = \tan^{-1} \frac{\sin u (\cos V - \cos V_S) \mp m \sin \alpha}{\sin u (\sin V - \sin V_S) \mp m \cos \alpha}, \bar{f}_1 = \bar{f} \cdot [1 + \cos 2(V - \alpha)]$	

Table 3-2. The Normalized Mean Scattering Cross Sections
For Cross Wind.

A_0	$\frac{U_0}{\Omega_0}$	
$A_{1,-1}$	$-\cos \Phi_1 \Lambda_1^2 \frac{\sin^2 u}{2} \frac{f^2 b^2}{A_1^2 \delta_1^2}$	
$A_{1,1}$	$\frac{\cos u}{2} \frac{e^2}{\delta_1^2} + \frac{\sin^2 u}{2} \frac{f^2 b^2}{\delta_1^2} [(1 - \cos \Phi_1 \Lambda_1^2) + \sin \Phi_1 \Lambda_1^2 \frac{f^2 a}{2 \Omega_1 \delta_1^2}]$	
$A_{1,3}$	$\frac{\cos u}{2} \frac{e^2 f^2 A_1^2}{\Omega_1^2} \sin \Phi_1 \Lambda_1^2 \frac{f^2 a b \sin u \Lambda_1^2}{2 \delta_1^2} [(1 - \cos \Phi_1 \Lambda_1^2) \frac{f^2 a^2}{2} - \frac{f^2 a^2 b}{2 \Omega_1 \delta_1^2}]$	
$A_{1,1}^2$	$\cos \Phi_1 \Lambda_1^2 \frac{3 \sin^2 u}{25} \frac{f^4 b^2}{A_1^2} - \frac{e^2}{20} \frac{f^2 a^2}{\Omega_1 \delta_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{\sin u}{21} \frac{f^2 a b}{\delta_1^2} - \cos \Phi_1 \Lambda_1^2 \frac{\sin u}{29} \frac{e f^2 a b}{\delta_1^2}$	
$A_{1,1}^2$	$(1 - \cos \Phi_1 \Lambda_1^2) \frac{3 \sin^2 u}{25} \frac{f^4 b^2}{A_1^2} + \cos \Phi_1 \Lambda_1^2 \frac{3 \sin u}{29} \frac{e f^2 a b}{\delta_1^2} - \frac{e^2}{20} \frac{f^2 a^2}{\Omega_1 \delta_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{\sin u}{21} \frac{f^2 a b}{\delta_1^2} - \frac{e^2}{20} \frac{f^2 a^2}{\Omega_1 \delta_1^2} + \cos \Phi_1 \Lambda_1^2 \frac{\sin u}{29} \frac{e f^2 a b}{\delta_1^2}$	
$A_{1,3}^2$	$\frac{\cos u}{2} \frac{e^2 \sin u}{25} \frac{f^2 A_1^2}{\Omega_1^2} (1 + \frac{f^2 a^2}{20} \frac{e^2}{\Omega_1 \delta_1^2} + \cos u \frac{e^2}{20} \frac{f^2 a^2}{\Omega_1 \delta_1^2}) + \cos \Phi_1 \Lambda_1^2 \frac{3 \sin u}{29} \frac{e f^2 a b}{\delta_1^2} - \frac{e^2}{20} \frac{f^2 a^2}{\Omega_1 \delta_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{\sin u}{21} \frac{f^2 a b}{\delta_1^2} - \frac{e^2}{20} \frac{f^2 a^2}{\Omega_1 \delta_1^2} + \cos \Phi_1 \Lambda_1^2 \frac{\sin u}{29} \frac{e f^2 a b}{\delta_1^2}$	
$A_{1,1}^3$	$\frac{\cos u}{2} \frac{e^2 \sin u}{25} \frac{f^2 A_1^2}{\Omega_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{3 \cos u \sin u}{21} \frac{f^2 e b^2}{\delta_1^2}$	
$A_{1,3}^3$	$\frac{\cos u}{2} \frac{e^2 \sin u}{25} \frac{f^2 A_1^2}{\Omega_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{3 \cos u \sin u}{21} \frac{f^2 e b^2}{\delta_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{3 \cos u \sin u}{21} \frac{f^2 e b^2}{\delta_1^2} + \sin \Phi_1 \Lambda_1^2 \frac{3 \cos u \sin u}{21} \frac{f^2 e b^2}{\delta_1^2}$	
$A_{2,-1}$	$(1 - \cos \Phi_2 \Lambda_2^2) \frac{\sin^2 u}{25} \frac{f^2}{\delta_2^2} - \cos \Phi_2 \Lambda_2^2 \frac{\sin u}{21} \frac{f^2 a^2}{\delta_2^2} - \sin \Phi_2 \Lambda_2^2 \frac{\sin u}{21} \frac{f^2 a b}{\delta_2^2}$	
$A_{2,1}$	$\frac{\sin \Phi_2 \Lambda_2^2}{2} \frac{\cos u \sin u}{21} \frac{f^2 a b}{\delta_2^2} - \frac{\sin u}{21} \frac{f^4 a b}{\Omega_2 \delta_2^2}$	
$A_{2,3}$	$(1 - \cos \Phi_2 \Lambda_2^2) \frac{\sin^2 u}{25} \frac{f^2}{\delta_2^2} (1 + \frac{f^2 b^2}{20} \frac{f^2}{\Omega_2 \delta_2^2} + \frac{f^4 b^2}{20} \frac{f^2}{\Omega_2 \delta_2^2}) + \sin u \cos u (\Lambda_2 + \cos \Phi_2 \Lambda_2^2) \frac{f A_2 b^2}{20} \frac{f^2}{\Omega_2 \delta_2^2}$	
$A_{2,-1}$	$(1 - \cos \Phi_2 \Lambda_2^2) \frac{\sin^2 u}{25} \frac{f^2}{\delta_2^2} + \cos \Phi_2 \Lambda_2^2 \frac{\sin u}{21} \frac{f^2 a}{\delta_2^2} - \sin \Phi_2 \Lambda_2^2 \frac{\sin u}{21} \frac{f^2 a b}{\delta_2^2}$	
$A_{2,1}$	$-\frac{\sin u}{25} \frac{f^4 a b}{\Omega_2 \delta_2^2}$	
$A_{2,3}$	$(1 - \cos \Phi_2 \Lambda_2^2) \frac{\sin^2 u}{25} \frac{f^2 A_2^2}{\Omega_2^2} (1 + \frac{f^2 b^2}{20} \frac{f^2}{\Omega_2 \delta_2^2} + \frac{f^4 b^2}{20} \frac{f^2}{\Omega_2 \delta_2^2}) + \sin u \cos u \Lambda_2 (1 + \cos \Phi_2 \Lambda_2^2) \frac{\sin u}{25} \frac{f^2 a b}{\delta_2^2}$	
$A_{3,-1}$	$(1 - \cos \Phi_3 \Lambda_3^2) \frac{\sin^2 u}{25} \frac{f^2}{\delta_3^2} + \cos \Phi_3 \Lambda_3^2 \frac{\sin u}{21} \frac{f^2 a}{\delta_3^2} - \sin \Phi_3 \Lambda_3^2 \frac{\sin u}{21} \frac{f^2 a b}{\delta_3^2}$	
$A_{3,1}$	$-\frac{\sin u}{25} \frac{f^4 a b}{\Omega_3 \delta_3^2}$	
$A_{3,3}$	$(1 - \cos \Phi_3 \Lambda_3^2) \frac{\sin^2 u}{25} \frac{f^2 A_3^2}{\Omega_3^2} (1 + \frac{f^2 b^2}{20} \frac{f^2}{\Omega_3 \delta_3^2} + \frac{f^4 b^2}{20} \frac{f^2}{\Omega_3 \delta_3^2}) + \sin u \cos u \Lambda_3 (1 + \cos \Phi_3 \Lambda_3^2) \frac{f^2 b^2}{20} \frac{f^2}{\Omega_3 \delta_3^2}$	
A_0	$f = \sin a, e = \cos a, \alpha = \cos c, \beta = \cos \phi, b = \sin(\Phi_A - \beta), A_1^2 = 4[\tan a \cos u]^2 + \sin^2 u + \tan^2 a \sin u \cos(\beta - u), \Phi_A = \tan^{-1} \frac{\sin a \sin \beta \cos u + \cos a \sin u \sin u}{\sin u \cos \beta \cos u + \cos a \cos u \sin u}$	
A_0	$G_0 = \exp\{-\frac{1}{2}[\cos u + c \sin u + c a \sin u \cos(\beta - u)]\}, G_m = \exp\{-\frac{1}{2} A_1^2 \frac{2 \cdot m \sqrt{u} \delta_m^2 - \sin^2 a}{2 \cdot m^2 \Omega_A^2 \delta_m^2}\}, G_m = \exp\{-\frac{1}{2} A_1^2 \frac{2 \cdot m \sqrt{u} \delta_m^2 - \sin^2 a}{2 \cdot m^2 \Omega_A^2 \delta_m^2}\}, G_m = \exp\{-\frac{1}{2} A_1^2 \frac{2 \cdot m \sqrt{u} \delta_m^2 - \sin^2 a}{2 \cdot m^2 \Omega_A^2 \delta_m^2}\}$	

Table 4-1. Spectral Magnitude Coefficients A_j .

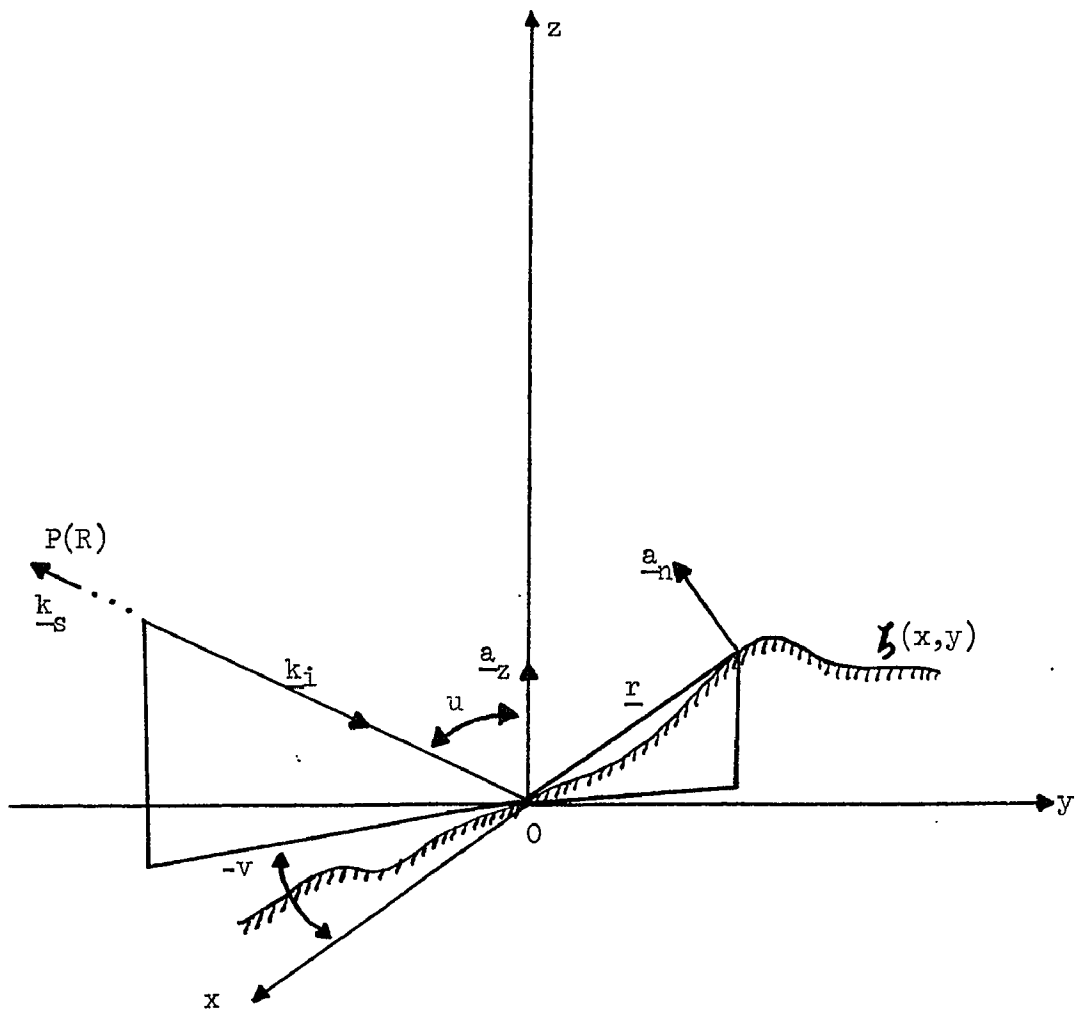


Figure 2-1. The coordinate of rough surface backscattering.

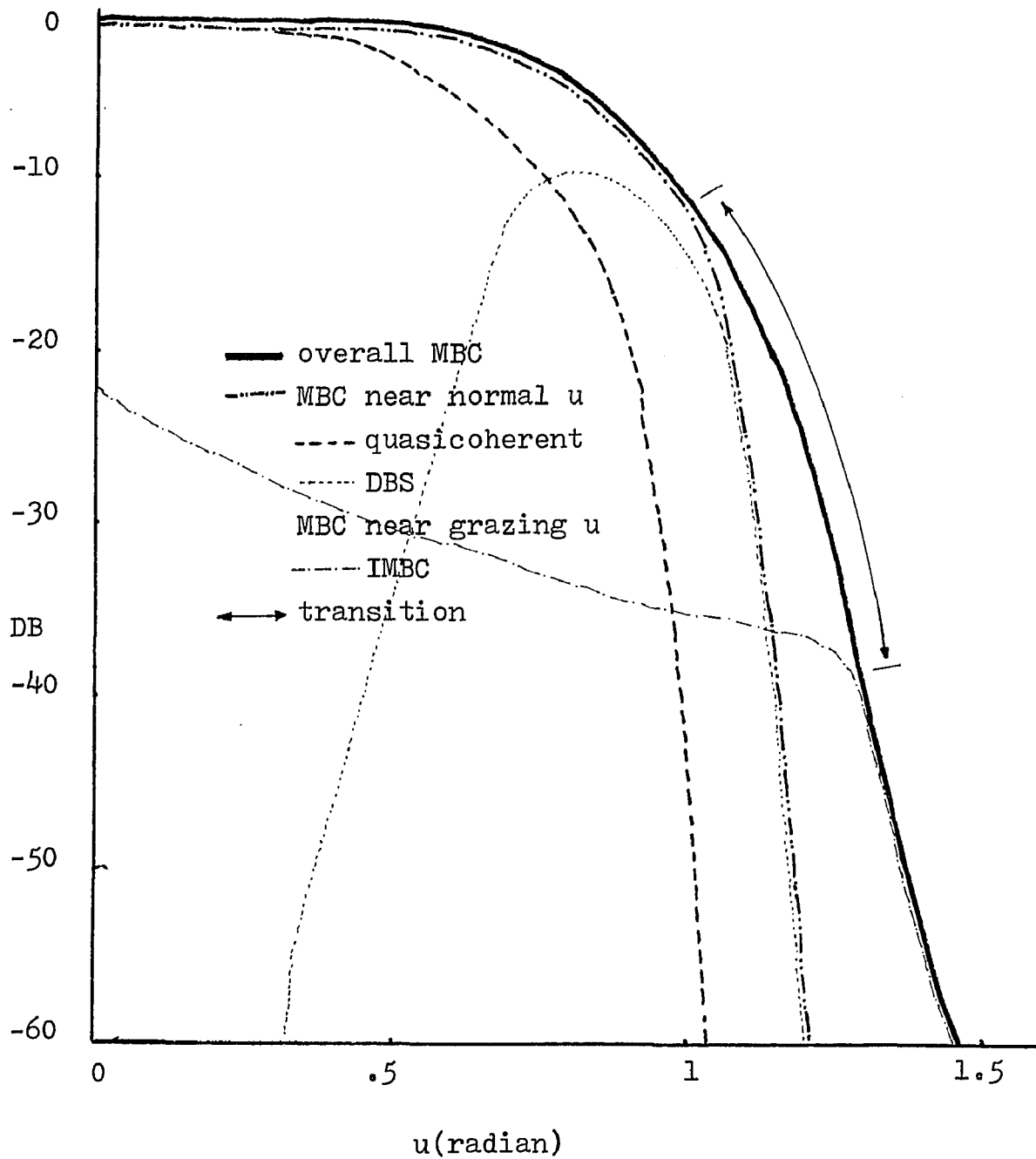


Figure 2-2. The total, quasicoherent MBC and DBS for near normal angle of incidence and IMBC for near grazing angle of incidence with transition region with values of $\beta=1.5$ and $\sigma_n=.5$.

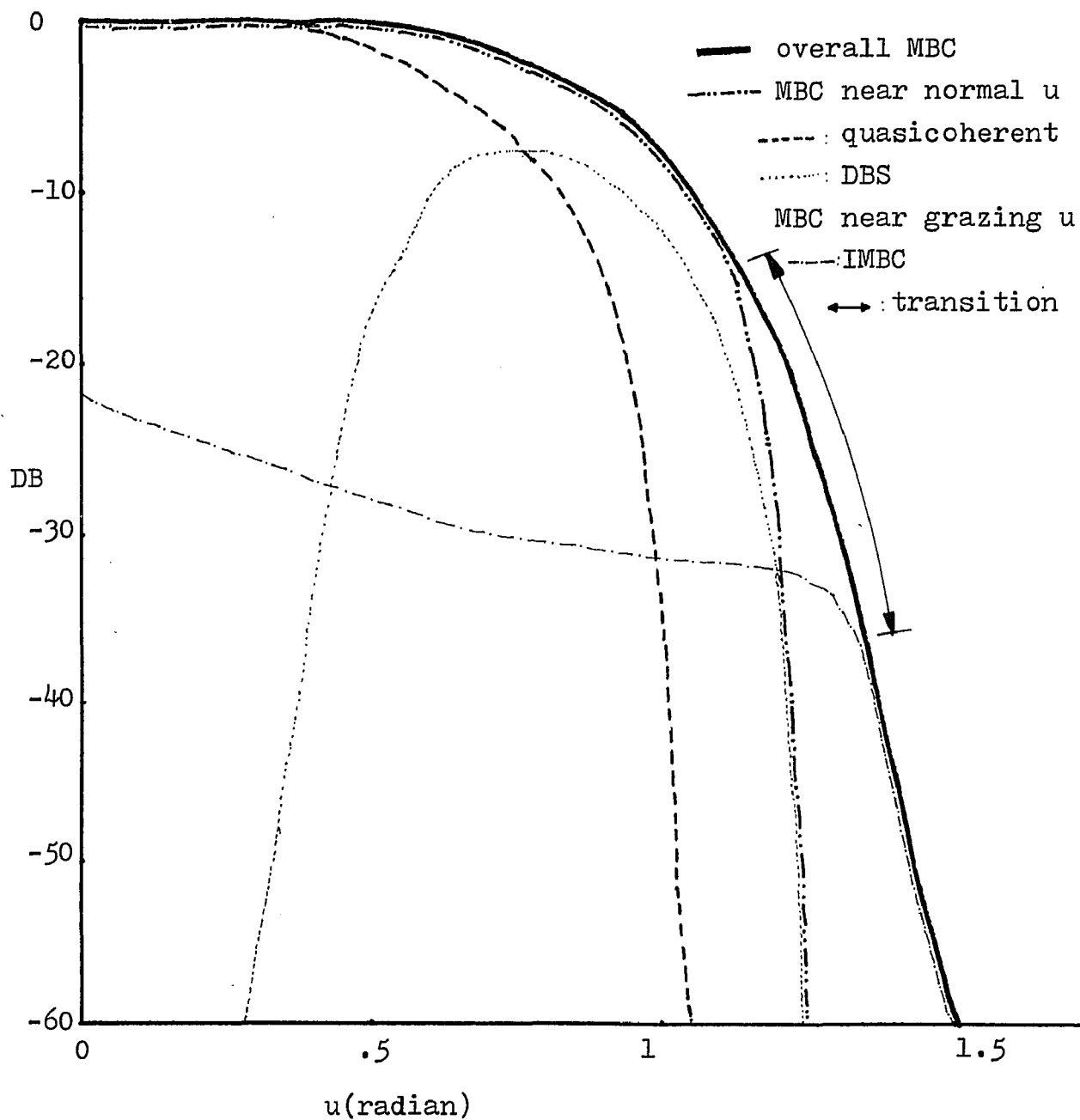


Figure 2-3. The total, quasicoherent MBC and DBS for near normal angle of incidence and IMBC for near grazing angle of incidence with transition region with values of $l=1.5$ and $\sigma_n=.8$.

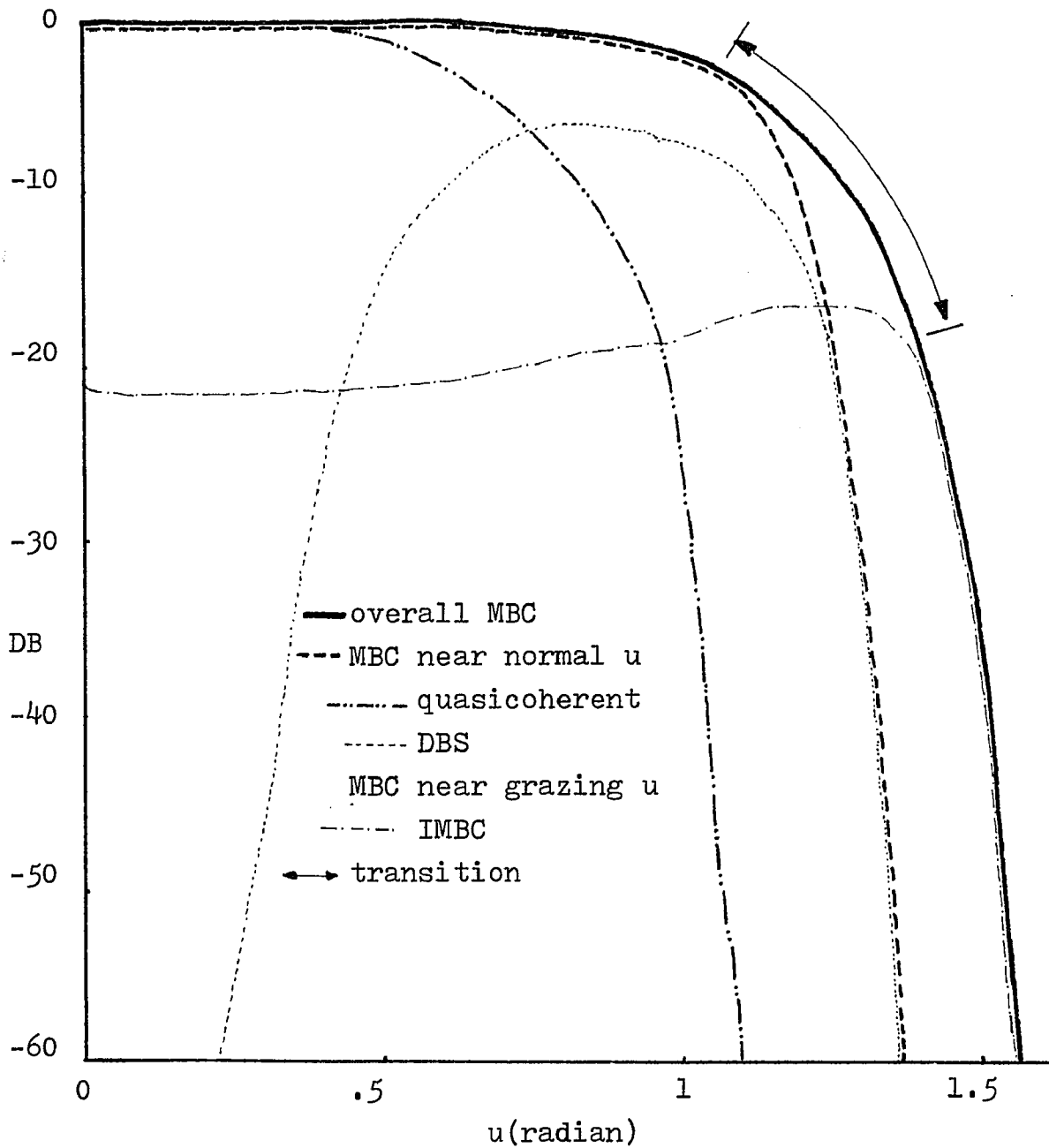


Figure 2-4. The total, quasicoherent MBC and DBS for near normal angle of incidence and IMBC for near grazing angle of incidence with transition region with values of $\lambda=1.5$ and $\sigma_n=1$.

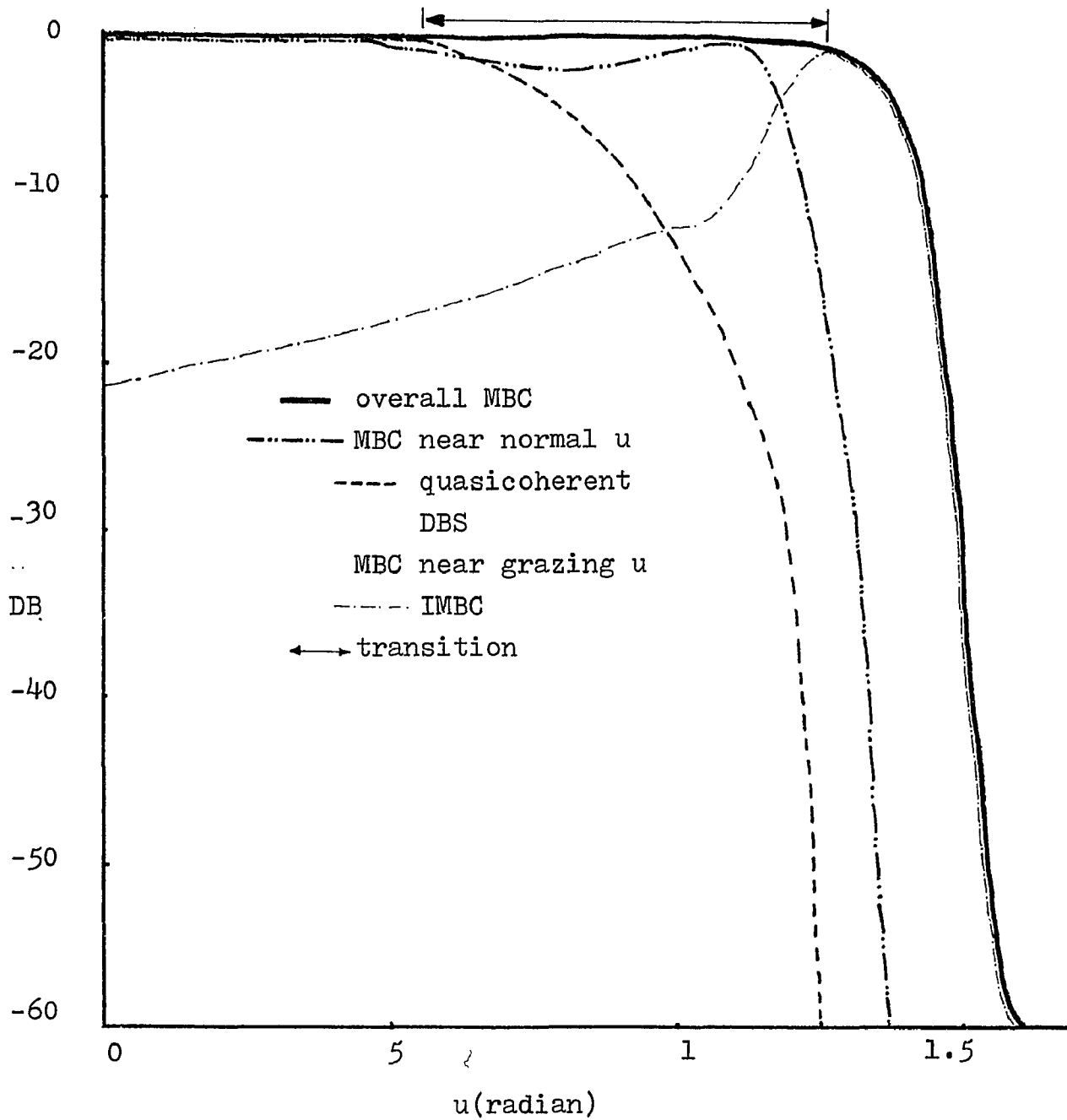


Figure 2-5. The total, quasicoherent MBC for near normal angle of incidence and IMBC for near grazing angle of incidence with transition region with values of $l=1.5$ and $n=1.2$.

A; IMBC for $\sigma_n = 1$.

B; IMBC for $\sigma_n = 2\lambda$

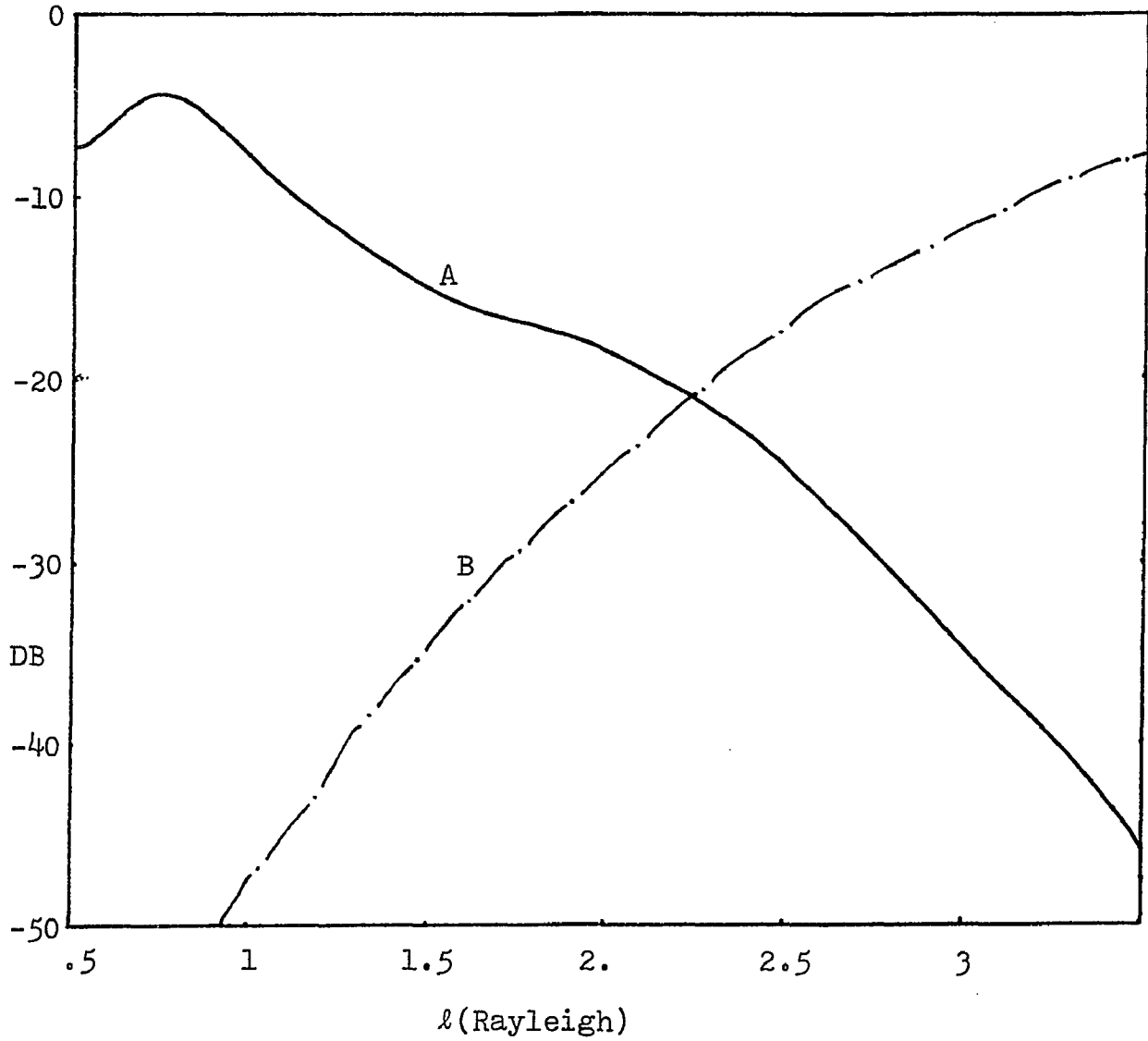


Figure 2-6. The MBC for fixed and varied σ_n for near grazing angle of incidence, with $u=1.4$.

- a; Kinsman's data
- b: Second order temporal correlation coefficient ($T=6. , \omega_s = /.89, \omega_e = .1$)
- c; Clay-Medwin's correlation coefficient ($T=4. , \omega_d = /.89$)

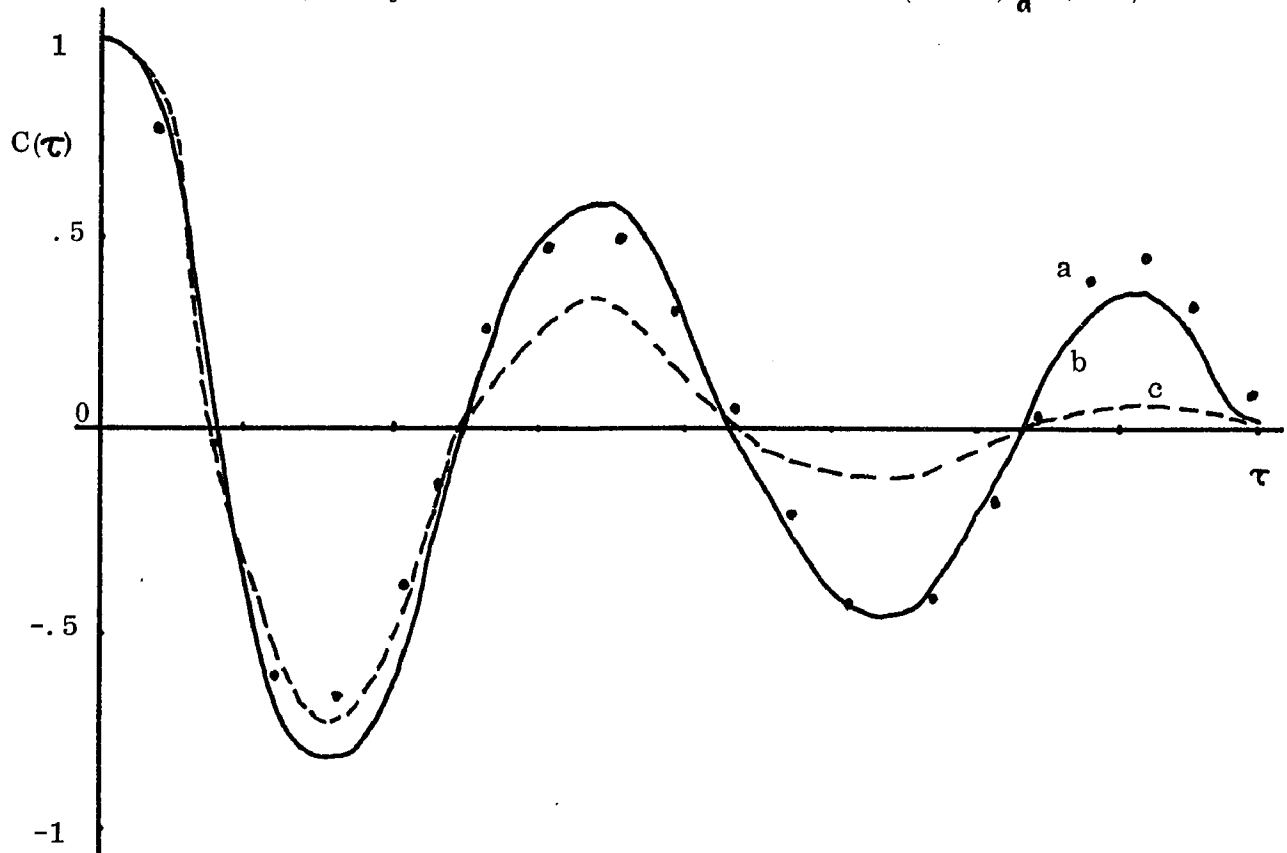


Figure 3 -2. The comparison between first and second order temporal correlation functions and Kinsman's data.

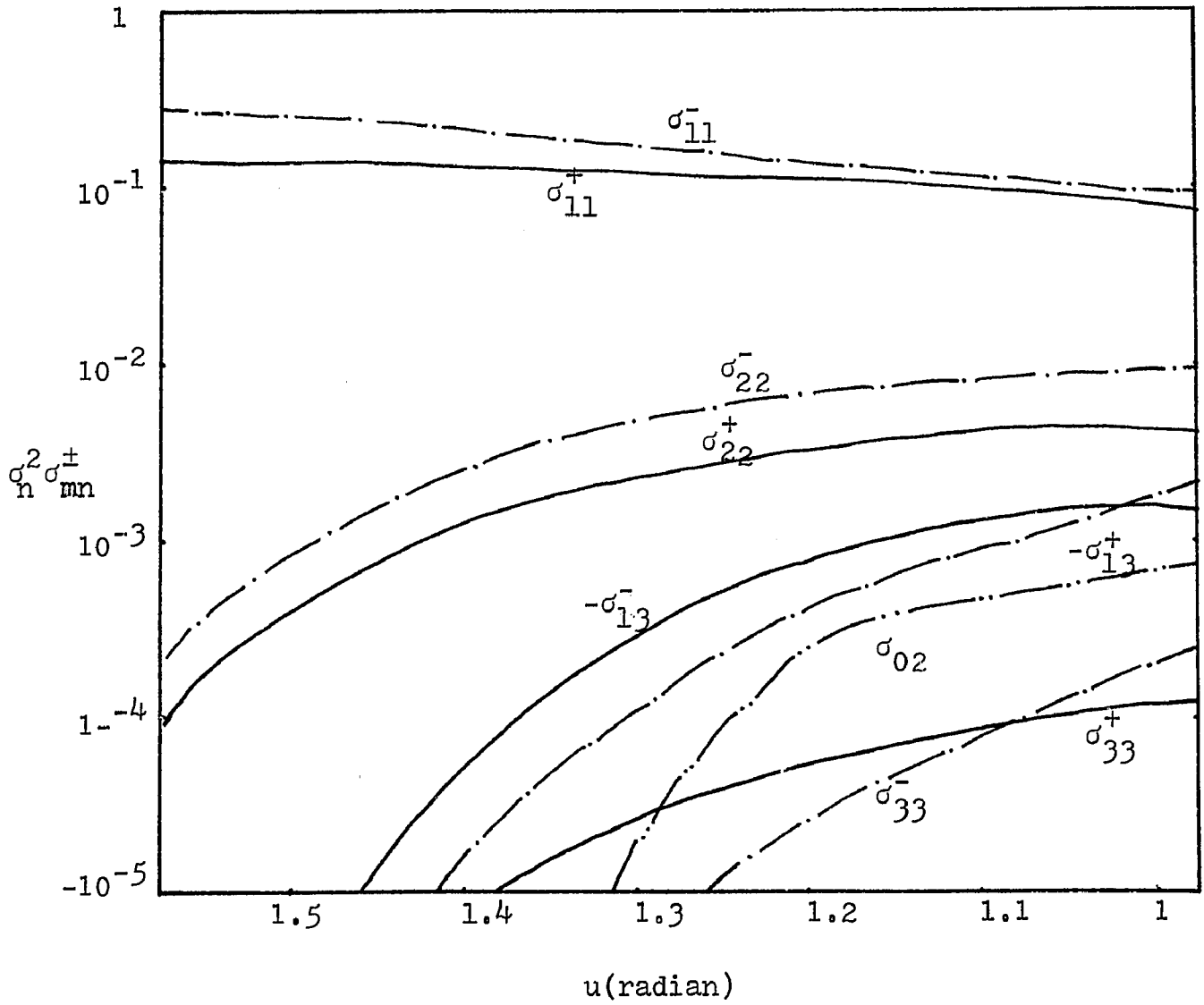


Figure 3-3. The partial MSCs for values $\alpha=.2$, $\nu=.2$, $\nu_s=.2$, $\sigma_n=.6$, $l=1.$, $u_s=1.5$ and $f=.01$.

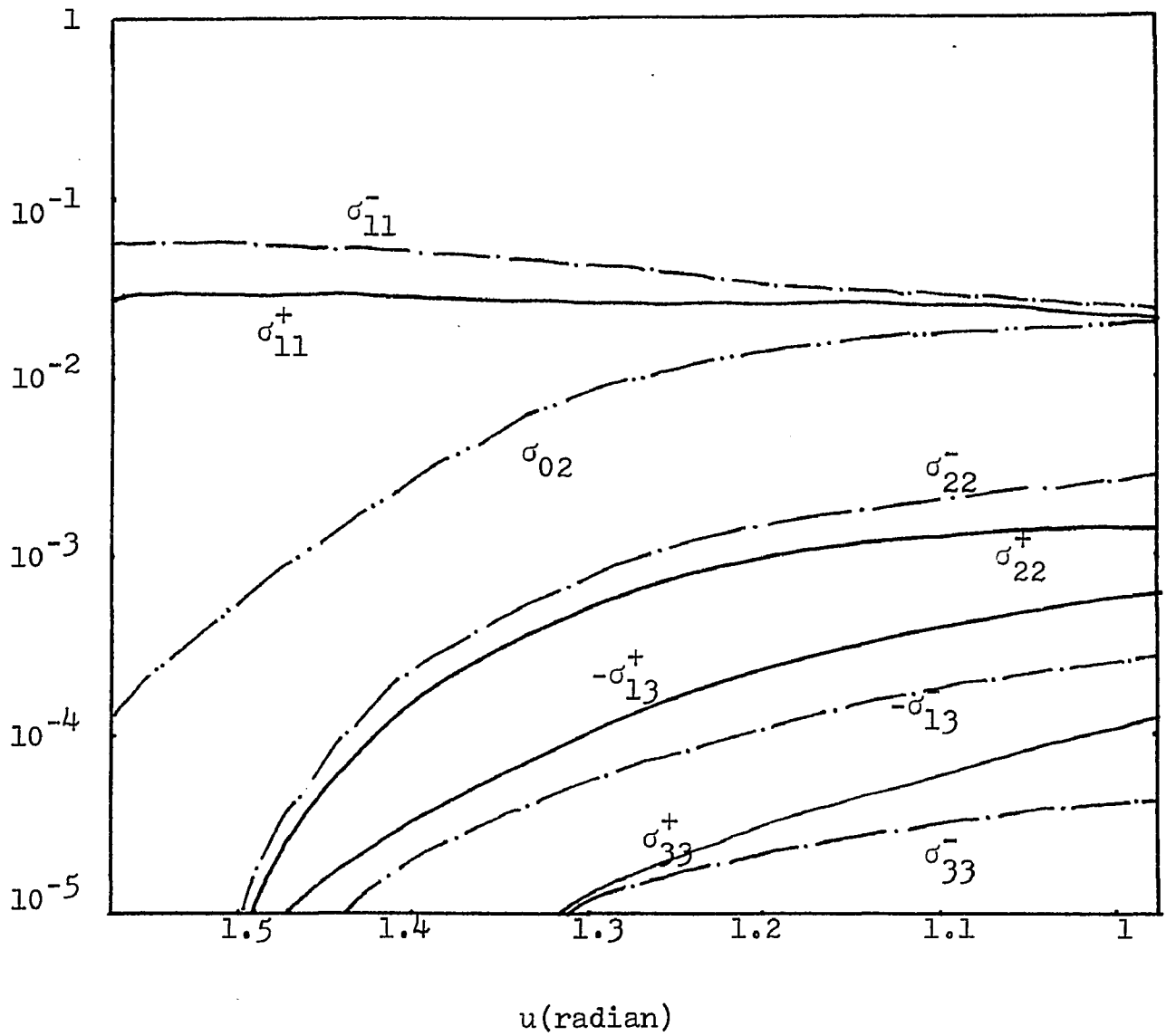


Figure 3-4. The partial MSCs for values $\alpha = .5$, $\nu = .2$, $\nu_s = 1.76$, $\sigma_n = .6$, $l = 1.$, $u_s = 1.5$ and $f = .01$.

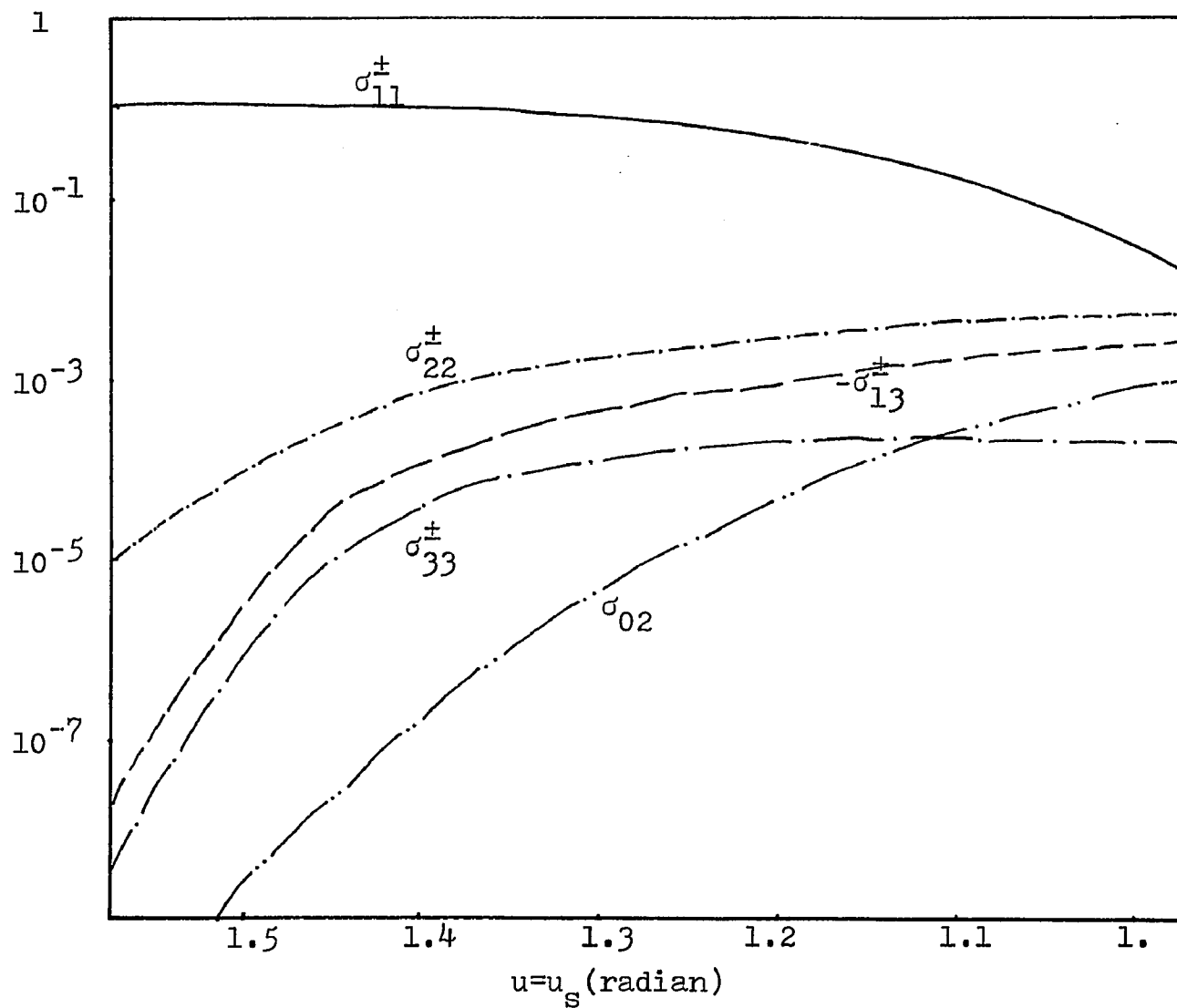


Figure 3-5. The partial MSCs for values $\alpha=1.57, v=v_s=0.,$
 $\sigma_n=1., \ell=2.$ and $f=1.$

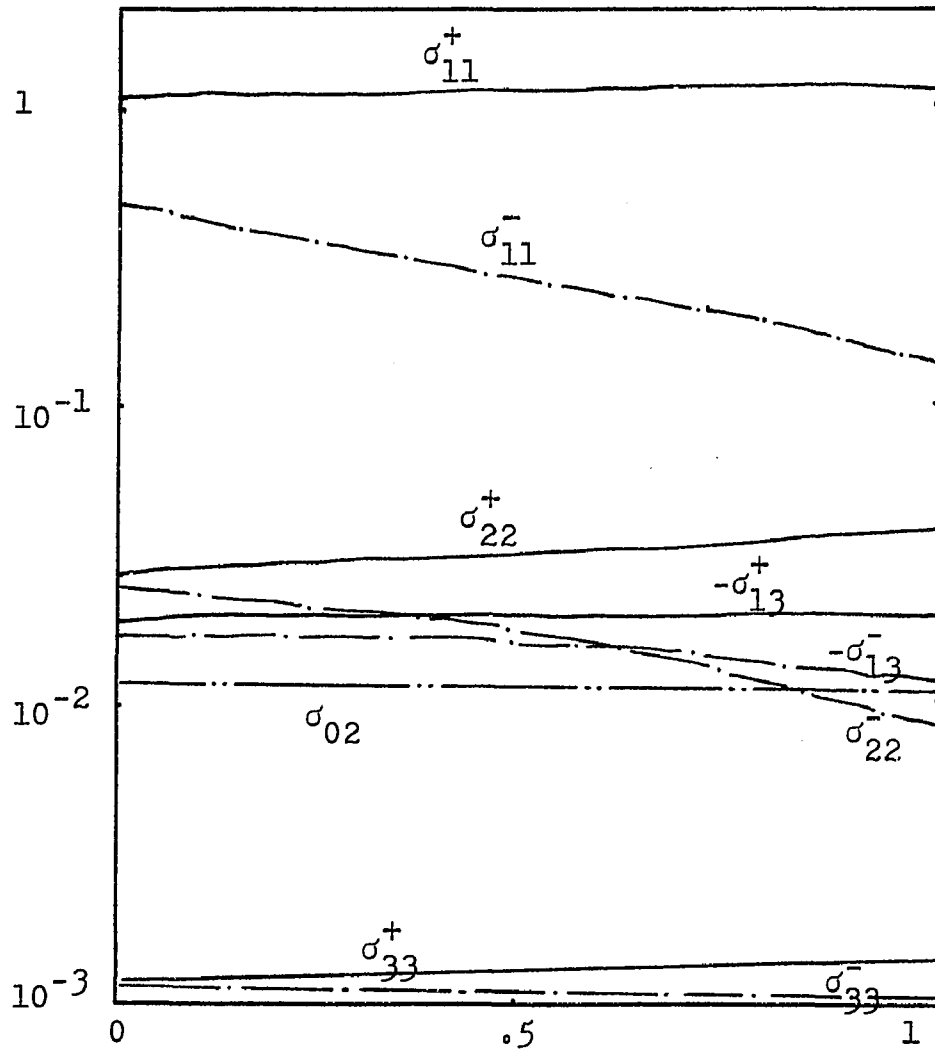


Figure 3-6. The partial MSCs for values $\alpha = .5$, $u_s = 1.4$, $u = 1.3$, $v_s = 0.$, $v = .2$, $\sigma_n = .8$ and $l = 2.$.

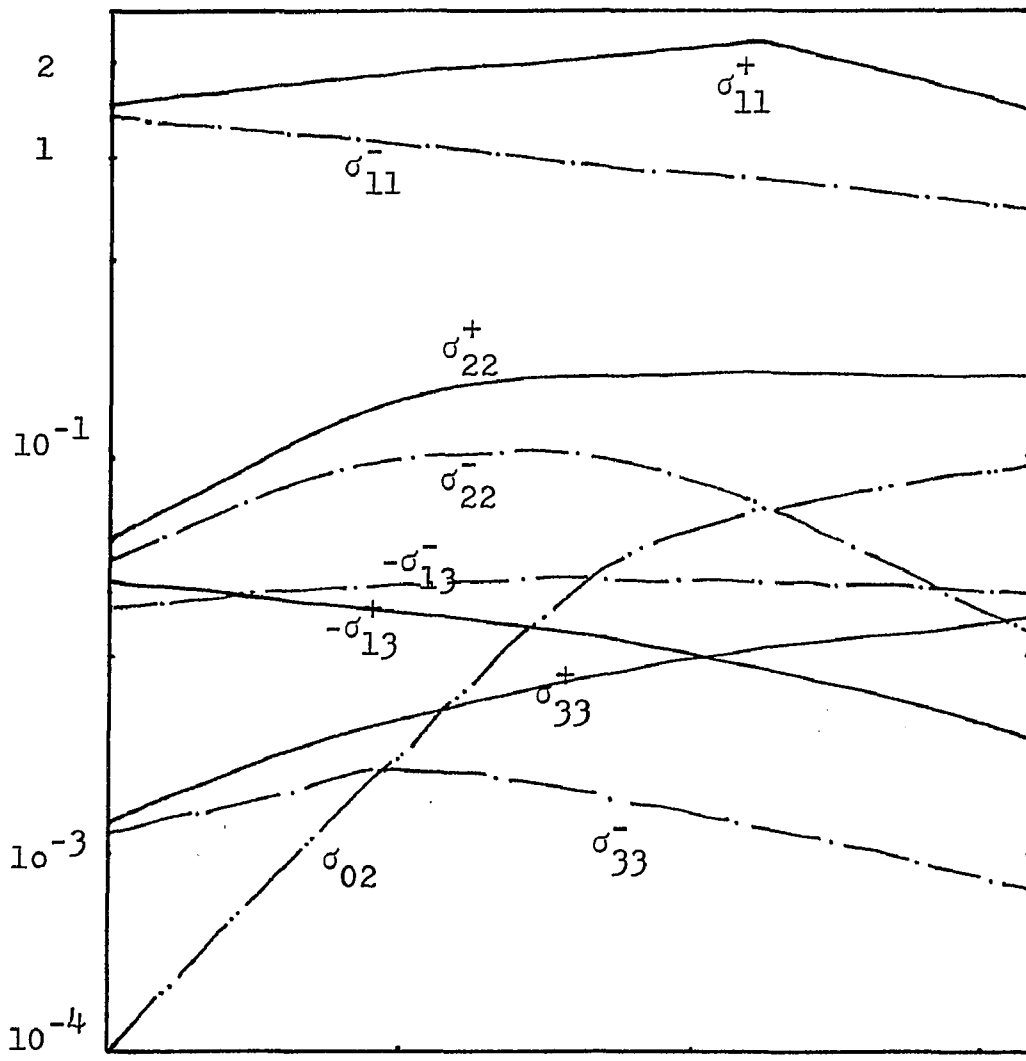


Figure 3-7. The partial MSCs for values $\alpha = .5$, $u=1.3$, $u_s=1.4$, $v_s=0.$, $\sigma_n=2$. $l=1$. and $f=.1$.

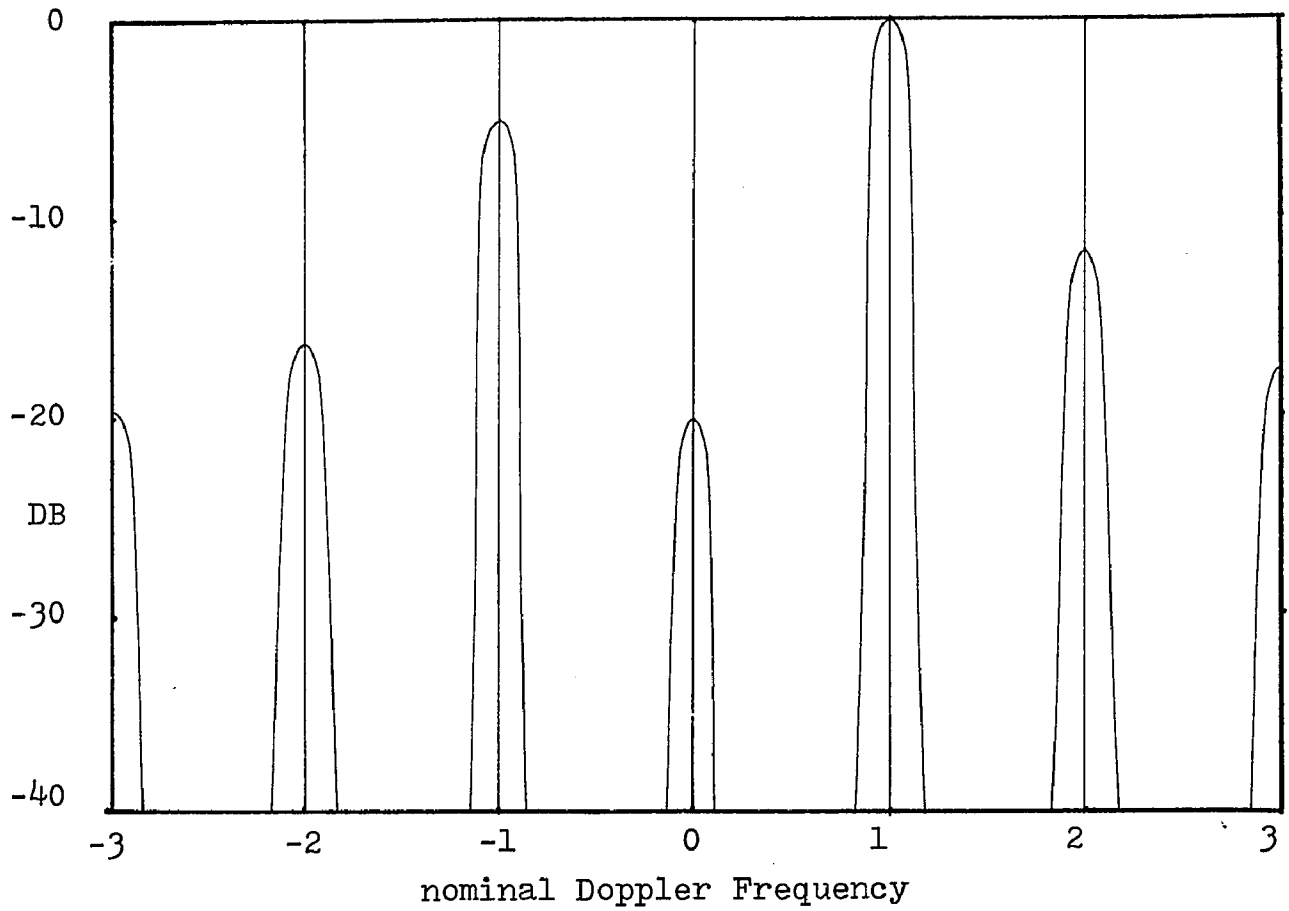


Figure 3-8. The partial TPS for values $\alpha = .5$, $u = 1.2$, $u_s = 1.4$,
 $v = .2$, $v_s = 0.$, $l = .25$, $\sigma_n = .5$, $f = .1$ and $n = .01w_d$.

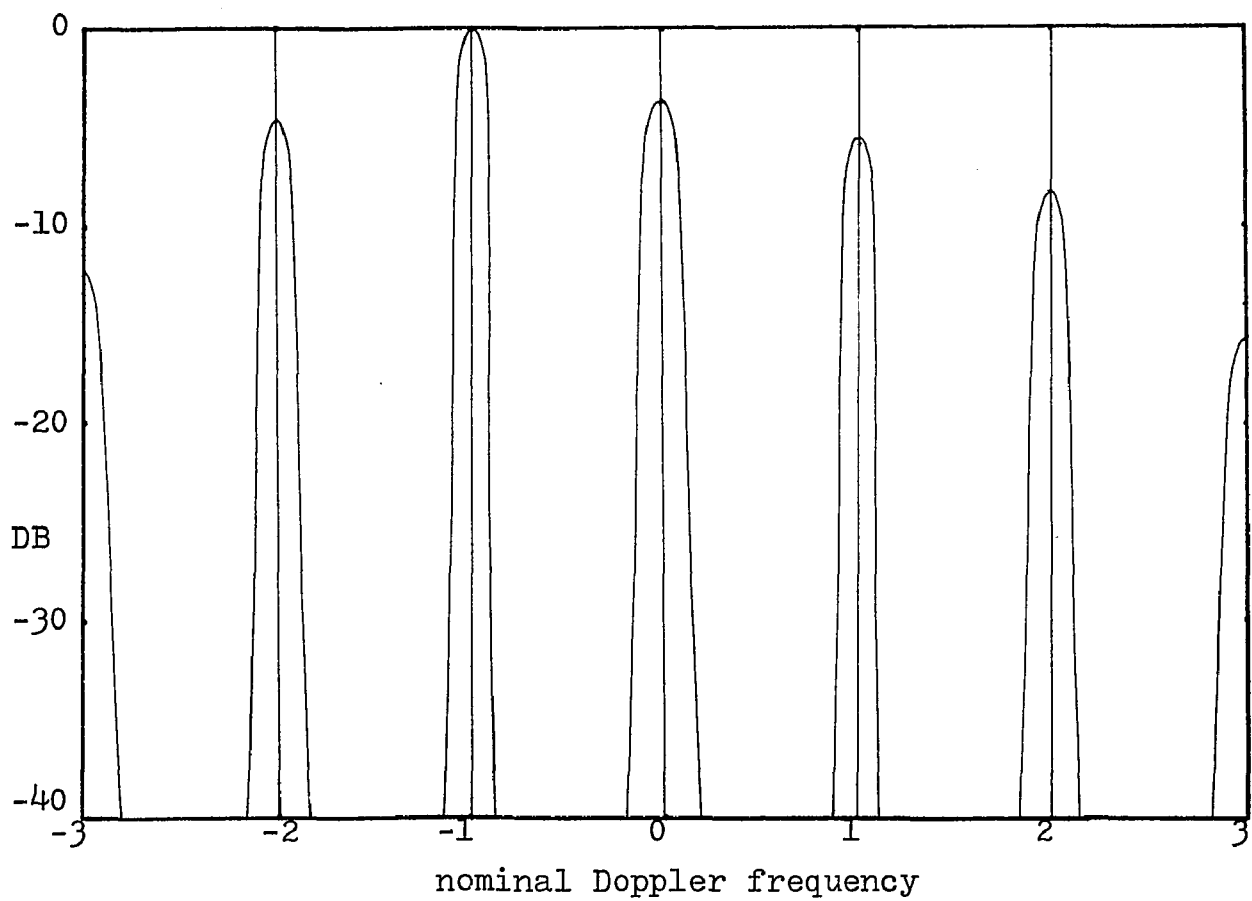


Figure 3-9. The partial TPS for values $\alpha=.2$, $u=1.2$, $u_s=1.5$,
 $\sigma_n=.6$, $v=.2$, $v_s=1.76$, $l=1$. $f=.01$ and $n=.01w_d$.

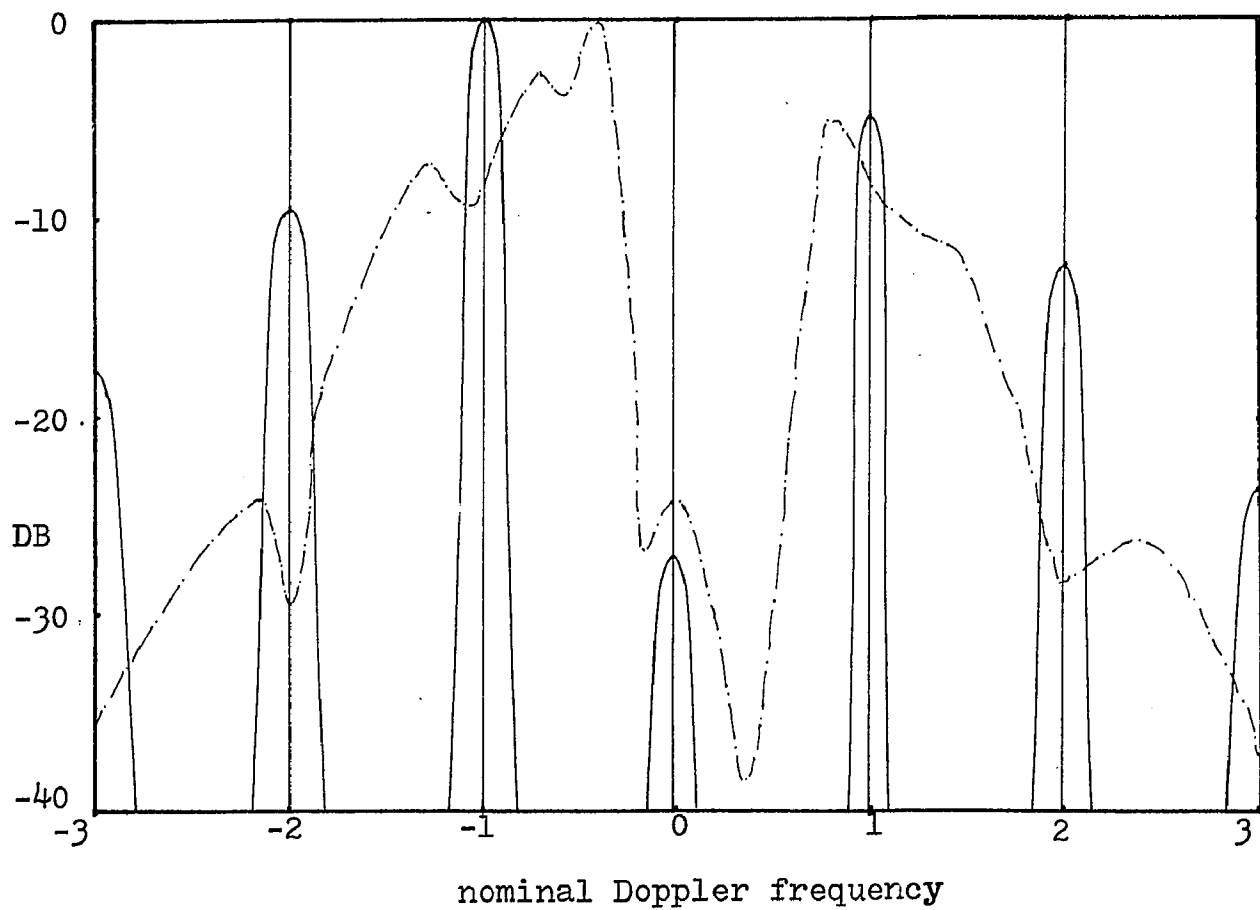


Figure 3-10. The comparison between first and second order partial TPS for values $\alpha=.5$, $u=1.4$, $u_s=1.5$, $v=.2$, $v_s=.2$, $l=1.$, $\sigma_n=.6$, $f=.01$ and $n=.01w_d$.

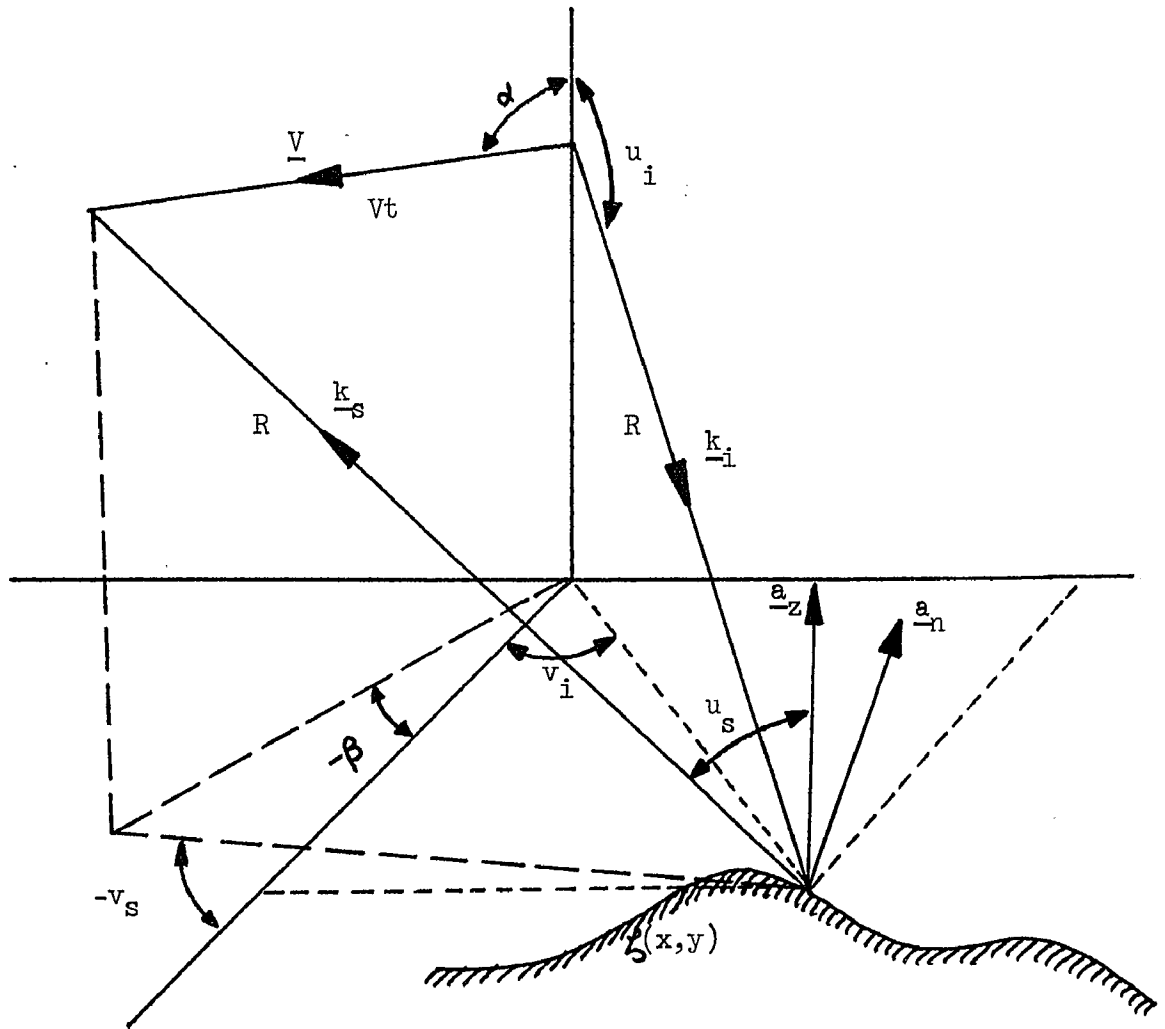


Figure 4-1 The coordinate of rough surface scattering by moving source.

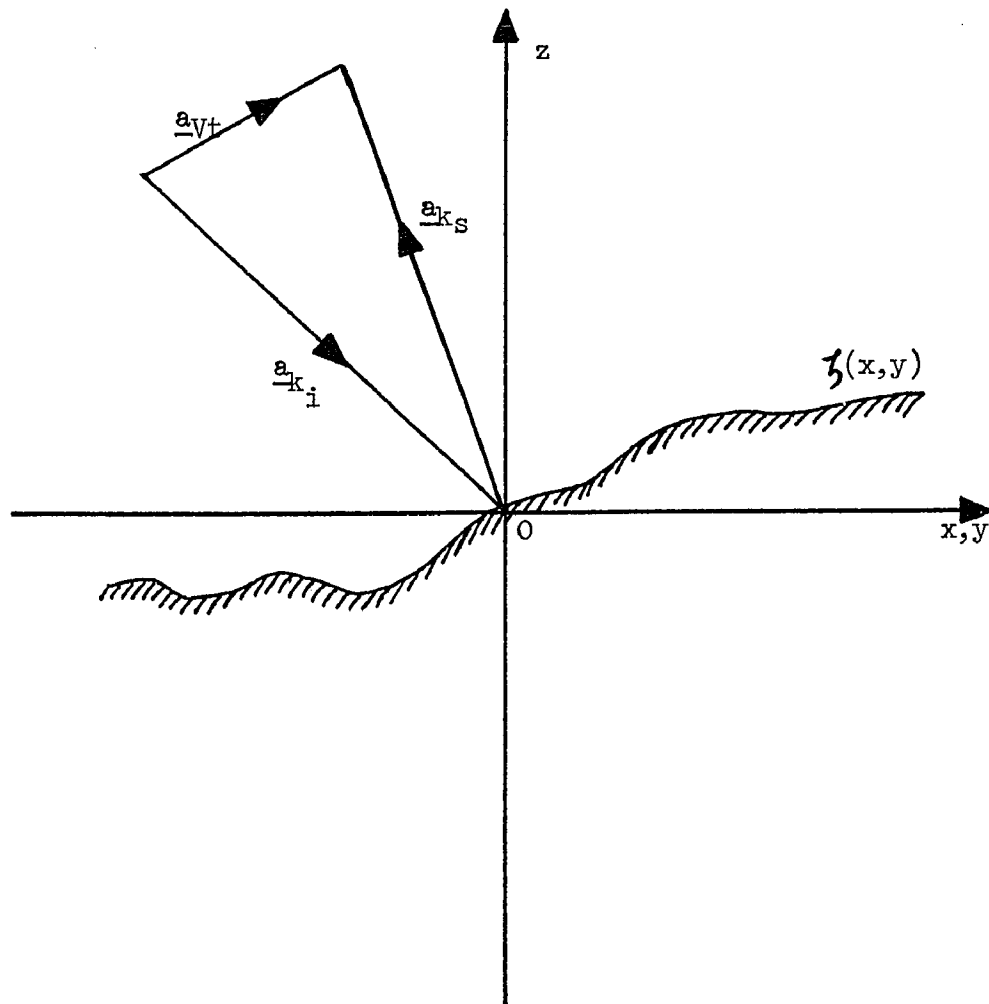


Figure 4-2 The coordinate of three units vectors.

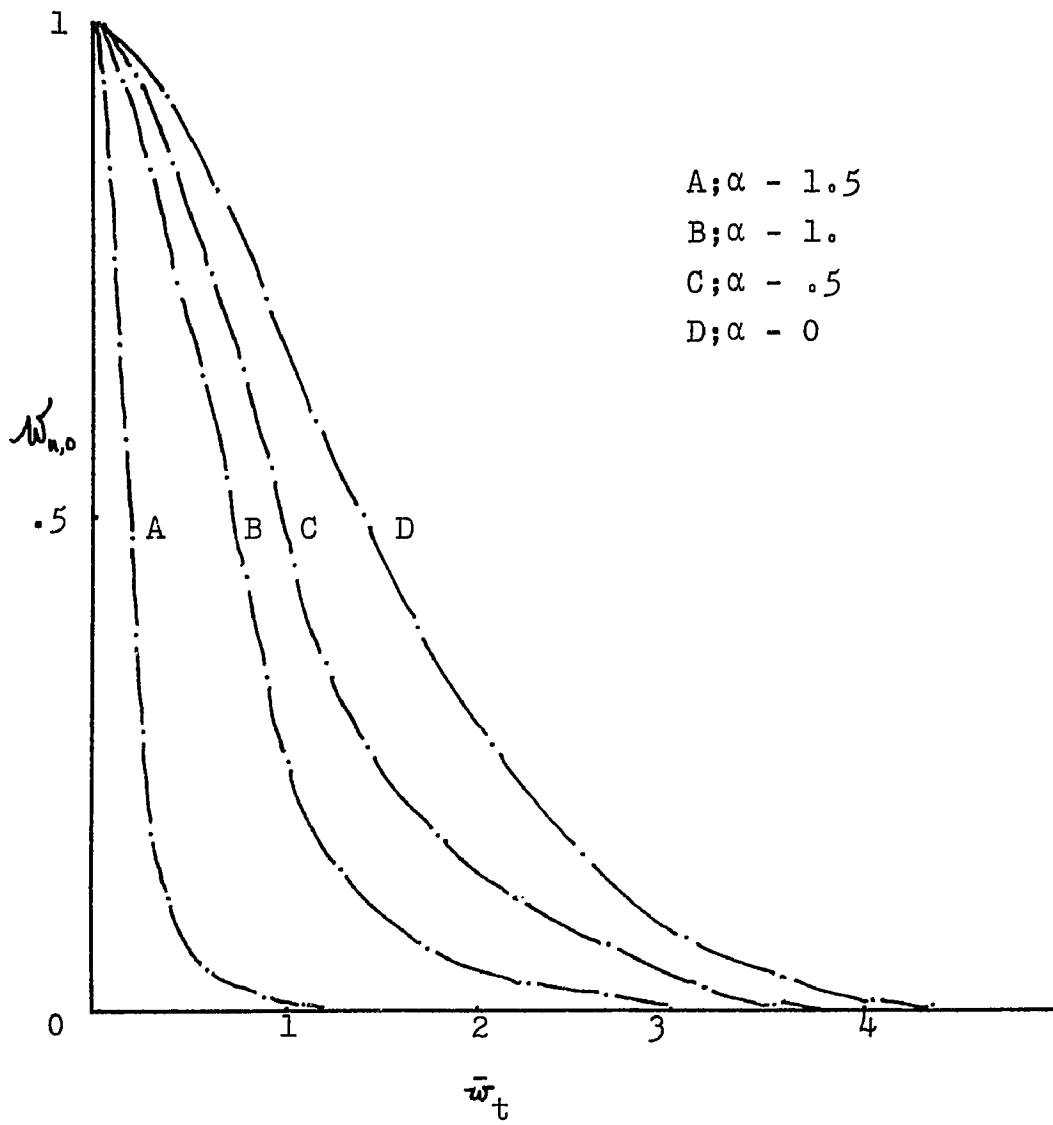


Figure 4-3. The dominant spectral shape with various values of the elevation angles of source velocity and $l = 2..$

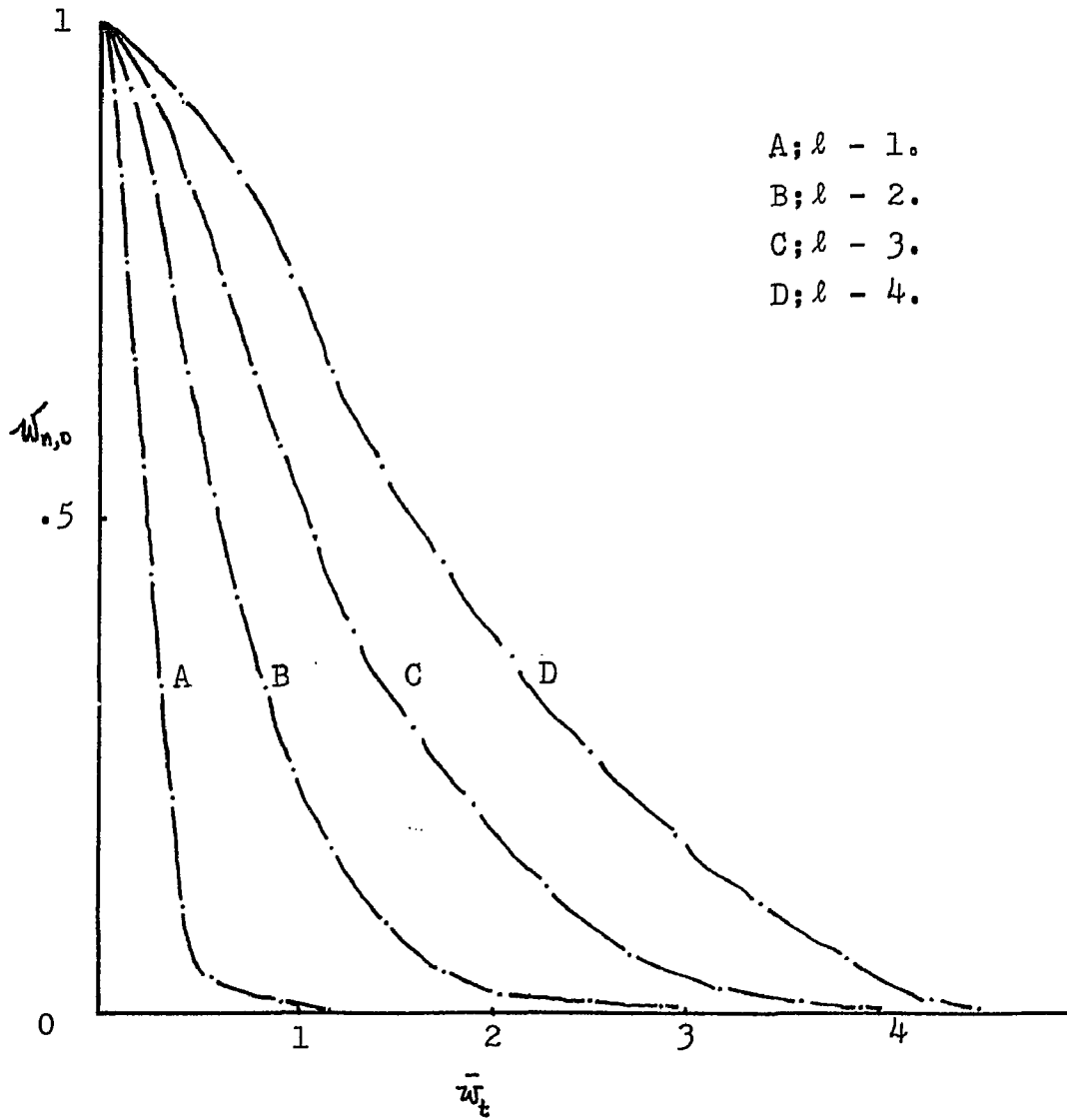


Figure 4-4. The dominant spectral shape with various values if the Rayleigh parameter and $u=1..$

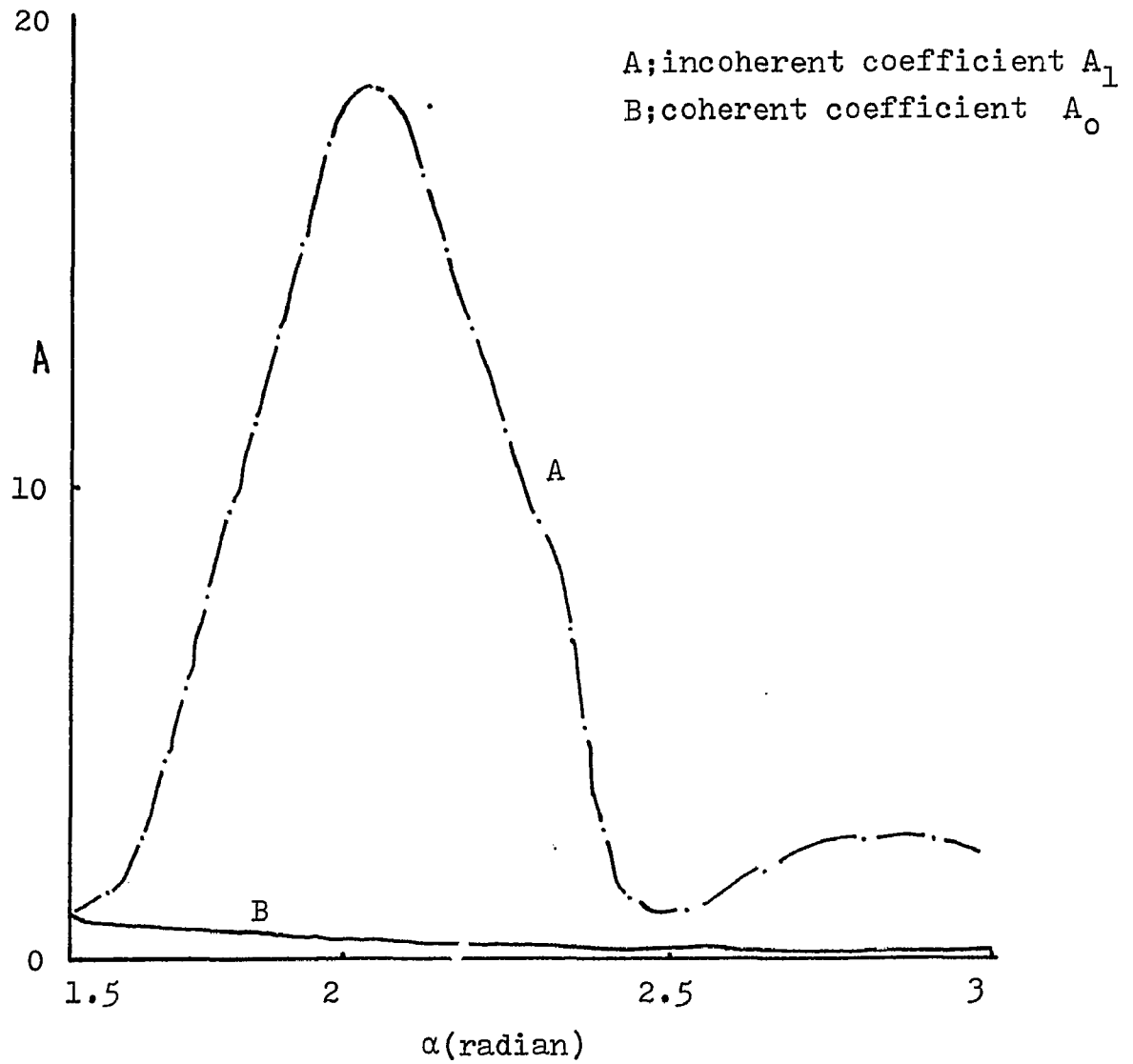


Figure 4-5. The magnitude coefficients of the Doppler spectrum with values of $u_i = .6$, $v_i = .2$, $\beta = 0.$, $l = .8$ and $\sigma_n = .6$.

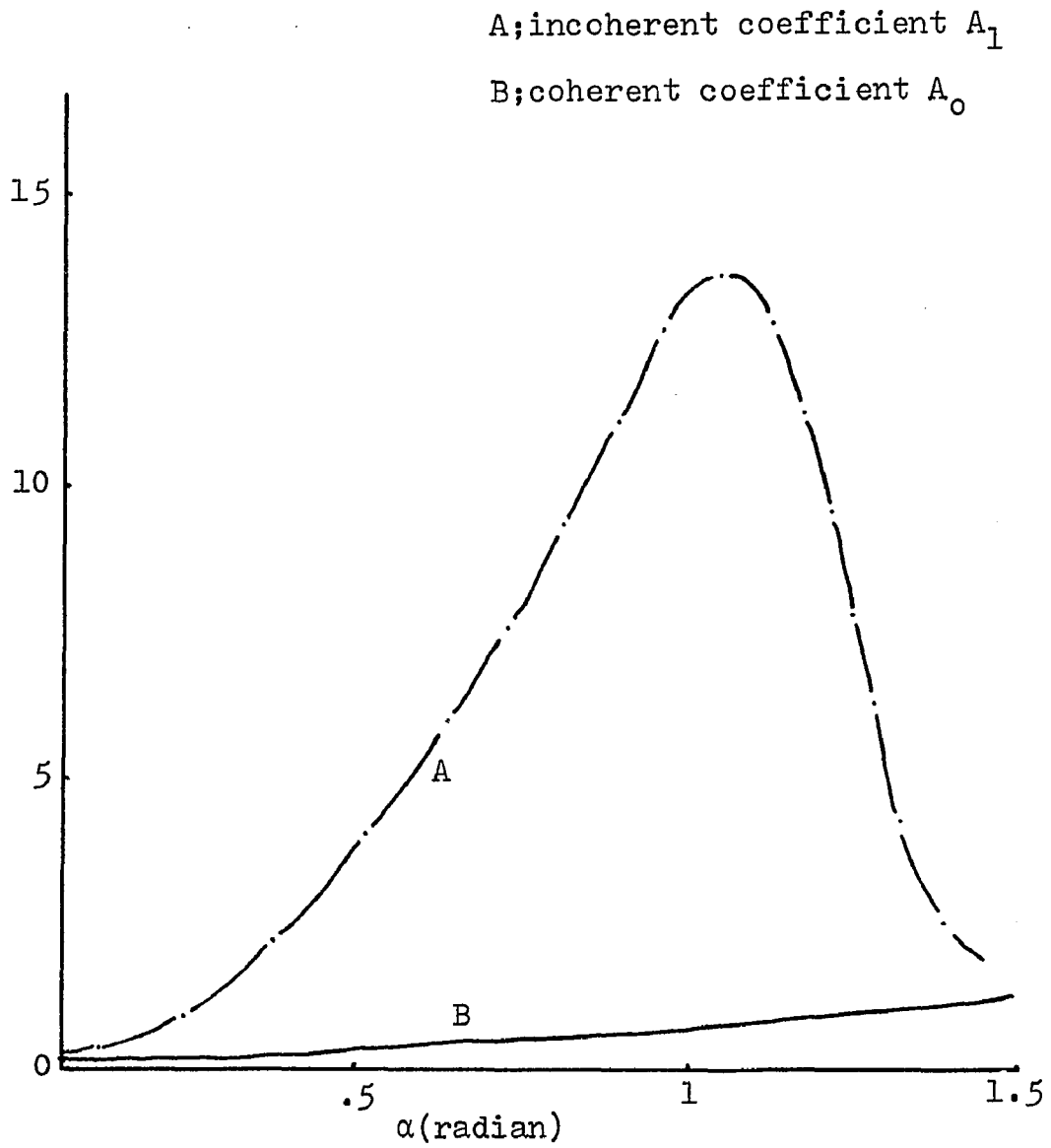


Figure 4-6. The magnitude coefficients of the Doppler spectrum with values of $u_i=.6$, $v_i=.2$, $\beta=0.$, $l=.8$ and $\sigma_n=.6$.

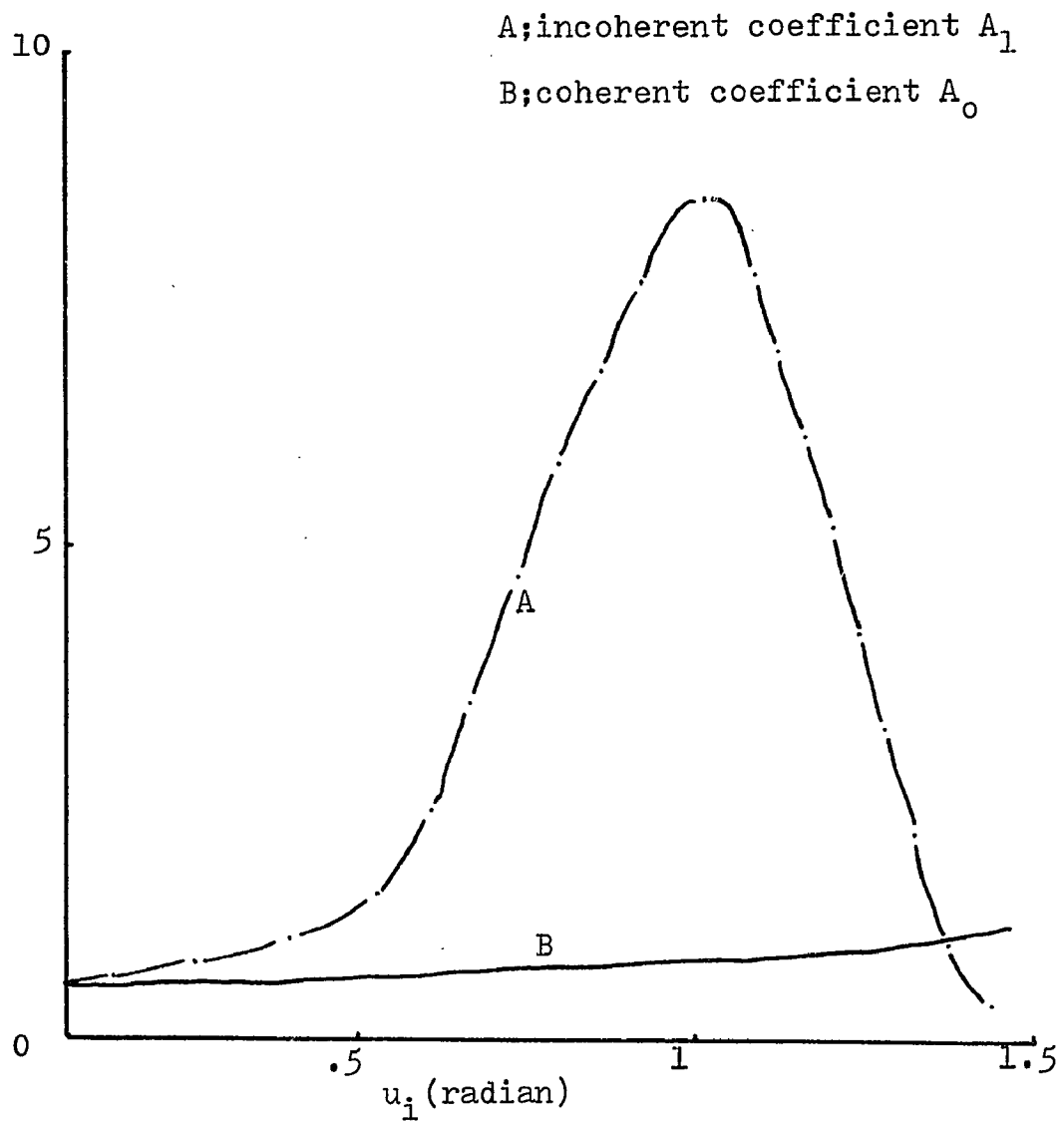


Figure 4-7. The magnitude coefficients of the Doppler spectrum with values of $\alpha = 1.5$, $v_i = .2$, $\beta = 0.$, $l = .8$ and $\sigma_n = .6$.

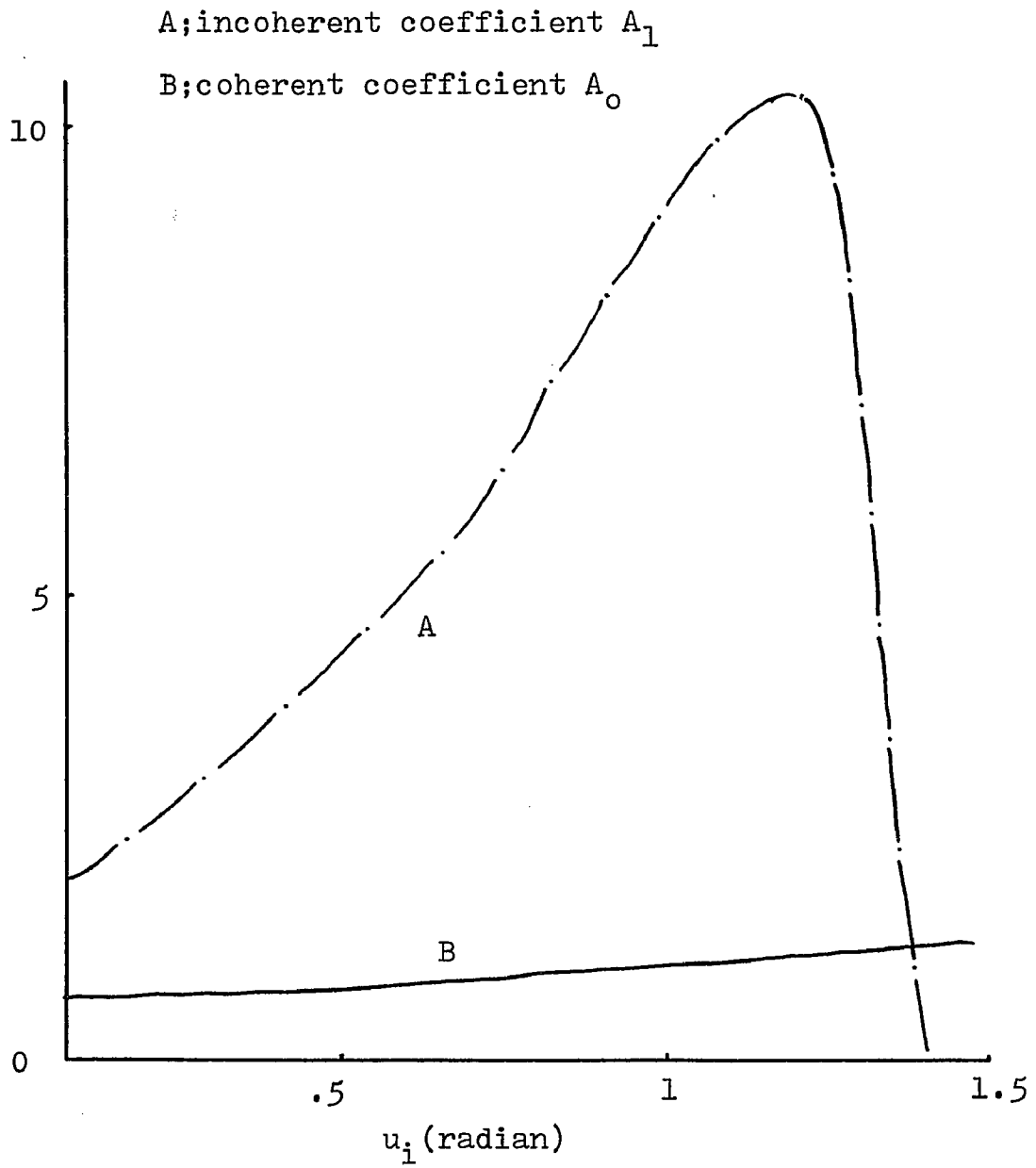


Figure 4-8. The magnitude coefficients of the Doppler spectrum with values of $\alpha=1.5$, $v_i=.2$, $\beta=0.$, $\ell=.8$ and $\sigma_n=.6$.

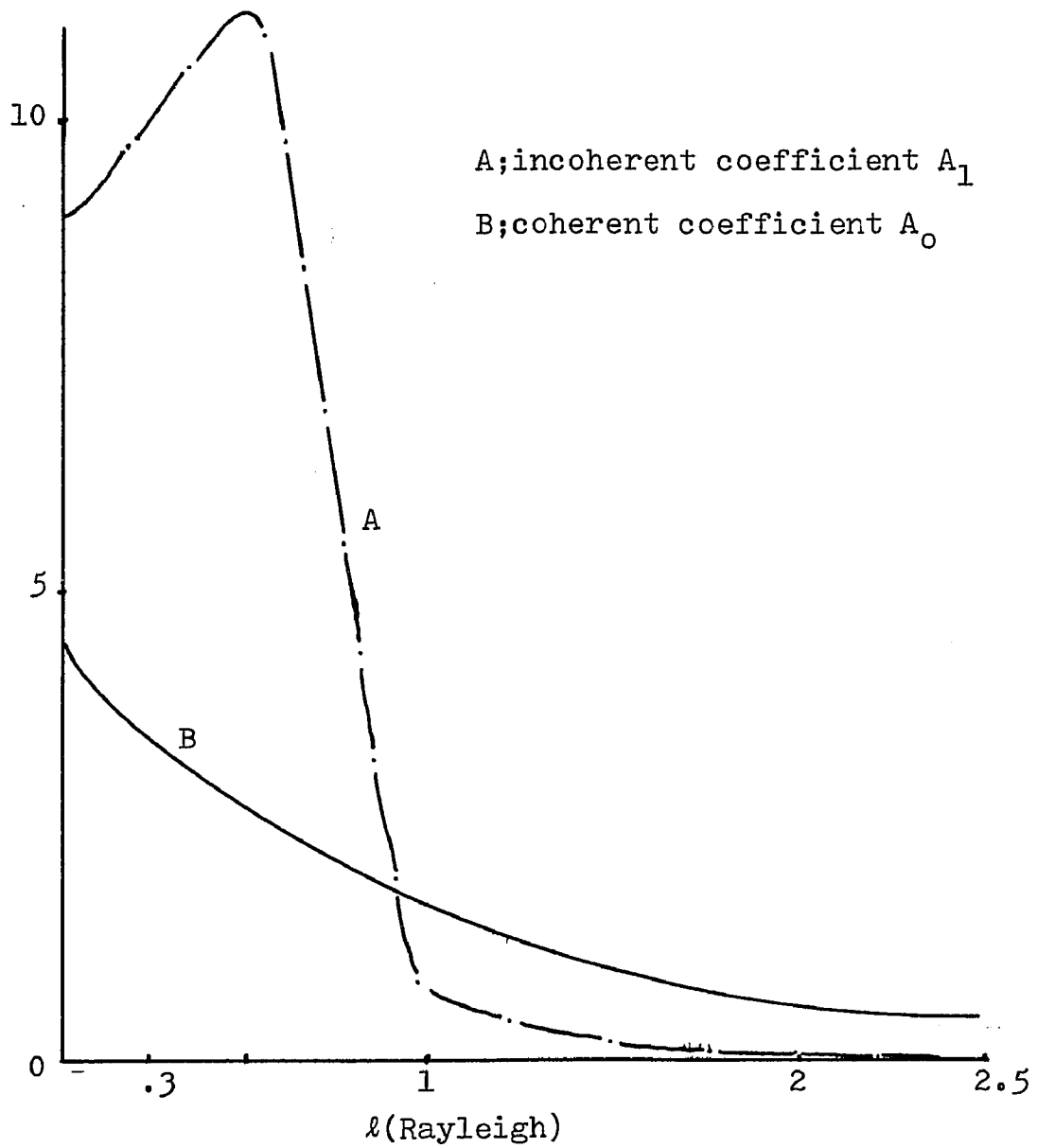


Figure 4-9. The magnitude coefficients of the Doppler spectrum with values of $u_i=.3$, $\alpha=1.4$, $v_i=.2$, $\beta=0.$ and $\sigma_n=.6.$

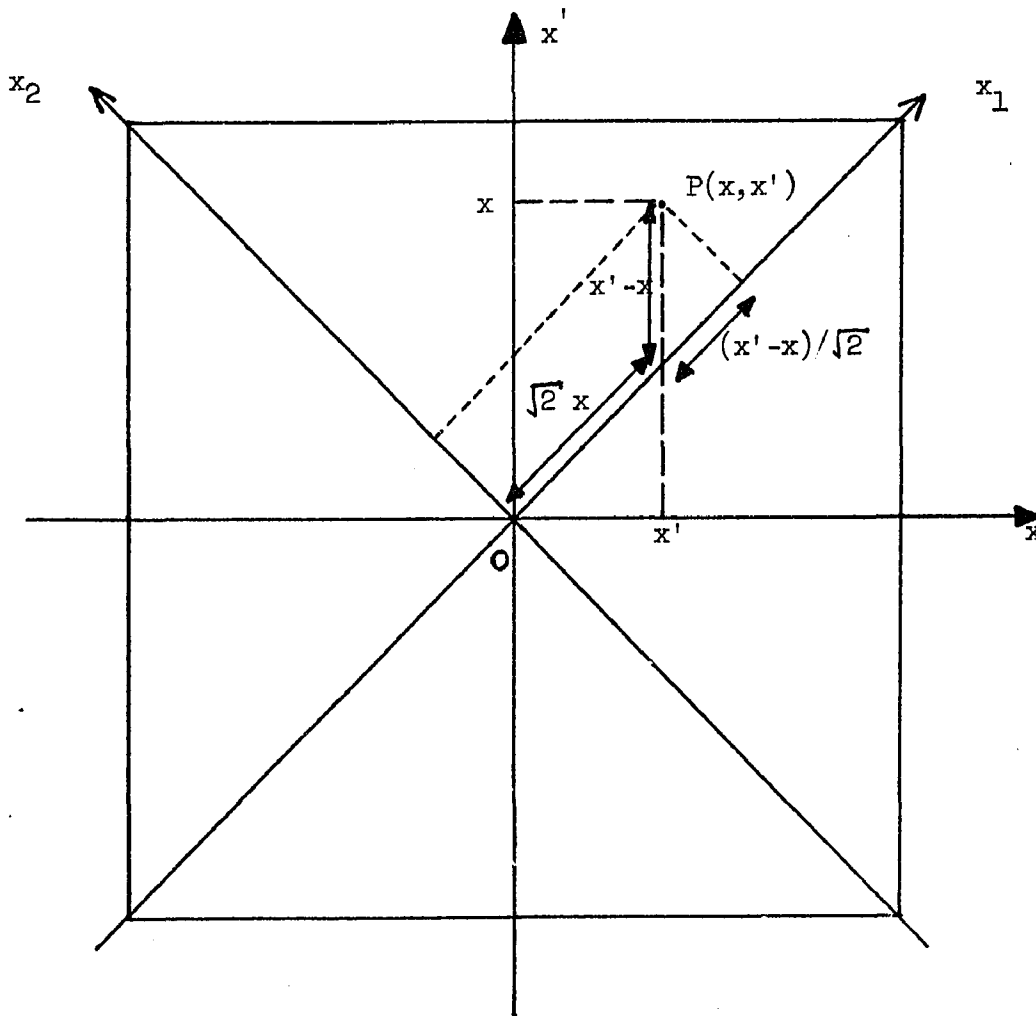


Figure 1-1. The coordinate transformation.

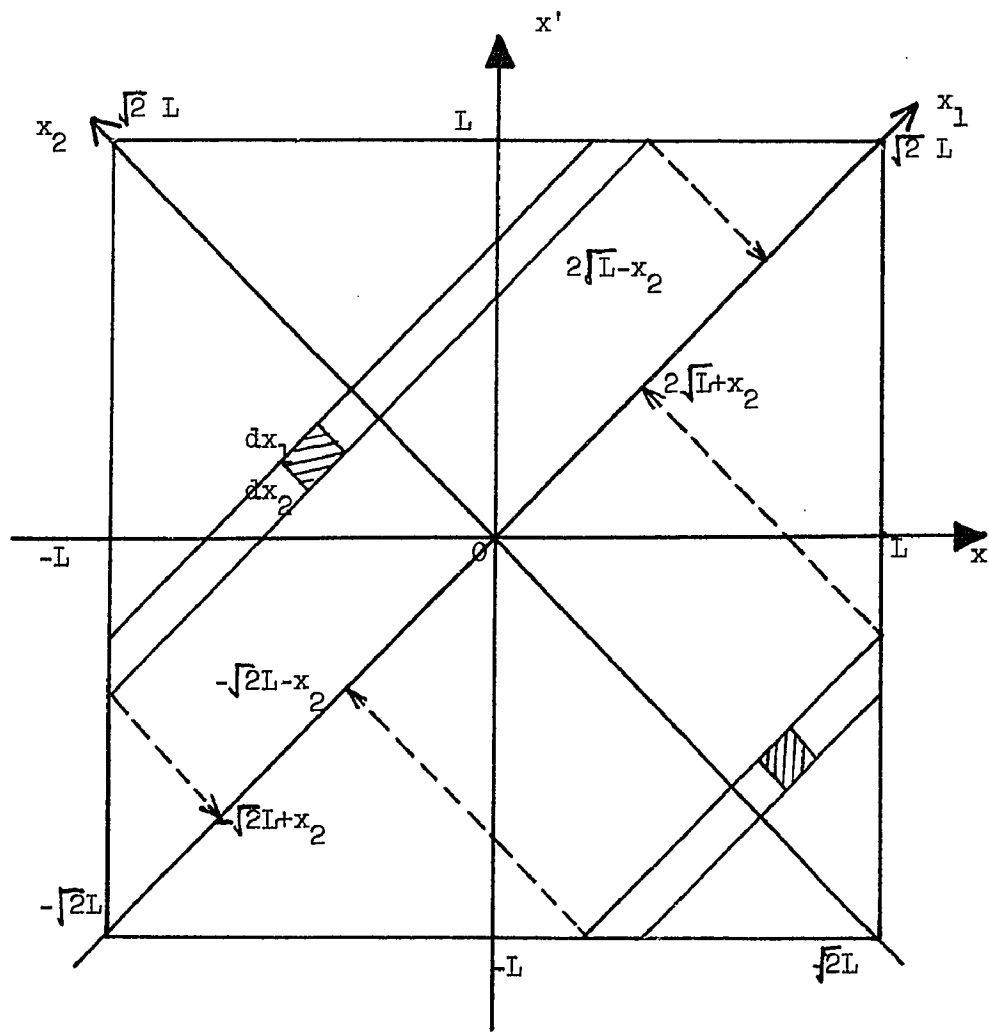


Figure 1-2. The intervals transformation.

Appendix A

The Transformation of I into Cylindrical Coordinates

The surface height correlation function for a stationary process is a function of displacement only

$$\sigma^2 C(x-x', y-y') = \langle \xi(x, y) \xi(x', y') \rangle \quad (\text{A-1})$$

Introducing new variables, in cylindrical coordinates,

$$t = [(x-x')^2 + (y-y')^2]^{\frac{1}{2}} \quad (\text{A-2})$$

$$w = \tan^{-1} \left(\frac{x-x'}{y-y'} \right) \quad (\text{A-3})$$

the surface height correlation function simplifies. Since the M functions involve partial differentiations with respect to x, x', y and y' , we need the chain rule for the partial derivatives

$$\frac{\partial}{\partial x} = \frac{\partial t}{\partial x} \frac{\partial}{\partial t} + \frac{\partial w}{\partial x} \frac{\partial}{\partial w} \quad (\text{A-4})$$

$$\frac{\partial}{\partial x'} = \frac{\partial t}{\partial x'} \frac{\partial}{\partial t} + \frac{\partial w}{\partial x'} \frac{\partial}{\partial w} \quad (\text{A-5})$$

$$\frac{\partial}{\partial y} = \frac{\partial t}{\partial y} \frac{\partial}{\partial t} + \frac{\partial w}{\partial y} \frac{\partial}{\partial w} \quad (\text{A-6})$$

$$\frac{\partial}{\partial y'} = \frac{\partial t}{\partial y'} \frac{\partial}{\partial t} + \frac{\partial w}{\partial y'} \frac{\partial}{\partial w} \quad (\text{A-7})$$

From Eqs. (A-2) and (A-3), we have

$$\frac{\partial}{\partial x} = \sin w \frac{\partial}{\partial t} + \frac{\cos w}{t} \frac{\partial}{\partial w} \quad (\text{A-8})$$

$$\frac{\partial}{\partial x'} = -\sin w \frac{\partial}{\partial t} - \frac{\cos w}{t} \frac{\partial}{\partial w} \quad (\text{A-9})$$

$$\frac{\partial}{\partial y} = \cos w \frac{\partial}{\partial t} - \frac{\sin w}{t} \frac{\partial}{\partial w} \quad (\text{A-10})$$

$$\frac{\partial}{\partial y'} = -\cos w \frac{\partial}{\partial t} + \frac{\sin w}{t} \frac{\partial}{\partial w} \quad (\text{A-11})$$

where we used

$$\frac{\partial t}{\partial x} = -\frac{\partial t}{\partial x'} = \sin w \quad (\text{A-12})$$

$$\frac{\partial w}{\partial x} = -\frac{\partial w}{\partial x'} = \frac{\cos w}{t} \quad (\text{A-13})$$

$$\frac{\partial t}{\partial y} = -\frac{\partial t}{\partial y'} = \cos w \quad (\text{A-14})$$

$$\frac{\partial w}{\partial y} \frac{\partial w}{\partial y'} = -\frac{\sin w}{t} \quad (\text{A-15})$$

Similarly we have

$$\frac{\partial^2}{\partial x \partial x'} = -\sin^2 w \frac{\partial^2}{\partial t^2} \frac{\cos^2 w}{t^2} \frac{\partial^2}{\partial w^2} - \frac{\sin 2w}{t} \frac{\partial^2}{\partial t \partial w} + \frac{\sin 2w}{t^2} \frac{\partial}{\partial w} - \frac{\cos^2 w}{t} \frac{\partial}{\partial t} \quad (\text{A-16})$$

$$\frac{\partial^2}{\partial y \partial y'} = -\cos^2 w \frac{\partial^2}{\partial t^2} \frac{\sin^2 w}{t^2} \frac{\partial^2}{\partial w^2} + \frac{\sin 2w}{t} \frac{\partial^2}{\partial t \partial w} - \frac{\sin 2w}{t^2} \frac{\partial}{\partial w} - \frac{\sin^2 w}{t} \frac{\partial}{\partial t} \quad (\text{A-17})$$

$$\begin{aligned} \frac{\partial^2}{\partial x \partial y'} &= -\frac{\sin 2w}{2} \frac{\partial^2}{\partial t^2} + \frac{\sin 2w}{2t^2} \frac{\partial^2}{\partial w^2} - \frac{\cos 2w}{t} \frac{\partial^2}{\partial t \partial w} + \frac{\cos 2w}{t^2} \frac{\partial}{\partial w} \\ &+ \frac{\sin 2w}{2t} \frac{\partial}{\partial t} = \frac{\partial^2}{\partial x' \partial y} \end{aligned} \quad (\text{A-18})$$

Substituting Eqs.(A-8)-(A-11) and Eqs.(A-15)-(A-18) into M functions, we have

$$M_1 = e^{-p^2(1-C)} \quad (\text{A-19})$$

$$M_2 = -j\sigma p \left(2\sin w \frac{\partial C}{\partial t} + \frac{2\cos w}{t} \frac{\partial C}{\partial w} \right) M_1 \quad (\text{A-20})$$

$$M_3 = -j\sigma p \left(2\cos w \frac{\partial C}{\partial t} - \frac{2\sin w}{t} \frac{\partial C}{\partial w} \right) M_1 \quad (\text{A-21})$$

$$\begin{aligned} M_4 &= \sigma^2 \left[-\frac{\sin 2w}{2} \frac{\partial^2 C}{\partial t^2} + \frac{\sin 2w}{2t^2} \frac{\partial^2 C}{\partial w^2} - \frac{\cos 2w}{t} \frac{\partial^2 C}{\partial t \partial w} + \frac{\cos 2w}{t^2} \frac{\partial C}{\partial w} \right. \\ &+ \left. \frac{\sin 2w}{2t} \frac{\partial C}{\partial t} + p^2 \left\{ -\frac{\sin 2w}{2} \left(\frac{\partial C}{\partial t} \right)^2 + \frac{\sin 2w}{2t^2} \left(\frac{\partial C}{\partial w} \right)^2 - \frac{\cos 2w}{t} \frac{\partial C}{\partial t} \frac{\partial C}{\partial w} \right\} \right] M_1 \\ &= M_5 \end{aligned} \quad (\text{A-22})$$

$$\begin{aligned} \left(\frac{M_6}{M_7} \right) &= \sigma^2 \left[-\left(\frac{\sin^2 w}{\cos^2 w} \right) \frac{\partial^2 C}{\partial t^2} - \frac{\cos^2 w}{t^2} \frac{\partial^2 C}{\partial w^2} \mp \frac{\sin 2w}{t} \frac{\partial^2 C}{\partial t \partial w} \pm \frac{\sin 2w}{t^2} \frac{\partial C}{\partial w} \right. \\ &+ \left. \left(\frac{\cos^2 w}{\sin^2 w} \right) \frac{1}{t} \frac{\partial C}{\partial t} + p^2 \left\{ -\cos^2 w \left(\frac{\partial C}{\partial t} \right)^2 - \frac{\sin^2 w}{t^2} \left(\frac{\partial C}{\partial w} \right)^2 + \frac{\sin 2w}{t} \frac{\partial C}{\partial t} \frac{\partial C}{\partial w} \right\} \right] M_1 \end{aligned} \quad (\text{A-23})$$

Substituting Eqs.(A-19) through (A-25) into I, we have

$$\begin{aligned} I &= e^{-p^2(1-C)} \left[\cos^2 u - \frac{1}{2} L_2 \sigma^2 \sin^2 u - j\sigma p \sin 2u \left\{ \sin(w+v) \frac{\partial C}{\partial t} + \frac{\cos(w+v)}{t} \frac{\partial C}{\partial w} \right\} \right. \\ &+ \left. \frac{1}{2} \sigma^2 \sin^2 u \left\{ \sin 2v (-\sin 2w L_1 - 2\cos 2w L_3) + \cos 2v (\cos 2w L_1 - 2\sin 2w L_3) \right\} \right] \end{aligned} \quad (\text{A-24})$$

where

$$L_{1,2} = \frac{\partial^2 C}{\partial t^2} \mp \frac{1}{t} \frac{\partial^2 C}{\partial w^2} \mp \frac{1}{t} \frac{\partial C}{\partial t} + p^2 \left[\left(\frac{\partial C}{\partial t} \right)^2 \mp \frac{1}{t} \left(\frac{\partial C}{\partial w} \right)^2 \right] \quad (\text{A-25})$$

$$L_3 = \frac{1}{t} \frac{\partial^2 C}{\partial t \partial w} - \frac{1}{t^2} \frac{\partial C}{\partial w} + p^2 \frac{1}{t} \frac{\partial C}{\partial t} \frac{\partial C}{\partial w} \quad (\text{A-26})$$

For the isotropic surface the correlation function depends on the radial distance t only and is independent on the direction w . Therefore all partial differentiations with respect to w are zero and we have I for the isotropic surface

$$I = e^{-p^2(1-C)} \left[\cos^2 u - \frac{1}{2} \sigma^2 L_2 \sin^2 u - j \sigma p \sin 2u \sin(w+v) \frac{\partial C}{\partial t} + \frac{1}{2} \sigma^2 L_1 \sin^2 u \cos 2(v+w) \right] \quad (\text{A-27})$$

where L_3 becomes zero and $L_{1,2}$ are

$$L_{1,2} = \frac{\partial^2 C}{\partial t^2} \mp \frac{1}{t} \frac{\partial C}{\partial t} + p^2 \left(\frac{\partial C}{\partial t} \right)^2 \quad (\text{A-28})$$

Appendix B

The Evaluation of \bar{J}

The normalized surface correlation function is given

(See Eq. (3.2-7))

$$C = C_0(\tau, z) \cdot [\cos z(f_x \sin \theta + f_y \cos \theta) - w_d \tau] \quad (B-1)$$

where C_0 is

$$C_0 = \exp\left\{-\frac{\tau^2}{T^2} - \frac{z^2}{L^2}\right\} \quad (B-2)$$

The partial derivatives are

$$\frac{\partial C}{\partial z} = -\frac{2z}{L^2} \cdot C - (f_x \sin \theta + f_y \cos \theta) \cdot \bar{C} \quad (B-3)$$

where

$$\bar{C} = C_0 \sin [z(f_x \sin \theta + f_y \cos \theta) - w_d \tau] \quad (B-4)$$

and

$$\frac{\partial C}{\partial \theta} = -z(f_x \cos \theta - f_y \sin \theta) \cdot \bar{C} \quad (B-5)$$

$$\begin{aligned} \frac{\partial^2 C}{\partial z^2} = & -\left[\frac{2}{L^2} + \frac{1}{2}(f_x^2 + f_y^2) + f_x f_y \sin 2\theta - \frac{1}{2}(f_x^2 - f_y^2) \cos 2\theta - \frac{4z^2}{L^2} \right] C \\ & + \frac{4z}{L^2} (f_x \sin \theta - f_y \cos \theta) \cdot \bar{C} \end{aligned} \quad (B-6)$$

$$\begin{aligned} \frac{\partial^2 C}{\partial \theta^2} = & -z^2 \left[\frac{1}{2}(f_x^2 + f_y^2) - f_x f_y \sin 2\theta + \frac{1}{2}(f_x^2 - f_y^2) \cos 2\theta \right] \cdot C \\ & - z(f_x \cos \theta - f_y \sin \theta) \cdot \bar{C} \end{aligned} \quad (B-7)$$

and

$$\begin{aligned} \frac{\partial^2 C}{\partial \theta \partial z} = \frac{\partial^2 C}{\partial z \partial \theta} = & -z \left[\frac{1}{2}(f_x^2 - f_y^2) \sin 2\theta + f_x f_y \cos 2\theta \right] \cdot C \\ & - \left(1 - \frac{2z^2}{L^4}\right) \cdot (f_x \cos \theta - f_y \sin \theta) \cdot \bar{C} \end{aligned} \quad (-8)$$

Substituting Eqs.(B-3) -(B-8) into Eqs.(A-27),(A-28), we have

$$L_1 = -\left[2f_x f_y \sin 2\theta + \frac{1}{2}(f_x^2 - f_y^2) \cos 2\theta - \frac{4z^2}{L^4}\right] \cdot C = \frac{4z}{L^2} (f_x \sin \theta + f_y \cos \theta) \bar{C}$$

$$+ p^2 \left[\frac{4z^2}{L^4} c^2 + 2f_x f_y \sin 2\theta \bar{C} + (f_y^2 - f_x^2) \cos 2\theta \bar{C}^2 + \frac{4z}{L^2} (f_x \sin \theta + f_y \cos \theta) \cdot C \bar{C} \right] \quad (B-9)$$

$$L_2 = -\left[\frac{4}{L^4} (f_x^2 + f_y^2) - \frac{4z^2}{L^4} \right] \cdot C + \frac{4z}{L^2} (f_x \sin \theta + f_y \cos \theta) \cdot \bar{C}$$

$$+ p^2 \left[\frac{4z^2}{L^4} \cdot C^2 + (f_x^2 + f_y^2) \bar{C}^2 + \frac{4z}{L^2} (f_x \sin \theta + f_y \cos \theta) C \bar{C} \right] \quad (B-10)$$

and

$$L_3 = -\left[\frac{1}{2} (f_x^2 - f_y^2) \sin 2\theta + f_x f_y \cos 2\theta \right] \cdot C + \frac{2z}{L^2} C (f_x \cos \theta - f_y \sin \theta) \bar{C}$$

$$+ p^2 \left[\frac{2z}{L^2} (f_x \cos \theta - f_y \sin \theta) C \cdot \bar{C} + \left\{ f_x f_y \cos 2\theta + \frac{1}{2} (f_x^2 - f_y^2) \sin 2\theta \right\} \bar{C}^2 \right] \quad (B-11)$$

Substituting these L -identities into the approximate integrand \bar{J} defined by Eq.(3.3-33) and factoring the orders of travelling waves and the envelope of the surface correlation function, we have

$$\bar{J} = CN_{10} + \bar{C}N_{01} + C\bar{C}N_{11} + C^2N_{20} + \bar{C}^2N_{02} + C^3N_{30} + C^2\bar{C}N_{21} + C\bar{C}^2N_{12}$$

$$= \sum_{mn} \bar{J}_{mn}^{\pm} \quad (B-12)$$

where N_{ij} are given by Eqs.(3.3-11) through (3.3-18) and \bar{J}_{mn}^{\pm}

are

$$\bar{J}_{02} = \frac{C_0^2}{2} (N_{20} + N_{02}) \quad (B-13)$$

$$\bar{J}_{11}^{\pm} = \frac{C_0}{2} C^{\pm} (N_{10} \mp j N_{01}) \quad (B-14)$$

$$\bar{J}_{22}^{\pm} = \frac{C_0^2}{4} C^{\pm 2} (N_{20} - N_{02} \mp j N_{11}) \quad (B-15)$$

$$\bar{J}_{13}^{\pm} = \frac{C_0^3}{4} C^{\pm 1} (3N_{30} + N_{12} \mp j N_{21}) \quad (B-16)$$

$$\bar{J}_{33}^{\pm} = \frac{C_0^3}{4} C^{\pm 3} (N_{30} - N_{12} \mp j N_{21}) \quad (B-17)$$

Appendix C

The Evaluation of $Q_{mn}^{\pm}(\tau)$

The partial incoherent autocovariance functions $Q_{mn}^{\pm}(\tau)$ are from Eq.(3.3-35)

$$Q_{mn}^{\pm}(\tau) = A S e^{-p^2 - j\omega_c \tau} \int_0^{\infty} \int_0^{2\pi} \int_{mn}^{\pm} e^{-jzK_t \cos(\theta - \phi_k)} z d\theta dz \quad (C-1)$$

where indices m and n are the orders of travelling wave and envelope of the surface correlation function respectively.

We factored out the τ -dependencies from geometrical interations for the partial incoherent autocovariance functions as

$$Q_{mn}^{\pm}(\tau) = A S \exp\left\{-p^2 - j\omega_c \tau - \frac{n\tau^2}{T^2} + j m \omega_d \tau\right\} \cdot I_{mn}^{\pm} \quad (C-2)$$

where

$$\begin{aligned} I_{mn}^{\pm} &= \int_0^{\infty} \int_0^{2\pi} \exp\left\{-\frac{nz^2}{L^2} \pm j m z (f_x \sin\theta + f_y \cos\theta) - j z K_t \cos(\theta - \phi_k)\right\} f(N_{pq}) z d\theta dz \\ &= \int_0^{\infty} \int_0^{2\pi} \exp\left\{-\frac{nz^2}{L^2} - j z K_{mt}^{\pm} \cos(\theta - \phi_{mk}^{\pm})\right\} f(N_{pq}) z dz d\theta \end{aligned} \quad (C-3)$$

with

$$K_{mt}^{\pm 2} = (K_x \mp m f_x)^2 + (K_y \mp m f_y)^2 \quad (C-4)$$

$$\phi_{mk}^{\pm} = \tan^{-1} \frac{K_x \mp m f_x}{K_y \mp m f_y} \quad (C-5)$$

using \bar{J}_{11}^{\pm} , we have

$$\begin{aligned} I_{11}^{\pm} &= \frac{1}{2} \int_0^{\infty} dz \cdot z \cdot \exp\left\{-\frac{z^2}{L^2}\right\} \cdot \left\{ \left[\cos^2 u p^2 + \frac{\sigma^2}{2} \sin^2 u \left(\frac{4}{L^2} + f_1 - \frac{4z^2}{L^2} \right) \right] \pm \sigma p \right. \\ &\quad \cdot \left. \sin 2u (f_y \sin v + f_x \cos v) \right] \Phi_{11}^{\pm} + j \sigma p \sin 2u \frac{2z}{L^2} (\cos v \Psi_{11}^{\pm} + \sin v \Phi_{11}^{\pm}) \\ &\quad \left. + j \frac{\sigma^2}{2} \sin^2 u \frac{4z}{L^4} (v_1 \Psi_{11}^{\pm} + v_2 \Phi_{11}^{\pm}) + \frac{\sigma^2}{2} \sin^2 u \frac{4z^2}{L^4} (\cos 2v \Phi_{21}^{\pm} - \sin 2v \Psi_{21}^{\pm}) \right\} \end{aligned} \quad (C-6)$$

where $\Phi_{\ell m}^{\pm}$ and $\Psi_{\ell m}^{\pm}$ are angular intergrals

$$\left(\begin{array}{c} \Phi_{lm}^{\pm} \\ \Psi_{lm}^{\pm} \end{array} \right) = \int_0^{2\pi} \frac{\cos(\ell\theta)}{\sin(\ell\theta)} e^{-jzK_{mt}^{\pm} \cos(\theta - \phi_{mk}^{\pm})} d\theta = 2\pi (-j)^{\ell} J_{\ell}(K_{mt}^{\pm} z) \frac{\cos(\ell\phi_{mk}^{\pm})}{\sin(\ell\phi_{mk}^{\pm})} \quad (C-7)$$

The special cases are

$$\Phi_{0m}^{\pm} = 2\pi J_0(K_{mt}^{\pm} z) \quad (C-8)$$

$$\left(\begin{array}{c} \Phi_{1m}^{\pm} \\ \Psi_{1m}^{\pm} \end{array} \right) = -j2\pi J_1(K_{mt}^{\pm} z) \frac{\cos(\phi_{mk}^{\pm})}{\sin(\phi_{mk}^{\pm})} \quad (C-9)$$

$$\left(\begin{array}{c} \Phi_{2m}^{\pm} \\ \Psi_{2m}^{\pm} \end{array} \right) = -2\pi J_2(K_{mt}^{\pm} z) \frac{\cos(2\phi_{mk}^{\pm})}{\sin(2\phi_{mk}^{\pm})} \quad (C-10)$$

Substituting Eqs.(c-8)-(C-10) into I_{11} , we have

$$\begin{aligned} I_{11}^{\pm} &= \frac{1}{2} \int_0^{\sigma} dz \cdot z \cdot \exp\left\{-\frac{z^2}{L^2}\right\} \cdot 2 \left\{ [\cos^2 u p^2 \pm \sigma p \sin 2u (f_x \sin v + f_y \cos v) \right. \\ &\quad \left. + \frac{\sigma^2}{2} \sin^2 u \left(\frac{4}{L^2} + f_1 - \frac{4z^2}{L^4}\right) J_0(K_{1t}^{\pm} z) + \frac{2\sigma p}{L^2} \sin 2u \sin(v + \phi_{1k}^{\pm}) \right. \\ &\quad \left. \pm \frac{2\sigma^2}{L^2} \sin^2 u \cdot (V_1 \sin \phi_{1k}^{\pm} + V_2 \cos \phi_{1k}^{\pm}) \right] \cdot z J_1(K_{1t}^{\pm} z) - \frac{2\sigma^2}{L^4} \sin^2 u \cdot \\ &\quad \left. \cos 2(v + \phi_{1k}^{\pm}) z^2 J_2(K_{1t}^{\pm} z) \right\} \quad (C-11) \end{aligned}$$

where

$$f_1 = (f_x^2 + f_y^2) + 2f_x f_y \sin 2v + (f_x^2 - f_y^2) \cos 2v \quad (C-12)$$

$$V_{1,2} = f_{x,y} - f_{y,x} \sin 2v \mp f_{x,y} \cos 2v \quad (C-13)$$

$$\begin{aligned} I_{11}^{\pm} &= \left\{ [\cos^2 p^2 \pm \sigma p \sin 2u (f_x \sin v + f_y \cos v) + \frac{\sigma^2}{2} \sin^2 u \left(\frac{4}{L^2} + f_1\right)] \cdot N_{011}^{\pm} \right. \\ &\quad \left. - \frac{\sigma^2}{2} \sin^2 u \cdot \frac{4}{L^4} (N_{011}^{\pm})^2 + \frac{[2\sigma p \sin 2u \sin(v + \phi_{1k}^{\pm}) \pm \frac{2\sigma^2}{L^2} \sin^2 u \cdot \right. \\ &\quad \left. \cdot (V_1 \sin \phi_{1k}^{\pm} + V_2 \cos \phi_{1k}^{\pm}) - \frac{2\sigma^2}{L^4} \sin^2 u \cos 2(v + \phi_{1k}^{\pm})] \cdot N_{211}^{\pm} \right\} \quad (C-14) \end{aligned}$$

where I_{lmn}^{\pm} are the Weber integrals²⁴

$$N_{lmn}^{\pm} = \int_0^{\infty} z^{\ell \pm 1} \exp\left\{-\frac{nz^2}{L^2}\right\} J_{\ell}\left(\frac{K_{mt}^{\pm}}{L} z\right) dz = \frac{\left(\frac{K_{mt}^{\pm}}{L}\right)^{\ell}}{\left(\frac{2n}{L}\right)^{\ell \pm 1}} \cdot \exp\left\{-\frac{(LK_{mt}^{\pm})^2}{4n}\right\} \quad (C-15)$$

$(N_{011})'$ is derivative with respect to (L^{-2})

$$(N_{011}^{\pm})' = -\frac{d}{d\left(\frac{1}{L^2}\right)}(N_{011}) = \frac{L}{2}\left[1 - \frac{mt}{4}\right] \cdot \exp\left\{-\frac{(LK_{mt}^{\pm})^2}{4}\right\} \quad (C-16)$$

Substituting appropriate I_{lmn}^{\pm} and Eq.(C-16) into I_{11}^{\pm} , we

have

$$I_{11}^{\pm} = 2\pi \cdot \exp\left\{-\left(\frac{K_{1t}^{\pm} L}{2}\right)^2\right\} \cdot \left\{ \frac{pL^2}{4} \cos^2 u \pm \frac{\sigma p L^2}{2} \sin 2u (f_x \sin v \pm f_y \cos v) \right. \\ \left. + \frac{\sigma^2 L^2 f_1}{4} \sin^2 u + \frac{\sigma^2 L^2 K_{1t}^{\pm 2}}{8} \sin^2 u \cdot (1 - \cos 2(v + \phi_{1k}^{\pm})) + \frac{\sigma p L^2 K_{1t}^{\pm}}{4} \sin 2u \sin(v \pm \phi_{1k}^{\pm}) \right. \\ \left. \pm \frac{\sigma^2 L^2 K_{1t}^{\pm}}{4} \sin^2 u (V_1 \sin \phi_{1k}^{\pm} + V_2 \cos \phi_{1k}^{\pm}) \right\} \quad (C-17)$$

Similarly we evaluate the angular integrations of I_{22}^{\pm} by

using the Richard- Wolf identities

$$I_{22}^{\pm} = \frac{\pi p^2}{4} \int_0^{\infty} dz \cdot z \cdot \exp\left\{-\frac{2z^2}{L^2}\right\} \cdot \left\{ \left[\frac{\sigma^2}{2} \sin^2 u \left(\frac{4}{L^2} + \left(\frac{\sigma}{2f_1}\right) \frac{4z^2}{L^2} \pm \sigma p \sin 2u (f_x \sin v \right. \right. \right. \\ \left. \left. \left. + f_y \cos v) \right] J_0\left(K_{2t}^{\pm} z\right) + \left[\sigma p \sin 2u \cdot \frac{2z}{L^2} \sin(v + \phi_{2k}^{\pm}) \pm \frac{\sigma^2}{2} \sin^2 u \frac{8z^2}{L^4} (V_1 \sin \phi_{2k}^{\pm} \right. \right. \right. \\ \left. \left. \left. + V_2 \cos \phi_{2k}^{\pm}) \right] J_1\left(K_{2k}^{\pm} z\right) - \frac{\sigma^2}{2} \sin^2 u \cdot \frac{8z^2}{L^4} \cos 2(v + \phi_{2k}^{\pm}) J_2\left(K_{2k}^{\pm} z\right) \right\} \quad (C-18)$$

and the Weber integrals the radial integration of I_{22} using

$$\begin{aligned}
I_{22}^{\pm} &= 2\pi \exp\left[-\frac{(K_{2t}^{\pm} L)^2}{8}\right] \cdot \left\{ \frac{\sigma^2 p}{8} \sin^2 u \left[\frac{L^2 K_{2t}^{\pm 2}}{8} \right]_{\pm} \frac{\sigma p^3 L^2}{4} \sin 2u (f_x \sin v \right. \\
&\quad \left. + f_y \cos v) + \frac{2\sigma p^3 L^2 K_{2t}^{\pm}}{4^3} \sin 2u \sin(v + \phi_{2k}^{\pm}) \pm \frac{\sigma^2 p^2 L^2 K_{2t}^{\pm}}{4} 2t \sin 2u (f_x \sin v \right. \\
&\quad \left. + f_y \cos v) + \frac{2\sigma p^3 L^2 K_{2t}^{\pm}}{4^3} \sin 2u \sin(v + \phi_{2k}^{\pm}) \pm \frac{\sigma^2 p^2 L^2 K_{2t}^{\pm}}{4} \sin^2 u (V_1 \sin \phi_{2k}^{\pm} \right. \\
&\quad \left. + V_2 \cos \phi_{2k}^{\pm}) - \frac{\sigma^2 p^2 L^2 K_{2t}^{\pm}}{4^2} \sin^2 u \cos 2(v + \phi_{2k}^{\pm}) \right\} \quad (C-19)
\end{aligned}$$

Similarly

$$\begin{aligned}
I_{13} &= \frac{\pi p}{4} \int_0^{\infty} dz \cdot z \cdot \exp\left[-\frac{3z^2}{L^2}\right] \cdot \left\{ \frac{\sigma^2}{2} \sin^2 u (-f_1 - \frac{12z^2}{L^4}) J_0(K_{1t}^{\pm} z) \pm \frac{2\sigma^2}{L^2} \sin^2 u \right. \\
&\quad \left. (V_1 \sin \phi_{1k}^{\pm} + V_2 \cos \phi_{1k}^{\pm}) z J_1(K_{1k}^{\pm} z) - \frac{6\sigma^2}{L^4} \sin^2 u \cos 2(v + \phi_{1k}^{\pm}) z^2 J_2(K_{1t}^{\pm} z) \right\} \quad (C-20)
\end{aligned}$$

and

$$\begin{aligned}
I_{13}^{\pm} &= 2\pi \cdot \exp\left\{-\frac{(K_{1t}^{\pm} L)^2}{12}\right\} \cdot \left\{ -\frac{\sigma^2 p^4 L^2 f_1 \sin^2 u}{3 \cdot 2^5} + \frac{\sigma^2 p^4 L^2 K_{1t}^{\pm 2}}{3^2 \cdot 2^5} \sin^2 u \right. \\
&\quad \left. + \frac{\sigma^2 p^4 L^2 K_{1t}^{\pm}}{3 \cdot 2^5} \sin^2 u (V_1 \sin \phi_{1k}^{\pm} + V_2 \cos \phi_{1k}^{\pm}) - \frac{\sigma^2 p^4 L^2 K_{1t}^{\pm 2}}{3^2 \cdot 2^5} \sin^2 u \cos 2(v + \phi_{1k}^{\pm}) \right\} \\
\text{Finally} & \quad (C-21)
\end{aligned}$$

$$\begin{aligned}
I_{33}^{\pm} &= \frac{\pi p}{4} \int_0^{\infty} dz \cdot z \cdot \exp\left[-\frac{3z^2}{L^2}\right] \cdot \left\{ \frac{\sigma^2}{2} \sin^2 u (f_1 - \frac{4z^2}{L^4}) J_0(K_{3t}^{\pm} z) \right. \\
&\quad \left. \pm \frac{2\sigma^2}{L^2} \sin^2 u (V_1 \sin \phi_{3k}^{\pm} + V_2 \cos \phi_{3k}^{\pm}) z J_1(K_{3t}^{\pm} z) - \frac{2\sigma^2}{L^4} \sin^2 u \cos 2(v \right.
\end{aligned}$$

$$+\phi_{3k}^{\pm})z^2 J_2(K_{3t}^{\pm} z)\} \quad (C-22)$$

and

$$I_{33}^{\pm} = 2\pi \exp\left\{\frac{(K_{3t}^{\pm} L)^2}{12}\right\} \cdot \left\{ \frac{\sigma_p^2 L^4 f_1^2}{3 \cdot 2^5} \sin^2 u \pm \frac{\sigma_p^2 L^4 K_{3t}^{\pm 2}}{3^3 2^5} \sin^2 u \right. \\ \left. + \frac{\sigma_p^4 L^2 K_{3t}^{\pm}}{3^3 2^4} \sin^2 u (V \sin \phi_{3k}^{\pm} + V_2 \cos \phi_{3k}^{\pm}) - \frac{\sigma_p^2 L^4 K_{3t}^{\pm 2}}{3 \cdot 2^5} \sin^2 u \cos(v + \phi_{3k}^{\pm}) \right\} \quad (C-23)$$

Appendix D

The Evaluations of Second Order NAC and TPS for

The second order surface correlation function is

$$C_2 = C_{o2}(\text{Cosa}+\text{cosb}) \quad (\text{D-1})$$

where

$$C_{o2} = \frac{C_o}{2} = \frac{1}{2} \exp\left[-\frac{t^2}{T^2} - \frac{z^2}{L^2}\right] \quad (\text{D-2})$$

$$\begin{pmatrix} a \\ b \end{pmatrix} = z \left[(f_{sx} \pm f_{ex}) \sin\theta = (f_{sy} \pm f_{ey}) \cos\theta \right] - (w_s \pm w_e) \quad (\text{D-3})$$

The partial derivatives of C_2 are

$$\frac{\partial C_2}{\partial z} = -\frac{2z}{L^2} C_2 - (f_{sx} \sin\theta + f_{sy} \cos\theta) \bar{C}_2^+ - (f_{ex} \sin\theta + f_{ey} \cos\theta) \bar{C}_2^- \quad (\text{D-4})$$

where

$$\bar{C}_2 = C_{o2}(\text{sina} \pm \text{sinb}) \quad (\text{D-5})$$

$$\frac{\partial C_2}{\partial \theta} = z (f_{sx} \cos\theta - f_{sy} \sin\theta) \bar{C}_2^+ - z (f_{ex} \cos\theta - f_{ey} \sin\theta) \bar{C}_2^- \quad (\text{D-6})$$

$$\begin{aligned} \frac{\partial^2 C_2}{\partial z^2} = & \left[-\frac{2}{L^2} + \frac{4z^2}{L^4} - (f_{sx} \sin\theta + f_{sy} \cos\theta)^2 - (f_{ex} \sin\theta + f_{ey} \cos\theta)^2 \right] C_2 \\ & + \frac{4z}{L^2} \left[(f_{sx} \sin\theta + f_{sy} \sin\theta) \bar{C}_2^+ + (f_{ex} \sin\theta + f_{ey} \cos\theta) \bar{C}_2^- \right] \\ & + 2(f_{sx} \sin\theta + f_{sy} \cos\theta) (f_{ex} \sin\theta + f_{ey} \cos\theta) \bar{C}_2^- \end{aligned} \quad (\text{D-7})$$

$$\begin{aligned} \frac{\partial^2 C_2}{\partial \theta^2} = & -z \left[(f_{sx} \cos\theta - f_{sy} \sin\theta) \bar{C}_2^+ + (f_{ex} \cos\theta - f_{ey} \sin\theta) \bar{C}_2^- \right] \\ & - z^2 \left[(f_{sx} \cos\theta - f_{sy} \sin\theta)^2 C_2 + (f_{ex} \cos\theta - f_{ey} \sin\theta)^2 C_2^- \right] \end{aligned} \quad (\text{D-8})$$

where

$$C_2^- = C_{o2}(\text{cosa} - \text{cosb}) \quad (\text{D-9})$$

Also

$$\begin{aligned}
\frac{\partial^2 C_2}{\partial z \partial \theta} = \frac{\partial^2 C_2}{\partial \theta \partial z} = & -\left(1 - \frac{2z^2}{L^2}\right) [(f_{sx} \cos\theta - f_{sy} \sin\theta) \bar{C}_2^+ + (f_{ex} \cos\theta - f_{ey} \sin\theta) \bar{C}_2^-] \\
& -z [(f_{sx} \cos\theta - f_{sy} \sin\theta) (f_{sx} \sin\theta + f_{sy} \cos\theta) C_2 \\
& + (f_{ex} \cos\theta - f_{ey} \sin\theta) (f_{ex} \sin\theta + f_{ey} \cos\theta) C_2^- \\
& + 2(f_{sx} \cos\theta - f_{sy} \sin\theta) (f_{ex} \cos\theta - f_{ey} \sin\theta) C_2^-] \quad (D-10)
\end{aligned}$$

using the inequality $f_s \gg f_e$, we may neglect f_e dependencies in Eqs.(D-4) through (D-10) in all but the phase of the second order correlation function C_2 .

$$\frac{\partial C_2}{\partial z} = -\frac{2z}{L^2} C_2 - (f_{sx} \sin\theta + f_{sy} \cos\theta) \bar{C}_2^+ \quad (D-11)$$

$$\frac{\partial C_2}{\partial \theta} = -z (f_{sx} \cos\theta - f_{sy} \sin\theta) C_2^+ \quad (D-12)$$

$$\begin{aligned}
\frac{\partial^2 C_2}{\partial z^2} = & -\left[\frac{2}{L^2} + \frac{1}{2}(f_{sx}^2 + f_{sy}^2) + f_{sx} f_{sy} \sin 2\theta - \frac{1}{2}(f_{sx}^2 - f_{sy}^2) \cos 2\theta\right. \\
& \left. - \frac{4z}{L^2}\right] C_2 + \frac{4z}{2} (f_{sx} \sin\theta + f_{sy} \cos\theta) \bar{C}_2^+ \quad (D-13)
\end{aligned}$$

$$\begin{aligned}
\frac{\partial^2 C_2}{\partial \theta^2} = & -z \left[\frac{1}{2} (f_{sx}^2 + f_{sy}^2) - f_{sx} f_{sy} \sin 2\theta + \frac{1}{2} (f_{sx}^2 - f_{sy}^2) \cos 2\theta \right] C_2 \\
& -z (f_{sx} \cos\theta - f_{sy} \sin\theta) \bar{C}_2^+ \quad (D-14)
\end{aligned}$$

$$\begin{aligned}
\frac{\partial^2 C_2}{\partial z \partial \theta} = & -\left(1 - \frac{2z}{L^2}\right) (f_{sx} \cos\theta - f_{sy} \sin\theta) \bar{C}_2^+ \\
& -z \left[\frac{1}{2} (f_{sx}^2 - f_{sy}^2) \sin 2\theta + f_{sx} f_{sy} \cos 2\theta \right] C_2 \quad (D-15)
\end{aligned}$$

Therefore, the approximated integrand \bar{J}_2 can be written in the same form as the first order model, see Eq.(B-12),

Decomposing the second order surface correlation function into travelling waves, we have

$$C_2 = \frac{C_{o2}}{2} (C_a^+ C_a^- + C_b^+ C_b^-) \quad (D-16)$$

where

$$C_a^+ = e^{-jn} [\pm(\omega_s + \omega_e) \mp z \{ (f_{sx} + f_{ex}) \sin \theta + (f_{sy} + f_{ey}) \cos \theta \}] \quad (D-17)$$

$$C_b^+ = e^{-jn} [\pm(\omega_s - \omega_e) \mp z \{ (f_{sx} - f_{ex}) \sin \theta + (f_{sy} - f_{ey}) \cos \theta \}] \quad (D-18)$$

Similarly

$$\bar{C}^+ = -j \frac{C_{o2}}{2} (C_a^+ - C_a^- + C_a^+ - C_a^-) \quad (D-19)$$

$$C_2^2 = C_{o2}^2 + \frac{C_{o2}^2}{2} (C_s^+ C_s^- + C_e^+ C_e^-) + \frac{C_{o2}^2}{4} (C_a^{+2} + C_a^{-2} + C_b^{+2} + C_b^{-2}) \quad (D-20)$$

$$\bar{C}_2^{+2} = C_{o2}^2 + \frac{C_{o2}^2}{2} (C_e^+ C_e^- - C_s^+ - C_s^-) - \frac{C_{o2}^2}{4} (C_a^{+2} + C_a^{-2} + C_b^{+2} + C_b^{-2}) \quad (D-21)$$

$$C_2 \bar{C}_2^+ = -j \frac{C_{o2}}{2} (C_s^+ - C_s^-) - j \frac{C_{o2}}{4} (C_a^{+2} - C_a^{-2} + C_b^{+2} - C_b^{-2}) \quad (D-22)$$

$$C_2^3 = \frac{C_{o2}^3}{8} [C_a^{+3} + C_a^{-3} + C_b^{+3} + C_b^{-3} + 3C_{2a,b}^+ + 3C_{2a,b}^- + 3C_{a,2b}^+ + 3C_{a,2b}^- + 3C_{2a,-b}^+ + 3C_{2a,-b}^- + C_{a,-2b}^+ + C_{a,-2b}^- + 9C_a^+ + 9C_a^- + 9C_b^+ + 9C_b^-] \quad (D-23)$$

$$C_2 \bar{C}_2^{+2} = \frac{C_{o2}^2}{8} [-C_a^{+3} - C_a^{-3} - C_b^{+3} - C_b^{-3} + 3C_{2a,b}^+ + 3C_{2a,b}^- - 3C_{a,2b}^+ - 3C_{a,2b}^- + C_{2a,-b}^+ + C_{2a,-b}^- + C_{a,-2b}^+ + C_{a,-2b}^- + 3C_a^+ + 3C_a^- + 3C_b^+ + 3C_b^-] \quad (D-24)$$

$$C_2 \bar{C}_2^{+3} = -j \frac{C_{o2}^3}{8} [C_a^{+3} - C_a^{-3} + C_b^{+3} - C_b^{-3} + 3C_{2a,b}^+ - 3C_{2a,b}^- + 3C_{a,2b}^+ - 3C_{a,2b}^- + C_{2a,-b}^+ - C_{2a,-b}^- + C_{a,-2b}^+ + C_{a,-2b}^- + 3C_a^+ - 3C_a^- + 3C_b^+ - 3C_b^-] \quad (D-25)$$

where

$$C_{pa, qb}^{\pm n} = e^{\mp jn} [pa + qb] \quad (D-26)$$

$$C_{2a, b}^{\pm} = e^{\mp j} [(3w_s + w_e) - z\{(3f_{sx} \sin\theta + 3f_{sy} \cos\theta) + (f_{ex} \sin\theta + f_{ey} \cos)\}] \quad (D-27)$$

$$C_{a, 2b}^{\pm} = e^{\mp j} [(3w_s - w_e) - z\{(3f_{sx} \sin\theta + 3f_{sy} \cos\theta) - (f_{ex} \sin\theta + f_{ey} \cos)\}] \quad (D-28)$$

$$C_{2a, -b}^{\pm} = e^{\mp j} [(w_s + 3w_e) - z\{(f_{sx} \sin\theta + f_{sy} \cos\theta) + (3f_{ex} \sin\theta + 3f_{ey} \cos)\}] \quad (D-29)$$

$$C_{-a, 2b}^{\pm} = e^{\mp j} [(w_s - 3w_e) - z\{(f_{sx} \sin\theta + f_{sy} \cos\theta) - (3f_{ex} \sin\theta + 3f_{ey} \cos)\}] \quad (D-30)$$

Substituting Eqs.(D-16)-(D-30) into \bar{J}_2 , we have

$$\begin{aligned} \bar{J}_2 = & \frac{C_{o2}}{2} (C_a^{\pm} + C_b^{\pm}) N_{10} + j \frac{C_{o2}}{2} (C_a^{\pm} + C_b^{\pm}) + C_{o2}^2 (N_{2o} + N_{o2}) + \frac{C_{o2}}{2} C^{\pm} (N_{2o} - N_{o2}) \\ & + \frac{C_{o2}}{2} C^{\pm} (N_{o2} + N_{2o}) + \frac{C_{o2}^2}{4} (C_a^{\pm 2} + C_b^{\pm 2}) (N_{2o} - N_{o2}) + j \frac{C_{o2}^2}{2} (C_s^{\pm} + \frac{C_a^{\pm 2}}{2} + \frac{C_b^{\pm 2}}{2}) N_{11} \\ & + \frac{C_{o2}^3}{8} (C_a^{\pm 3} + C_b^{\pm 3} + 3C_{2a, b}^{\pm} + 3C_{a, 2b}^{\pm} + 3C_{2a, -b}^{\pm} + 3C_{-a, 2b}^{\pm} + 9C_a^{\pm} + 9C_b^{\pm}) N_{3o} \\ & + j \frac{C_{o2}^3}{8} (C_a^{\pm 3} + C_b^{\pm 3} + 3C_{2a, b}^{\pm} + 3C_{a, 2b}^{\pm} + C_{2a, -b}^{\pm} + C_{-a, 2b}^{\pm} + 3C_a^{\pm} + C_b^{\pm}) N_{21} \\ & + \frac{C_{o2}^3}{8} (-C_a^{\pm 3} - C_b^{\pm 3} - 3C_{2a, b}^{\pm} - 3C_{a, 2b}^{\pm} + C_{2a, -b}^{\pm} + C_{-a, 2b}^{\pm} + C_a^{\pm} + 3C_b^{\pm}) N_{12} \\ & = \frac{C_o^2}{4} (N_{2o} + N_{o2}) + \frac{C_o}{4} C^{\pm} (N_{1o} \mp jN_{o1}) + \frac{C_o}{4} C^{\pm} (N_{1o} \mp jN_{o1}) \\ & + \frac{C_o^2}{8} (C_s^{\pm} (N_{2o} - N_{o2} \mp N_{11}) - \frac{C_o^2}{8} C_e^{\pm} (N_{o2} + N_{2o}) + \frac{C_o^2}{16} C_a^{\pm 2} (N_{2o} - N_{o2} \mp N_{11})) \\ & + \frac{C_o^2}{16} C_b^{\pm 2} (N_{2o} - N_{o2} \mp N_{11}) + \frac{C_o^3}{64} C_a^{\pm} (3N_{3o} + N_{12} \mp N_{21}) + \frac{C_o^3}{64} C_b^{\pm} (3N_{3o} + N_{12} \mp N_{21}) \\ & + \frac{3C_o^3}{64} C_{2a, b}^{\pm} (N_{3o} - N_{12} \mp N_{21}) + \frac{3C_o^3}{64} C_{a, 2b}^{\pm} (N_{3o} - N_{12} \mp N_{21}) + \frac{3C_o^3}{64} C_{2a, -b}^{\pm} (N_{3o} - N_{12} \mp N_{21}) \\ & + \frac{3C_o^3}{64} C_{-a, 2b}^{\pm} (N_{3o} - N_{12} \mp N_{21}) + \frac{C_o^3}{64} C^{\pm 3} (N_{3o} - N_{12} \mp jN_{21}) + \frac{C_o^3}{64} C^{\pm 3} (N_{3o} - N_{12} \mp jN_{21}) \end{aligned}$$

$$= \sum_{hln} \bar{J}_{hln}^{\pm} = \sum_{hln} f(N_{pq}) C_0^n e^{\mp j} [(h\omega_s + l\omega_e) - z\{(hf_{sx} + lf_{ex})\sin\theta + (hf_{sy} + lf_{ey})\cos\theta\}] \quad (D-31)$$

and appropriate \bar{J}_{hln}^{\pm} are

$$\bar{J}_{002}^{\pm} = \frac{C_0^2}{4} (N_{20} + N_{02}) \quad (D-32)$$

$$\bar{J}_{111}^{\pm} = \frac{C_0}{4} C_a^{\pm} (N_{10} \mp jN_{01}) \quad (D-33)$$

$$\bar{J}_{1-11}^{\pm} = \frac{C_0}{4} C_b^{\pm} (N_{10} \mp jN_{01}) \quad (D-34)$$

$$\bar{J}_{102}^{\pm} = \frac{C_0^2}{8} C_s^{\pm} (N_{20} - N_{02} \mp jN_{11}) \quad (D-35)$$

$$\bar{J}_{012}^{\pm} = \frac{C_0^2}{8} C_e^{\pm} (N_{20} - N_{02} \mp jN_{11}) \quad (D-36)$$

$$\bar{J}_{222}^{\pm} = \frac{C_0^2}{16} C_a^{\pm 2} (N_{20} - N_{02} \mp jN_{11}) \quad (D-37)$$

$$\bar{J}_{2-22}^{\pm} = \frac{C_0^2}{16} C_b^{\pm 2} (N_{20} - N_{02} \mp jN_{11}) \quad (D-38)$$

$$\bar{J}_{113}^{\pm} = \frac{C_0^3}{64} C_a^{\pm} (3N_{30} + N_{12} \mp jN_{21}) \quad (D-39)$$

$$\bar{J}_{1-13}^{\pm} = \frac{C_0^3}{64} C_b^{\pm} (3N_{30} + N_{12} \mp jN_{21}) \quad (D-40)$$

$$\bar{J}_{313}^{\pm} = \frac{3C_0^3}{64} C_{2a,b}^{\pm} (N_{30} - N_{12} \mp jN_{21}) \quad (D-41)$$

$$\bar{J}_{3-13}^{\pm} = \frac{3C_0^3}{64} C_{a,2b}^{\pm} (N_{30} - N_{12} \mp jN_{21}) \quad (D-42)$$

$$\bar{J}_{133}^{\pm} = \frac{3C_0^3}{64} C_{2a,-b}^{\pm} (N_{30} + N_{12} \mp jN_{21}) \quad (D-43)$$

$$\bar{J}_{1-33}^{\pm} = \frac{3C_0^3}{64} C_{-a,2b}^{\pm} (N_{30} + N_{12} \mp jN_{21}) \quad (D-44)$$

$$\bar{J}_{333}^{\pm} = \frac{C_0^3}{64} C_a^{\pm 3} (N_{30} - N_{12} \mp jN_{21}) \quad (D-45)$$

$$\bar{J}_{3-33}^{\pm} = \frac{C_0^3}{64} C_b^{\pm 3} (N_{30} - N_{12} \mp jN_{21}) \quad (D-46)$$

where

$$K_{hl}^{\pm} = [(K_x^{\pm} hf_{sx} \mp lf_{ex})^2 + (K_y^{\pm} hf_{sy} \mp lf_{ey})^2]^{\frac{1}{2}} \quad (D-47)$$

$$\phi_{hl}^{\pm} = \tan^{-1} \frac{K_x^{\pm} hf_{sx} \mp lf_{ex}}{K_y^{\pm} hf_{sy} \mp lf_{ey}} \quad (D-48)$$

Since \bar{J}_{hln} are the same as of the first order \bar{J}_{mn} , we can identify the results without integration. The second order NAC is

$$Q(\tau) = \exp[-j\omega_c \bar{\sigma}_c + \sum_{mn} \exp\{+j(h\omega_s + l\omega_e) - \frac{n}{T} \tau\} \bar{\sigma}_{hln}] \quad (D-49)$$

where partial MSC's are

$$\bar{\sigma}_{002} = \frac{1}{2} \bar{\sigma}_{02} (f=f_s) \quad (D-50)$$

$$\bar{\sigma}_{1\pm 11}^{\pm} = \frac{1}{2} \bar{\sigma}_{11}^{\pm} (f=f_s, K_{1t}^{\pm} = K_{1\pm 1}^{\pm}, \phi_{1t}^{\pm} = \phi_{1\pm 1}^{\pm}) \quad (D-51)$$

$$\bar{\sigma}_{102}^{\pm} = \frac{1}{2} \bar{\sigma}_{22}^{\pm} (f=f_s, K_{2t}^{\pm} = K_{10}^{\pm}, \phi_{2t}^{\pm} = \phi_{10}^{\pm}) \quad (D-52)$$

$$\bar{\sigma}_{2\pm 22}^{\pm} = \frac{1}{2} \bar{\sigma}_{22}^{\pm} (f=f_2, K_{2t}^{\pm} = K_{2\pm 2}^{\pm}, \phi_{2t}^{\pm} = \phi_{2\pm 2}^{\pm}) \quad (D-53)$$

$$\bar{\sigma}_{1\pm 13}^{\pm} = \frac{1}{16} \bar{\sigma}_{13}^{\pm} (f=f_s, K_{1t}^{\pm} = K_{1\pm 1}^{\pm}, \phi_{1t}^{\pm} = \phi_{1\pm 1}^{\pm}) \quad (D-54)$$

$$\bar{\sigma}_{3\pm 13}^{\pm} = \frac{1}{16} \bar{\sigma}_{33}^{\pm} (f=f_s, K_{3t}^{\pm} = K_{3\pm 1}^{\pm}, \phi_{3t}^{\pm} = \phi_{3\pm 1}^{\pm}) \quad (D-55)$$

$$\bar{\sigma}_{3\pm 33}^{\pm} = \frac{1}{16} \bar{\sigma}_{33}^{\pm} (f=f_s, K_{3t}^{\pm} = K_{3\pm 3}^{\pm}, \phi_{3t}^{\pm} = \phi_{3\pm 3}^{\pm}) \quad (D-56)$$

$$\bar{\sigma}_{012}^{\pm} = \frac{1}{4} \bar{\sigma}_{02}^{\pm} (f=f_s, K_t^{\pm} = K_{01}^{\pm}, \phi_t^{\pm} = \phi_{01}^{\pm}) \quad (D-57)$$

The term $\bar{\sigma}_{1\pm 33}^{\pm}$ can not be identified with the first order model because of the absence of the sum $N_{30} + N_{12} + jN_{21}$. Since the difference in the first order is only $-N_{12}$ we may correct the sign of corresponding terms and we have

$$O(\ell^8) = \frac{\ell^8}{\sigma_n^2} \left[\frac{p^4 f_s^2}{24} \sin u (1 + \sin 2v) \right] \quad (D-58)$$

Therefore we have

$$\bar{\sigma}_{1\pm 33}^{\pm} = \frac{3}{16} \bar{\sigma}_{13}^{\pm} \quad (f=f_s, K_{3t}^{\pm} = \frac{\pm}{1\pm 3}, \phi_{3t}^{\pm} = \phi_{1\pm 3}^{\pm}, -o(\ell^8)) \quad (\text{D-59})$$

Appendix E

The Transform of the spectrum $\Gamma_0(\omega_1, \omega_2)$

The partial bifrequency spectrum $\Gamma_0(\omega_1, \omega_2)$ is

$$\Gamma_0(\omega_1, \omega_2) = 1A1^2 \iint_{SS} dx dx' dy dy' \cdot H_0 \cdot \exp\{-p^2(1-C) - jq \cos v(x-x') + \sin v(y-y')\} \cdot I_t \quad (E-1)$$

where I_t is integrations with respect to t_1 and t_2 as

$$I_t = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp\left\{-\frac{(pq_V)^2}{2} (t_1^2 + t_2^2 - 2Ct_1 t_2) + pq_V(1-C)(t_2 + t_1) - j\omega_0(t_1 - t_2) - j\frac{k}{R}[t_1 V_x x + V_y y] - t_2(V_x x' + V_y y') - j(\omega_1 t_1 - \omega_2 t_2)\right\} dt_1 dt_2 \quad (E-2)$$

after integrating t_2 variable, we have

$$I_t = \int_{-\infty}^{\infty} \exp\left\{-\frac{(pq_V)^2}{2} t_1 + p^2 q_V(1-C)t_1 - j(\omega_0 + \omega_1)t_1 - jf(x, y)t_1 + [p^2 q^2 C t_1 + p^2 q_V(1-C) + j(\omega_0 + \omega_2 + f(x', y'))^2] / 2p^2 q_V^2\right\} dt_1 \cdot \frac{\sqrt{2\pi}}{pq_V} \quad (E-3)$$

where

$$f(x, y) = \frac{k}{R}(V_x x + V_y y) \quad (E-4)$$

$$f(x', y') = \frac{k}{R}(V_x x' + V_y y') \quad (E-5)$$

Integrating with respect to t_1 , we have

$$I_t = (2\pi)^2 \exp\left\{-p^2(1-C) - \frac{j}{q_V}(z_1 - z_2)\right\} \cdot P(z_1, z_2) \quad (E-6)$$

where

$$z_1 = \omega_1 + \omega_0 + \frac{k}{R}(V_x x + V_y y) \quad (E-7)$$

$$z_2 = \omega_2 + \omega_0 + \frac{k}{R}(V_x x' + V_y y') \quad (E-8)$$

and

$$P(z_1, z_2) = \frac{1}{2\pi p^2 q^2 \sqrt{1-C^2}} \exp\left\{-\frac{z_1^2 + z_2^2 - 2Cz_1 z_2}{2p^2 q^2 (1-C^2)}\right\} \quad (E-9)$$

Using the identity

$$P(z_1, z_2) = \frac{1}{(2\pi)^2} \iint_{-\infty}^{\infty} \exp\left\{-\frac{(pq_V)^2}{2} (s_1 + s_2) + j(s_1 z_1 + s_2 z_2)\right\} ds_1 ds_2 \quad (E-10)$$

and substituting I_t into Eq. (E-1),

we have the partial bifrequency spectrum, $\Gamma_0(w_1, w_2)$,

$$\Gamma_0(w_1, w_2) = |A|^2 \iint_{-\infty}^{\infty} ds_1 ds_2 \exp\left\{-\frac{(pq_V)^2}{2} (s_1 + s_2) - j[(w_1 + w_0)(s_1 - \frac{1}{q_V}) + (w_2 + w_0)(s_2 - \frac{1}{q_V})]\right\} \cdot P_0 \quad (E-11)$$

where

$$P_0 = \iint_{SS} dx dx' dy dy' \cdot H_0 \exp\left\{-Cp^2 q^2 s_1 s_2 - j(x-x')\left[\frac{kV_x}{R}\left(\frac{1}{q_V} + \frac{s_1 - s_2}{2}\right) + q \cos v\right] - j(y-y')\left[\frac{kV_y}{R}\left(\frac{1}{q_V} + \frac{s_1 - s_2}{2}\right) + q \sin v\right] - j\left[\frac{kV_x}{2R}(s_1 + s_2)(x+x') + \frac{kV_y}{2R}(s_1 + s_2)(y+y')\right]\right\} \quad (E-12)$$

where we used the relationship

$$s_1 x + s_2 x' = \frac{1}{2}[(s_1 - s_2)(x - x') + (s_1 + s_2)(x + x')] \quad (E-13)$$

Similarly, we have higher order partial bifrequency spectra

$$\Gamma_{12}(w_1, w_2) = |A|^2 \iint_{-\infty}^{\infty} [P_1(-j\frac{\partial}{\partial w_1}) + P_2(j\frac{\partial}{\partial w_2})] \exp\left\{-\frac{(pq_V)^2}{2} (s_1 + s_2) - j[(w_1 + w_0)(s_1 - \frac{1}{q_V}) + (w_2 + w_0)(s_2 - \frac{1}{q_V})]\right\} ds_1 ds_2 \quad (E-14)$$

and

$$\Gamma_3(w_1, w_2) = |A|^2 \iint_{-\infty}^{\infty} P_3\left(\frac{\partial^2}{\partial w_1 \partial w_2}\right) \exp\left\{-\frac{(pq_V)^2}{2} (s_1 + s_2) - j[(w_1 + w_0)(s_1 - \frac{1}{q_V}) + (w_2 + w_0)(s_2 - \frac{1}{q_V})]\right\} ds_1 ds_2 \quad (E-15)$$

where P_1, P_2 and P_3 are the geometric integrals as in Eq.(E-12) except H_1, H_2 and H_3 instead of H_0 as

$$P_{12} = B \cdot (-T_{12}) = B \cdot 2\pi (-T_{12}^1 + p^2 q_V^2 s_1 s_2 T_{12}^2) \quad (E-16)$$

$$P_3 = B \cdot T_3 = B \cdot 2\pi (T_3^1 - p^2 q_V^2 s_1 s_2 T_3^2) \quad (E-17)$$

and

$$-T_{12}^1 = \frac{4\sigma^2}{L^4} \sin^2 u p^2 q_V^2 (-I_3(\frac{2}{3})_0^{-\cos\gamma} I_3(\frac{2}{3})_2) + \frac{\sigma p q_V}{L^2} \sin 2u \cos\gamma I_2(\frac{1}{2})_1 \quad (E-18)$$

$$T_3^1 = \frac{2\sigma^2 p^2 q_V^2}{L^4} \sin^2 u (-I_3(\frac{2}{3})_0^{-\cos 2\gamma} I_3(\frac{2}{3})_2) \quad (E-19)$$

By the use of the Weber integrals, we have

$$-T_{12}^1 = S_1 \cdot \frac{1}{2^4} \sigma p q_V L^2 \sin 2u \cdot d \cos\gamma + S_2 \cdot \frac{1}{2^4} \sigma p^2 q_V L^2 \sin^2 u d^2 (1 - \cos\gamma) + \dots \quad (E-20)$$

$$-T_{12}^2 = S_2 \cdot \frac{1}{2^4} \sigma p q_V L^2 \sin 2u \cdot d \cos\gamma + S_3 \cdot \frac{1}{2 \cdot 3^3} \sigma^2 p^2 q_V L^2 \sin^2 u \cdot d^2 (1 - \cos 2\gamma) + \dots \quad (E-21)$$

and

$$T_3^2 = S_3 \cdot \frac{1}{2^3 \cdot 3^3} \sigma^2 p^2 q_V L^2 \sin^2 u d^2 (1 - \cos 2\gamma) \quad (E-22)$$

The partial differentiations with respect to the two radian

frequencies ω_1 and ω_2 to the integrands lead

$$\left(-j \frac{\partial}{\partial \omega_1} + j \frac{\partial}{\partial \omega_2} \right) \Rightarrow -\frac{2}{q_V} - \tau \quad (E-24)$$

$$\frac{\partial^2}{\partial \omega_1 \partial \omega_2} \Rightarrow \left(\frac{1}{q_V} + \frac{\tau}{2} \right)^2 - \left(\frac{\tau}{2} \right)^2 \quad (E-25)$$

Therefore the higher order partial mean bifrequency spectral components are

$$W_{12} = 2\pi 1 A_1^2 S \int_{-\infty}^{\infty} \frac{J_1(\omega_u t)}{\omega_u t} \exp \left[-\left(\frac{p q_V}{2} \right)^2 (t^2 + \tau^2) - j \omega_t t \right] \left(\frac{2}{q_V} + \tau \right) \cdot T_{12}^1$$

$$+\frac{(pq_V)^2}{2}(\tau^2-t^2)T_{12}^2] dt d\tau \quad (E-26)$$

$$W_3 = 2\pi 1A_1^2 S \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{J_1(\omega_u t)}{\omega_u t} \exp\left[-\left(\frac{pq_V}{2}\right)^2(t^2+\tau^2) - j\omega_t t\right] \left[\left(\frac{1+\tau}{q_V}\right)^2 - \left(\frac{t}{2}\right)^2\right] \cdot \left[T_3^1 + \frac{(pq_V)^2}{2}(\tau^2-t^2)T_3\right] dt d\tau \quad (E-27)$$

Using the expression for j_n , the t -integration, we have

$$W_{12} = 2\pi 1A_1^2 S \int_{-\infty}^{\infty} d\tau \exp\left[-\left(\frac{pq_V}{2}\right)^2 \tau^2\right] \cdot \left\{ \left(\frac{2}{q_V} + \tau\right) \left[T_{12}^1 + \frac{(pq_V)^2}{2} \tau^2 T_{12}^2\right] j_0 + \left(\frac{2}{q_V} + \tau\right) \frac{(pq_V)^2}{2} \cdot T_{12}^2 \cdot j_2 \right\} \quad (E-28)$$

$$W_3 = 2\pi 1A_1^2 S \int_{-\infty}^{\infty} d\tau \cdot \exp\left[-\left(\frac{pq_V}{2}\right)^2 \tau^2\right] \cdot \left\{ \left(\frac{1+\tau}{q_V}\right)^2 \cdot \left[T_3^1 + \frac{(pq_V)^2}{2} \tau^2 T_3^2\right] j_0 + \left[\frac{1}{2} T_3^1 + \frac{(pq_V)^2}{2} \left(\frac{1+\tau}{q_V}\right)^2 \tau^2 T_3^2\right] \cdot j_2 + \left[\frac{1}{2} T_3^2 \cdot \frac{(pq_V)^2}{2}\right] j_4 \right\} \quad (E-29)$$

where

$$j_4 = \frac{j_c(\bar{\omega}_t)}{2(pq_V)^4} \cdot \sum_{m=0}^{\infty} \frac{\left(\frac{\bar{\omega}_t}{2pq_V}\right)^{2m}}{m!(m+1)!} \cdot H_{2m+4}(\bar{\omega}_t) \quad (E-30)$$

By using the τ -integrations, $K_i^{1,2}$, and factoring the order of Hermite polynomials, we have

$$W_{12} = 2\pi 1A_1^2 S j_c(\bar{\omega}_t) \cdot \sum_m \frac{\left(\frac{\omega_u}{2pq_V}\right)^{2m}}{m!(m+1)!} \left[B_1 H_{2m}(\bar{\omega}_t) + B_2 H_{2m+2}(\bar{\omega}_t) \right] \quad (E-31)$$

$$W_3 = 2\pi 1A_1^2 S j_c(\bar{\omega}_t) \cdot \sum_m \frac{\left(\frac{\omega_u}{2pq_V}\right)^{2m}}{m!(m+1)!} \left[C_1 H_{2m}(\bar{\omega}_t) + C_2 H_{2m+2}(\bar{\omega}_t) + C_3 H_{2m+4}(\bar{\omega}_t) \right] \quad (E-32)$$

where

$$B_1 = \frac{2}{q_V} K_{12}^1(0) + K_{12}^1(1) + p^2 q_V K_{12}^2(2) + \frac{p^2 q_V^2}{2} K_{12}^2(3) \quad (\text{E-33})$$

$$B_2 = \frac{1}{q_V} K_{12}^2(0) + \frac{1}{2} K_{12}^2(1) \quad (\text{E-34})$$

$$C_1 = \frac{1}{q_V^2} K_3^1(0) + \frac{1}{q_V} K_3^1(1) + \frac{1}{4} K_3^1(2) + K_3^2(2) + p^2 q_V K_3^2(3) + \frac{p^2 q_V^2}{8} K_3^2(4) \quad (\text{E-35})$$

$$C_2 = \frac{1}{4p^2 q_V^2} K_3^1(0) + \frac{1}{2} K_3^1(2) + \frac{1}{2q_V} K_3^1(3) + \frac{1}{8} K_3^1(4) \quad (\text{E-36})$$

and

$$C_3 = \frac{1}{8p^2 q_V^2} K_3^2(0) \quad (\text{E-37})$$

Appendix F

Reduction of a Quadruple to a Double Integral.

Considering an integral with finite integration interval with $2L$ as

$$I = \int_{-L}^L \int_{-L}^L f(x=x') dx dx' \quad (F-1)$$

where the integrand is a function of the skew coordinate variables only. Introducing the new variables

$$x_1 = \frac{x + x'}{\sqrt{2}} \quad (F-2)$$

$$x_2 = \frac{x' - x}{\sqrt{2}} \quad (F-3)$$

where Eqs.(F-2) and (F-3) are obtained by finding the point $p(x,x')$ in the new coordinate system (See Fig.1.F-1).

Considering the integration intervals in the new coordinate system, which is a rhombus, we have (See Fig. 1. F-2)

$$I = \left[\int_{-\sqrt{2L}}^0 dx_2 \int_{-\sqrt{2L-x_2}}^{\sqrt{2L+x_2}} dx_1 + \int_0^{\sqrt{2L}} dx_2 \int_{-\sqrt{L+x_2}}^{\sqrt{2L-x_2}} dx_1 \right] f(\sqrt{2X_1}) g(-\sqrt{2X_2}) \quad (F-4)$$

Letting

$$\bar{X}_2 = -\sqrt{2X_2} = X - X' \quad (F-5)$$

$$\bar{X}_1 = \sqrt{2X_1} = X + X' \quad (F-6)$$

we may rewrite the integral I as

$$I = \int_{-2L}^{2L} g(X_2) \int_0^{2L-1\bar{X}_2} f(\bar{X}_1) d\bar{X}_1 d\bar{X}_2 \quad (F-7)$$

Unless one knows the explicit expressions of the function $f(\bar{x}_1)$, and $g(\bar{x}_2)$, Eq.(F-7) is a final transformed form in the new skewed coordinate system. For example, if $f(\bar{x}_1)$ is unity, the integral I of Eq.(F-7) can be more simplified as integrating I with respect to \bar{x}_1

$$I = \int_{-2L}^{2L} (2L - |\bar{x}_2|) g(\bar{x}_2) d\bar{x}_2 \quad (F-8)$$

$$\doteq \int_{-\infty}^{\infty} G_T(\bar{x}_2) (2L - |\bar{x}_2|) g(\bar{x}_2) d\bar{x}_2 \quad (F-9)$$

where $G_T(\bar{x}_2)$ is a gate function as

$$G_T(x_2) = \begin{cases} 1 & : \bar{x}_2 \leq 2L \\ 0 & : \bar{x}_2 > 2L \end{cases} \quad (F-10)$$

This result can be extended for a mul dimensional integrals i.e

$$\begin{aligned} J &= \int_{-L}^L \int_{-L}^L \int_{-L}^L g(x-x')(y-y') f(x+x', y+y') dx dx' dy dy' \quad (F-11) \\ &= \int_{-2L}^{2L} \int_{-2L}^{2L} g(\bar{x}_2, \bar{y}_2) \int_0^{2L-|\bar{x}_2|} \int_0^{2L-|\bar{y}_2|} f(\bar{x}_1, \bar{y}_1) d\bar{x}_1 d\bar{y}_1 \cdot d\bar{x}_2 d\bar{y}_2 \end{aligned}$$

where

$$\bar{y}_1 = y + y' \quad (F-12)$$

$$\bar{y}_2 = y - y' \quad (F-13)$$

For the case of $f(\bar{x}_1, \bar{y}_1)$ unity, J becomes

$$J = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G_T(\bar{x}_2) G_T(\bar{y}_2) (2L - |\bar{x}_2|) (2L - |\bar{y}_2|) g(\bar{x}_2, \bar{y}_2) d\bar{x}_2 d\bar{y}_2 \quad (F-14)$$

When the following condition is satisfied

$$g(\bar{x}_2, \bar{y}_2) \cong 0 \quad , \text{for } |\bar{x}_2|, |\bar{y}_2| \gg T \quad (F-15)$$

where

$$T \ll L \quad (F-16)$$

The integral J is further simplified as

$$J = \iint_{-\infty}^{\infty} 4L^2 g(\bar{x}_2, \bar{y}_2) d\bar{x}_2 d\bar{y}_2 \quad (F-17)$$

If

$$f(\bar{x}_1, \bar{y}_1) = e^{-j(\bar{x}_1 + \bar{y}_1)} \quad (F-18)$$

with the condition of Eq.(F-15), we approximate

$$J = \iint_{-\infty}^{\infty} g(\bar{x}_2, \bar{y}_2) d\bar{x}_2 d\bar{y}_2 \iint_{-2L}^{2L} e^{-j(x_1 + y_1)} d\bar{x}_1 d\bar{y}_1 \quad (F-19)$$

Using cylindrical coordinate variable, we have

$$J = \iint_{\infty}^{\infty} g(t_2, w_2) t_2 dw_2 dt_2 \iint_{\infty}^A e^{-jt_1(\sin w_1 + \cos w_1)} t_1 dx_1 dt_1$$

where

$$t_2 = \sqrt{\bar{x}_2^2 + \bar{y}_2^2} \quad (F-20)$$

$$w_2 = \tan^{-1} \frac{\bar{x}_2}{\bar{y}_2} \quad (F-21)$$

$$t_1 = \sqrt{\bar{y}_1^2 + \bar{x}_1^2} \quad (F-22)$$

$$w_1 = \tan^{-1} \frac{\bar{x}_1}{\bar{y}_1} \quad (F-23)$$

with A is approximate circular interval

Appendix G

The Evaluation of the Coefficient A_j

The coefficient A_j are determined by the τ -integrations of $K_i^{1,2}(n)$

$$K_i^{1,2}(n) = \int_{-\infty}^{\infty} \tau^n T_i^{1,2}(\tau) \exp\left[-\left(\frac{pq_V}{2}\tau\right)^2\right] d\tau \quad (G-1)$$

where $T_i^{1,2}$ are given by Eqs. (4.3-61), (4.3-62), (E-20)-(E-23)

The coefficient A_j are given as

$$A_0 = \sqrt{\pi} k^2 \sqrt{\pi} \int_{-\infty}^{\infty} L d\tau \exp\left[-\left(\frac{pq_V}{2}\tau\right)^2\right] \delta(d) \quad (G-2)$$

$$A_1 = \sqrt{\pi} k^2 \int_{-\infty}^{\infty} d\tau \exp\left[-\left(\frac{pq_V}{2}\tau\right)^2\right] (a_{10} + a_{11}\tau + a_{12}\tau^2 + a_{13}\tau^3) \quad (G-3)$$

$$A_2 = \sqrt{\pi} k^2 \int_{-\infty}^{\infty} d\tau \exp\left[-\left(\frac{pq_V}{2}\tau\right)^2\right] (a_{20}\tau + a_{21}\tau^2) \quad (G-4)$$

$$A_3 = \sqrt{\pi} k^2 \int_{-\infty}^{\infty} d\tau \exp\left[-\left(\frac{pq_V}{2}\tau\right)^2\right] a_{30}\tau^2 \quad (G-5)$$

where

$$\begin{aligned} a_{10} &= T_1^1 + \frac{2}{q_V} T_1^2 + \frac{1}{q_V^2} T_3^1 \\ &= S_1 \cdot \left\{ \frac{\sigma^2 L^2}{2} \sin^2 u \, d^2(1-\cos\gamma) + \frac{\sigma p L}{2} \sin 2u \, d \cos\gamma \right\} \\ &\quad + S_2 \cdot \left\{ \frac{3L^2 \sigma^2}{2^5} \sin^2 u \, d^2(1-\cos\gamma) \right\} \end{aligned} \quad (G-6)$$

$$\begin{aligned} a_{11} &= T_{12} + \frac{1}{q_V} T_3 = S_2 \cdot q_V \left\{ \frac{p \sigma L^2}{2^4} \sin 2u \, d \cos\gamma + \frac{p^2 \sigma^2 L^2}{2^5} \sin^2 u \, d^2(1-\cos 2\gamma) \right\} \\ &\quad + S_3 \cdot q_V \left\{ \frac{p^2 \sigma^2 L^2}{2 \cdot 3^3} \sin^2 u \, d^2(1-\cos 2\gamma) \right\} \end{aligned} \quad (G-7)$$

$$\begin{aligned} a_{12} &= \frac{p^2 \sigma^2}{2} T_0^2 + \frac{1}{q_V} T_3^1 + \frac{p^2}{2} T_3^3 = S_1 q_V \frac{2p^2 L^2}{4} \cos u \\ &\quad + S_2 \cdot q_V^2 \left\{ \frac{1}{2^6} p^2 \sigma^2 L^2 \sin^2 u \, d^2(1-\cos 2\gamma) + \frac{p^2 \sigma^2 L^2}{2^5} \sin 2u \, d \cos\gamma \right\} \\ &\quad + S_3 \cdot q_V^2 \left\{ \frac{p^4 \sigma^2 L^2}{2 \cdot 3^3} \sin^2 u \, d^2(1-\cos 2\gamma) \right\} \end{aligned} \quad (G-8)$$

$$a_{13} = \frac{p^2 q_V^2}{2} T_{12}^2 = S_2 q_V^2 \frac{p^3 \sigma L^2}{2^5} \sin 2u \, d \cos \gamma$$

$$+ S_3 q_V \frac{p^4 \sigma^2 L^2}{2^2 3^3} \sin^2 u \, d^2 (1 - \cos 2\gamma) \quad (G-9)$$

$$a_{20} = \frac{1}{2} T_0^2 + \frac{1}{q_V} T_{12}^2 + \frac{1}{4p^2 q_V^2} T_3^2 = S_2 \left\{ \frac{\sigma^2 L^2}{2^3} \sin^2 u \, d^2 (1 - \cos 2\gamma) \right.$$

$$+ \frac{\sigma p L^2}{2} \sin 2u \, p \cos \gamma \left. \right\} + S_3 \left\{ \frac{p^2 \sigma^2 L^2}{2^6} \sin^2 u \, d^2 (1 - \cos 2\gamma) \right.$$

$$\left. + \frac{\sigma p L^2}{2^4} \sin 2u \, d \cos \gamma \right\} \quad (G-10)$$

$$a_{21} = \frac{1}{2} T_{12}^2 = S_2 q_V \frac{\sigma p L^2}{2^5} \sin 2u \, d \cos \gamma + S_3 q_V \frac{p^2 \sigma^2 L^2}{2^2 3^3} \sin u (1 - \cos 2\gamma) \quad (G-11)$$

and

$$a_{30} = \frac{1}{8p^2 q_V^2} T_3^2 = S_3 \frac{\sigma^2 L^2}{2^5 3^3} \sin^2 u \, d^2 (1 - \cos \gamma) \quad (G-12)$$

where d and γ are function of τ .

$$d^2 = A_t^2 + B_1 \tau + B_2 \tau^2 \quad (G-13)$$

$$\gamma = B_3 \tau \quad (G-14)$$

where

$$B_1 = \frac{k A_t V_t}{R} \sin(\phi_A + \phi_V) \quad (G-15)$$

$$B_2 = \left(\frac{k V_t}{2R} \right)^2 \quad (G-16)$$

$$B_3 = \frac{k V_t}{2R A_t} \cos(\phi_A + \phi_V) \quad (G-17)$$

We integrate A_0 of Eq.(G-2) by using the identity

$$\delta(ax+b) = \frac{1}{|a|} \delta\left(x + \frac{b}{a}\right) \quad (G-18)$$

and the approximation

$$d \approx A_t \left(1 - \frac{B_1}{2A_t^2} \tau \right) \quad (G-19)$$

Result is

$$A_o = \sqrt{\pi} k^2 \sqrt{\pi} L \left| \frac{2A_t}{B_1} \right| \exp\left\{ -\left(\frac{pqv}{2}\right)^2 \cdot \left(\frac{2A_t}{B_1}\right)^2 \right\} \quad (G-20)$$

Letting

$$S_m = \exp\left\{ -\frac{L^2 d^2}{4m} \right\} = \exp\left\{ -\frac{L^2 A_t^2}{4m} - \frac{L^2 B_1}{4m} \tau - \delta_m^2 \tau^2 \right\} \quad (G-21)$$

where

$$\delta_m^2 = \left(\frac{L^2 B_2}{4m} + \frac{pqv^2}{4m} \right) \quad (G-22)$$

and decomposing

$$2\cos[h(\gamma_A - v - v)] = e^{jh(\gamma_A - v - v)} + e^{-jh(\gamma_A - v - v)} \quad (G-23)$$

we have A_{10} which is the integration due to a_{10} of Eq.(G-3)

$$\begin{aligned} A_{10} = & \frac{\sqrt{\pi}}{pqv} k^2 \left\{ \frac{L^2 \sigma^2}{2} \sin^2 u \left[A_t^2 (N_{010} + \frac{3}{8} N_{020}) - \frac{A_t^2}{2} (N_{012} e^{j2(\gamma_A - v)} + *) \right. \right. \\ & + \frac{3}{8} N_{022} e^{j2(\gamma_A - v)} + *) + B_1 (N_{110} + \frac{3}{8} N_{120}) - \frac{B_1}{2} (N_{112} e^{j2(\gamma_A - v)} + *) \\ & + \frac{3}{8} N_{122} e^{j2(\gamma_A - v)} + *) + B_2 (N_{210} + \frac{3}{8} N_{220}) - \frac{B_2}{2} (N_{212} e^{j2(\gamma_A - v)} + *) \\ & \left. + \frac{3}{8} N_{222} e^{j2(\gamma_A - v)} + *) \right] + \frac{\sigma p L}{2} \sin 2u \left[A_t (N_{011} e^{j(\gamma_A - v)} + *) \right. \\ & \left. + \frac{B_1}{2A_t} (N_{111} e^{j(\gamma_A - v)} + *) \right] \} \quad (G-24) \end{aligned}$$

with

$$N_{nmh} = \int_{-\infty}^{\infty} \tau^n \exp\left\{ -\delta_m^2 \tau^2 - \left(\frac{L^2 B_1}{4m} - jhB_3 \right) \tau \right\} d\tau \quad (G-25)$$

and * stands for the complex conjugate.

The integral N_{omh}

$$N_{omh} = D_{mh} \frac{\sqrt{\pi}}{\delta_m} \quad (G-26)$$

with

$$D_{mh} = \exp\left\{ \frac{\left(\frac{L^2 B_1}{4m} - jhB_3 \right)^2}{4m} \right\} \quad ; \quad \begin{matrix} m=1,2,3 \\ h=1,2 \end{matrix} \quad (G-27)$$

and N_{nmh} can be evaluated by successive differentiations with respect $-\delta_m^2$ and $-\left(\frac{L^2 B_1}{4m} - jhB_3\right)$.

The results are

$$N_{1mh} = \frac{\sqrt{\pi}}{2} \frac{L^2 B_1}{\delta_m^3} \left(\frac{L^2 B_1}{4m} - jhB_3\right) D_{mh} \quad (G-28)$$

$$N_{2mh} = \frac{\sqrt{\pi}}{4} \frac{L^2 B_1}{\delta_m^3} \left[1 + \frac{\left(\frac{L^2 B_1}{4m} - jhB_3\right)^2}{2 \delta_m^2}\right] D_{mh} \quad (G-29)$$

$$N_{3mh} = \frac{\sqrt{\pi}}{4} \frac{L^2 B_1}{\delta_m^5} \left(\frac{L^2 B_1}{4m} - jhB_3\right) \left[3 + \frac{\left(\frac{L^2 B_1}{4m} - jhB_3\right)^2}{2 \delta_m^2}\right] D_{mh} \quad (G-30)$$

$$N_{4mh} = \frac{\sqrt{\pi}}{4} \frac{L^2 B_1}{\delta_m^5} \left[3 + \frac{6 \left(\frac{L^2 B_1}{4m} - jhB_3\right)^2}{\delta_m^2} + \frac{\left(\frac{L^2 B_1}{4m} - jhB_3\right)^4}{\delta_m^2}\right] D_{mh} \quad (G-31)$$

However, by adding the corresponding complex conjugate terms, all expressions are real.

$$N_{omh} e^{jh(\gamma_A - v)} + N_{omh}^* e^{-jh(\gamma_A - v)} = 2 \cdot \frac{\sqrt{\pi}}{m} \cos \Phi_m^h \bar{D}_{mh} \quad (G-32)$$

where

$$\Phi_m^h = h \left(\gamma_A - v - \frac{L^2 B_1 B_3}{8m \delta_m^2} \right) \quad (G-33)$$

$$\bar{D}_{mh} \triangleq \bar{D}_{mo} \cdot \lambda_m^h \quad (G-34)$$

with

$$\bar{D}_{mo} = \exp\left[\frac{L^4 B_1^2}{43m^2 \delta_m^2}\right] \quad (G-35)$$

$$\lambda_m^h = \exp\left[-\frac{h^2 B_3^2}{4 \delta_m^2}\right] \quad (G-36)$$

Similary

$$N_{1mh} e^{jh(\gamma_A - v)} + * = -2 \frac{\sqrt{\pi}}{2\delta_m^2} \left[\frac{L^2 B_1}{4m} \cos \Phi_m^h + h B_3 \sin \Phi_m^h \right] \cdot \bar{D}_{mh} \quad (G-37)$$

$$N_{2mh} e^{jh(\gamma_A - v)} + * = 2 \frac{\sqrt{\pi}}{2\delta_m^3} \left[\left(1 + \frac{B_1^2 L^4}{(4m)^2 \delta_m^2} - h^2 B_3^2 \right) \cos \Phi_m^h + \frac{h B_3 L^2 B_1}{\delta_m^2} \sin \Phi_m^h \right] \cdot \bar{D}_{mh} \quad (G-38)$$

$$N_{3mh} e^{jh(\gamma_A - v)} + * = -2 \frac{\sqrt{\pi}}{4\delta_m^5} \left[\left\{ 3 \frac{L^2 B_1}{4m} + \frac{1}{2\delta_m^2} \left(\frac{L^6 B_1^3}{(4m)^3} - \frac{L^2 B_1}{4m} \right) \right\} h^2 B_3^2 - \frac{2h^2 B_3^2 L^2 B_1}{4m} \right] \cos \Phi_m^h + \left\{ 3h B_3 + \frac{3h B_3 L^4 \gamma^2}{2 \cdot (4m)^2 \delta_m^2} - \frac{(h B_3)^3}{2\delta_m^2} \right\} \sin \Phi_m^h \cdot \bar{D}_{mh} \quad (G-39)$$

$$N_{4mh} e^{jh(\gamma_A - v)} + * = 2 \frac{\sqrt{\pi}}{4\delta_m^5} \left[\left\{ 3 + \frac{6 \frac{L^4 B_1^2}{(4m)^2} - 6h^2 B_3^2 \left(\frac{L^2 B_1}{4m} \right)^4 + (h B_3)^4 - 6 \left(\frac{L^2 B_1}{4m} \right)^2 h B_3^2}{4\delta_m^4} \right\} \cos \Phi_m^h + \left\{ \frac{12 \frac{L^2 B_1}{4m} h B_3}{\delta_m^2} + \frac{4 \left(\frac{L^2 B_1}{4m} \right)^3 h B_3 - 4 \frac{L^3 B_1}{4m} (h B_3)^3}{4\delta_m^4} \right\} \sin \Phi_m^h \right] \cdot \bar{D}_{mh} \quad (G-40)$$

Substituting Eqs. (G-26) through Eq. (G-40) into Eq. (G-24),

we have

$$A_{10} \frac{\pi k^2}{\delta_1^2} d_{10} \cdot \left[\frac{L^2 \sigma^2}{4} \sin^2 u (1 - \cos \Phi_1^2 \lambda_1^2) \left(A_t^2 - \frac{L^2 B_1^2}{8\delta_1^2} + \frac{B_2}{2\delta_1^2} + \frac{L^2 B_2^2}{2\delta_1^4} \right) \right]$$

$$\begin{aligned}
& -\cos^2 \Phi_1 \left[\frac{2^2 B_2 B_3}{2 \delta_1^4} + \sin^2 \Phi_1 \left(\frac{L^2 B_1 B_2 B_3}{2^2 \delta_1^4} - \frac{B_1 B_3}{\delta_1^2} \right) \right] + \frac{\sigma p L}{2} [\sin 2u \\
& \cdot \{ A_t \cos^2 \Phi_1 \left[\frac{L^2 B_1}{4 A_t \delta_1^2} \cos^2 \Phi_1 - \frac{B_1 B_3}{2 A_t \delta_1^2} \sin^2 \Phi_1 \right] \} \\
& + \frac{\pi k}{\delta_2} d_{20} \cdot \left[\frac{3}{2^5} L^2 \sigma^2 \sin^2 u \{ (1 - \cos^2 \Phi_2) \left(A_t^2 - \frac{1}{2^4 \delta_2^2} + \frac{B_2}{2 \delta_2^2} + \frac{L^2 B^2 B_2}{8 \delta_2^2} \right) \right. \\
& \left. - \frac{B_2 B_3}{4 \delta_2^2} \cos^2 \Phi_2 + \left(\frac{L^2 B_1 B_2 B_3}{2^3 \delta_2^4} - \frac{B_1 B_3}{\delta_2^2} \right) \sin^2 \Phi_2 \right] \quad (G-41)
\end{aligned}$$

where

$$d_{mo} = \bar{D}_{mo} \cdot \exp\left[-\frac{L^2 A_t^2}{4m}\right] \quad (G-42)$$

similarly we have A_{1n}

$$\begin{aligned}
A_{11} &= \frac{\pi k^2}{\delta_2} d_{20} \left[\frac{q_V}{2 \delta_2^2} \cdot \frac{p^2 \sigma^2 L^2}{2^5} \sin^2 u \{ (1 - \cos^2 \Phi_2) \left(\frac{A_t^2 L^2 B_1}{2^3} + B_1 + \frac{L^4 B_1^3}{2^7 \delta_2^2} - \frac{L^2 B_1 B_2}{2^4 \delta_2^2} - \frac{L^6 B_1^3 B_2}{2^{11} \delta_2^4} \right) \right. \\
& + \cos^2 \Phi_2 \left(-\frac{2 B_1 B_3}{\delta_2^2} + \frac{3 L^2 B_1 B_2 B_3}{2 \delta_2^2} \right) + \sin^2 \Phi_2 \left(-2 B_1 A_t^2 + \frac{L^2 B_1^3}{2^3 \delta_2^2} + \frac{2 B_2 B_3}{\delta_2^4} - \frac{3 B_1 B_2 B_3}{\delta_2^2} - \frac{L^4 B_1^2 B_2 B_3}{2^7 \delta_2^4} \right) \\
& \left. + \frac{q_V}{2 \delta_2^2} \cdot \frac{p^2 \sigma L^2}{2^4} \sin 2u \left[\cos^2 \Phi_2 \left(\frac{B_1}{2 A_t} + \frac{L^2 B_1}{8 \delta_2^2 A} - \frac{B_1 B_3}{2^2 \delta_2^2 A} - \frac{A_t L^2}{2^3} \right) \right. \right. \\
& \left. + \sin^2 \Phi_2 \left(\frac{L^2 B_1^2 B_3}{4 \delta_2^2 A} - A_t B_3 \right) \right] + \frac{\pi k^2}{\delta_3} d_{30} \left[\frac{q_V p \sigma L}{2^3 \delta_3^2} \sin^2 u \{ (1 - \cos^2 \Phi_3) \left(-\frac{A_t^2 L B_1}{2 \cdot 3} \right) \right. \\
& + B_1 + \frac{L^4 B_1^3}{2^5 \delta_3^2} - \frac{L^2 B_1 B_2}{2^3 \delta_3^2} - \frac{L^6 B_1^3 B_2}{2^8 \delta_3^2} \} + \cos^2 \Phi_3 \left(\frac{L^6 B_1^3 B_2 B_2}{2 \delta_3^2} - \frac{2 B_1 B_3}{\delta_3^2} \right) \\
& \left. + \sin^2 \Phi_3 \left(-2 B_3 A_t^2 + \frac{L^2 B_1^2 B_3}{2 \cdot 3 \delta_3^2} + \frac{2 B_2 B_3^2}{\delta_3^4} - \frac{2 B_2 B_3}{\delta_3^2} - \frac{L^4 B_1^2 B_2 B_3}{2^5 \delta_3^4} \right) \right] \quad (G-43)
\end{aligned}$$

$$\begin{aligned}
A_{12} = & \frac{\pi k^2}{\delta_1} d_{10} \left[\frac{q^2}{2\delta_1^2} \cdot \frac{p^2 \sigma^2}{2} \cos^2 u \left(1 + \frac{L^4 B_1}{2^5 \delta_1^2} \right) \right] + \frac{\pi k^2}{pqV\delta_2} d_{20} \left[\frac{qV^2}{2\delta_2^2} \cdot \frac{3p^2 \sigma^2}{2^4} \sin^2 u \left(1 + \frac{L^4 B_1}{2^7 \delta_2^2} \right) \right. \\
& + \frac{qV^2}{2\delta_2^2} \cdot \frac{3p^2 \sigma^2 L^2}{2^7} \sin^2 u \left\{ (1 - \cos^2 \Phi_2^2 \wedge_2^2) \left(A_t^2 + \frac{A_t^2 L B_1}{2\delta_2^2} - \frac{3L^2 B_1^2}{2^4 \delta_2^2} - \frac{L^6 B_1^4}{2^1 \delta_2^4} \right) \right. \\
& + \frac{3B_2}{2\delta_2^2} + \frac{3L^4 B_1 B_2}{2^6 \delta_2^4} + \frac{L^8 B_1^4 B_2}{2^{15} \delta_2^6} \left. \right\} + \cos^2 \Phi_2^2 \wedge_2^2 \cdot \left(-\frac{2A_t^2 B_3^2}{\delta_2^2} + \frac{L^2 B_1^2 B_3^2}{2^3 \delta_2^4} + \frac{B_1^2 L^2 B_3^2}{2^2 \delta_2^2} - \frac{12B_1 B_3^2}{\delta_2^4} \right. \\
& + \frac{2B_2 B_3^4}{\delta_2^6} - \frac{3L^2 B_1^2 B_2 B_3^2}{2^3 \delta_2^6} \left. \right\} + \sin^2 \Phi_2^2 \wedge_2^2 \cdot \left(\frac{L^2 A_t^2 B_1 B_3}{2^2 \delta_2^2} - \frac{3B_2 B_3}{\delta_2^2} - \frac{L^4 B_1^3 B_3}{2^7 \delta_2^4} + \frac{2B_1 B_3^3}{\delta_2^4} + \frac{3L^2 B_1 B_2 B_3}{2\delta_2^4} \right. \\
& + \frac{L^6 B_1^3 B_2 B_3}{2^9 \delta_2^6} - \frac{L^2 B_1 B_2 B_3^3}{2\delta_2^6} \left. \right\} + \frac{qV^2 p^3 \sigma L^2}{2\delta_2^2 \cdot 2^5} \sin 2u \left\{ \cos^2 \Phi_2^1 \wedge_1^1 \cdot \left(A_t^2 + \frac{L^4 B_1^2 A_t^2}{2^7 \delta_2^2} - \frac{2A_t^2 B_3^2}{\delta_2^2} \right) \right. \\
& - \frac{3L^2 B_1^2}{2^5 A_t \delta_2^5} - \frac{L^6 B_1^4}{2^9 A_t \delta_2^4} + \frac{L^2 B_1^2 B_3^2}{2^4 A_t \delta_2^4} + \frac{L^2 B_1^2 B_3^2}{2^3 A_t \delta_2^4} \left. \right\} + \sin^2 \Phi_2^1 \wedge_2^1 \cdot \left(\frac{A_t^2 L^2 B_1 B_3}{2^3 \delta_2^2} - \frac{3B_1 B_2}{2A_t \delta_2^2} \right. \\
& \left. - \frac{L^3 B_1 B_3}{2^8 A_t \delta_2^4} + \frac{B_1 B_3}{A_t \delta_2^4} \right) \left. \right] \\
& + \frac{\pi k^2}{\delta_3} d_{30} \cdot \frac{qV^2}{2\delta_3^2} \cdot \frac{p^4 \sigma^2 L^2}{3^3} \sin^2 u \left(1 - \cos^2 \Phi_3^2 \wedge_3^2 \right) \left(A_t^2 + \frac{L^2 A_t^2 B_1^2}{2^5 3^2 \delta_3^2} - \frac{L^2 B_1^2}{2^3 \delta_3^2} - \frac{L^2 B_1^2}{2^3 3^3 \delta_3^4} \right. \\
& + \frac{3B_2}{2\delta_3^2} + \frac{L^4 B_1^2 B_2}{2^6 3 \delta_3^4} + \frac{L^8 B_1^4 B_2}{2^{11} 3^4 \delta_3^6} \left. \right\} + \cos^2 \Phi_3^2 \wedge_3^2 \cdot \left(-\frac{2A_t^2 B_3^2}{\delta_3^2} + \frac{L^2 B_1^2 B_3^2}{2^3 \delta_3^4} + \frac{L^2 B_1^2 B_3^2}{2 \cdot 3 \delta_3^2} - \frac{12B_2 B_3^2}{\delta_3^4} \right. \\
& + \frac{2B_2 B_3^4}{\delta_3^6} \left. \right\} + \sin^2 \Phi_3^2 \wedge_3^2 \cdot \left(\frac{L^2 A_t^2 B_1 B_3}{2 \cdot 3 \delta_3^2} - \frac{3B_1 B_3}{\delta_3^2} - \frac{L^4 B_1^3 B_3}{2^6 3 \delta_3^4} + \frac{2B_1 B_3^3}{\delta_3^4} + \frac{L^2 B_1 B_2 B_3}{\delta_3^4} \right. \\
& \left. + \frac{L^6 B_1^3 B_2 B_3}{2^6 3^3 \delta_3^6} - \frac{L^2 B_1 B_2 B_3^3}{3 \delta_3^6} \right) \left. \right\}
\end{aligned}$$

(G-44)

Therefore $A=A_{10}+A_{11}+A_{12}$ and using normalized parameter, we have

$$A_1 = \sum_{n=1}^3 G_n (\bar{l}^{-1} A_{1,n}^n + l A_{1,1}^n + l^3 A_{1,3}^n) \quad (G-45)$$

Similarly

$$A_2 = G_2 (\bar{l}^{-1} A_{2,1} + l A_{2,1} + l^3 A_{2,3}) \quad (G-46)$$

$$A_3 = G_3 (\bar{l}^{-1} A_{3,1} + l A_{3,1} + l^3 A_{3,3}) \quad (G-47)$$

with

$$A_{1,1}^1 = -\cos\Phi_1 \Lambda_1^2 \frac{\sin^2 u \sin^4 a b_1}{2^6 \bar{A}_t^2 \bar{\delta}_1^4} \quad (G-48)$$

$$A_{1,1}^1 = \frac{\cos^2 u \cos^2 a}{2^3 \bar{\delta}_1^2} + \frac{\sin^2 u [(1-\cos^2\Phi_1 \Lambda_1^2) \frac{\sin^2 a b_1}{2^3 \bar{\delta}_1^2} + \sin^2\Phi_1 \Lambda_1^2 \frac{\sin^4 a_1 b_1}{2^5 \sigma_u^2 \bar{\delta}_1^4}] \quad (G-49)$$

$$A_{1,3}^1 = \frac{\cos^2 u \cos^2 a \sin^2 a \bar{A}_t^2}{2^8 \sigma_u^4 \bar{\delta}_1^4} + \frac{\sin^2 u [(1-\cos^2\Phi_1 \Lambda_1^2) (\bar{A}_t - \frac{\bar{A}_t \sin^2 a_1}{2^3 \sigma_u^2 \bar{\delta}_1^2} + \frac{\bar{A}_t \sin^2 a_1 b_1}{2^8 \sigma_u^4 \bar{\delta}_1^4}) - \sin^2\Phi_1 \Lambda_1^2 \frac{\sin^2 a_1 b_1}{2^8 \bar{\delta}_1^2}] \quad (G-50)$$

$$A_{1,1}^2 = \cos^2\Phi_2 \Lambda_2^2 \frac{3 \sin^2 u \sin^4 a b_1}{2^5 \bar{\delta}_2^4} \left(\frac{1}{\bar{A}_t} - \frac{\cos^2 a}{2^5 \bar{A}_t \bar{\delta}_2^2} - \frac{3 \cos^2 a \sin^2 a_1}{2^{10} \sigma_u^2 \bar{\delta}_2^4} \right) + \cos^2\Phi_2 \Lambda_2^2 \frac{\sin^2 u \cos^2 a \sin^2 a_1 b_1}{2^9 \bar{A}_t^2 \bar{\delta}_2^4} \\ + \sin^2\Phi_2 \Lambda_2^2 \frac{\sin^2 u \sin^4 a b_1}{2^7 \bar{\delta}_2^2} \left(\frac{\cos u \cos a \sin a}{2^2 \bar{A}_t^2} + \frac{3 \cos^2 a a_1}{\bar{A}_t^2} + \frac{3 \cos^2 a \sin^2 a_1 b_1}{2^7 \sigma_u^2 \bar{\delta}_2^2} \right) \quad (G-51)$$

$$A_{1,1}^2 = (1-\cos^2\Phi_2 \Lambda_2^2) \frac{\sin^2 u (3 \sin^2 a \cos^2 a + \frac{3 \sin^2 a b_1 \cos^2 a}{2^7 \bar{\delta}_2^2} - \frac{3 \sin^2 a b_1}{2^7})}{2^8 \bar{\delta}_2^2} + \cos^2\Phi_2 \Lambda_2^2 \frac{\sin^2 u \sin^2 a \cos a_1}{2^6 \bar{A}_t \bar{\delta}_2^2} \\ + \cos^2\Phi_2 \Lambda_2^2 \frac{3 \sin^2 u b_1^2}{2^8 \bar{\delta}_2^4} \left(-\frac{\cos u \sin^2 a}{\bar{A}_t} - \frac{3 \cos^2 a \sin^2 a_1}{2^5 \sigma_u^2 \bar{\delta}_2^2} - \frac{3 \cos^2 a \sin^4 a_1}{2^5 \sigma_u^2 \bar{\delta}_2^2} \right) + \sin^2\Phi_2 \Lambda_2^2 \frac{3 \sin^2 u \sin^2 a_1 b_1}{2^9 \sigma_u^2 \bar{\delta}_2^4} \quad (G-52)$$

$$A_{1,3}^2 = (1-\cos^2\Phi_2 \Lambda_2^2) \frac{\sin^2 u (3 (\frac{\bar{A}_t \sin^2 a \cos^2 a_1}{2^3 \bar{\delta}_2^2} + \bar{A}_t + \cos u \bar{A}_t \cos a_1) + \cos^2\Phi_2 \Lambda_2^2 \frac{3 \sin^2 u \sin^2 a_1 b_1}{2^8 \sigma_u^2 \bar{\delta}_2^2})}{2^5 \bar{\delta}_2^2} \quad (G-53) \\ + \sin^2\Phi_2 \Lambda_2^2 \frac{\cos u \sin^2 u}{2^5 \bar{\delta}_2^2} \left(-\frac{\bar{A}_t \cos a \sin^2 a b_1 a_1^2}{2^{10} \sigma_u^4 \bar{\delta}_2^4} - \frac{\bar{A}_t \cos a \sin a b_1}{1} + \frac{\bar{A}_t \cos a \sin^2 a_1 b_1}{2^2 \sigma_u^2 \bar{\delta}_2^2} \right)$$

$$A_{1,1}^3 = \cos^2\Phi_3 \Lambda_3^2 \frac{\cos^2 u \sin^2 u \cos^2 a \sin^4 a b_1}{2^6 \bar{\delta}_3^4} + \sin^2\Phi_3 \Lambda_3^2 \frac{\cos u \sin^2 u \cos^2 a \sin^4 a b_1}{2^4 \bar{\delta}_3^2 \bar{A}_t} \quad (G-54)$$

$$A_{1,1}^3 = \cos^2\Phi_3 \Lambda_3^2 \frac{\cos^2 u \sin^2 u \sin^2 a b_1^2}{3^2 \bar{\delta}_3^4} \left(\frac{\cos a}{2^4 \bar{A}_t} + \frac{\cos^2 a \sin^2 a_1 b_1}{\bar{A}_t \bar{\delta}_3^2} - \frac{\cos^2 a \sin^4 a_1 b_1}{2^3 \bar{\delta}_3^4} \right) \\ + \sin^2\Phi_3 \Lambda_3^2 \frac{\cos^2 u \sin^2 u b_1^2}{3^2 \bar{\delta}_3^4} \left(-\frac{\cos a \sin^2 a}{2^4 \bar{A}_t} + \frac{2 \cos^2 a \sin^2 a_1 b_1}{\bar{A}_t \bar{\delta}_3^2} - \frac{\cos^2 a \sin^4 a_1 b_1}{2^4 \bar{\delta}_3^4} \right) \quad (G-55)$$

$$A_{1,3}^3 = (1-\cos^2\Phi_3 \Lambda_3^2) \frac{\cos u \sin^2 u}{3^3 \bar{\delta}_3^3} \left(\sin a \cos a \bar{A}_t a_1 - \frac{\bar{A}_t \cos a \sin^2 a_1 b_1}{2^5 \cdot 3 \sigma_u^2 \bar{\delta}_3^2} \right) + \cos^2\Phi_3 \Lambda_3^2 \frac{\cos^2 u \sin^2 u \cos^2 a \sin^2 a_1 b_1^2}{2^6 \bar{\delta}_3^3 \sigma_u^2 \bar{\delta}_3^2} \quad (G-56)$$

$$A_{2,1} = \frac{\sin^2 u}{2^3 \bar{\delta}_1^2} \left\{ \frac{\sin^2 a}{2} (1-\cos^2\Phi_1 \Lambda_1^2) - \sin^2\Phi_1 \Lambda_1^2 \sin^2 a_1 b_1 - \cos^2\Phi_1 \Lambda_1^2 \frac{\sin^4 a_1}{2^4 \bar{\delta}_1^2 \bar{A}_t} \right\} = A_{3,1} (\delta_1 = \delta_3) \quad (G-57)$$

$$A_{2,1} = \frac{\sin^2 \alpha a_1 b_1}{\bar{\delta}_1^2} \left\{ \sin \bar{\Phi}_1 \Lambda_1 \frac{\cos^2 u \sin u}{\bar{A}_t} - \frac{\sin^2 u \sin^2 \alpha}{2^{10} \sigma_u^2 \bar{\delta}_1^2} \right\} \quad (G-58)$$

$$A_{2,3} = (1 - \cos^2 \bar{\Phi}_1 \Lambda_1^2) \frac{\sin^2 u \bar{A}_t^2}{2^2} \left(1 + \frac{\sin^2 \alpha b_1^2}{2^3 \sigma_u^2 \bar{\delta}_1^2} \right) + \cos^2 u \sin u (\bar{A}_t + \cos \bar{\Phi}_1 \Lambda_1) \frac{\bar{A}_t \sin^2 u b_1^2}{2^3 \sigma_u^2 \bar{\delta}_1^2} \quad (G-59)$$

$$A_{3,1} = -\frac{\sin^2 u \sin^4 \alpha a_1 b_1}{2^{15} 3^3 \sigma_u^2 \bar{\delta}_3^4} \quad (G-60)$$

$$A_{3,3} = (1 - \cos^2 \bar{\Phi}_3 \Lambda_3^2) \frac{\sin^2 u \bar{A}_t^2}{2^5 3^3} \left(1 + \frac{\sin^2 \alpha b_1^2}{2^3 3 \sigma_u^2 \bar{\delta}_3^2} \right) + \cos^2 u \sin u \bar{A}_t (1 + \cos \bar{\Phi}_3 \Lambda_3) \frac{\sin^2 \alpha b_1^2}{2^3 \sigma_u^2 \bar{\delta}_3^2} \quad (G-61)$$

and

$$G_0 = \exp \left\{ -l^2 [\cos^2 u + \cot^2 \alpha \sin^2 u + \cot \alpha \sin 2u \cos(\beta - \nu)] \right\} \quad (G-62)$$

$$G_m = \exp \left\{ -l^2 \bar{A}_t^2 \left(\frac{2^4 m \sigma_u^2 \bar{\delta}_m^2 - \sin^2 \alpha a_1^2}{2^6 m^2 \sigma_u^4 \bar{\delta}_m^2} \right) \right\} \quad (G-63)$$

$$a_1 = \cos(\Phi_A - \beta) \quad (G-64)$$

$$b_1 = \sin(\Phi_A - \beta) \quad (G-65)$$

$$\bar{A}_t^2 = 4 [(\tan \alpha \cos u)^2 + \sin^2 u + \tan \alpha \sin 2u \cos(\beta - \nu)] \quad (G-66)$$

$$\bar{\delta}_m^2 = \left(\frac{\sin^2 \alpha}{4m\sigma_u^2} + \frac{\cos^2 \alpha}{4} \right) \quad (G-67)$$

$$\Phi_m^h = h(\Phi_A - \nu - \frac{\sin^2 \alpha a_1 b_1}{2^4 m \sigma_u^2 \bar{\delta}_m^2}) \quad (G-68)$$

$$\Lambda_m^h = \exp \left\{ -\frac{h^2 \sin^2 \alpha b_1^2}{4^2 l^2 \bar{A}_t^2 \bar{\delta}_m^2} \right\} \quad (G-69)$$

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